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1 ***Living in the dry zone: Stable isotope insights into palaeodiet in ancient Myanmar***

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32 ***Living in the dry zone: Stable isotope insights into palaeodiet in ancient Myanmar***

33

34 ***Key Words***

35 Myanmar; Southeast Asia; Isotope; Tooth Enamel; Carbon; Oxygen; Palaeodiet

36

37 ***Highlights***

- 38 • Oakaie and Nyaung'gan are prehistoric sites in Myanmar (ca. 1300-700BC).
- 39 • 1300-700BC is the transitional late Neolithic to the early Bronze Age period.
- 40 • Individuals have higher $\delta^{13}\text{C}$ values than those from Mainland Southeast Asian sites.
- 41 • Individuals have comparable $\delta^{13}\text{C}$ values to those from Chinese sites.
- 42 • $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ provide an insight into ancient subsistence in Myanmar.

43

44 ***Abstract***

45 Southeast Asia is becoming a region of increasing interest in discussions of past migration, the
46 origins of agriculture, and past impacts of human land-use change on environments. Myanmar,
47 situated at a geographic and cultural crossroads between East, South and Mainland Southeast
48 Asia, is potentially a critical region for exploring these themes. However, direct data relating
49 to subsistence in the region has been lacking. Here, we apply stable carbon and oxygen isotope
50 analysis to tooth enamel from humans and associated fauna to examine the subsistence
51 economy of two communities from Myanmar, Oakaie and Nyaung'gan, spanning the
52 transitional period from the late Neolithic to the early Bronze Age (ca. 1300-700BC). Situated
53 within the broader regional and local environmental context, our data demonstrate the $\delta^{13}\text{C}$
54 values of the individuals from the communities of Oakaie and Nyaung'gan are significantly
55 higher, and the $\delta^{18}\text{O}$ values are significantly lower, than individuals from the other sites in
56 Southeast Asia, however, neither are significantly different to the Chinese sites and they
57 overlap broadly with individuals from Mayutian in Southern Yunnan Province. These findings
58 provide a unique insight into the subsistence economy of the ancient inhabitants of the Central
59 Dry Zone of Myanmar.

60

61

62 **1. Introduction**

63 The prehistory of Mainland Southeast Asia is a complex and intriguing story of migration,
64 intermixture and adaptation. Bioarchaeological and linguistic evidence has long suggested that
65 Neolithic rice farmers migrated around 4,000 years ago from southern China into and
66 throughout Southeast Asia, integrating with, rather than replacing, regional hunter-gatherer
67 populations (Bellwood, 2005, Bellwood, et al., 2011, Chi and Hung, 2012, Oxenham, et al.,
68 2011). Genetic data support this hypothesis, providing clarity on the timings and waves of
69 dispersal (Lipson, et al., 2018, McColl, et al., 2018). The cultivation of wild cereals began in
70 central China around 7000-5000BC (Chi and Hung, 2012, Cohen, 2011, Stevens and Fuller,
71 2017). In the Yellow River basin the cereals were broomcorn (*Panicum miliaceum*) and foxtail
72 (*Setaria italica*) millets (Liu and Chen, 2012), while in the Yangtze River basin it was short
73 grained rice (*Oryza sativa japonica*) (Fuller, et al., 2009). Following domestication around
74 4000BC Neolithic communities with established rice and millet farming began to spread, and
75 there is increasing evidence that some communities favoured coupling the cultivation of both
76 crops (Stevens and Fuller, 2017). The presence of mixed rice and millet, both broomcorn and
77 foxtail, agriculture occurred in Yunnan from ca. 2600BC onwards (d'Alpoim Guedes, et al.,
78 2013, d'Alpoim Guedes, et al., 2020, Dal Martello, et al., 2018) with the dispersal of
79 predominantly rice, with some foxtail millet, economies occurring in Southeast Asia
80 potentially as early as ca. 2500-2000BC (Weber, et al., 2010) and becoming more common
81 after ca. 2000BC (Stevens and Fuller, 2017).

82

83 Early agricultural practices in Southeast Asia likely involved the cultivation of dry rice and
84 millet, which are less labour intensive but also produce lower yields (Fuller and Castillo, 2021).
85 The more labour intensive, but higher yielding, practice of wet rice farming developed later,
86 for example in Northeast Thailand with the transition to plough-based irrigated rice farming
87 (Castillo, et al., 2018, Wohlfarth, et al., 2016), along with the introduction of pulses from India
88 including mungbean, pigeon pea and cotton during the Iron Age (Castillo, et al., 2018, Castillo,
89 et al., 2016, d'Alpoim Guedes, et al., 2019). In comparison to the rich archaeological
90 knowledge of prehistory in Thailand and Vietnam, Myanmar remains enigmatic in our
91 understanding of the origin, adoption and intensification of agriculture due to a paucity of
92 appropriate archaeological and archaeobotanical data. Although the presence of rice, and both
93 broomcorn and foxtail millet, are documented linguistically (Bradley, 2011) it is not clear how
94 deep that antiquity is. Hope et al. (2019 p 18) clearly articulate the significant gap left by
95 Myanmar in archaeobotanical and palaeoenvironmental research, a sentiment echoed by others
96 (Silva, et al., 2018 p 1752). Archaeological research in Southeast Asia is becoming
97 increasingly focused on past migrations and the origin and transmission of agriculture.
98 Myanmar situated between East, South and Southeast Asia, was likely influenced by
99 communities and cultural practices from surrounding regions but little is known about the
100 timing and dispersal of agricultural crops into the area. This is pivotal to better understanding
101 the biosocial context of the communities of prehistoric Myanmar as agriculture played an
102 important role in shaping the dynamic relationship within and between prehistoric communities
103 and their ecological and technological environment.

104

105 While archaeobotanical and zooarchaeological analyses can provide unparalleled detail into
106 the plants and animals managed and consumed by past human societies (Amano, et al., 2013,
107 Castillo, et al., 2016, Piper, et al., 2014), they are often reliant on preserved snapshots of
108 particular meals or waste accumulation. By contrast, stable isotope analysis of human and
109 animal tissues has been regularly utilised in archaeology to provide longer term insights into
110 dietary reliance on specific food groups (King, et al., 2014, King, et al., 2013, Rowley-Conwy,
111 et al., 2012). Stable carbon isotope measurements ($\delta^{13}\text{C}$), in particular, have the potential to

112 assist in understanding which crops were staples, therefore addressing an important gap in our
113 knowledge on the origin and transmission of agriculture in the region. Importantly, $\delta^{13}\text{C}$
114 measurements can easily distinguish the use of C₄ crops such as millet from the use of C₃ crops
115 such as rice, and has been used to explore the arrival of agriculture in different parts of
116 Southeast Asia (Krigbaum, 2003, Krigbaum, 2005). This approach has been a research focus
117 among researchers in Mainland Southeast Asia (Bentley, et al., 2009, Bentley, et al., 2005,
118 Bentley, et al., 2007, Cox, et al., 2011, King, et al., 2014, King, et al., 2013, King, et al., 2015,
119 Liu, 2018) and China (Lanehart, et al., 2011, Tian, et al., 2008, Zhang, et al., 2014), leading to
120 the development of a solid conceptual and data-rich framework to examine subsistence
121 economies in the region, and a wealth of comparative studies with which to contextualise data
122 from Myanmar. Nevertheless, analyses from the latter region have remained absent thus far,
123 hindering insights into broad dietary changes across major economic and social thresholds.

124

125 Recently, research has been undertaken at two sites in Myanmar as a part of the
126 multidisciplinary research project *Mission Archéologique Française au Myanmar - MAFM*
127 (Pryce, et al., 2018b). The sites excavated include two Late Neolithic/Early Bronze Age (ca.
128 1300-700BC) cemetery sites, Oakaie and Nyaung'gan, situated in the Central Dry Zone (CDZ)
129 of Myanmar. Characterised as a savannah like environment, the CDZ is prone to extreme
130 weather events, including high temperatures in the monsoon season, and serious droughts and
131 water shortages in the dry season. The nature of this semiarid environment contrasts with the
132 rest of Southeast Asia, which is mainly humid (Matsuda, 2013). Here, we apply stable isotope
133 analysis to teeth from both domesticated fauna and humans to examine palaeodiet in prehistoric
134 Myanmar. Specifically, we use $\delta^{13}\text{C}$ to assess whether there is evidence for consumption of
135 either millet or rice, and whether, in the absence of any archaeobotanical data, it can be used
136 as a proxy to understand the subsistence economies of individuals from the ancient
137 communities of Oakaie and Nyaung'gan. Finally, we examine whether the environmental
138 context influenced the subsistence economy of individuals buried at these sites, and whether it
139 differed from neighbouring Mainland Southeast Asia and Yunnan.

140

141 ***1.1 The Geographical and Archaeological context of Myanmar and the Central Dry Zone*** 142 ***(CDZ)***

143 Geographically, Myanmar is a long, narrow country about 2050km north-south and 930km
144 west-east. The geopolitical borders are landlocked between East Asia, Southeast Asia and
145 South Asia with the remaining south-southwest coastal area bounded by the Indian Ocean. This
146 study focuses on the Oakaie/Nyaung'gan complex, a significant archaeological landscape
147 excavated by Myanmarese in 1998 and 1999, and more recently *Mission Archéologique*
148 *Française au Myanmar* (MAFM), that has provided insights into a previously unexplored area
149 of Myanmar and a unique opportunity to examine the ancient subsistence practices between
150 1300-700BC, locally, and compare them regionally. It is situated 18km east of the Chindwin
151 River in Budalin Township, near Monywa in the Sagaing Division, Myanmar (Figure 1). The
152 area comprises a SW-NE alignment of Late Cenozoic volcanic craters comprised of shoshonitic
153 basalt (Lee, et al., 2016, Maury, et al., 2004). Located towards the northern edge of the CDZ,
154 at the intersection between the 'arid, steppe, hot' (BSh) and 'tropical, savannah' (Aw) Köppen-
155 Geiger climate classification zones (Beck, et al., 2018), the climate ranges from semiarid to
156 semihumid. The area has an annual rainfall of between 500-1000mm, but the Monywa region
157 itself is semiarid (Matsuda, 2013). Surrounded by mountain ranges to the north, east and west,
158 and the Irrawaddy delta ca. 670km to the south the area is relatively low lying (Herridge, et al.,
159 2019).



