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1 **WEATHER IN A BOTTLE: TOWARDS**
2 **A NORTH AUSTRALIAN HYDRO**
3 **CLIMATE RECORD**

4 **COSTIJN ZWART**

5 Submitted for the degree of

6 **DOCTOR OF PHILOSOPHY**

7 In the College of Science, Technology and Engineering
8 within the

9 Division of Tropical Environments and Societies

10 **JAMES COOK UNIVERSITY**

11 Supervisors: Michael I. Bird, Niels C. Munksgaard, Jonathan Nott
12 and Naoyuki Kurita

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14 Statement on the contributions of others

15 A specific contributions statement is given at the beginning of each Chapter. The
16 table below summarises the contributions of my supervisors, collaborators and fi-
17 nancial assistance I received towards this thesis.

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53 tled: '*Stable isotopes in precipitation and paleoclimatic archives in tropical areas to*
54 *improve regional hydrological and climatic impact models*'.

Abstract

56 The aim of this PhD project was to provide and/or refine an inter-
57 pretive framework for water stable isotope proxy records in north Aus-
58 tralia and elsewhere. This involved an extensive measurement campaign,
59 aimed at capturing the isotopic signature of modern rainfall, includ-
60 ing extreme weather events such as Tropical Cyclones (TCs) which will
61 be presented here. These results contribute to the reconstruction of a
62 hydro-climate record spanning the last 11000 years BP. Until now, rain-
63 fall water isotopic composition has often been interpreted as a simple
64 wet-dry proxy. However, the results of this project show that rainfall
65 isotopic composition is strongly associated with weather-, cloud- and
66 rainfall-type rather than simply rainfall amount. This has important
67 implications for climate reconstructions and for other fields that use wa-
68 ter stable isotopes. In addition to the monsoon rainfall measurements,
69 four TCs were sampled for their rainfall and vapour isotopic content.
70 The data indicates that TC rainfall stable isotopic composition strongly
71 correlates with distance from the eye wall and that TCs passing further
72 away than ≈ 100 km will most likely not be distinguished from regular
73 monsoon rainfall in a stable isotope proxy record. This suggests that TC
74 activity may potentially be under-estimated in the pre-historic record.
75 Furthermore the first, leaf wax hydrogen-isotope record from north Aus-
76 tralia is presented. These results are compared to the aquatic pollen
77 record from Girraween Lagoon, and other published records of hydro cli-
78 mate change. This marks an important step towards the reconstruction
79 of hydro climate in this region and will ultimately contribute to unrav-
80 elling the natural and human drivers of change in northern Australia's
81 climate and biodiversity.

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160 Chapter 1

161 Introduction

162 The Tropics are expected to house $\approx 50\%$ of the worlds population and close to
163 60% of the worlds children by 2050. While containing 54% of the world's renewable
164 water resources, half its population is considered vulnerable to water stress [1]. A
165 thorough understanding of tropical environmental change and associated climate
166 dynamics, across a range of spatial and temporal timescales, is therefore important.
167 However, past- and present environmental change in the Tropics remain under-
168 researched because, amongst other reasons, logistical challenges and degradation of
169 environmental records [2].

170 Climate over much of the tropics is characterised by monsoons, defined by an
171 annual reversal of surface winds and associated differences in rainfall amounts dur-
172 ing summer (wet) and winter (dry) seasons [3]. The Indonesian-Australian summer
173 monsoon (IASM) represents an extensive Southern hemisphere monsoon system. It
174 is anchored in the south by the Australian continent, coupled through the mar-
175 itime continent and Indo-Pacific Warm Pool (IPWP) to the Northern Hemisphere
176 East Asian Summer Monsoon (EASM) [4, 3]. The regions under the influence of
177 the IASM currently experience strong seasonality in rainfall with a well defined
178 wet season from December to March associated with the inflow of moist air from
179 the northwest and a prolonged dry season from April to November dominated by
180 trade winds from the southeast [5]. Interannual rainfall variability is tightly coupled
181 to monsoon ‘burst’ and ‘break’ periods, modulated by wider atmospheric phenom-
182 ena that vary on a range of timescales [6]. The Madden-Julian Oscillation (MJO)
183 and cyclogenesis impact rainfall amount on intra-annual timescales while the El
184 Niño-Southern Oscillation (ENSO) and Indian Ocean Dipole (IOD) currently exert
185 significant control over total rainfall amount of inter-annual timescales [7]. In ad-

186 dition to annual rainfall amount, the distribution of rainfall in the pre- and post-
187 monsoon seasons also exhibits significant ENSO-related variability. Up to 30% of
188 annual rainfall can fall in the transitional period before the monsoon, and this is
189 critical to the timing of ecological processes such as leaf flush/fall and grass growth
190 in the wet-dry tropical savannas of northern Australia [5].

191 In northern Australia, as in other parts of the world influenced by strongly
192 monsoonal climates [8, 3], rainfall variability associated with interactions between
193 the monsoon circulation and other atmospheric phenomena, including ENSO and
194 cyclogenesis, leads to episodic extreme drought and flood events [9, 10]. These
195 represent a key risk to agriculture, infrastructure and, more broadly, to sustainable
196 ecosystem function across northern Australia [11]. As climate changes in the future
197 in response to anthropogenic forcing, it is also likely that rainfall variability and the
198 incidence of extreme events will also change across the region, although the direction
199 and magnitude of change are less well known than the trends in future temperature
200 [11]. In northern Australia this is because it is not clear how the phase, amplitude
201 and intensity of ENSO will interact with the monsoon and other sources of climate
202 variability in the future [7].

203 Climate has varied substantially in the past and this variability is recorded in a
204 variety of natural archives that can be used to calibrate climate models and improve
205 our understanding of future climate scenarios. In tropical monsoon regions, these
206 archives potentially provide insight into the range of past hydroclimate variability
207 and an understanding of the processes driving monsoon hydroclimate.

208 This thesis focusses on processes controlling the (water) isotopic ¹ composition
209 of natural archives in tropical Australia. Water isotope ratios provide a means
210 to reconstruct past hydroclimate as they are affected by evaporation and rainfall
211 dynamics. Leaf litter in lake sediments for example or calcium carbonate in stalag-

¹The atomic nuclei of an element containing different numbers of neutrons are called isotopes [12]. Molecules made up of different isotopes have slightly different chemical properties, resulting in for example heavy water. Water isotopic composition acts as a unique fingerprint and can be used to identify waterbodies and their hydrological history. Examples of this environmental tracing are testing for authenticity of bottled water or mapping pathways of rainwater into of ground water reservoirs in water resource management.

212 mites, record the isotopic fingerprint of water at the time of growth/formation. This
213 makes water isotopes useful to reconstruct past hydrological conditions and thereby
214 draw inferences regarding past climate.

