CHAPTER 7

Comparative phylogeography and modes of speciation in the genus *Naso*

7.1 Introduction

In the previous chapter the genetic structure and evolutionary history of a widely distributed species, *N. vlamingii*, was examined. Gene flow was shown to occur among all populations, even across ocean basins.

In this chapter, I examine the population structure of two additional species pairs, which were each previously thought to be a single species as widely distributed as is *N. vlamingii*. However, the two species were recently re-described as consisting of 2 species each, on the basis of colour and morphological differences. Each of the species pairs has an Indian Ocean and an Pacific Ocean representative. In chapter 3, the members of these species pairs were confirmed to be genetically distinct yet closely related sister species. Here, I use comparative phylogeography to examine (a) the level of genetic differentiation, which can be used to infer level of gene flow among populations of each species, (b) the effect which long evolutionary histories (all four species) may have had on the population

Naso.

The sister species *N. lituratus* (Forster, 1801) and *N. elegans* (Rüppell 1829) were recently re-described (Randall 2001). Previously these two species had been considered a single widely distributed Indo-Pacific species, *N. lituratus*, despite one earlier suggestion that

structure (including diversity indices) and (c) explore modes of speciation in the genus

these are distinct sister species based on distinct colour patterns on the dorsal and caudal fins (Smith 1966; Smith and Heemstra 1986).

Likewise, the sister species *N. tuberosus* (Lacèpede, 1801) and *N. tonganus* (Valenciennes, 1835) were previously considered a single widely distributed Indo-Pacific species, *N. tuberosus*. The validity of *N. tonganus* as a species, previously included in the synonymy of *N. tuberosus*, was recognised by Johnson (Johnson 2002) due to the presence of distinct markings on the body.

All four species are specialists, foraging on benthic matter (macroscopic algae) therefore, adult species are closely reef-associated (*cf.* to being semi-pelagic as is *N. vlamingii*). Both pairs occur allopatrically and are segregated by ocean basins. *Naso elegans* is recorded from the Indian Ocean (Oman to Cocos Keeling) and *N. lituratus* from the Pacific Ocean and from reefs off the coast of Western Australia (WA). *Naso tuberosus* occurs in deep water (> 30m) in the west Indian Ocean (Seychelles, Mauritius), and it appears to be common but less abundant than *N. lituratus* – *N. elegans*. The sister species to *N. tuberosus*, *N. tonganus*, occurs in the west Pacific Ocean, and is quite abundant on the Great Barrier Reef (GBR), but less abundant elsewhere in the Pacific. It also occurs at depths > 30m off reef fronts and in deep channels between reefs.

Life history studies of *N. lituratus* and *N. tonganus* (studies previous to 2002 referred to this species as *N. tuberosus*) indicate that both species may reach ages of 20-30 years (Choat and Robertson 2002). Both species are broadcast spawners (gonochoristic) and the larvae of the 2 sister pairs probably have an extended pelagic larval duration (PLD) of 69 – 90 days (Wilson and McCormick 1999).

The approximate age of the ancestral lineages giving rise to the 2 pairs of sister taxa is 5.6 - 4.7 MY for *N. lituratus – N. elegans*, and 7.2 - 6.0 MY for *N. tuberosus – N. tonganus (cf.* lineages are more recent, approx. 10 MY, than the ancestral lineage giving rise to *N. vlamingii*). These lineages arose during the late Miocene/early Pliocene. Drastic sea level fluctuations characterised this period, as did re-occurring glaciations and oscillating sea temperatures (Hallam 1984; Pickering 2000; Zachos et al. 2001a). Furthermore, modern coral reefs and present ocean currents were already established at this time and ocean productivity was high (Zachos et al. 2001a).

Given these features, I would expect all four species to display (a) high levels of intraspecific gene flow, with low or no genetic differentiation between populations, (b) high diversity indices, reflecting their relatively deep evolutionary histories and (c) evidence of allopatric speciation following periods of isolation.

I use sequences of the rapidly evolving mt d-loop region (used in chapter 6) to evaluate these expectations.

7.2 Materials & Methods

7.2.1 Sampling

7.2.1.1 N. lituratus – N. elegans

All specimens used in this study were collected by spearing throughout the Indo-Pacific Ocean.

Overall, 155 individuals of *Naso lituratus* were collected from a wide geographic range, encompassing Hawaii to the west Pacific Ocean (WPO) and including the east Indian Ocean (EIO) as shown (Figure 7.1).

Seventy-one *N. elegans* individuals were collected from the West Indian Ocean (WIO) and East Indian Ocean (EIO) as shown (Figure 7.1).

During sample collection on Cocos Keeling, fin clippings of both species were accidentally placed together into a single container of salt-saturated 20% DMSO (mixed sample) as *N*. *lituratus* was not known to occur there. Subsequently, after realizing that both species co-occur there, tissue samples (fin) of each species were collected and stored in separate containers.