160
 161 Figure 1. Location of Oakaie and Nyaung'gan in Myanmar, and other key sites in Mainland
 162 Southeast Asia and China, where the EASM and ISM systems overlap. Scale 500km. Circles
 163 are illustrative and not to scale. Map data: Google 2022. Image Landsat/Copernicus Data SIO,
 164 NOAA, U.S Navy, NGA, GEBCO. US Department of State Geographer.

165
 166 The climate of Myanmar is complex due to its variable geography and topography, and the
 167 influence of overlapping monsoon systems (D'Arrigo and Ummenhofer, 2015, Xu, et al., 2012).
 168 Unfortunately, no specific regional or local palaeoclimatic reconstructions have been produced,
 169 and, due to the paucity of data, analogies must be drawn from proxies. There is evidence for

170 climatic variability during the Holocene, both spatially and temporally, within the intersection
171 zone, where the East Asian summer monsoon (EASM) and Indian summer monsoon (ISM)
172 systems overlap, and between the intersection zone and the EASM and the ISM zones, east and
173 west respectively. There is also variability in the specific timings of the late Holocene monsoon
174 amelioration (Hamilton, et al., 2019). Despite this, there is a broad consensus among
175 researchers for a weakening of the Indian Summer Monsoon after ca. 4000BC, which is
176 consistent with the regional model based on palynology (Hope, et al., 2019) and sedimentology,
177 mineralogy, and geochemistry (Hamilton, et al., 2019, Sebastian, et al., 2019). This
178 palaeoclimatic change has important implications for understanding the past environment
179 within which people lived, and for interpreting subsistence economies, including the
180 transmission and adoption of agriculture (Hope, et al., 2019, Silva, et al., 2018).

181
182 The Oakaie and Nyaung'gan cemeteries are situated 3km apart and have slight intra-site and
183 inter-site differences in burial orientation and grave goods. At both sites the graves were
184 aligned in several rows and oriented either N-S or NNW-SSE, with most burials containing a
185 single individual in a supine extended position, however, several were multiple interments.
186 Mortuary artefacts associated with burials varied in their number and frequency but the most
187 common were pottery vessels. Personal ornaments including stone and shell beads, polished
188 stone and bone bracelets, and utilitarian items including a spindle whorl and bronze artefacts
189 were also found. Finally, faunal remains including bivalve shells, incomplete remains of a turtle
190 carapace, scant bovine remains, and two complete dogs were intentionally interred with
191 different individuals. Despite the systematic sediment flotation protocols, where sediment from
192 every context was floated, no datable charcoal was recovered from either cemetery. The
193 interpretation of potential diachronic phasing was based on the spatial organisation of the
194 graves, their chrono-stratigraphic relationship, and variability in mortuary practices and
195 associated artefacts (Pradier, et al., 2019) guided by the technostylistic pottery seriation
196 (Favereau, et al., 2018). In turn, this chronology is anchored on the radiocarbon chronology
197 established at the settlement/industrial sites (Pryce, et al., 2018b).

198
199 Currently, the only information about the subsistence economy at Oakaie and Nyaung'gan is
200 derived from archaeozoological evidence. Faunal remains were recovered from all the
201 excavation areas and preliminary archaeozoological data indicate the inhabitants were
202 engaging in the management of pigs (*Sus scrofa*), dogs (*Canis familiaris*), cattle (*Bos tarus*)
203 and water buffalo (*Bubalus bubalus*) and collecting local flora and fauna, including fish, the
204 remains of which were very few, likely because they were fed to the pigs and dogs. Based on
205 their size and age profiling, the pigs and dogs were domestic. The present-day agricultural
206 economy in the region focuses on a narrow range of crops, the majority of which are pulse and
207 oilseed legumes, and sesame and sunflower (*Helianthus annuus*) (Matsuda, 2013). In the region
208 of Monywa itself, the most common crops grown are pigeon peas (*Cajanus cajan*) and sesame
209 (*Sesamum indicum*) followed by other pulses including butter beans (*Phaseolus lunata*), green
210 grams (*Vigna radiata*), chickpeas (*Cicer arietinum*), and lablab beans (*Lablab purpurea*).
211 Sorghum (*Sorghum bicolor*) is also grown, the leaves, stems and seeds of which are used as
212 animal fodder (Matsuda, 2013). Although rice is a staple among modern communities in
213 Monywa, it is normally purchased, as the CDZ is not suitable for growing rice and the crop
214 cannot be successfully cultivated, except in some areas of the lowland (Herridge, et al., 2019,
215 Matsuda, 2013, Ministry of Agriculture, 2016). Nothing is known about prehistoric agricultural
216 practices in Myanmar, including the CDZ in which our sites are located, and no
217 archaeobotanical remains were recovered from either Oakaie or Nyaung'gan despite extensive
218 flotation. Examining palaeodiet, using stable isotope analysis, provides the opportunity to

219 investigate whether there was a focus on one staple crop, for example millet or rice, and how
220 this compares to other sites in Mainland Southeast Asia and China.

221

222 **1.2 Stable isotope background**

223 Isotope analysis has become a fundamental tool for archaeologists and bioarchaeologists
224 seeking to understand the lives and lifestyles of prehistoric individuals (Larsen, 2015).
225 Significantly, stable isotope analysis of human tissues, animal remains, and plant remains has
226 been increasingly used to explore questions relating to changes in diet, domesticate
227 management, and environment linked to transitions in agriculture and settlement in different
228 parts of the world (Styring, et al., 2019, Vaiglova, et al., 2020). In the context of human and
229 animal remains, the methodology works on the principle of ‘you are what you eat’, whereby
230 isotopic variation in food sources can be tracked into the tissues of their consumers (DeNiro
231 and Epstein, 1976). In general, such work has focused on stable carbon and nitrogen isotope
232 analysis of bone collagen, which offers insights into food sources and trophic level (DeNiro
233 and Epstein, 1976). However, in tropical environments, bone collagen is often poorly
234 preserved. As a consequence, in Southeast Asia, many bioarchaeologists have turned to the
235 isotopic analysis of human and animal tooth enamel, a material that is more resistant to
236 diagenetic change and has been shown to preserve *in vivo* isotopic variation across millions of
237 years (Krigbaum, 2003, Krigbaum, 2005, Roberts, et al., 2020).

238

239 Stable carbon isotope ($\delta^{13}\text{C}$) variability in tropical terrestrial ecosystems is primarily driven by
240 differences in photosynthetic pathway between C_3 plants, which dominate forest settings and
241 include crops such as rice, and C_4 plants which are found more frequently in open grassland
242 habitats and include crops such as millet (Katzenberg, 2008, Krigbaum, 2003, Krigbaum,
243 2005). These plants have distinct and non-overlapping $\delta^{13}\text{C}$ values that are passed into the
244 tissues of their consumers with an approximate shift of 1‰ per trophic level (Schwarcz and
245 Schoeninger, 2011). Environmental and climatic variation can lead to variation in $\delta^{13}\text{C}$ and
246 variations in the local ecological baseline, particularly among C_3 plants, as a result of the
247 ‘canopy effect’ (van der Merwe and Medina, 1991), temperature, and aridity impacts. This
248 makes the development of a ‘baseline’ of associated animal values important for interpreting
249 human dietary information from $\delta^{13}\text{C}$. Here, we interpret values between -14 and -12‰ as
250 100% C_3 and values between 0 and 2‰ as 100% C_4 (Lee-Thorp, et al., 1989, Levin, et al.,
251 2008, Roberts, et al., 2020, Tykot, 2006, Tykot, et al., 2009). Future developments in local
252 baseline studies should help to refine this further for Myanmar. Unlike bone collagen $\delta^{13}\text{C}$,
253 which is heavily influenced by the protein components of the diet, tooth enamel $\delta^{13}\text{C}$ reflects
254 whole diet inputs (Ambrose and Norr, 1993). Tooth enamel $\delta^{13}\text{C}$ will record the diet of an
255 individual spanning the period over which that tooth formed. For example, for human M3s this
256 is approximately 8.5-13.5 years of age (AlQahtani, et al., 2010). Stable oxygen isotope ($\delta^{18}\text{O}$)
257 analysis can provide further insights into the water imbibed by an individual during tooth
258 formation. This approach has frequently been used to track the origins of individuals in a given
259 region, though interpretation has been found to be complex in a Mainland Southeast Asian
260 setting (King, et al., 2015).