215 The climatic inferences drawn from interpretation of these proxy records rely on
216 a thorough understanding of processes driving rainfall isotopic composition. This in
217 turn requires isotopic analysis of modern meteoric water samples under a range of
218 different conditions, locations and timescales in order to fully capture the processes
219 that drive climate and meteorological variability.

220 Early work in the 1950s showed correlations between rainfall isotopic composition
221 and rainfall amount in tropical areas and this general relationship has since been
222 the benchmark for the interpretation of the isotope record. Periods of high/low
223 abundance of the heavier isotopes (commonly referred to as ‘enriched’/‘depleted’)
224 are interpreted as times of low and high rainfall amounts respectively.

225 A considerable amount of additional meteoric water isotope data is collected
226 by the Global Network of Isotopes in Precipitation (GNIP)² and through individual
227 studies. While the so called ‘amount effect’ is often present, the data exhibits strong
228 scatter and the existence of an ‘amount effect’ has often been challenged, particu-
229 larly on shorter sampling timescales where it is very weak or entirely absent. This
230 ambiguity of the ‘amount effect’ interpretation can lead to opposing interpretations
231 of climate records at the most basic level, e.g. wet versus dry, and unrealistic conclu-
232 sions about the operation of the climate system in the past [13]. The first objective
233 of this thesis is therefore to determine the drivers of rainfall isotopic variation in trop-
234 ical north Australia, beyond the amount effect, in order to provide a comprehensive
235 interpretational framework for paleo climatic records from this region and elsewhere.

236 The main source of the water that becomes incorporated into an isotope proxy
237 record in this region is (summer) monsoon- and TC rainfall. Beside strong season-
238 ality, monsoon rainfall in north Australia exhibits significant inter- and intra annual
239 variability. Chapters 3 and 4 report rainfall isotopic composition across multiple

²A monthly precipitation collection network, initiated in 1960 by the International Atomic Energy Agency (IAEA) and World Meteorological Organisation (WMO)

240 seasons and demonstrate a strong connection between meteorological processes on
241 multiple spatial scales and variability in rainfall isotopic composition. The results of
242 these chapters have important implications for the interpretation of proxy records
243 relying ultimately on water isotopes, in Australia and elsewhere.

244 Large areas of the (sub)tropics are affected by the periodic destructive passage of
245 TCs, a thorough understanding of past TC activity enables better predictions and
246 risk/impact mitigation for these events under a future changing climate. Recon-
247 struction of TC activity from proxy records is based on the premise that TCs leave
248 an isotopic trace in the soil water that feeds, for example, a cave drip water system.
249 While several of these proxy records have been developed from within the Australian
250 region, until now, no isotopic composition of TC rainfall has been reported. Chap-
251 ter 5 fills this knowledge gap by reporting the continuous monitoring of vapour and
252 rainfall isotopic composition during destructive TC Ita. Chapter 6 further expands
253 on this topic by examining the rainfall isotopic signatures of four TCs relative to
254 the regular monsoon rainfall isotopic composition and addresses questions such as
255 ‘Does the proxy record fully captures past TC activity?’, and, ‘is there an isotopic
256 distinction between the monsoon and TCs?’.

257 The hydrogen isotope composition of plant waxes have shown considerable po-
258 tential for reconstruction of changes in tropical hydroclimate [e.g. 14]. Despite this
259 potential, there are few *n*-alkane hydrogen-isotope records from the Australian In-
260 donesian Summer Monsoon (AISM) region and none from northern Australia. Chap-
261 ter 7 presents the first *n*-alkane hydrogen-isotope record from north Australia. The
262 results are compared to the aquatic pollen record from Girraween Lagoon, and other
263 published record of hydroclimate change. This marks an important step towards
264 the reconstruction of hydroclimate in this region and will ultimately contribute to
265 unravel natural and human drivers of change in northern Australia’s climate and
266 biodiversity.

Chapter 2

Review of the literature

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Abstract

The first step in interpreting a water stable isotope record is to determine the isotopic composition of its meteoric source water and variability under different meteorological conditions. In the tropics, this is not straightforward due to complex meteorology and the absence of a clear temperature effect such as found at high latitudes. The so called ‘amount effect’, a negative correlation between rainfall amount and δ values, has long been the benchmark of interpreting variation in tropical rainfall isotopic composition. However, in recent years, many studies have reported weak correlations, or data that contradicts the ‘amount effect’. This suggests that using δ values as a simple wet-dry proxy may lead to unrealistic interpretations of stable isotope records. While explanations underlying the ‘amount effect’ such as isotopic exchange, sub-cloud evaporation and progressive lowering of condensation temperatures during larger rainfall events cannot be ignored, additional processes, such as moisture convergence, precipitation history, and cloud/rainfall type have been proposed to strongly influence tropical rainfall isotopic composition. This potentially leads to a refined interpretation of stable isotope proxy records. Information on meteoric water source dynamics and evaporative conditions can also be retrieved from stable isotope records that contain both hydrogen and oxygen isotope compositions through the d-excess parameter. Furthermore, recent triple oxygen datasets have shown promising as a complementary tracer of air mass history.

Author contributions

C. Zwart wrote and M.I. Bird edited the manuscript. All authors provided critical feedback and commented on the manuscript.

301 Interpreting a water stable isotope record requires the determination of its mete-
302 oric source water isotopic composition and variability under different meteorological
303 conditions. Observational networks are relatively sparse in tropical regions compared
304 to the higher latitudes. This, and the very complex nature of tropical meteorology
305 makes understanding the processes that determine rainfall isotopic composition in
306 the tropics a complex task. Globally, patterns of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in precipita-
307 tion are correlated broadly with temperature, with low values characteristic of high
308 latitudes and altitudes [15], Fig. 3; see Bowen et al. [16] for a recent review). This
309 correlation with temperature is observable in mountainous regions of the tropics,
310 with a reduction in $\delta^{18}\text{O}$ value of 0.14–0.24‰ per 100 m increase in altitude [17].
311 Modern patterns in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values also tend to decrease with increasing dis-
312 tance from the coast. All of these processes are the result of the condensation of the
313 heavy isotopes into precipitation by Rayleigh distillation, commonly referred to as
314 rainout effects.

315 Tropical $\delta^{18}\text{O}$ rainfall and palaeo-records are often interpreted using the classi-
316 cal ‘amount effect’. This is a negative correlation observed between monthly rain-
317 fall amount and rainfall $\delta^{18}\text{O}$ at coastal and island stations in the tropics [15, 18].
318 Dansgaard [15] proposed “qualitatively several possible, and probably contributing
319 reasons for the amount effect”: (1) Rayleigh distillation; the progressively lowering
320 of rainfall $\delta^{18}\text{O}$ as clouds cool and rainfall amount increases, partly linked in the
321 tropics to increasing elevation of condensation (2) Isotopic exchange between rain
322 drops and surrounding evaporatively enriched vapour leading to higher $\delta^{18}\text{O}$ values,
323 this effect being strongest during light rains and, (3) kinetic fractionation during
324 evaporation that increase $\delta^{18}\text{O}$; this latter effect is also most pronounced during
325 light rains as humidity below cloud base is relatively low.