Indo-Pacific Map of N. lituratus - N. elegans distribution

Figure 7.1: Sampling locations for both species, *N. lituratus* (green) and *N. elegans* (red) with distribution ranges (coloured circle) indicated. Sampling locations are: H- Hawaii; WPO (west Pacific Ocean): which includes T- Taiwan, PP- Philippines, PNG- Papua New Guinea, SI- Solomon Island, GBR- Great Barrier Reef; EIO (east Indian Ocean): WA- Western Australia, CK- Cocos Keeling Island; WIO (west Indian Ocean): OM- Oman and SY- Seychelles with 3 regions: Mahe (n=8), Amirante (n=22) and Farquhar (n=14). Range distributions as per Randall (2002), but are updated to reflect their co-occurrence in the EIO.

7.2.1.2 N. tuberosus – N. tonganus

A smaller sample set was collected for the *N. tuberosus* – *N. tonganus* species pair. In total 16 individuals of *N. tuberosus* were collected from two regions of the Seychelles and 26 specimens of *N. tonganus* were collected from the northern GBR as shown (Figure 7.2). According to Johnson (2002) photographic records suggest that the distribution range of *N. tonganus* extends well into the Indian Ocean (Sumatra, Maldives and Seychelles), which would make members of this species pair sympatric (Figure 7.2). However, no voucher samples of *N. tonganus* have been collected from the WIO locations to date. Therefore, until the distribution range is clarified, I consider this species pair as being allopatrically distributed.



Indo-Pacific Map of N. tuberosus - N. tonganus distribution

Figure 7.2: Sampling locations for both species, *N. tuberosus* (yellow) - *N. tonganus* (pink) with distribution ranges (coloured circles) indicated. Number of samples collected are indicated in brackets. Sample locations are: 2 regions on the GBR (Great Barrier Reef): Lizard Island (northern GBR, n=21) and reefs off Townsville (central GBR, n=5); 2 regions in the west Pacific Ocean, Seychelles: Amirante (n=6) and Farquhar (n=10). Range distribution as per Randall (2002) and Johnson (2002).

7.2.2 Laboratory methods:

DNA extractions, PCR and direct sequencing followed protocols outlined previously in Chapter 2.

7.2.3 Population genetic analyses

Analytical methods followed the same procedures as described in Chapter 6. The combined species for each sister pair were analysed using a phylogenetic approach. A ML tree (50 bootstrap replicates, outgroup-rooted with *N. unicornis*) was generated to examine if the two species of a pair segregated into distinct clades and to determine if there is geographic subdivision within species.

Population genetic analyses were used to investigate genetic differentiation, from which levels of gene flow between populations of each species could be inferred. Pairwise F_{st} comparisons were obtained for each population sampled, using AMOVA implemented in Arlequin 2.1 (Schneider et al. 2000). To examine if any of the four species have experienced isolation by distance (IBD), pairwise comparative F_{st} values of populations were related to the pairwise geographic distances (km) between the same population pairs (using Mantel test), using the software IBD V.1.4 (Bohonak 2002). Haplotype (*h*) and percent nucleotide (π) diversities were calculated as previously

described (Chapters 5 & 6).

7.2.3.1 N. lituratus – N.elegans

The best ML topology consisting of both species pairs is illustrated. Separate haplotype trees were generated for *N. lituratus* and *N. elegans*. For this purpose, populations of *N. lituratus* were grouped into 5 regions: (1) WA (Western Australia), (2) Cocos Keeling Island, (3) GBR, (4) northern PNG (Kimbe Bay, including samples from the Solomon Islands, the Philippines and Taiwan) and (5) Hawaii (see Figure 7.1). This grouping was maintained for the analyses of AMOVA (gene flow), IBD (isolation by distance), nucleotide - and haplotype diversity indices.

The *N. elegans* populations were also grouped into 5 regions for the haplotype tree and for analyses of AMOVA, IBD and the diversity indices. Three of the 5 regions were in the Seychelles: (1) Amirante (south of Mahe), (2) Mahe (main Island, north) and (3) Farquhar (south of Amirante, north of Madagascar) (4) Oman and (5) Cocos Keeling Island (Figure 7.1).

7.2.3.2 N. tuberosus – N. tonganus

Likewise, the best ML tree consisting of both species pairs is presented. A combined haplotype tree was obtained for *N. tuberosus* and *N. tonganus* due to small sample size. The population of *N. tuberosus* was grouped into 2 regions in the Seychelles: (1) Amirante (south of Mahe the main Island) and (2) Farquhar (north of Madagascar) (Figure 7.2). The *N. tonganus* populations were grouped into 2 regions on the GBR: (1) Lizard Island (northern GBR) and (2) Reefs off Townsville (central GBR) (Figure 7.2). These groupings were maintained for both species to examine AMOVA, IBD and diversity indices.