261

262 **2. Materials and Methods**

263

264 **2.1 Sites**

265 The Franco-Myanmar mission excavated seven areas within the Oakaie/Nyaung’gan complex
266 over three field seasons between 2014-2016, described in Pryce et al. (2018b). Four were
267 located at Oakaie (OAI 1-4) and three at Nyaung’gan (NYG 1-3). The four focal areas at Oakaie
268 included intentional occupation and burial areas, and provided evidence for settlement and

269 habitation, domestic and industrial activity, and mortuary activity. Oakaie 1 (OAI 1) was a
270 designated cemetery area, Oakaie 2 (OAI 2) was a residential area with underfloor infant jar
271 burials, Oakaie 3 (OAI 3) and 4 (OAI 4) were both settlement areas representing domestic and
272 industrial activities. The three areas at Nyaung'gan (NYG 1-3) were all part of another
273 designated cemetery area near to an onsite museum, which had been constructed over the
274 burials previously excavated in 1998-1999, and left *in situ* (Moore and Pauk, 2001, Tayles, et
275 al., 2001). During the excavation of Oakaie 1, fifty-five graves containing fifty-seven
276 individuals covered with a brown sandy-loam sediment were clearly visible against the
277 volcanic tuff matrix into which they were dug (Pradier, et al., 2019). In contrast to Oakaie, only
278 six burials containing seven individuals were found during the 2016 excavation at Nyaung'gan
279 and they were harder to identify against the surrounding friable ashy matrices.

280
281 Oakaie is represented by three phases. Phase 1 represents the earliest group and includes burials
282 with pottery vessels from ceramic ensembles 1 and 2 and Phase 2 includes burials with ceramic
283 ensembles 3 and 4. Pottery-bearing burials 2, 3b, 4 and 7 from Nyaung'gan are consistent with
284 the ceramic ensemble 3 from Phase 2 at Oakaie, however, they contain distinctive miniature
285 pottery vessels not found at Oakaie. Phase 3 includes the single burial at Oakaie (OAI1S15)
286 with a bronze artefact and ceramic ensemble 5, which is technostylistically consistent with the
287 pottery vessels interred with Burial 6 (NYGB6), some of the individuals from the 1998-1999
288 excavation at Nyaung'gan, and with the ceramic vessels recovered from Oakaie 2 and 3. Phase
289 3 represents the only clear link between the Oakaie and Nyaung'gan cemeteries. As a whole,
290 this suggests some burials found at Nyaung'gan are perhaps contemporaneous with the Phase
291 2 burials from Oakaie, and others are strongly associated with Phase 3. Unfortunately, due to
292 the lack of appropriate dating materials within the cemeteries it was not possible to provide any
293 further temporal resolution to the transitions or phasing observed in burial orientation and
294 mortuary grave goods, however, the use of these cemeteries across multiple generations, as the
295 region transitioned from the Late Neolithic to the early Bronze Age, is highly consistent with
296 the broader observations of the complex (Pryce, et al., 2018b) and existing knowledge of
297 regional exchange networks (Pryce, et al., 2018a).

298 299 **2.2 Samples**

300 The individuals from Oakaie and Nyaung'gan were documented and described following
301 standardised bioarchaeological data collection protocols (Buikstra and Ubelaker, 1994). Age
302 estimation for subadults (<1-14 years) was based on tooth formation and eruption (AlQahtani,
303 et al., 2010, Ubelaker, 1989), epiphyseal fusion, and long bone length (Scheuer and Black,
304 2000). Adults were placed within groups 15-19, young, middle, and old based on
305 morphological assessment of the pubic symphysis and auricular surface of the pelvis (Berg,
306 2008, Brooks and Suchey, 1990, Buckberry and Chamberlain, 2002, Lovejoy, et al., 1985) and
307 tooth wear (Scott, 1979). Sex estimation for adults was based on pelvic morphology, cranial
308 morphology and metric measurements (Albanese, 2003, Brůžek, 2002, Phenice, 1969, Walrath,
309 et al., 2004). Third molars with a matching antimeric tooth were preferentially sampled to
310 provide the most accurate proxy for adult diet. Where this was not possible due to oral
311 pathology or the young age of the individual, the second molars or first molars were sampled.
312 Eighteen individuals met the sampling criteria, 14 from Oakaie and four from Nyaung'gan
313 (Table S1). Permission to undertake the research, and appropriate permits to export samples
314 were approved each field season by the (then) Ministry of Culture. Ethics approval for research
315 involving human subjects was granted (H8312) by a University Human Research Ethics
316 Committee (HREC).

317

318 Faunal remains from the cemeteries at Oakaie 1 and Nyaung'gan were scarce as they were
319 deliberate burial areas with no evidence for habitation. The exception were animals deliberately
320 included within the mortuary context of a given burial. The majority of faunal remains came
321 from Oakaie 2, 3 and 4. Identification was undertaken with the aid of digital database images
322 and modern comparative reference collections housed in the College of Asia and the Pacific,
323 Australian National University (ANU), along with manuals by Miller et al. (1964), Pales and
324 Garcia (1981), Hillson (1986), and White and Folkens (2005). To complement the human data,
325 second or first molars were sampled from 39 bovines, 19 pigs, and one dog from Oakaie 2, 3,
326 4 and Nyaung'gan (Table S2). These contexts provide a reasonable number of specimens from
327 each species to interpret subsistence. Fauna from Oakaie 3 were sampled using a strategy that
328 captured the entire chronological sequence of the greater area, and ensured secure stratigraphic
329 control (Table S3). The results from these fauna can therefore be used to assess possible
330 temporal changes to animal foddering/foraging strategy ($\delta^{13}\text{C}$) and water availability/usage
331 ($\delta^{18}\text{O}$).

332

333 To contextualise the human diet, at Oakaie and Nyaung'gan, more broadly, published data from
334 the Southeast Asian sites of Khok Phanom Di (Bentley, et al., 2007), Ban Chiang (Bentley, et
335 al., 2005), Noen U-Loke (Cox, et al., 2011), Ban Lum Khao (Bentley, et al., 2009), Ban Non
336 Wat (King, et al., 2015) and Promtin Thai (Liu, 2018), and the Chinese sites of Zhongba (Tian,
337 et al., 2008), Liangchengzhen (Lanehart, et al., 2011) and Mayutian (Zhang, et al., 2014) were
338 used for comparative purposes. Data from subadults, adolescents and adults with permanent
339 dentition were included for consideration. Subadults with deciduous dentition were excluded
340 from consideration, and outliers were identified and omitted before statistical analysis.

341 **2.3 Stable isotope analysis**

342 All carbon and oxygen isotope analysis was conducted at the Max Planck Institute for the
343 Science of Human History (now the Max Planck Institute of Geoanthropology), Jena according
344 to established protocols (Ventresca Miller, et al., 2018). 10-20mg enamel chips were powdered
345 using an agate pestle and mortar, pretreated with 1% NaClO then rinsed with MilliQ H₂O.
346 Secondary carbonate contaminants were removed using 0.1M acetic acid for 10 minutes
347 following by three rinses with MilliQ H₂O. Samples were freeze dried prior to being weighed
348 into borosilicate glass vials for analysis and sealed with rubber septa. These vials were
349 flush/filled with helium, and CO₂ was liberated from the samples following reaction with 100%
350 phosphoric acid. Isotopic analysis of the liberated CO₂ was conducted using a Thermo Gas
351 Bench 2 connected to a Thermo Delta V Advantage Mass Spectrometer.

352

353 $\delta^{18}\text{O}$ values were calibrated against International Standards IAEA-603 ($\delta^{18}\text{O} = -2.4$) and IAEA-
354 CO-8 ($\delta^{18}\text{O} = -22.7$) using a two-point calibration method, while $\delta^{13}\text{C}$ values were calibrated
355 against International Standards IAEA-603 ($\delta^{13}\text{C} = 2.5$), IAEA-CO-8 ($\delta^{13}\text{C} = -5.8$) and USGS44
356 ($\delta^{13}\text{C} = -42.2$) using a three-point calibration methodology. Replicate analysis of in-house
357 MERCK standards suggests long-term machine measurement error is $\pm 0.1\text{‰}$ for $\delta^{13}\text{C}$, and \pm
358 0.2‰ for $\delta^{18}\text{O}$. Overall measurement precision was determined by analysing repeat extracts
359 from an in-house bovid tooth enamel standard prepared alongside the samples to determine the
360 impacts of pre-treatment ($n = 20$, $\pm 0.3\text{‰}$ for $\delta^{18}\text{O}$, $\pm 0.2\text{‰}$ for $\delta^{13}\text{C}$). Duplicate isotopic
361 analysis of samples suggests the technical error measurement (TEM) (Perini, et al., 2005) is
362 0.2‰ for $\delta^{13}\text{C}$ and 0.3‰ for $\delta^{18}\text{O}$. All $\delta^{18}\text{O}$ values here are reported relative to Standard Mean
363 Ocean Water (SMOW) (Kim, et al., 2015) (Table S1 and 2).

364

365 Independent sample t-tests were used to test intra-sample differences of the mean between two
366 independent groups (unless stated otherwise). If the data were not normally distributed a Mann-

367 Whitney U test was used. Results are presented with equal variance assumed unless
368 homogeneity of variance was violated then the results are presented with equal variance not
369 assumed. One way analysis of variance (ANOVA) was used to test inter-sample differences of
370 the mean among more than two independent groups (unless stated otherwise). Tukeys post-hoc
371 tests (unless stated otherwise) were used where analysis of variance showed statistical
372 significance. An alpha level of .05 was used for all statistical tests. If the data were not normally
373 distributed a Kruskal-Wallis H test was used. If homogeneity of variance was violated a Welch
374 ANOVA and Games Howell post-hoc test was undertaken.