326 The bulk microphysical framework described above has been the basis for modern
327 rainfall isotope predictions (see Figure 2.1) and many interpretations of tropical $\delta^{18}\text{O}$
328 palaeo-records over the last decades. However, many modern rainfall studies have
329 found that the ‘amount effect’ is often not significant and also weaker over land at

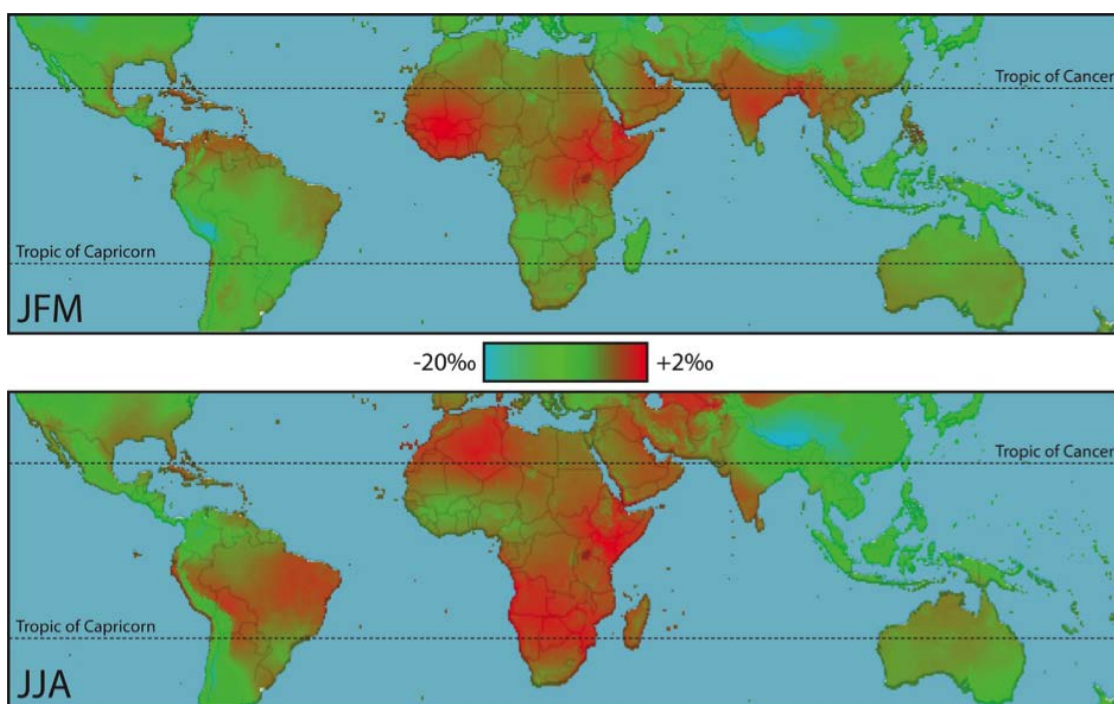


Figure 2.1: Seasonal IsoMap (<https://isomap-prod.rcac.purdue.edu/isomap/>) predictions of rainfall oxygen isotope composition for tropical regions in the periods January-March (JFM) and June to August (JJA) based on regressions against precipitation and elevation for 942 GNIP stations sampling between 1961 and 2009.

330 tropical stations (see for example [18, 19] or on shorter timescales [e.g. 20, 21, 22, 23].

331 Furthermore, [24] and [25] for example, have pointed out that Rayleigh distilla-
332 tion is only valid for an idealised closed system while heavy tropical precipitation
333 at a station requires convergence of additional moisture from elsewhere. Conflict-
334 ing results regarding the ‘amount effect’ have not only been reported by modern
335 rainfall studies, but also by several proxy records [26, 27, 28]. Recent studies stress
336 that using $\delta^{18}\text{O}$ as a direct representation of local rainfall may lead to unrealistic
337 conclusions about the climate system [e.g. 13].

338 Comprehensive analysis of regional atmospheric conditions and its relationship
339 with rainfall and vapour $\delta^{18}\text{O}$ have now emerged from the development of large me-
340 teorological datasets and advanced meteorological models. Rainfall $\delta^{18}\text{O}$ variability
341 has been attributed to convergence of isotopically distinct vapour and different air-
342 mass trajectories [29, 30, 24, 22] and strong relationships have been found between
343 $\delta^{18}\text{O}$, regional convective activity and associated regional rainfall in upwind regions
344 [31, 32, 33, 34, 23, 35, 36]. Local convective activity has also been found to provide
345 the dominant control on isotope variations in mountainous streams rather than the
346 traditional altitude effect [37].

347 Although the link between regional convective activity and $\delta^{18}\text{O}$ variability is
348 now generally accepted, the underlying processes and their relative contribution
349 to the ‘amount effect’ are still the subject of debate. Risi et al. [38] proposed that
350 isotopically light vapour from high altitudes is injected into the lower atmosphere by
351 downdrafts and meso-scale subsidence and reused, producing precipitation with low
352 $\delta^{18}\text{O}$ values. This was further explored by Kurita [19] who demonstrated a strong
353 relationship between $\delta^{18}\text{O}$ and degree of convective organisation, and associated
354 stratiform rainfall fraction. Rain- and cloud-type was found to be the dominant
355 driver of $\delta^{18}\text{O}$ variability by Gedzelman et al. [39], Coplen et al. [40], Aggarwal
356 et al. [41] and Zwart et al. [42] on short (30-min events, daily) and longer (monthly)
357 sampling timescales respectively, using ground and satellite-based radar data.

358 Relative contributions to the ‘amount effect’ of the different processes described

359 above may not be uniform across the tropics. Konecky et al. [13] showed that cloud-
360 type is a dominant driver in regions where stratiform rainfall is abundant, however,
361 along the tropical rainbelt perimeters and inland areas of Africa, local meteorology
362 can inhibit the sustained presence of stratiform rain and moisture source may play
363 a more dominant role.

364 $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in rainfall tend to co-vary along the so-called Global Mete-
365 oric Water Line (GMWL); $\delta^2\text{H} = 8 \times \delta^{18}\text{O} + d$ [15, 43] with $d \approx 10\%$ (see Figure 2.2
366 for a local MWL in northern Australia). The intercept, d (or d -excess), represents
367 the relative abundance of the heavy isotopologues of oxygen (H_2^{18}O) and Hydrogen
368 ($^1\text{H}^2\text{H}^{16}\text{O}$). Deviations from $d = 10\%$ indicate that water has been subject to non-
369 equilibrium processes such as, for example, evaporation (Figure 2.2). This makes
370 d -excess a useful independent tracer of moisture history. d -excess is closely related
371 to evaporative conditions (SST, wind, relative humidity) in the moisture source re-
372 gion and altered by mixing of air masses on trajectories towards the precipitation
373 site [44, 45, 46].