7.3 Results

7.3.1 N. lituratus – N. elegans sister species

A total of 355bp from the 3' end of the tRNA-Pro gene and the 5' end of the control region were used for all analyses. The transition to transversion ratio was 2.4:1 and 3.3:1for *N. lituratus* and *N. elegans* respectively. Of 229 or 193 polymorphic sites, 177 and 112 were parsimony informative for *N. lituratus* and *N. elegans* respectively. The control region (mtDNA) was A-T rich (72%) for both species as has been previously reported for fish species (e.g. McMillan and Palumbi 1997).

The substitution model, $GTR + I + \Gamma$, was used in the ML analysis for the combined species *N. lituratus* – *N. elegans*. A total of 71 ML trees were obtained, but only the best tree (lnL -8279.501) was used (Figure 7.3). The rooted tree (Figure 7.3) generated two distinct clades with high bootstrap support including individuals of both species from Cocos Keeling. Furthermore, all individuals of the mixed sample set from Cocos Keeling Island (*n* = 21), where both species co-occurred, segregated into one or other of the distinct sister clades. Seven of the mixed-up sample set turned out to be *N. elegans*, and 14 grouped into the *N. lituratus* clade.

Within each species clade, there was no segregation into distinct geographic regions, despite the presence of 2 major clades for which bootstrap support however, was lacking. Each major clade contained additional sub-clades in both species groups, indicating substantial genetic divergence within species, though bootstrap support was again lacking for clades (Figure 7.3).



N. lituratus - N. elegans topology

Figure 7.3: Phylogram of best max. likelihood tree for both species *N. lituratus* (blue circled) and *N. elegans* (red circled). The mixed samples are indicated in black. Bootstrap values (>50) are only shown for the main 2 clades. Outgroup rooted with *N. unicornis*. Samples of both species are colour coded according to location (see Keys).

Furthermore, there was no evidence of gene flow between the sister species *N. lituratus* and *N. elegans* (Φ_{st} =0.75), further indication that these species are distinct and probably reproductively isolated.

This lack of geographic structure became especially clear when examining the haplotype trees (reduced no. of sites, 300bp see Figure 7.5 & 7.6) (*N. lituratus* and *N. elegans* respectively). Both haplotype trees had a very limited number of shared haplotypes for the full data set (355bp, not shown).

7.3.1.1 N. lituratus

The AMOVA analysis supported the lack of geographic subdivision, evident from inspection of the data, among regions, among populations within regions and within populations (Table 7.1).

More than 99.4% of the observed sequence variation was within populations (Table 7.1). Accordingly, there was almost no genetic differentiation among regions or among populations within regions, as indicated by small, non-significant Φ -statistics (Table 7.1). Overall, no geographic subdivision was detected and the average Φ_{st} for all regions was 0.005 with *P*=0.228, indicating high levels of gene flow between regions.

Comparative pairwise F_{st} values among the 5 populations also suggested high levels of gene flow (Table 7.2) between all regions. Furthermore, there was no effect of isolation by distance (either for the comparison of genetic distance: F_{st} and geographic distance (km) or by log transforming both genetic and geographic distances; in both cases p>0.33). This again supported the lack of geographic subdivision.



Figure 7.5: Haplotype tree for *N. lituratus*. Shared haplotypes are indicated by larger, partitioned circles with 1 partition per individual. The circles are colour-coded by region (see key). PNG includes: Philippines, Taiwan and Solomon Islands. Four individuals from 3 regions shared one haplotype (centre). There were 2 haplotypes which were shared by 3 individuals each and 8 haplotypes shared by 2 individuals each. The number of crossbars indicates the number of base changes between haplotypes.

Note: For ease of illustration (fewer base changes) 55 hyper-variable sites were excluded (illustration based on 300 bp).

Table 7.1: Hierarchical Analysis of Molecular Variance (AMOVA) of 5 populations of *N. lituratus*.

$1. PO^{a}$	d.f.	Sum of	Variance	Percent	Fixation	
vs.		square	components	variation	indices	<i>P</i> -value
IO ^b		(SS)	_	(%)	Φ-statistics	± S.D.
Among regions	1	24.75	0.061	0.33	$\Phi_{ct} = 0.003$	0.319±0.015
Among pop	3	60.68	0.056	0.30	$\Phi_{sc} = 0.003$	0.300±0.014
within regions						
Within pop	150	2781.37	18.54	99.37	$\Phi_{st} = 0.006$	0.228±0.014
Total	154	2866.79	18.66			

5 populations grouped into two ocean basins: Pacific Ocean vs. Indian Ocean

5 populations grouped into three broad regions: Hawaii vs. WPO^c vs. IO^b

2. Hawaii vs .	d.f.	Sum of	Variance	Percent	Fixation	
WPO ^c vs.		square	components	variation	indices	<i>P</i> -value
IO ^b		(SS)		(%)	D -statistics	± S.D.
Among regions	2	43.28	0.019	0.10	$\Phi_{ct} = 0.001$	0.610±0.017
Among pop	2	42.15	0.078	0.42	$\Phi_{sc} = 0.004$	0.353±0.014
within regions						
Within pop	150	2781.37	18.54	99.48	$\Phi_{st} = 0.005$	0.228±0.014
Total	154	2866.79	18.64			