375

376 **3. Results**

377

378 **3.1 Human stable carbon and oxygen isotope data**

379 The $\delta^{13}\text{C}$ values derived from the tooth enamel of human individuals from Nyaung'gan and
380 Oakaie were relatively similar, ranging from -8.6‰ to -4.9‰ with a mean of -7.1‰, and no
381 statistical outliers (Table 1). The variation in $\delta^{13}\text{C}$ data at Nyaung'gan (-7.7‰ to -5.9‰) was
382 lower than at Oakaie (-8.6‰ to -4.9‰). The mean $\delta^{13}\text{C}$ value at Nyaung'gan (-6.8‰) was
383 consistent with that of Oakaie (-7.2‰), and no statistical significance between the sites was
384 observed ($t(16)=-0.610, p=0.550$) (Table 2). The $\delta^{18}\text{O}$ values of the human individuals analysed
385 from Nyaung'gan and Oakaie had a small range of variation (22.6‰ to 25.1‰), with a mean
386 of 23.7‰ (Table 1). When examining the range of variation by site, as with the $\delta^{13}\text{C}$ data, the
387 range in $\delta^{18}\text{O}$ values at Nyaung'gan (23.3‰ to 24.9‰) fell within the range observed at Oakaie
388 (22.6‰ to 25.1‰). The mean oxygen value at Nyaung'gan (24.0‰) was highly consistent with
389 that observed for Oakaie (23.7‰), with no statistical difference between the sites ($t(16)=-.700,$
390 $p=0.494$) (Table 1 and Table 2).

391

392 The range of variation in $\delta^{13}\text{C}$ values observed in males from both Nyaung'gan and Oakaie (-
393 7.9‰ to -4.9‰) showed no differences when compared to the females (-8.6‰ to -6.4‰) and
394 individuals of indeterminate sex (-7.7‰ to -5.4‰), with no significant differences ($F(2,15) =$
395 $2.424 p=0.122$) observed between the mean values of those three groups respectively (-6.8‰,
396 -7.7‰ and -6.6‰) (Table 2) or between just males and females ($t(12)=1.827, p=0.093$). The
397 range among the $\delta^{18}\text{O}$ data observed in males from both Nyaung'gan and Oakaie (22.9‰ to
398 24.3‰) was consistent with that for females (22.6‰ to 25.1‰) and individuals of
399 indeterminate sex (23.3‰ to 24.9‰), with no significant difference ($F(2,15) = 1.009 p= 0.388$)
400 among the means of those groups (23.5‰, 23.7‰ and 24.2‰ respectively) (Table 2) or
401 between just the males and females ($t(12)=-.327, p=0.750$). When examining the range of
402 variation in $\delta^{13}\text{C}$ values among males (-7.9‰ and -4.9‰), females (-8.6‰ and -6.4‰) and
403 indeterminate individuals (7.7‰ to -5.4‰) (Table 1 and Figure 2) there were no significant
404 intra-site differences among the mean values of those three groups respectively (-6.5‰, -7.8‰
405 and -6.8‰) ($F(2,11) = 2.802, p=0.103$) (Table 2). Similarly there were no intra-site differences
406 in the range of $\delta^{18}\text{O}$ values among males (22.9‰ to 23.8‰), females (22.6‰ to 25.1‰) or
407 individuals of indeterminate sex (23.3‰ to 24.4‰) (Table 1 and Figure 3), and no significant
408 differences ($F(2,11) = 0.471, p=0.636$) among the mean values of those three groups
409 respectively (23.4‰, 23.7‰ and -23.9‰) (Table 2). Unfortunately, the sample size at
410 Nyaung'gan was too small to undertake meaningful statistical testing of isotopic differences.

411

412 Table 1. Carbon and oxygen isotope results for the humans from Oakaie and Nyaung'gan

	Oakaie				Nyaung'gan				Total			
	Males	Females	Indeterminate	Total	Males	Females	Indeterminate	Total	Males	Females	Indeterminate	Total
$\delta^{13}\text{C}$ (‰ VPDB)												
N	4	7	3	14	2	1	1	4	6	8	4	18
Mean	-6.5	-7.8	-6.8	-7.2	-7.4	-6.7	-5.9	-6.8	-6.8	-7.7	-6.6	-7.1
Minimum	-7.9	-8.6	-7.7	-8.6	-7.7	-	-	-7.7	-7.9	-8.6	-7.7	-8.6
Maximum	-4.9	-6.4	-5.4	-4.9	-7.1	-	-	-5.9	-4.9	-6.4	-5.4	-4.9
Range	2.9	2.2	2.3	3.6	0.6	-	-	1.8	2.9	2.2	2.3	3.6
SD	1.3	0.7	1.2	1.1	0.4	-	-	0.8	1.1	0.8	1.1	1.0
$\delta^{18}\text{O}$ (‰ VSMOW)												
N	4	7	3	14	2	1	1	4	6	8	4	18
Mean	23.4	23.7	23.9	23.7	23.8	23.3	24.9	24.0	23.5	23.7	24.2	23.7
Minimum	22.9	22.6	23.3	22.6	23.3	-	-	23.3	22.9	22.6	23.3	22.6
Maximum	23.8	25.1	24.4	25.1	24.3	-	-	24.9	24.3	25.1	24.9	25.1
Range	0.9	2.5	1.1	2.5	1.0	-	-	1.7	1.5	2.5	1.6	2.5
SD	0.4	1.0	0.6	0.8	0.7	-	-	0.8	0.5	0.9	0.7	0.8

413
414

415 Table 2. Summary statistics for carbon and oxygen isotope results for the humans from Oakaie and Nyaung'gan

	Oakaie				Oakaie and Nyaung'gan			Oakaie and Nyaung'gan			
	Male	Female	Indeterminate		Male	Female	Indeterminate	Oakaie	Nyaung'gan		
$\delta^{13}\text{C}$ (‰ VPDB)				<i>p</i>							
	Mean				Mean			Mean			
	-6.5	-7.8	-6.8	0.103*	-6.8	-7.7	-6.6	0.122*	-7.2	-6.8	0.550**
$\delta^{18}\text{O}$ (‰ VSMOW)											
	23.4	23.7	23.9	0.636*	23.5	23.7	24.2	0.388*	23.7	24.0	0.494**

*One-Way ANOVA

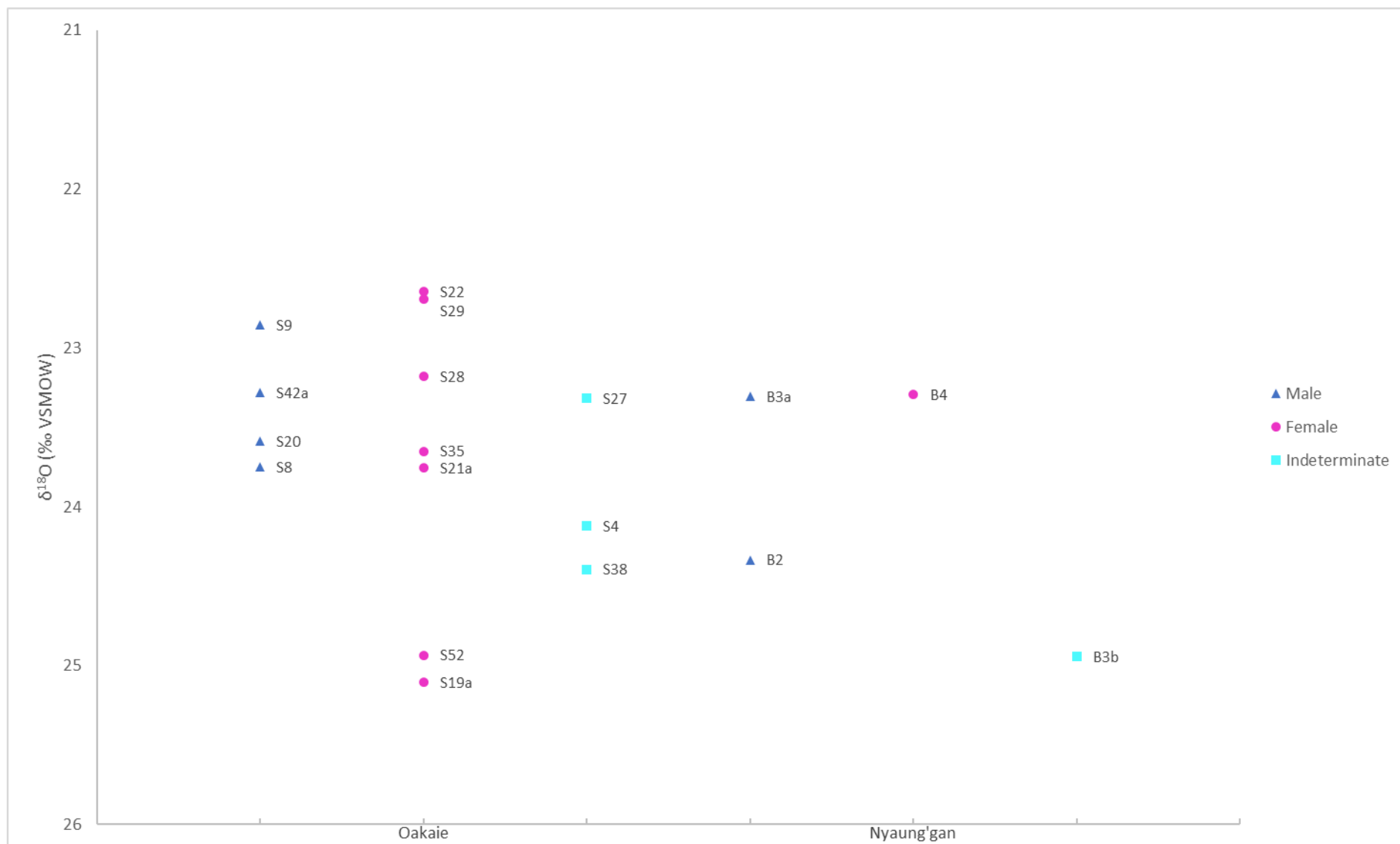
**t-test

416



417
418

Figure 2. Stable carbon isotope results for the humans by sex from Oakaie and Nyaung'gan