374 The interpretation of d -excess for continental stations is complex due to conti-
375 nental recycling and sub-cloud evaporation in a relatively dry atmosphere [48, 49].
376 Furthermore, analytical uncertainty can be relatively high compared to its natural
377 range of variability [50]. Despite these drawbacks, d -excess has been successfully
378 used to, for example, calibrate general circulation models [51], examine moisture
379 source regions and ENSO dynamics in South America [52] and provide insights in
380 to tropical cyclone dynamics [53]. Recently, analytical improvements enabled the
381 development of triple oxygen datasets for meteoric waters, which has resulted in
382 the development of an ^{17}O excess parameter that can be used as a complementary
383 tracer of air mass history [see for example 54, 55].

384 For some proxy materials including some tree ring records and speleothems, the
385 connection between rainfall and proxy is rapid and relatively direct. For many
386 others the rainfall remains in a terrestrial reservoir for a significant period and can
387 be subject to further modification before incorporation into a proxy. Evaporation,

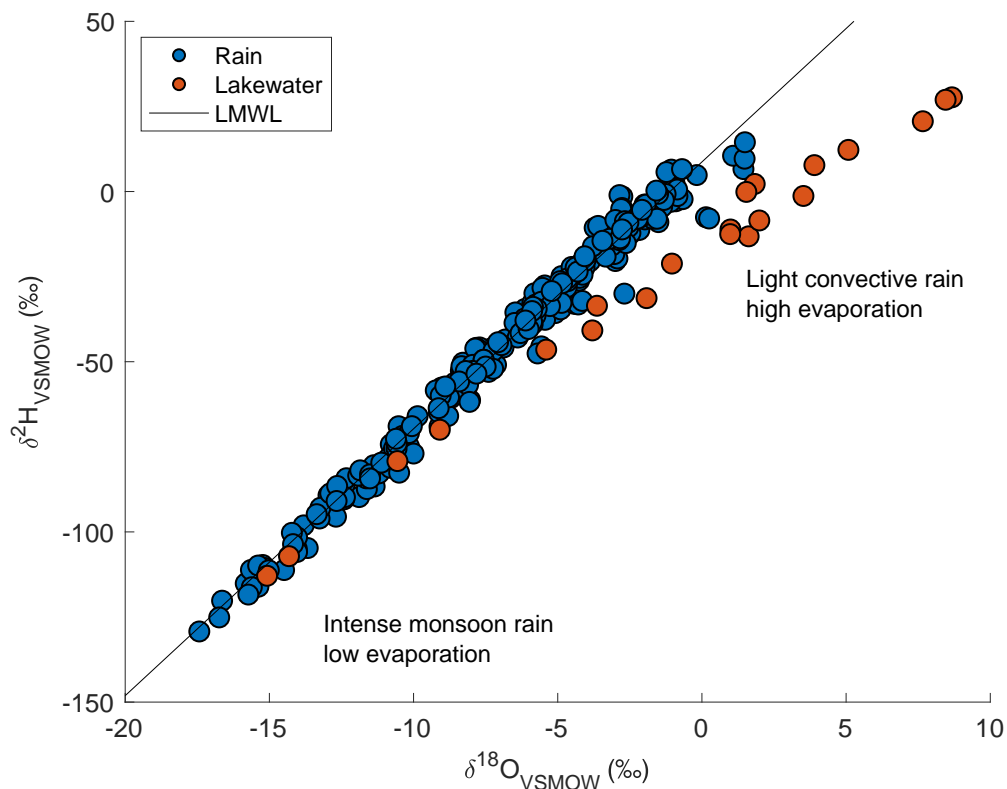


Figure 2.2: Variation in 300 rainfall and lake water stable isotope compositions from the ‘Top end’ of the Northern Territory, Australia. Rainfall data represent individual 12 hourly samplings of rain from January to April of 2013. Lake waters represent samples opportunistically collected from lakes in the region in the April to November period from 2011 to 2013. Lake sites are as described in [47]. Intense monsoonal rain has low $\delta^{18}\text{O}$ and $\delta^2\text{H}$, light convective rain has high $\delta^{18}\text{O}$ and $\delta^2\text{H}$. Lake waters recently refilled by intense monsoonal rain have low $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values, evaporative loss of water through the dry season progressively leads to higher $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values.

388 from standing water bodies and from the soil surface, leads to enrichment in the
389 $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values of the remaining water, generally along a line with a slope less
390 than the meteoric water line (Figure 2.2). The degree to which the water isotope
391 composition departs from the meteoric water is dependent on the conditions under
392 which evaporation occurs and the proportion of evaporation relative to the size of
393 the reservoir. Gibson et al. [56] found that whereas the slope of the local evaporation
394 line for lakes in high latitudes is 5–8, the slope for lakes in tropical regions is 4–5,
395 and for soil water is 2–3. Groundwater can also have a variable residence time
396 and its isotope composition may [57] or may not [58] reflect current environmental
397 conditions. In the seasonal tropics in particular, recharge of groundwater tends to
398 occur mainly from high rainfall events that have an isotope composition that is lower
399 than that of average rainfall [59].

400 For these reasons, observed trends in the isotope composition of many tropical
401 proxy records tend to be interpretable only as relative changes in precipitation. This
402 is possible because, at the general level, wetter periods (with less relative evaporative
403 potential) tend to result in lower isotope values, whereas drier periods (with more
404 relative evaporative potential) tend to result in higher isotope values. The caveat to
405 this general statement is that recent work has demonstrated that some significant
406 changes in the stable isotope composition of average precipitation are driven by
407 factors such as changes in cloud type and vapour source region [13].

Chapter 3

Stable Isotopic signature of Australian Monsoon controlled by regional convection

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Abstract

The aim of this study was to identify the main meteorological drivers of rainfall isotopic variation in north Australia in order to improve the interpretation of isotopic proxy records in this region. An intense monitoring program was conducted during two monsoonal events that showed significant and systematic isotopic change over time. The results showed a close link between isotopic variation in precipitation and variability in monsoon conditions, associated with the presence of large convective envelopes propagating through the study site. The largest negative amplitudes in the isotopic signal were observed when eastward and westward moving precipitation systems within the convective envelope merged over the measurement site. This suggests that the amplitude of the isotopic signal is related to the size and activity of the convective envelope. The strong correlation between rainfall isotopic variation, regional outgoing longwave radiation and regional rainfall amount supports this conclusion. This is further strengthened by the strong relationship between isotopic variation and the integrated rainfall history of air masses prior to arriving at the measurement locations. A local amount effect was not significant and these findings support the interpretation of $\delta^{18}\text{O}$ as proxy for regional climatic conditions rather than local rainfall amount. Meteorological parameters that characterize intra-seasonal variability of monsoon conditions were also found to be strongly linked to inter-seasonal variability of the monthly based $\delta^{18}\text{O}$ values in the GNIP database. This leads to the conclusion that information about the Australian monsoon variability can likely be inferred from the isotopic proxy record in North Australia on short (intra seasonal) and long (inter seasonal or longer) timescales.