5 populations grouped into three regions: Hawaii vs. (WPO^c and WA^{d)} vs. CK^e

3. Hawaii vs.	d.f.	Sum of	Variance	Percent	Fixation	
$(WPO^{c} + WA^{d})$		square	components	variation	indices	<i>P</i> -value
vs.CK ^e		(SS)		(%)	D -statistics	± S.D.
Among regions	2	36.31	-0.139	-0.75	Φ_{ct} =-0.007	0.816±0.011
Among pop	2	49.11	0.181	0.98	$\Phi_{sc} = 0.009$	0.129±0.011
within regions						
Within pop	150	2781.37	18.54	99.77	$\Phi_{st} = 0.002$	0.228±0.014
Total	154	2866.79	18.58			

5 populations grouped into four regions: Hawaii vs. WPO^c vs. WA^d vs. CK^e

4. Hawaii vs. WPO ^c vs. WA ^d vs. CK ^e	d.f.	Sum of square (SS)	Variance components	Percent variation (%)	Fixation indices Φ-statistics	<i>P</i> -value ± S.D.
Among regions	3	55.19	-0.273	-1.47	$\Phi_{ct} = -0.014$	1.00±0.0
Among pop	1	30.23	0.326	1.75	$\Phi_{sc} = 0.017$	0.122±0.009
within regions						
Within pop	150	2781.37	18.54	99.71	$\Phi_{st} = 0.003$	0.228±0.014
Total	154	2866.79	18.59			

^a PO: Pacific Ocean (including Hawaii, PNG and GBR); ^bIO: Indian Ocean (including WA^d: Western Australia and CK^e: Cocos Keeling Island);^cWPO: west Pacific Ocean (PNG and GBR).

Regions	Hawaii	PNG	GBR	WA	CK ^a
Hawaii	-	0.4±0.02	0.17±0.01	0.39±0.02	0.20±0.01
PNG	0.0004	-	0.07 ± 0.008	0.12 ± 0.01	$0.14{\pm}0.01$
GBR	0.013	0.02	-	0.67 ± 0.01	0.64 ± 0.01
WA	0.00005	0.014	-0.011	-	0.76 ± 0.01
CK ^a	0.013	0.011	-0.01	-0.013	-
a v	1.				

Table 7.2 Pairwise F_{st} comparisons of 5 *N. lituratus* populations (below diagonal) and corresponding p-values (above diagonal).

^a Cocos Keeling

N. lituratus had 6 haplotypes shared by 2 individuals each and 1 haplotype shared by 3 individuals (for 355bp, not shown). The vast majority of *N. lituratus* individuals had unique haplotypes, as suggested by the overall haplotype diversity (h=0.998) and overall high nucleotide diversities $\pi = 10.6\%$ (Table 7.3).

Separate haplotype and nucleotide diversities were calculated for each region (Table 7.3). Roughly one third (37%) of haplotypes differed by 1 to 5 base changes, most (45 %) of the haplotypes had between 6 and 15 changes, and 18% of haplotypes had more than 15 (up to 41) base changes.

Geographic regions	n ^a	$n_h^{\rm b}$	Haplotype diversity <i>h</i>	% Nucleotide diversity $\pi \pm S.D.$
Hawaii	21	21	1.0	$\frac{10.4 \pm 5.3}{10.4 \pm 5.3}$
PNG	47	46	0.997	10.4 ± 5.1
GBR	29	29	1.0	9.9 ± 4.9
WA	27	26	0.992	11.1 ± 5.5
Cocos Keeling	31	31	1.0	10.9 ± 5.4
Total	155	153*	0.998	10.6 ± 5.1

Table 7.3: *Naso lituratus*, haplotype and nucleotide diversities, per region and overall

^a number of samples per region

^b number of haplotypes per region, not including haplotypes that are shared between regions

* Number of total haplotypes is less than the sum of all regions, because of shared haplotypes.

h: Haplotype diversity (Nei 1987); π : Nucleotide diversity as per Arlequin Ver.2.01

7.3.1.2 N. elegans

Similar results were obtained from analyses of *N. elegans* populations. The haplotype tree showed a lack of population genetic structure for *N. elegans* (Figure 7.6). Samples from all locations were dispersed throughout the haplotype tree.

The AMOVA results for *N. elegans* were similar to those for *N. lituratus* and again indicated a lack of geographic subdivision. High levels of gene flow were indicated by an average Φ_{st} for all 5 regions of -0.0007, *P*=0.48, and no significant difference amongst regions, among populations within regions or within populations relative to the total sample, was recognized (Table 7.4). Again, more than 97.6% of the observed sequence variation was within populations (Table 7.4). Due to the limited number of samples from Oman, they were excluded from further AMOVA analyses. The 4 regions still had a low overall Φ_{st} (0.008, *P*=0.271), indicating high levels of gene flow. An exception was the Amirante population of the Seychelles, which showed restricted gene flow among regions (Amirante vs. Mahe, Farquhar and Cocos Keeling) p<0.05 (Table 7.4).