419
420

Figure 3. Stable oxygen isotope results for the humans by sex from Oakaie and Nyaung'gan

421 **3.2 Faunal stable carbon and oxygen isotope data**

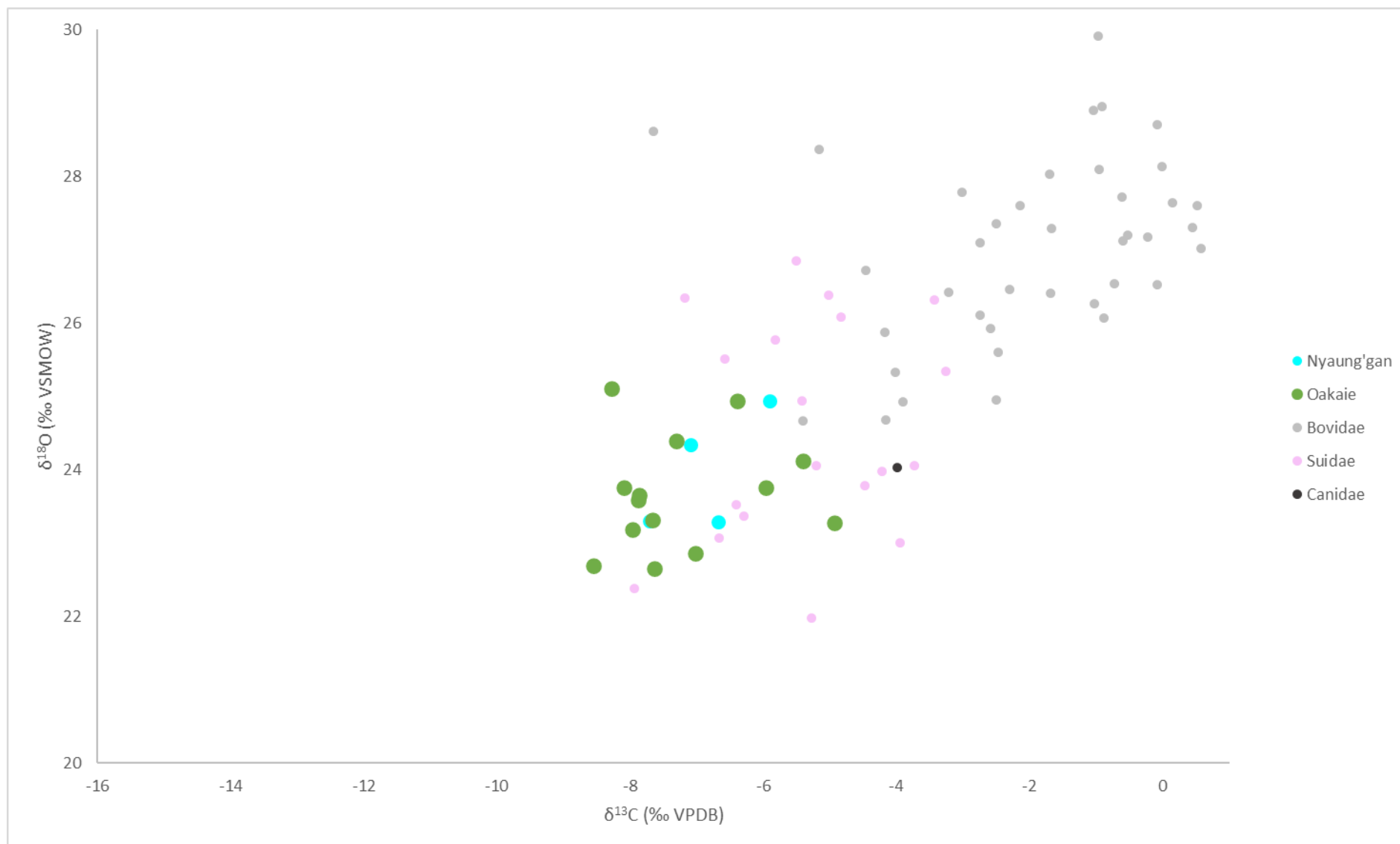
422 Figure 4 shows the faunal isotope values alongside the humans from all sites. The range of
423 $\delta^{13}\text{C}$ values derived from the tooth enamel of bovines from Nyaung'gan and Oakaie was wider
424 than that of humans (-7.7‰ to 0.6‰) (Table 3) with a statistically significantly higher mean
425 value (-2.0‰, $t(49.419)=14.597$, $p<0.001$) (Table 4), excluding one bovine from Nyaung'gan
426 which was a statistical outlier, with the lowest $\delta^{13}\text{C}$ value of -7.7‰. When examining the
427 variation in $\delta^{13}\text{C}$ values of bovines between sites, the results of a Kruskal-Wallis test
428 demonstrated there was a statistically significant difference $H(3) = 8.751$, $p = 0.033$. A pairwise
429 comparison indicated the $\delta^{13}\text{C}$ data from the Nyaung'gan Bovinae (-5.0‰) were statistically
430 significantly different to the sites of Oakaie 2 (-1.7‰ $p = 0.017$), Oakaie 3 (-1.7‰ $p = 0.008$)
431 and Oakaie 4 (-0.3‰ $p = 0.013$) (Table 4).

432
433 Faunal samples from Oakaie 3 were used in order to examine changes over time, as this was
434 the only site represented by a reasonable number of faunal samples throughout the sequence
435 (Table S3) and provided the opportunity to identify any differences between the layers
436 representing the Neolithic and Bronze Age. This chronological analysis was not possible at the
437 Oakaie and Nyaung'gan cemeteries due to the absence of appropriate material for radiogenic
438 dating. The results of a Mann-Whitney U test demonstrated there were no significant
439 differences in the $\delta^{13}\text{C}$ values of the Bovinae between the Neolithic and Bronze Age layers at
440 Oakaie 3 (Table 4). The range of variation in Bovinae $\delta^{18}\text{O}$ values from Nyaung'gan and
441 Oakaie was wider, 24.7‰ to 29.9‰, with a significantly higher mean value, (27.0‰
442 $t(50.625)=12.149$, $p<0.001$), than the humans (Table 3 and Table 4). There were no
443 differences in the $\delta^{18}\text{O}$ values of Bovinae between the sites (Table 4). The mean $\delta^{18}\text{O}$ value of
444 the Bovinae from the Neolithic layers was significantly lower 25.9‰ than those from the
445 Bronze Age layers 27.2‰ ($t(19)=-2.882$, $p = 0.010$) (Table S2 and Table 4).

446
447 Suidae data were limited, however, there were no significant differences in suid $\delta^{13}\text{C}$ results
448 between Oakaie 3 and 4, and they were similar to those of humans with a range from -7.9‰ to
449 -3.3‰ (Table 3 and Table 5). However, the mean carbon isotope value (-5.3‰) of the Suidae
450 was statistically higher ($t(35)=-4.638$, $p<0.001$) than that of the humans. The range of $\delta^{18}\text{O}$
451 values of Suidae from Oakaie 3 and 4 was wider than that of humans ranging from (22.0‰ –
452 26.8‰) with a statistically significantly higher mean value of 24.6‰ ($t(27.059)=-2.152$,
453 $p=0.041$) (Table 3 and Table 5). There were no differences in the $\delta^{18}\text{O}$ values of Suidae between
454 the sites. There was only one canid sample from Oakaie 4 with a $\delta^{13}\text{C}$ value of -4.0‰ which
455 falls outside the range of human values but is within the range of Suidae and Bovinae. This
456 canid's $\delta^{18}\text{O}$ value (24.0‰) falls within the range observed for humans and Suidae, but is
457 outside that of Bovinae (Table 3).

458
459 When examining the $\delta^{13}\text{C}$ data among the humans, the Bovinae and the Suidae, the results of
460 a Welch ANOVA demonstrate a statistically significant difference ($F(2,42.269) = 106.394$, p
461 $=<0.001$) (Table 5). A Games-Howell post-hoc test indicated the $\delta^{13}\text{C}$ values of the humans (-
462 7.2‰) were significantly different to the Bovinae (-2.0‰ $p<0.001$), and the Suidae (-5.3‰
463 $p<0.001$), and they were significantly different to one another ($p<0.001$). When examining
464 the $\delta^{18}\text{O}$ data among the humans, the Bovinae, and the Suidae, the results of a Welch ANOVA
465 indicated there was a statistically significant difference ($F(2,39.465) = 73.970$, $p = <0.001$)
466 (Table 5). A Games-Howell post-hoc test demonstrated that the $\delta^{18}\text{O}$ values of the humans
467 (23.7‰) were significantly different to Bovinae (27.0‰ $p<0.001$) and the Bovinae were
468 significantly different to the Suidae (24.6‰ $p<0.001$).