Author contributions

C. Zwart wrote the manuscript, analysed and interpreted the data. N. C. Munksgaard developed the research question and performed the laboratory analysis. N. Kurita provided assistance in analysing satellite data and participated in critical discussion on development of the manuscript. M. I. Bird provided facilities for data analysis and participated in critical discussion on development of the manuscript. All authors provided critical feedback and commented on the manuscript.

3.1 Introduction

The AISM dominates the climate of tropical northern Australia. An understanding of AISM variability both past and present is paramount in order to be able to better predict future hydrological conditions under a changing climate. The isotopic composition of rainfall strongly influences the isotopic values preserved in natural archives commonly used to study past hydrological conditions, such as coral deposits, sediment cores, speleothems, molluscan shells and leaf waxes [60, 61, 62, 63, 64, 65]. Monthly mean rainfall isotope composition obtained from local stations shows a strong correlation with local rainfall amounts in tropical regions [15, 18] and this so called 'amount effect' is therefore widely used in palaeoclimate and palaeohydrological studies which reconstruct past monsoon variability [66, 67]. Part of this effect is explained by Rayleigh distillation that progressively depletes ^{18}O and ^2H values in the residual water vapour via rainout during successive condensation/evaporation cycles. This amount effect is generally observed in rainfall samples collected over monthly periods and the isotope composition of rainfall on shorter (event-based or daily amounts) timescales can be independent of the local rainfall amount [15, 20, 25].

However, despite a robust 'amount effect' being observed on longer timescales, rainfall isotopic composition is affected by complex atmospheric processes acting on different spatial and temporal scales [68, 38, 33, 21]. In the hydrological cycle, stable isotope ratios measured as the ratio of a heavier- to lighter isotopes (in this study oxygen ($^{18}\text{O}/^{16}\text{O}$) and hydrogen ($^2\text{H}/\text{H}$) reflect condensation/evaporation processes where the heavier isotopes are preferentially fractionated into the liquid phase and lighter isotopes into the remaining vapour phase. Thus, isotopic ratios provide information about the source, transport and precipitation history of moisture and can be particularly useful as tracers when used in conjunction with data on the prevailing meteorological conditions [68, 69, 22]. For example, vapour becomes progressively depleted in ^{18}O and ^2H when traveling through regions of enhanced precipitation [31], recycling of vapour in strong convection events is known to deplete vapour [38],

480 possibly due to the appearance and increase in size of the stratiform fraction of the
481 convective system [32, 41]. and moisture source and trajectories have been linked
482 to variability in rainfall isotopic composition [70]. Furthermore, enrichment of rain
483 droplets occurs below the cloud base when droplets evaporate [71, 48, 72, 21]. These
484 processes should be considered when interpreting isotope proxy records.

485 In the tropics, several studies have reported a relationship between rainfall iso-
486 topic composition and large scale convective activity associated with intra seasonal
487 variability (ISV) rather than precipitation amount [31, 32, 33, 34]. Risi et al. [38]
488 proposed downdraft recycling as an explanation for isotopic variation over these
489 shorter time scales, independently of local rainfall amount. In this explanation,
490 isotopically-depleted vapour from higher levels is injected into the lower atmosphere
491 via downdrafts and reused for precipitation. Kurita [19] examined the role of the
492 mesoscale downdraft recycling mechanism proposed by Risi et al. [38] using surface
493 vapour and precipitation data collected in the Western Pacific and Indian Ocean.
494 They indicated that low isotope ratios are associated with Mesoscale Convective
495 Systems (MCS) that develop large stratiform rain areas whilst relatively high iso-
496 tope ratios are associated with smaller-scale disorganised convection. A recent study
497 by Aggarwal et al. [41] based on monthly timescales proposed that isotopic varia-
498 tion in precipitation is mainly the result of a difference in growth conditions of the
499 condensation particles between convective and stratiform rain, with convective and
500 stratiform rain producing high, and low, isotope ratios, respectively.

501 Recently, the stable isotopic composition of rainfall has been reported to vary
502 on time scales as short as minutes [53] to hours [73, 70] and this variability has
503 been connected to characteristics of meso-scale atmospheric processes such as the
504 MJO [32]. In this study, aimed at improving our understanding of the influence
505 of the AISM on the tropical Australian isotope record we identify the atmospheric
506 processes that drive isotopic variation in rainfall in north Australia on short (event-
507 based) time scales and then we examine the relationship between AISM ISV and
508 inter-seasonal isotopic variation. The objectives of this study were to (i) elucidate

509 the main drivers of isotopic variation on 12 hourly timescales during two monsoonal
510 events over Darwin, Australia that exhibited strongly divergent isotopic trajectories
511 over time and (ii) investigate whether the same drivers can also explain the variation
512 observed in the long term 23 year monthly Darwin GNIP record.

513 **3.2 Methodology**

514 As adopted in previous studies [20, 34] we use the term ‘local’ to refer to phenomena
515 within a few kilometers to the measurement site and ‘regional’ when referring to the
516 area within a bounding box ($10^\circ \times 5^\circ$ in this study) around the site.

517 **3.2.1 Site description**

518 Darwin is located on the Northern Territory coastline, adjacent to the Beagle Gulf
519 which connects the Timor and Arafura Sea these linked to the Indian Ocean and
520 Coral Sea respectively (see Fig. 3.1a). The region experiences a monsoonal climate
521 with a pronounced wet season from November until April (during which time 90 %
522 of annual rain falls) and dry season from May to October. Low level westerly winds
523 and high rainfall amounts dominate in the wet season, while southeasterly trade
524 winds and low rainfall totals are typical of the dry season [6]. Onset of the monsoon
525 occurs when the monsoon trough (a band of low pressure and convergence) moves
526 over the area, associated with moisture laden westerly winds and widespread rainfall.
527 ISV of the AISM was recognized by [74], who identified active ‘burst’ and ‘break’
528 periods. [75] reported that bursts were associated with large scale envelopes of
529 enhanced convective activity propagating either eastward or westward. Burst and
530 break periods are each characterized by different types of convection. Active periods
531 (bursts) are associated with large-scale organized convective areas with mesoscale
532 stratiform decks while convection during break periods is more isolated yet more
533 intense [6]. The dominating mode in ISV of the AISM is the MJO, defined an
534 eastward moving large-scale convective envelope. Strong phases of the MJO result
535 in enhanced convection and more rainfall. The passage of this area of moisture
536 convergence is characterized by a zonal wind shift in the lower atmosphere from east