This was also suggested, but not significantly (Φ_{st} =0.03, P=0.09), when only the two populations of the same sample size (Amirante vs. Cocos Keeling) were compared. It appears that the population of Amirante is different from the other populations from the Seychelles. However, gene flow amongst populations of the Seychelles only (Φ_{st} = 0.02, P=0.21) was reduced, compared to levels of gene flow across the Indian Ocean (see overall Φ_{st} values above).



Figure 7.6: Haplotype tree of *N. elegans*, sampled from the west and central Indian Ocean. All 5 regions are shown separately (see key). The Seychelles was segregated into 3 regions: Mahe (main Island), Amirante (south of Mahe) and Farquhar (south of Amirante, but north of Madagascar). Shared haplotypes are indicated by large, partitioned circles, with 1 partition per individual. Three haplotypes were shared by 2 individuals each (from the same region). Number of crossbars indicates the number of base changes between haplotypes. Note: For ease of illustration (fewer base changes) 55 hyper-variable sites were excluded (illustration based on 300bp).

Table 7.4: Hierarchical Analysis of Molecular Variance (AMOVA) of 4 populations of *N. elegans*.

1. WIO ^a	d.f.	Sum of	Variance	Percent	Fixation	
VS.		square	components	variation	indices	<i>P</i> -value
EIO ^b		(SS)		(%)	D -statistics	± S.D.
Among regions	1	19.70	-0.116	-0.62	$\Phi_{ct} = -0.006$	0.251 ± 0.015
Among pop	2	43.22	0.222	1.18	$\Phi_{sc} = 0.012$	0.215±0.011
within regions						
Within pop	62	1153.97	18.61	99.44	$\Phi_{st} = 0.006$	0.271 ± 0.016
Total	65	1216.88	18.72			

4 populations grouped into two broad regions: WIO^a vs. EIO^b

4 populations grouped into two regions: Amirante (Seychelles) vs. remaining 2 sites of Seychelles and Cocos Keeling Island

2. Amirante	d.f.	Sum of	Variance	Percent	Fixation	
vs.		square	components	variation	indices	<i>P</i> -value
rest		(SS)		(%)	Φ-statistics	± S.D.
Among regions	1	35.24	0.81	4.25	$\Phi_{ct} = 0.04$	0.00±0.00*
Among pop	2	27.67	-0.35	-1.85	$\Phi_{sc} = -0.02$	0.815±0.01
within regions						
Within pop	62	1153.97	18.61	97.60	$\Phi_{st} = 0.02$	0.271±0.016
Total	65	1216.88	19.07			

^a WIO: West Indian Ocean: 3 regions of Seychelles: Mahe, Amirante and Farquhar

^b EIO: East Indian Ocean (Cocos Keeling Island)

* p≤0.05, significantly different

The pairwise F_{st} comparisons among all 5 populations produced no significant differences (p<0.05) between haplotypes (Table 7.5). However, it appears that pairwise F_{st} values between Amirante - Farquhar and Amirante - Cocos Keeling were marginally higher, indicating somewhat reduced gene flow, compared to the remaining populations, which demonstrated high levels of gene flow.

This however, was not an effect due to isolation by distance, because the Mantel test produced no significant difference, either when using the untransformed pairwise F_{st} comparison of populations to the pairwise geographic distances (km), nor by log transforming both matrices (p>0.7, data not shown).

Regions	Oman	Amirante	Farquhar	Mahe	CK ^a
Oman	-	0.31±0.011	0.68 ± 0.014	0.87 ± 0.01	0.97 ± 0.006
Amirante	0.006	-	0.1 ± 0.01	0.28 ± 0.01	0.09 ± 0.010
Farquhar	-0.07	0.04	-	0.66 ± 0.01	0.62 ± 0.013
Mahe	-0.08	0.01	-0.03	-	0.83±0.012
CK ^a	-0.07	0.03	-0.01	-0.03	-

Table 7.5: Pairwise F_{st} comparisons for 5 *N. elegans* populations (below diagonal) and corresponding p-values \pm S.D. (above diagonal).

^aCK: Cocos Keeling

In *N. elegans*, only 2 haplotypes were each shared by 2 individuals (for the full data set, 355bp, not shown). All remaining haplotypes were unique (h = 0.999) and the overall nucleotide diversity was also very high (10.4%) (Table 7.6). Again, as with *N. lituratus*, there is high genetic diversity due to many base changes between haplotypes; 32% of haplotypes differed by 1 to 5 base changes and 45% differed by 6 to 15 changes amongst haplotypes. The remaining 23% had more than 16 (up to 46) substitutions.