469



470
471

Figure 4. $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ isotope values for humans and fauna from Oakaie and Nyaung'gan

472 Table 3. Carbon and oxygen isotope results for the fauna from Oakaie and Nyaung'gan

	Oakaie 2			Oakaie 3			Oakaie 4			Nyaung'gan			All		
	Bovinae	Suidae	Canidae	Bovinae	Suidae	Canidae	Bovinae	Suidae	Canidae	Bovinae	Suidae	Canidae	Bovinae	Suidae	Canidae
$\delta^{13}\text{C}$ (‰ VPDB)															
N	12	-	-	21	14	-	2	5	1	4	-	-	39	19	1
Mean	-1.7	-	-	-1.7	-5.4	-	-0.3	-5.2	-4.0	-5.0	-	-	-2.0	-5.3	-4.0
Minimum	-4.5	-	-	-5.4	-7.2	-	-1.0	-7.9	-	-7.7	-	-	-7.7	-7.9	-
Maximum	0.6	-	-	0.5	-3.7	-	0.4	-3.3	-	-3.9	-	-	0.6	-3.3	-
Range	5.0	-	-	5.9	3.5	-	1.5	4.7	-	3.7	-	-	8.2	4.7	-
SD	1.4	-	-	1.7	1.1	-	1.1	2.0	-	1.8	-	-	1.9	1.3	-
$\delta^{18}\text{O}$ (‰ VSMOW)															
N	12	-	-	21	14	-	2	5	1	4	-	-	39	19	1
Mean	27.5	-	-	26.8	24.5	-	28.1	24.8	24.0	26.0	-	-	27.0	24.6	24.0
Minimum	26.4	-	-	24.7	22.0	-	27.3	22.4	-	24.7	-	-	24.7	22.0	-
Maximum	29.9	-	-	28.7	26.8	-	28.9	26.4	-	28.6	-	-	29.9	26.8	-
Range	3.5	-	-	4.0	4.9	-	1.6	4.0	-	3.9	-	-	5.2	4.9	-
SD	1.1	-	-	1.1	1.4	-	1.1	1.8	-	1.8	-	-	1.3	1.5	-

473
474

475 Table 4. Summary statistics for carbon and oxygen isotope results for the Bovinae from Oakaie and Nyaung'gan

	Oakaie and Nyaung'gan				Oakaie and Nyaung'gan			Oakaie 3			
	Oakaie 2	Oakaie 3	Oakaie 4	Nyaung'gan	Human	Bovinae	Neolithic	Bronze Age			
	Mean			<i>p</i>	Mean	<i>p</i>	Mean	<i>p</i>			
$\delta^{13}\text{C}$ (‰ VPDB)	-1.7	-1.7	-0.3	-5.0	0.033 *	-7.1	-2.0	<0.001 ***	-2.7	-1.2	0.062****
$\delta^{18}\text{O}$ (‰ VSMOW)	27.5	26.8	28.1	26.0	0.082**	23.7	27.0	<0.001 ***	25.9	27.2	0.010 ***

*Kruskal-Wallis H test

**One-Way ANOVA

***t-test

****Mann-Whitney U test

476

477

478 Table 5. Summary statistics for carbon and oxygen isotope results for the Suidae from Oakaie and Nyaung'gan

	Oakaie and Nyaung'gan			Oakaie and Nyaung'gan			Oakaie			
	Human	Bovinae	Suidae	Human	Suidae		Oakaie 3	Oakaie 4		
	Mean		<i>p</i>	Mean		<i>p</i>	Mean	<i>p</i>		
$\delta^{13}\text{C}$ (‰ VPDB)	-7.1	-2.0	-5.3	<0.001*	-7.1	-5.3	<0.001**	-5.4	-5.2	0.789**
$\delta^{18}\text{O}$ (‰ VSMOW)	23.7	27.0	24.6	<0.001*	23.7	24.6	0.041**	24.5	24.8	0.750**

*One-Way ANOVA

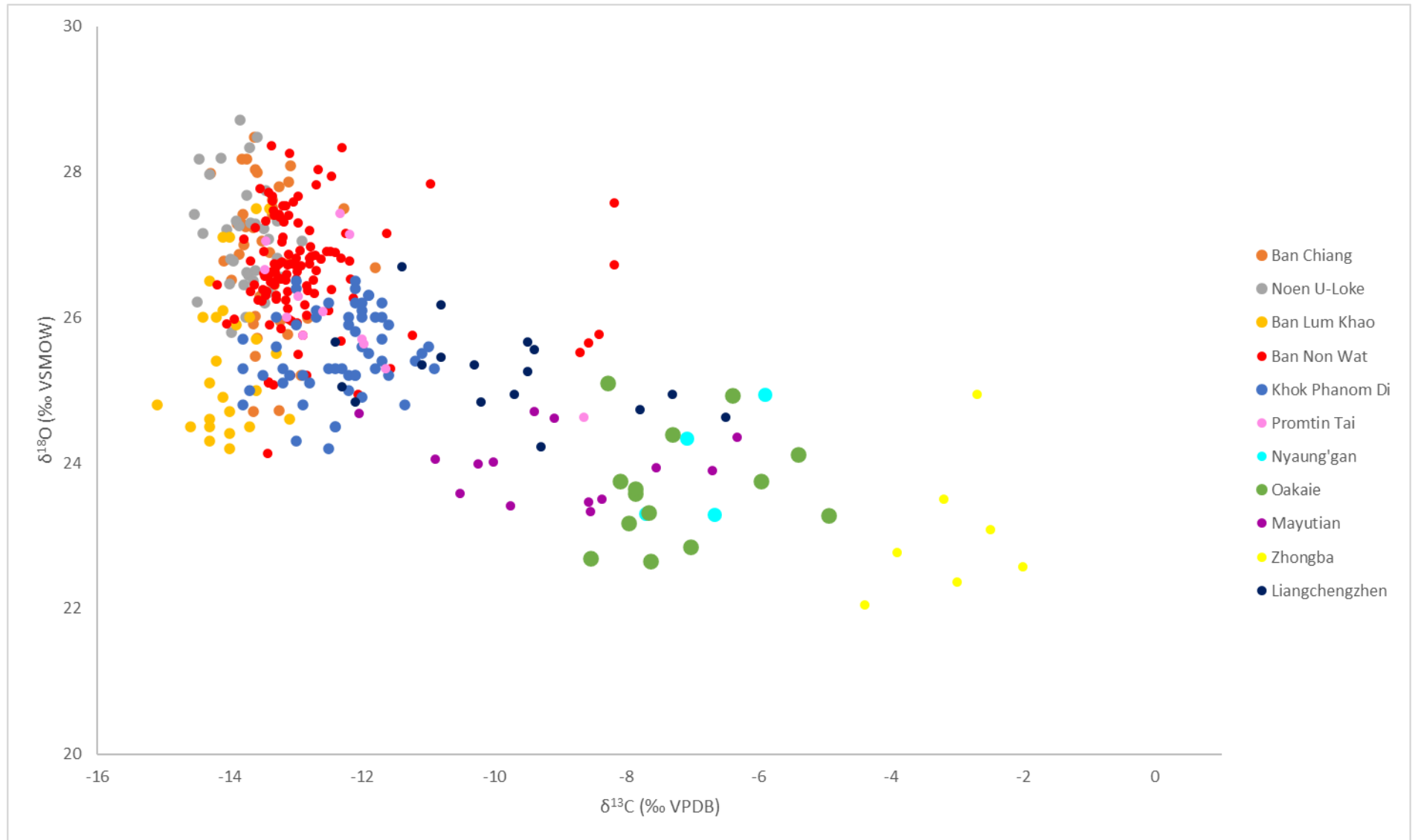
**t-test

479

480 **3.3 Comparative Southeast Asian and Chinese stable carbon and oxygen isotope data**

481 Figure 5 shows the $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ isotope values for Oakaie and Nyaung'gan in comparison to
482 other sites in Mainland Southeast Asia and China, using published data, and including outliers.
483 Before comparing the $\delta^{13}\text{C}$ data from Oakaie and Nyaung'gan, statistically, outliers were
484 identified and excluded. These included two samples from Ban Chiang (BCES31, BCES34),
485 nine from Ban Non Wat (B28, B211, B255, B259, B292, B304, B390, B461, B463), and one
486 from Promtin Thai (B2) and Liangchengzhen (M33), respectively. The results of a Kruskal-
487 Wallis H test demonstrated there was a statistically significant difference between the stable
488 carbon isotope values of the sites analysed ($H(10) = 240.737, p = 0.000$). A pairwise
489 comparison of the sites demonstrated there was a statistically significant difference in the mean
490 $\delta^{13}\text{C}$ values of individuals from both Oakaie and Nyaung'gan, respectively, and individuals
491 from the Southeast Asian sites of Khok Phanom Di ($p = 0.001, p = 0.050$), Ban Chiang ($p =$
492 $<0.001, p = <0.001$), Noen U-Loke ($p = 0.000, p = <0.001$), Ban Lum Khao ($p = 0.000, p =$
493 <0.001), Ban Non Wat ($p = <0.001, p = 0.001$) and Promtin Thai ($p = 0.005, p = 0.042$).
494 However, there were no statistically significant differences between individuals from both
495 Oakaie and Nyaung'gan, respectively, and individuals from the Chinese sites of Zhongba ($p =$
496 $0.711, p = 0.841$) Liangchengzhen ($p = 0.398, p = 0.525$) and Mayutian ($p = 0.660, p = 0.707$)
497 (Table 6).

498 Before examining the $\delta^{18}\text{O}$ data from Oakaie and Nyaung'gan to published data from other
499 sites in Southeast Asia and China, outliers were identified and excluded. These included four
500 from Ban Non Wat (B160, B246, B247, B566), and one from Zhongba (99ZZM81) and
501 Liangchengzhen (M44), respectively. The results of a Kruskal-Wallis H test demonstrated there
502 was a statistically significant difference between the stable carbon isotope values of all of the
503 sites analysed ($H(10) = 200.179, p = 0.000$). A pairwise comparison of the sites demonstrated
504 there was a statistically significant difference in the mean $\delta^{18}\text{O}$ values of individuals from both
505 Oakaie and Nyaung'gan, respectively, and individuals from the Southeast Asian sites of Ban
506 Chiang ($p = <0.001, p = <0.001$), Noen U-Loke ($p = <0.001, p = <0.001$), Ban Non Wat ($p =$
507 $<0.001, p = <0.001$) and Promtin Thai ($p = <0.001, p = 0.013$), however, there were no
508 statistically significant differences between individuals from both Oakaie and Nyaung'gan,
509 respectively, and individuals from the Chinese sites of Zhongba ($p = 0.705, p = 0.694$)
510 Liangchengzhen ($p = 0.093, p = 0.330$) and Mayutian ($p = 0.916, p = 0.958$). Oakaie was
511 statistically significantly different to Khok Phanom Di ($p = 0.002$) and Ban Lum Khao ($p =$
512 0.004) whereas Nyaung'gan was not significantly different to either of those sites ($p = 0.103$
513 and $p = 0.100$, respectively) (Table 7).