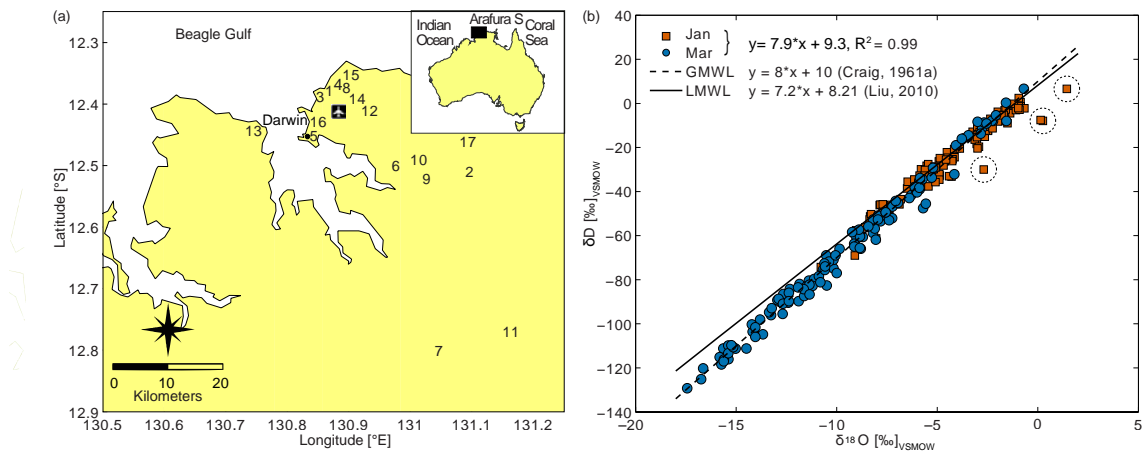


Figure 3.1: (a) Location map of Darwin and the 17 sample stations and BoM station Darwin Airport. (b) Non-weighted $\delta^2\text{H}$ plotted versus $\delta^{18}\text{O}$ for both events in January (vermilion squares) and March (blue circles). Outliers are indicated with dashed black circles. GMWL: Global Meteoric Water Line, LMWL: Local Meteoric Water Line reported by [76].

537 to west and often coincides with the onset of the monsoon. Other modes include
538 westward moving Equatorial Rossby waves, tropical cyclones that can significantly
539 increase rainfall amounts over short periods of time and eastward moving Kelvin
540 waves [6].

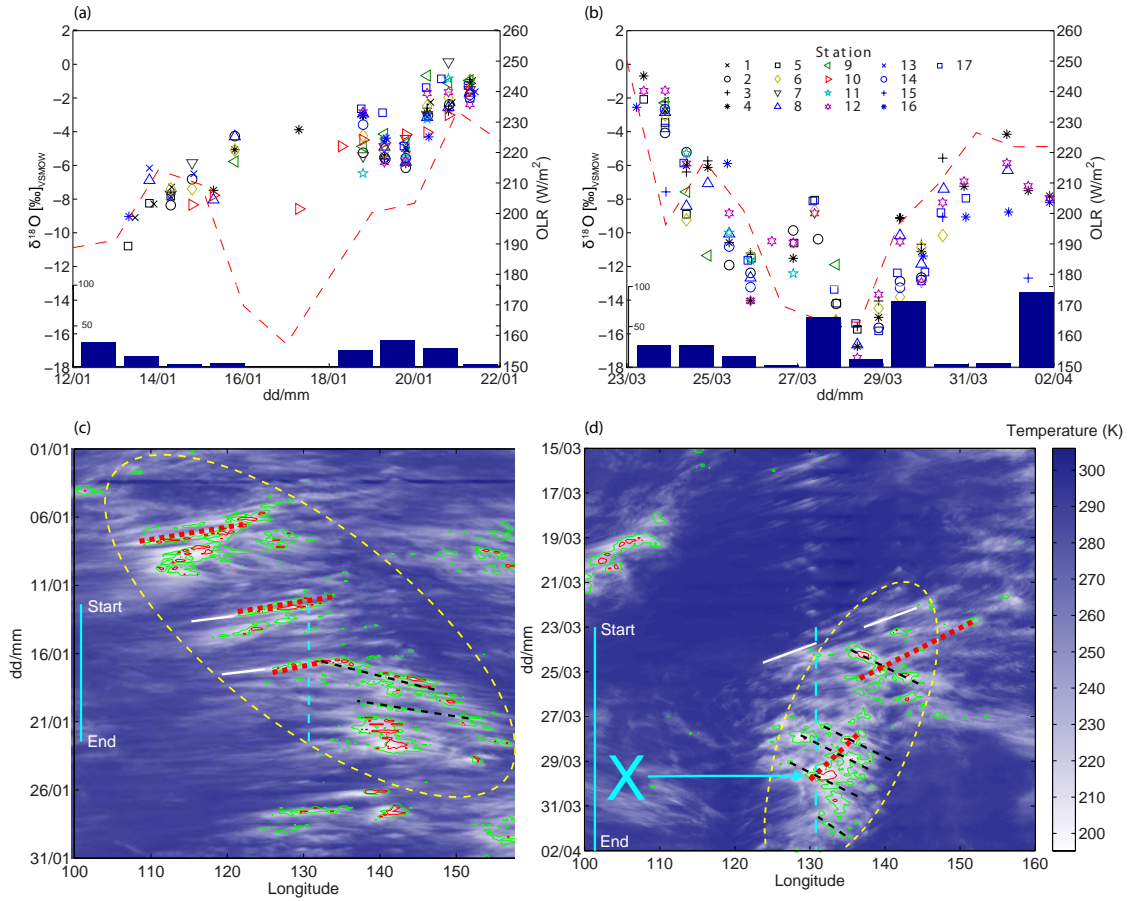


Figure 3.2: (a,b): $\delta^{18}\text{O}$ values measured during two events; January and March respectively. Markers represent the different measurement stations and the red dashed line = regional average OLR. $n=225$ Blue bars represent daily rainfall [mm] at Darwin Airport. (c,d): Hovmöller plots showing 5 degree latitude averaged MT-Sat, IR1 cloud top temperatures. Green and red contour lines represent TRMM 1 and 5 mm hr^{-1} respectively. The yellow dashed lines enclose the identified MJO (c) and ER cloud envelope (d). The red and black dashed lines indicate westward and eastward propagating precipitation systems respectively. Solid straight white lines show examples of westward trailing cloud shields. The cyan dashed line indicates longitude Darwin and measurement period (solid). The cyan X marks the intersection between eastward and westward propagating systems. Precipitation systems and cloud envelopes were identified using Hovmöller plots of TRMM 3B42-v7 [77] and archived imagery made available by CICS-NC, (see <https://ncics.org/portfolio/monitor/mjo/>) respectively.