Table 7.6: Naso elegans, haplotype and nucleotide diversity indices, per region and for the
overall sample

Geographic regions	n ^a	$n_h^{\rm b}$	Haplotype diversity <i>h</i>	% Nucleotide diversity $\pi \pm S.D.$
Seychelles, Mahe	8	8	1.0	11.6 ± 6.4
Seychelles, Amirante	22	21	1.0	9.4 ± 4.8
Seychelles, Farquhar	14	14	1.0	10.1 ± 5.2
Oman	5	4	0.9	9.0 ± 5.6
Cocos Keeling	22	21	0.996	11.4 ± 5.8
Total	71	69	0.999	10.4 ± 5.1

^a number of samples per region, ^b number of haplotypes per region, *h*: Haplotype diversity as per Nei (1987), π : Nucleotide diversity as per Arlequin Ver.2.001.

7.3.2 N. tuberosus – N. tonganus sister species

For all analyses 332bp of the control region were used. *N. tuberosus* had 77 polymorphic sites, of which 53 were parsimony-informative. There was a transition to transversion ratio of 3.5:1. *N. tonganus* had 90 polymorphic sites with 31 being parsimony-informative, and a transition to transversion ratio of 2.5:1. The d-loop region was A-T rich (71%) for both species as has been reported previously in this study and for other fish species (e.g. McMillan and Palumbi 1997).

The TVM + Γ (no invariable sites) substitution model was used in the ML analysis, for the combined species *N. tuberosus* – *N. tonganus*. In total, 44 trees were obtained, but only the best tree (lnL -2358.52) was used (Figure 7.7). The rooted tree contained two distinct clades with high bootstrap support. Within each clade however, no segregation of the samples by locality was observed, a result that was also supported by the haplotype tree (Figure 7.8).

There was no evidence of gene flow between the species (F_{st} 0.71).

AMOVA analyses also produced results indicating high levels of gene flow amongst locations and within locations (Table 7.7). All of the variation (100%) was within populations relative to the total sample.

The pairwise F_{st} comparisons between the two species populations produced the same result as the Φ_{st} values in the AMOVA (Table 7.7) indicating extensive gene flow among locations within regions.

N. tuberosus - N. tonganus topology



Figure 7.7: Best ML tree for both species *N. tuberosus* - *N. tonganus*. Each species was sampled from 2 regions (colour-coded). Outgroup rooted phylogram (*N. unicornis*). Bootstrap values (> 50) are only shown for the main 2 clades (circled).



Figure 7.8: Haplotype tree for the sister species *N. tuberosus - N. tonganus*. All haplotypes were unique (none shared). Both species were collected from only 2 locations (see Key). The number of crossbars indicates the number of base changes between haplotypes. The 2 sister species (circled) were differentiated by 42 substitutions.

Note: For ease of illustration (fewer substitutions) 32 hyper-variable sites were excluded (illustration based on 300bp).

Table 7.7: Hierarchical Analysis of Molecular Variance (AMOVA) of 2 populations each for *N. tuberosus* (A) and *N. tonganus* (B).

A) Amirante vs. Farquhar	d.f.	Sum of square (SS)	Variance components	Percent variation (%)	Fixation index Φ-statistics	<i>P</i> -value ± S.D.
Among regions	1	8.22	-0.05	-0.65		
Within pop	14	120.97	8.64	100.65	$\Phi_{st} = -0.006$	0.507±0.014
Total	15	106.94	8.58			

Two locations for *N. tuberosus*: Amirante vs. Farquhar (Seychelles)

Two locations for N. tonganus: Lizard Island vs. Townsville

B) Lizard Island vs. Townsville	d.f.	Sum of square (SS)	Variance components	Percent variation (%)	Fixation index Φ-statistics	<i>P</i> -value ± S.D.
Among regions	1	8.11	-0.22	-2.28		
Within pop	24	237.51	9.90	102.28	$\Phi_{st} = -0.023$	0.602±0.015
Total	25	245.62	9.67			

All individuals had unique haplotypes (h = 1.0), but the nucleotide diversities were slightly lower (Table 7.8) than was obtained for *N. lituratus* and *N. elegans*. The lower nucleotide diversities for *N. tuberosus* and *N. tonganus* may be an artefact of the reduced number of locations and individuals sampled per species compared to *N. lituratus – N. elegans* and *N. vlamingii*.

Table 7.8: *N. tuberosus* – *N. tonganus*, haplotype and nucleotide diversities, per location and overall

Geographic regions	n ^a	n_h^{b}	Haplotype diversity <i>h</i>	% Nucleotide diversity $\pi \pm S.D.$
N. tuberosus				
Seychelles, Amirante	6	6	1.00	4.2 ± 2.6
Seychelles, Farquhar	10	10	1.00	5.8 ± 3.2
Total	16	16	1.00	5.3 ± 2.8
N. tonganus				
GBR, Lizard Island	21	21	1.00	6.4 ± 3.3
GBR, off Townsville	5	5	1.00	4.5 ± 2.9
Total	26	26	1.00	6.0 ± 3.1

^a number of samples per region, ^b number of haplotypes, *h*: Haplotype diversity as per Nei (1987), π : Nucleotide diversity as per Arlequin Ver.2.001

A comparison of diversity indices and Φ_{st} values for all *Naso* species examined in this

thesis is listed in Table 7.9.