514
515

Figure 5. $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ isotope values for Oakaie and Nyaung'gan in comparison to other sites in Southeast Asia and China

516 Table 6. *P* values from pairwise comparison of $\delta^{13}\text{C}$ isotope values for Oakaie and Nyaung'gan in comparison to other sites in Southeast Asia
 517 and China

	Oakaie	Nyaung'gan	Mayutian	Zhongba	Liangchengzhen	Noen U-Loke	Promptin Tai	Khok Phanom Di	Ban Chiang	Ban Lum Khao
Oakaie										
Nyaung'gan	0.935									
Mayutian	0.660	0.707								
Zhongba	0.711	0.841	0.465							
Liangchengzhen	0.398	0.525	0.697	0.289						
Noen U-Loke	0.000	<0.001	<0.001	<0.001	<0.001					
Promptin Tai	0.005	0.042	0.016	0.007	0.034	<0.001				
Khok Phanom Di	0.001	0.050	0.007	0.004	0.020	<0.001	0.605			
Ban Chiang	<0.001	<0.001	<0.001	<0.001	<0.001	0.147	<0.001	<0.001		
Ban Lum Khao	0.000	<0.001	<0.001	<0.001	<0.001	0.413	<0.001	<0.001	0.029	
Ban Non Wat	<0.001	0.001	<0.001	<0.001	<0.001	<0.001	0.124	<0.001	<0.001	<0.001

518 Table 7. *P* values from pairwise comparison of $\delta^{18}\text{O}$ isotope values for Oakaie and Nyaung'gan in comparison to other sites in Southeast Asia
 519 and China
 520
 521

	Oakaie	Nyaung'gan	Mayutian	Zhongba	Liangchengzhen	Noen U-Loke	Promptin Tai	Khok Phanom Di	Ban Chiang	Ban Lum Khao
Oakaie										
Nyaung'gan	0.903									
Mayutian	0.916	0.958								
Zhongba	0.705	0.694	0.645							
Liangchengzhen	0.093	0.330	0.116	0.095						
Noen U-Loke	<0.001	<0.001	<0.001	<0.001	<0.001					
Promptin Tai	<0.001	0.013	<0.001	<0.001	0.020	0.008				
Khok Phanom Di	0.002	0.103	0.003	0.011	0.291	<0.001	0.062			
Ban Chiang	<0.001	<0.001	<0.001	<0.001	<0.001	0.347	0.041	<0.001		
Ban Lum Khao	0.004	0.100	0.006	0.012	0.285	<0.001	0.114	0.864	<0.001	
Ban Non Wat	<0.001	<0.001	<0.001	<0.001	<0.001	0.044	0.106	<0.001	0.328	<0.001

522
523

524 **4. Discussion**

525

526 **4.1 Is there any evidence at either Nyaung'gan or Oakaie for the consumption of rice or**
527 **millet as a staple in the subsistence economy?**

528

529 Stable carbon isotope values for the human individuals from Nyaung'gan and Oakaie are higher
530 than would be expected for a community with a subsistence economy focused purely on a C₃
531 staple, such as rice. These higher $\delta^{13}\text{C}$ values could theoretically be explained through
532 consumption of marine resources. This is unlikely due to the inland location of the sites,
533 although there is the possibility that some marine resources were acquired from coastal trading
534 via riverine transport. Consumption of freshwater fish sourced from the Chindwin River or
535 littoral fish from lacustrine ecosystems is also a possibility, although the carbon values of these
536 species are variable based on consumption of a variety of freshwater plants with variable
537 carbon sources (Katzenberg, 2008, Katzenberg and Weber, 1999). It is more likely that the
538 higher values observed among the individuals from Oakaie and Nyaung'gan reflect the
539 consumption of C₄ plants, either those directly collected and consumed, which could include
540 millet or Job's Tears (King, 2008), or from consumption of animals whose diet consisted either
541 of natural forage (e.g. wild C₄ grasses) or surplus domestic C₄ plants (e.g. millet, sorghum).

542

543 C₄ plants such as pearl millet, currently grown in upland systems in Myanmar (Herridge, et al.,
544 2019, Ministry of Agriculture, 2016), may have been more common in the CDZ in prehistory.
545 The archaeozoological remains are instrumental in further exploring this, providing valuable
546 data with which to investigate their contribution to human diets. The faunal remains at
547 Nyaung'gan and Oakaie indicate the inhabitants were engaging in the management of Suidae
548 and Bovinae and supplementing this with local flora and fauna. The high stable carbon isotope
549 values of the bovines are clearly different from all other species and are consistent with a pure
550 C₄ diet, which may indicate they were foddered on millet or the only forage in the area were
551 wild C₄ savannah grasses, the latter of which seems most likely, but unfortunately the
552 contribution of either of these cannot be differentiated. Suidae represent a good proxy for
553 analysing human diet as they require a balance of protein, carbohydrates, and essential vitamins
554 and minerals, and cannot subsist solely on grass like ruminants. The diet of the Suidae and
555 Canid is more analogous to the human individuals from Nyaung'gan and Oakaie than of the
556 Bovinae (Figure 4), indicating they were likely being fed leftover human scraps.

557

558 Ultimately, the $\delta^{13}\text{C}$ values of the individuals from Oakaie and Nyaung'gan were very
559 homogenous and suggest they were consuming a combination of C₃ and C₄ resources in their
560 diet. The savannah-like environmental context, with no forests or woodlands, suggests a
561 significant contribution of rice (C₃) to the diet coupled with either a C₄ crop such as millet or
562 the use of terrestrial animals foraging on wild C₄ grasses. Contextualising this within the
563 broader Southeast Asian context is necessary, and explored later below. There were no
564 differences in diet among any of the individuals from Oakaie or Nyaung'gan, based on either
565 $\delta^{13}\text{C}$ or $\delta^{18}\text{O}$, and nothing to suggest any change in adaptations over time, in either subsistence
566 economy, or as a response to changes in the environment, although this was difficult to assess
567 due to the lack of temporal resolution at the cemeteries. To examine any potential patterns over
568 time through a clearer lens, the faunal data is explored in more detail below.

569

570 **4.2 Is there any evidence at either Nyaung'gan or Oakaie for changes in animal husbandry**
571 **over time?**

572

573 The stable carbon isotope values of the Bovinae were higher than both the humans and the
574 Suidae, however, the $\delta^{13}\text{C}$ values of the Suidae were also significantly higher than the humans.
575 This suggests that the diet of the Suidae included more C_4 resources than that of the humans.
576 The mean isotope value of the Suidae is similar to those from the Neolithic Chinese sites of
577 Zhongba in Chongqing, southwestern China (Tian, et al., 2008) and Liangchengzhen in
578 Shandong, eastern China (Lanehart, et al., 2011). Tian et al. (2008) suggested that at Zhongba
579 the diet of the Suidae included a mix of human leftovers dominated by C_4 millet and
580 supplemented with C_3 plants while the domesticated pigs and dogs from Liangchengzhen were
581 argued to have been foddered on millet (Lanehart, et al., 2011). Alternatively, the suids at
582 Oakaie and Nyaung'gan may have been allowed to roam freely, rather than being permanently
583 corralled, foraging wild C_4 grasses in addition to the scraps fed to them by humans.
584

585 There was no evidence for a temporal change in the stable carbon isotope values of bovines at
586 Oakaie 3 indicating there was no change in foddering or foraging practices over time. The
587 difference between the $\delta^{13}\text{C}$ values of Bovinae at Nyaung'gan and the Oakaie sites may indicate
588 a difference in foddering practices with more of a focus on C_4 at the latter, however, it is more
589 likely due to the small sample size. The oxygen isotope values of the Bovinae were higher than
590 both the humans and the Suidae, likely reflecting the fact they were grazing in more open, drier
591 areas. While the values of the humans, suids and canid were more similar, suggesting, perhaps,
592 they were consuming water derived from standing water e.g. wells.
593

594 The range of bovine values are on a scale equivalent to the yearly variation in the $\delta^{18}\text{O}$ values
595 of monsoonal areas (IAEA/WMO, 2020). Perhaps most interesting is the wide range of $\delta^{18}\text{O}$
596 values observed in the Oakaie Bovinae. While this could be due to differences in water
597 consumption between *Bos* and *Bubalus* it may also relate to time period. In the chronological
598 sequence there was a difference in bovid $\delta^{18}\text{O}$ values between the Neolithic and Bronze Age
599 layers at Oakaie 3. These differences could be linked to a change in animal management
600 practices, but there were no obvious changes in the $\delta^{13}\text{C}$ values to indicate changes in foddering
601 practices or foraging behaviours. Perhaps the simplest explanation for this pattern is
602 environmental change, with lower $\delta^{18}\text{O}$ values in the Neolithic likely indicating relatively
603 higher rainfall and/or lower temperatures (Dansgaard, 1964). If, as suggested above, Bovidae
604 were being grazed in drier grasslands around the sites, we would expect them to be more
605 sensitive barometers for rainfall changes than the Suidae who likely roamed around human
606 occupation areas. Those bovines with lower carbon values therefore may represent a period of
607 increased precipitation, perhaps associated with severe monsoonal cycles. Lake sediment
608 records from both Northeast Thailand and southern China indicate the period around 1000BC,
609 which marks the Neolithic-Bronze Age transition, at least in Thailand, was associated with
610 heavy monsoonal activity in these regions (Wang, et al., 2005, Wohlfarth, et al., 2016,
611 Wohlfarth, et al., 2012). Here, the difference in bovine $\delta^{18}\text{O}$ values through time may be
612 echoing this trend in climate seen across Mainland Southeast Asia.
613