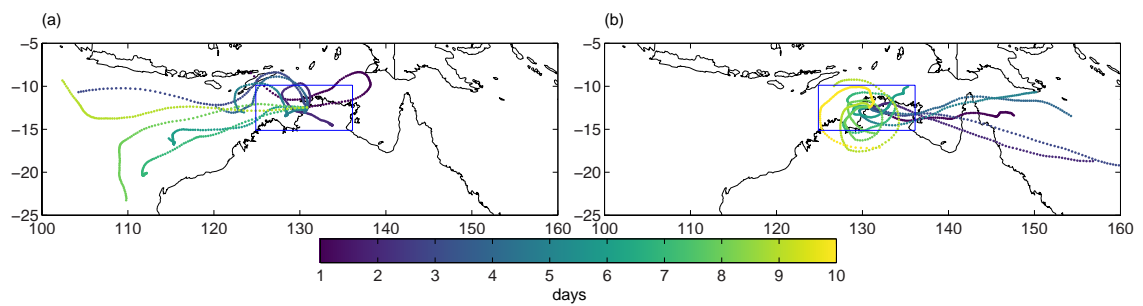


Figure 3.3: Hysplit 96 hr air mass back trajectories arriving in Darwin at 850 hPa (a) January and (b) March/April. Color bar indicates start time of trajectory (days into event). Blue rectangle represents $10^{\circ} \times 5^{\circ}$ bounding box over Darwin as a reference.

3.2.2 Sampling and analysis

Two major wet season rainfall events were sampled in January and March of 2013 respectively. A total of 228 rainfall samples were collected during these rainfall events by volunteers on 17 different stations covering 2000 km² around Darwin, see Fig. 3.1a. Another rainfall event occurred in February 2013, however, this event could not be sampled for logistical reasons. Sampling times were coordinated for all stations from 7am–7pm and 7pm–7am. Accumulated 12 hourly rainfall was collected from open vessels on open ground at each station directly at the end of each measurement period and capped to minimize evaporation and risk of isotopic exchange with the surrounding vapour. The $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values of all samples were determined using a diffusion sampler and Picarro L2120-I WS-CRDS system described by [30] coupled to an autosampler and are reported in the standard δ notation (‰), i.e $\delta^{18}\text{O} = [({}^{18}\text{O}/{}^{16}\text{O}_{\text{sample}} - {}^{18}\text{O}/{}^{16}\text{O}_{\text{standard}})/{}^{18}\text{O}/{}^{16}\text{O}_{\text{standard}}] \times 10^3$. The isotopic values are reported relative to the VSMOW scale and were calibrated using three secondary standards; Lake Eacham Water ($\delta^{18}\text{O} = +1.51$ ‰ ; $\delta^2\text{H} = +4.09$ ‰), Cairns Tapwater ($\delta^{18}\text{O} = -4.37$; $\delta^2\text{H} = -26.47$) and Evian water ($\delta^{18}\text{O} = -10.20$ ‰ ; $\delta^2\text{H} = -72.84$ ‰). The secondary standards were calibrated against the IAEA reference waters VSMOW, GISP and SLAP. Typical precision for samples and standards was ± 0.2 ‰ for $\delta^{18}\text{O}$ and ± 0.6 ‰ for $\delta^2\text{H}$.

Meteorological data

Precipitation radar images and local 30 minute and daily averaged meteorological data (temperature, relative humidity, dew point, and precipitation) were obtained from the Australian Bureau of Meteorology (hereafter referred to as BoM, station id= 014015; name= Darwin airport; latitude=12.42°S; longitude=130.89°E, see Fig. 3.1a; height=30.4 m). Satellite images (MT-Sat, IR1), used to examine cloud top temperatures, were provided by the Center for Environmental Remote

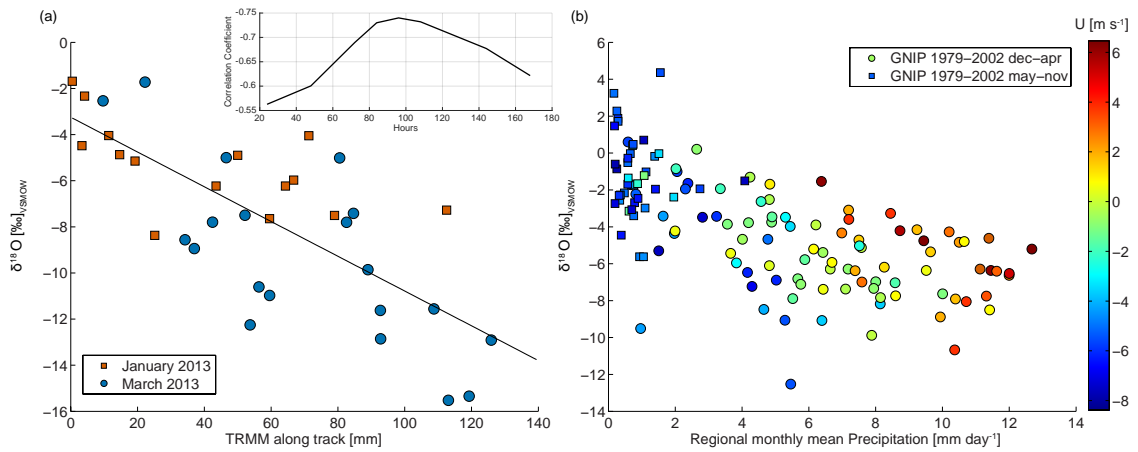


Figure 3.4: (a) $\delta^{18}\text{O}$ against accumulated TRMM rainfall along track [mm]. (b) Monthly GNIP $\delta^{18}\text{O}$ versus regional averaged GPCP $n=148$, Dec-Apr (circles) and May-Nov (squares). Colorbar indicates strength of zonal wind [m s^{-1}], positive values represent westerly and negative values easterly wind direction. Inset shows correlation against length of trajectory (hours)

569 Sensing (CEReS), Chiba University, Japan. Interpolated Outgoing Longwave Ra-
 570 diation (OLR) data were obtained from NOAA and is described by [78]. Regional
 571 average daily OLR values were calculated by averaging daily OLR values over a
 572 bounding box of $10^\circ(\text{EW}) \times 5^\circ(\text{NS})$, centered over Darwin airport. Monthly and daily
 573 regional average precipitation amounts were computed using the Global Precipita-
 574 tion Climatology Project (GPCP, version 2.2) dataset [79] and Tropical Rainfall
 575 Measuring Mission 3B42-v7 [TRMM 77] respectively. Mean regional rainfall (daily)
 576 rainfall was computed by averaging over a bounding box of $10^\circ(\text{EW}) \times 5^\circ(\text{NS})$, cen-
 577 tered over Darwin airport. Monthly-based isotopic records of precipitation at the
 578 Darwin station archived in the GNIP database were used for months overlapping
 579 with the GPCP and OLR data ($n=149$).