Species	n	nh	Region	h	% π	Φst	Source
Naso vlamingii	25	25	GBR	1.0	13.9		This study
-	14	14	PNG	1.0	15.1		(Chapter 6)
	10	10	Philippines	1.0	14.1		
	7	7	WA	1.0	14.7		
	21	21	Seychelles	1.0	13.9		
			Among Oceans			0.008	
			WIO vs rest			0.020	
	77	77	All regions	1.0	14.2	0.005	
Naso lituratus	47	46	PNG	0.997	10.4		This study
	29	29	GBR	1.0	9.9		(Chapter 7)
	27	26	WA	0.992	11.1		
	31	31	Cocos Keeling Island	1.0	10.9		
	21	21	Hawaii	1.0	10.4		
			Among Oceans			0.006	
			Hawaii vs (WPO ^a +WA) vs CK ^b			0.002	
	155	153	All regions	0.998	10.6	0.005	
Naso elegans	44	44	Seychelles	1.0	9.4-11.6		
	5	4	Oman	0.9	9.0		
	22	21	Cocos Keeling Isl.	0.996	11.4		
			Amirante vs rest (excl. Oman)			0.02	
			WIO ^c (excl. Oman) vs EIO ^d			0.006	
	71	68	All regions	0.999	10.4	-0.001	
Naso tuberosus	6	6	Amirante, Seychelles	1.0	4.2		
	10	10	Farquhar, Seychelles	1.0	5.8		
	16	16	Seychelles	1.0	5.3	-0.006	
Naso tonganus	21	21	Lizard Isl., northern GBR	1.0	6.4		
	5	5	Townsville, central GBR	1.0	4.5		
			GBR	1.0	6.0	-0.02	

Table 7.9: Comparative haplotype (h) and percent nucleotide (π) diversities, and Φ_{st} (as a measure of gene flow) for d-loop of this study.

^a WPO: west pacific Ocean (including PNG + GBR) ^b CK: Cocos Keeling Isl. ^c WIO: west Indian Ocean (Seychelles) ^d EIO: east Indian Ocean (Cocos Keeling Isl.)

7.4 Discussion

This chapter demonstrated that both pairs of sister species had comparable population structures. High connectivity was apparent for each species, due to weak/absent genetic differentiation among populations sampled. This was also shown for *N. vlamingii* (chapter 6). Although, each species segregated into a distinct clade, there was a total lack of geographic structure within each species.

Fixation indices were low, hence gene flow was higher in all *Naso* species relative to other reef fish species (see also Table 5.1 in chapter 5). *Naso* species seem to represent one extreme (high levels of gene flow) on a scale of reef fish population connectivity.

All 4 species investigated in this chapter displayed high haplotype and nucleotide diversities as well as weak/no genetic differentiation (hence high levels of gene flow) among populations sampled. The species pairs best fit category I of Avise (2000) suggesting deep divergences of allopatric lineages. However, each species individually is best described by Avise's (2000) category II. The long, unstable evolutionary histories would support the deep divergences (similar to *N. vlamingii*), where isolated populations (during periods of low sea level) came into secondary contact at times of elevated sea levels due to gene flow.

Both species pairs arose during the late Miocene (approx. between 7 - 5MY, chapter 4), which was a time of repeated extreme oscillations in sea level (up to 50m below present sea-level), glaciations and fluctuating sea temperatures (Hallam 1984; Haq et al. 1987; Zachos et al. 2001a).

When comparing all 5 *Naso* species examined in the phylogeographic part of this study, *N. vlamingii* (which diverged ~ 18 MYA) had the highest nucleotide diversities (13.9 to 15.1%) of all *Naso* species investigated. This was also true when compared to other reef fish species studied for the same gene region (Bernardi 2000; Terry et al. 2000; Bernardi et al. 2001; Stepien et al. 2001; Bernardi et al. 2002; Ovenden et al. 2002; Bay et al. online) (see Table 5.1).

Both *N. lituratus* and *N. elegans* also had high nucleotide diversities in all regions (ranging between 9.4% and 11.4%). *Naso tuberosus* and *N. tonganus* also had high nucleotide diversities compared to other taxa, but these were the lowest of all the *Naso* species investigated (4.2 to 6.4%). These lower values may be an artefact of relatively small sample sizes compared to the other species studied or they may be real differences in substitution rates. Additional sampling is required to resolve this issue.