614 In Myanmar there are no specific regional or local palaeoclimatic reconstructions, however,
615 the weakening of the Indian Summer Monsoon after ca. 4000BC, is consistent with the regional
616 model based on palynology (Hope, et al., 2019) and sedimentology, mineralogy, and
617 geochemistry (Hamilton, et al., 2019, Sebastian, et al., 2019). The lower $\delta^{18}\text{O}$ in the Neolithic
618 are possibly associated with higher precipitation with the change over time reflecting the
619 weakening of the monsoon associated with reduced mean moisture and cooler temperatures in
620 the Late Holocene. Alternatively, the magnitude of variation in bovine $\delta^{18}\text{O}$ values echoes the
621 differences we might expect at different points in the monsoon cycle (usually around 5-6‰
622 variation in any given area). It is possible that the variability in values may reflect differences

623 in birth season e.g. enamel sampled from those with higher values represents the driest months
624 of the year, and vice versa. If this is the case, the variation among the bovines hints that animal
625 husbandry did not involve the manipulation of birth seasonality (in contrast to other regions,
626 eg., Gron, et al., 2015).

627
628 A final possibility is that some of the bovines were not local to the site and came from regions
629 that were climatically quite different. In this scenario those bovines lying close to the human
630 values were likely born and raised locally, and those with higher $\delta^{18}\text{O}$ values may have been
631 traded in from regions more climatically similar to other areas of Southeast Asia. To ascertain
632 whether or not this is the case we would need more isotopic evidence ($^{87}\text{Sr}/^{86}\text{Sr}$), however,
633 preliminary work in Thailand has suggested that Iron Age cattle were likely traded across the
634 Southeast Asian Peninsula, and so it is not outside the realms of possibility that some bovines
635 were non-local. Examining temporal changes to animal foddering/foraging strategy using $\delta^{13}\text{C}$
636 and water availability/usage using $\delta^{18}\text{O}$ has provided insight into ancient animal husbandry
637 practices in Myanmar. As an integral part of the subsistence economy these fauna would have
638 influenced the human $\delta^{13}\text{C}$ values. However, it remains unclear whether the high human values
639 are the result of direct C_4 crop consumption such as millet, or consumption of terrestrial animals
640 foraging on wild or cultivated fodder or C_4 grasses, contextualising this within the broader
641 Southeast Asian context is necessary, and explored below.

642 643 ***4.3 Is there any evidence for differences among Nyaung'gan and Ookie and other sites in*** 644 ***Mainland Southeast Asia and China?***

645
646 The stable carbon isotope values of the individuals from the sites in Mainland Southeast Asia
647 and the Chinese site of Zhongba provide a comparative reference for communities engaged in
648 subsistence economies focused on either C_3 or C_4 crops, respectively (Figure 5). As Mainland
649 Southeast Asia is largely a C_3 biome with very few staple C_4 cultigens, except for millet, Job's
650 tears and possibly sorghum (Krigbaum, 2005) the subsistence economy for most prehistoric
651 sites in Mainland Southeast Asia focused on a staple C_3 crop, rice, supplemented with riverine
652 and terrestrial fauna (Castillo, et al., 2018, King, 2008, King, et al., 2013). This is reflected in
653 $\delta^{13}\text{C}$ values in humans. On the other hand the subsistence economy for the Zhongba site was
654 focused on both broomcorn and foxtail millet (Zhao and Flad, forthcoming cited in d'Alpoim
655 Guedes et al., 2013), C_4 crops, supplemented with terrestrial fauna (Tian, et al., 2008). The
656 $\delta^{13}\text{C}$ values of the individuals from the communities of Nyaung'gan and Ookie overlap with
657 some of the individuals from the Chinese sites of Mayutian in Yunnan and Liangchengzhen in
658 Shandong. The Neolithic site of Mayutian located in the Yuanjiang region of the Red River in
659 Yunnan engaged in a subsistence economy which included a mixture of C_3 and C_4 resources
660 but it was not solely focused on either millet or rice as a domestic staple (Zhang, et al., 2014).
661 Similarly, the late Neolithic site of Liangchengzhen in southeastern Shandong in China relied
662 on the crops of both rice and millet and supplemented their subsistence economy with terrestrial
663 domesticates and aquatic resources (Lanehart, et al., 2011). Mayutian, Zhongba and
664 Liangchengzhen provide an insight into mixed subsistence economies and the contribution of
665 C_4 crops with Mayutian, the most geographically relevant, providing the most interesting
666 analogy.

667
668 The individuals from the Myanmar sites also had significantly lower stable oxygen isotope
669 values in comparison to the other Mainland Southeast Asian sites, despite the aridity of the
670 CDZ. It is possible that these differences reflect temporal variation with many of the Mainland
671 Southeast Asian sites dating to the later Bronze and Iron Age, arguably representing a period
672 where there was a gradual drying of the region (Castillo, et al., 2018, Wohlfarth, et al., 2016).

673 Additionally, the oxygen isotope values align well with both archaeological and modern
674 isotope data from Yunnan province in China (IAEA/WMO, 2020, Zhang, et al., 2014) and are
675 most comparable with Mayutian in Yunnan, a region which forms a large part, ca. 1600km, of
676 the modern northeastern border of Myanmar. This may reflect the similar climate of northern
677 Myanmar to neighbouring Yunnan in both the past and today. However, given the nature of
678 stable oxygen isotope data, and the lack of success, in previous studies, using $\delta^{18}\text{O}$ to
679 differentiate communities living in different geographical locations in Southeast Asia (Bentley,
680 et al., 2005, Bentley, et al., 2007, King, et al., 2015, Krigbaum, 2003) should be approached
681 cautiously.

682

683 **5. Conclusion**

684 Understanding the origin, adoption and intensification of agricultural subsistence practices is a
685 focal area of research among Southeast Asian scholars, but due to a paucity of palaeobotanical
686 and archaeological data, Myanmar has remained enigmatic in terms of our understanding of
687 the origins and transmission of agriculture. Using $\delta^{13}\text{C}$ we assessed whether there is evidence
688 for consumption of either millet or rice, and whether, in the absence of any archaeobotanical
689 data, it can be used as a proxy to understand the subsistence economies of individuals from the
690 ancient communities of Oakaie and Nyaung'gan. Despite the lack of appropriate data to define
691 the $\delta^{13}\text{C}$ values of endmembers, the carbon isotope data of the individuals from the
692 communities of Nyaung'gan and Oakaie indicate that they consumed a mixed C_3/C_4 diet falling
693 between the average value of pure C_3 consumers and pure C_4 consumers (Tykot, 2006).

694

695 The clear distinction between the carbon isotope values of the individuals from Nyaung'gan
696 and Oakaie in comparison to the assemblages in Mainland Southeast Asia is intriguing. The
697 isotopes were analysed from the carbonate from tooth enamel in all cases, and the assemblages
698 in all regions are inland, with the exception of Khok Phanom Di, so the differences are not due
699 to tissue analysed or a marine dietary input — the explanation lies elsewhere. This difference
700 is perhaps not unexpected given Oakaie and Nyaung'gan are located in the CDZ of Myanmar
701 and situated in the rainshadow of the Rakhine Mountains which, as discussed earlier, is
702 currently mostly not suitable for growing rice. Nevertheless, the crop and cropping systems
703 practiced in prehistory would have influenced the intensity and success in different areas but
704 the local environment and how the communities managed that would have largely driven the
705 ecological success in different areas (Fuller and Castillo, 2021). The similarity between the
706 carbon isotope values of the communities of Nyaung'gan and Oakaie and the individuals from
707 Mayutian in Yunnan, and the other Chinese sites, is equally intriguing and suggests significant
708 differences in subsistence economy between Myanmar and contemporary sites elsewhere in
709 Southeast Asia. Additionally, their values were higher than individuals from Promtin Thai in
710 central Thailand who were arguably consuming a small amount of millet (Liu, 2018).

711

712 Dating to the transitional period between the Neolithic and Bronze Age, these communities
713 are potentially descendent from low density migrating communities of dry rice and millet
714 farmers, which prior to and during this time, were on the move in search of new and appropriate
715 farming land to settle (Fuller and Castillo, 2021). The data here show commonality with that
716 observed at Yunnan, and could indicate a link to that region, which is consistent with the aDNA
717 of two individuals from Oakaie suggesting an ancestral link with early Sino-Tibetan speaking
718 people from East Asia (Lipson, et al., 2018).

719

720 Contextualising these findings in a broader Southeast Asian context has provided significant
721 new knowledge about the subsistence economy of the communities of Oakaie and Nyaung'gan
722 and their animal husbandry practices, but there are still questions remaining. Applying stable

723 carbon isotope analysis to dentinal collagen of the teeth could clarify the contribution of
724 terrestrial animal protein, freshwater resources or C₄ crops to their diet. In order to try and tease
725 apart the underlying reason for the distinction between Myanmar and Mainland Southeast Asia,
726 these observations require further investigation, on a broader scale, to evaluate the strength of
727 the patterns observed in the preliminary data and to use that to drive and refocus specific
728 questions to enable a better resolution to our understanding of the data.
729

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