To date, there is no record of a study examining surgeonfish (acanthurid) population structure using the control region. However, Rocha et al. (2002) have used another mtDNA marker (cyt *b*) for three *Acanthurus* species in the tropical Atlantic Ocean. These species also had high haplotype diversities (h=0.7 - 0.94), but they had low nucleotide diversities ($\pi=0.0 - 0.6\%$). The 3 *Acanthurus* species had an average PLD (planktonic larval duration) of 52 days (Rocha et al. 2002). Two of the three species demonstrated strong (Φ_{st} 0.72, *A. bahianus*) to moderate (Φ_{st} 0.36, *A. coeruleus*) population genetic structure, whilst the third species (*A. chirurgus*) had high levels of gene flow (Φ_{st} 0.002- 0.05) and lacked population genetic structure, even across the plume of the Amazon Basin. The authors attributed this lack of genetic segregation to the ability of *A. chirurgus* to tolerate low

salinity levels at the muddy bottom of the Atlantic Ocean when they cross the Amazon barrier, which often forms a biogeographic barrier to species (Rocha et al. 2002). Although these diversity indices are for cvt b, two separate studies have demonstrated that nucleotide diversities for sequence data from cyt b are between 5 and 7 times lower than nucleotide diversities obtained from sequence data of the control region (Bowen and Grant 1997; Grant and Bowen 1998; Bernardi et al. 2001). In the first of these studies, Bowen & Grant (1997) examined both cyt b and d-loop sequence data for the same temperate marine species of sardines (Sardinops) from 5 regions worldwide. Nucleotide diversities for d-loop were on average 4.3 times as high as those obtained from cyt b. In the second of these studies, Bernardi et al. (2001) reported an average rate that was 5.8 times as fast for d-loop than for cyt b in the damselfish D. trimaculatus. If nucleotide diversities obtained from cyt b sequences are "corrected" by a factor of between 5 and 7, it is possible to compare nucleotide diversities (π) between these markers. If such a "correction" is applied to the cyt b nucleotide diversities of the Acanthurus species of Rocha et al (2002), then the nucleotide diversity would range from 0.0-3.6%. Even these nucleotide diversity values for Acanthurus species are low compared to nucleotide diversities of Naso species in this study, which ranged from 4.2 to 15.1%. This is true, despite the fact that they are from the same family and display similar life history traits, such as extended dispersal abilities, relatively short generation times (compared to their longevity) and extended life spans, all of which increase genetic homogeneity by reducing genetic differentiation among populations. Acanthurus species are probably more recent than Naso species (Clements et al. 2003, phylogeny and timing of divergence this study), which may explain the observed reduced nucleotide diversity.

It appears that an allopatric mode of speciation operated for both species pairs (N. lituratus -N. elegans and N. tuberosus -N. tonganus) studied here, because sister taxa in each species pair occur in different ocean basins. Species have presumably diverged while geographically isolated. Even if they do co-occur, as is the case with N. lituratus -N. *elegans* in Cocos Keeling, probably due to range expansion, they are readily distinguishable as separate species based on colour patterns (Randall 2002). This distinctiveness of both species pairs was also supported by molecular phylogenetic analysis of the genus (chapter 3). They appear to be reproductively isolated, as they are genetically distinct in sympatry. The extreme conditions of the Miocene must have isolated ancestral lineages of the four examined Naso species for sufficient periods of time to achieve reproductive isolation in allopatry. The time since divergence of these two species pairs (N. *lituratus* -N. *elegans* \sim 5.2MY and N. *tuberosus* -N. *tonganus* \sim 6.6MY) suggests an unstable evolutionary history as was found for N. vlamingii. Tectonic events (continental drift) and subsequent geographic isolation at times of reduced sea level most likely drove the speciation.

This stands in strong contrast to the lack of speciation (even cryptic) observed for *N*. *vlamingii* (Chapter 6). Why is it then that the sister taxa *N*. *lituratus* – *N*. *elegans* speciated in allopatry (over "short" evolutionary time, approx. 6MY) but *N*. *vlamingii* did not over a much longer evolutionary period (approx. 18MY)?

Certain traits may have contributed to differences effecting the speciation process between N. *lituratus* – N. *elegans* and N. *vlamingii*. Despite the fact that all *Naso* species share an extensive dispersal capability as larvae, two traits, dietary and habitat use as adults may have contributed to the difference. *Naso vlamingii*, a generalist with a semi-pelagic habitat

use, is probably better equipped to utilise different habitats (e.g. deeper and offshore movements/migrations) and food sources (omnivore), therefore may also be better able to adjust/adapt to changing conditions compared to the sister taxa. Whereas the sister taxa, *N. lituratus – N. elegans*, are more restricted by their dietary habit (feeding exclusively on algae) and need to live and stay close to coral reefs. This restriction may also affect the ability of dispersive larvae to persist to maturity when settling to different habitats. Further sampling of individuals from e.g. the central Pacific Ocean may help to elucidate this point.

In summary, all species had weak genetic differentiation (high levels of gene flow) among populations across their distribution range and high diversity indices. This suggests that all species have had a lengthy, unstable evolutionary history with repeated (at low sea levels) cycles of isolation and lineage divergence, followed by re-mixing (secondary contact) at times of elevated sea levels.

This study confirms that putative sister species of *Naso* examined here are reproductively isolated (no gene flow), despite the extensive dispersal capabilities of their larvae. Even when they co-occur (*N. lituratus* and *N. elegans* in Cocos Keeling), they do not interbreed or hybridise. Given their allopatric distributions, it is likely that speciation occurred in allopatry at times of low sea level stand.