580 Air mass back trajectories were computed using the Hybrid Single-Particle La-
 581 grangian Integrated Trajectory HYSPLIT) model version 4.0 [80]. The Global As-
 582 simulation Data set (GDAS-1) provided by the United States National Centers for
 583 Environmental Prediction (NCEP) was used as input for the wind fields. HYSPLIT
 584 back trajectories were computed in isobaric mode with different runtimes (24-168
 585 hours) and the height selected was 850 hPa. Total rainfall along HYSPLIT trajec-
 586 tories (P_{tr}) was calculated as the sum of the closest gridpoints in the 0.25° TRMM
 588 3B42-v7 3-hourly dataset to the trajectory positions using:

$$P_{tr} = \sum_{t=0}^{t=n} P(t)_{xy}, \quad (3.1)$$

589 where t is the time (hours) back from starting point, n is total track length (hours),
 590 x and y are longitude and latitude respectively and $P(t)_{yx}$ is the precipitation rate
 591 (mm/hour) in the TRMM dataset at the position closest to the HYSPLIT track loca-
 592 tion at time t . Starting points of back trajectories were set at 12-hour intervals cor-
 593 responding to sample collection times. MJO and ER cloud envelopes were identified
 594 using archived imagery made available by the Cooperative Institute for Climate and
 595 Satellites, North Carolina (CICS-NC, see <https://ncics.org/portfolio/monitor/mjo/>).
 596 Long term monthly averaged (1984-2010) equivalent potential temperature (θ_e) was

Table 3.1: Pearson ρ correlation coefficients and p-values between $\delta^{18}\text{O}$ values, local, regional and along track precipitation amounts and OLR.

	local [mm]	regional [mm]	along trajectory [mm]	OLR [W m^{-2}]
$\delta^{18}\text{O}_{\text{JanuaryMarch}}$	-0.21 (<0.3)	-0.70 (<0.05)	-0.74 (<0.05)	0.59 (<0.05)
$\delta^{18}\text{O}_{\text{GNIP}}$	-0.50 (<0.05)	-0.69 (<0.05)		0.66 (<0.05)

597 calculated at 850hPa level using

$$\theta_e = T_e \left(\frac{P_0}{P} \right)^{\frac{R_d}{C_{pd}}}, \quad (3.2)$$

598 where $T_e = T + \left(\frac{Lv}{C_{pd}} \right) r$, with $P_0 = 1000$ hPa, $P = 850$ hPa, $R_d = 287.04$ J/(Kg
599 K), $C_{pd} = 1004$ J/(Kg K), $Lv = 2400$ J/Kg, T is temperature (K) and r the mixing
600 ratio (Kg/Kg) . NCEP/NCAR reanalysis temperature and mixing ratio fields were
601 used for T and r respectively (see ftp.cdc.noaa.gov).

602 **3.3 Results**

603 The relationship between $\delta^2\text{H}$ and $\delta^{18}\text{O}$ of rainfall samples collected during both
604 events is presented in Fig. 3.1b. A least square regression analysis resulted in a
605 highly significant (Pearson rho = 0.99, p < 0.05) meteoric water line (MWL) for
606 both events: $\delta^2\text{H} = 7.9 \times \delta^{18}\text{O} + 9.3$ ($R^2 = 0.99$) which is similar to the GMWL
607 determined by Craig [81]. Three out of the 228 samples were collected when rainfall
608 amounts were very small (<2 mm) and plotted off the MWL, it is therefore most
609 likely that these evaporated, resulting in isotopic change while awaiting sample
610 collection and have therefore been discarded. As the O and H isotope results are
611 highly correlated with each other, results will be discussed in terms of $\delta^{18}\text{O}$ only.

612 **3.3.1 January 2013**

613 The MJO moved across SE Asia in early January and into the western Pacific by
614 the end of the same month. The monsoon trough lingered over Darwin on the 13th
615 and moved further south on the 15th, producing significant rainfall over Darwin
616 during the measurement period. This event marks the official onset of the Northern
617 Australian Monsoon on the 17th of January [82]. The total rainfall measured at
618 Dawin Airport BoM site for this event was 129.2 mm. Figure 3.2a shows 12 hourly
619 $\delta^{18}\text{O}$ values measured during this rainfall event in January. The $\delta^{18}\text{O}$ values varied
620 from -10.8 ‰ to +0.14 ‰ and showed an overall trend towards heavy isotope
621 enrichment as the event progressed. The rainfall-weighted average $\delta^{18}\text{O}$ value of

622 the January event was -5.8 ‰ with most stations showing a similar trend in $\delta^{18}\text{O}$
623 values over the course of the event. Soundings [83] above Darwin showed that
624 easterly winds were present in the lower and upper atmosphere during the start of
625 the event and winds in the lower atmosphere shifted to westerly on the 16th and 17th
626 while the upper air easterlies weakened. Easterly winds were present again above
627 Darwin in the mid- and upper levels around the 20th while westerly winds persisted
628 near the surface. This shift in zonal winds corresponded to the passage of an area
629 of convergence in the lower atmosphere, associated with the passage of the MJO.
630 Figure 3.2c shows a large scale convective envelope, identified as the MJO moving
631 eastward over the course of the month. Westward trailing cloudshields and rain
632 areas developed successively off the main envelope from the 11th to the 15th (shown
633 as purple dashed and solid straight red lines in Figure 3.2c). The lowest $\delta^{18}\text{O}$ values
634 in January coincide with the passage of westward moving precipitation systems over
635 Darwin around the 13th. The westward moving systems stopped developing around
636 the 17th and started to move in a southeasterly direction thereafter.

637 The upwind region became clear of large precipitation areas and large convective
638 envelopes were positioned well downwind (East) of Darwin for the remainder of
639 the measurement period. Regional averaged OLR and $\delta^{18}\text{O}$ values follow a similar
640 increasing trend for the event in January, except for a dip in OLR on the 17th. A
641 westward trailing cloudshield was located over Darwin around the 17th, resulting in
642 low regionally averaged OLR values of approximately 160 W m^{-2} . Darwin was on
643 the western edge of an eastward moving precipitation system (shown as black dashed
644 line in Figure 3.2c) that developed from this cloudshield, precipitation amounts at
645 the sampling stations were therefore very small resulting in sample collection at
646 only two stations, Darwin Airport reported no rain for that period. This explains
647 the relatively weak response of $\delta^{18}\text{O}$ values to the large negative excursion in OLR
648 values between January 14th and 18th. Enriched $\delta^{18}\text{O}$ values were associated with
649 higher OLR values after January 18th (i.e. less organized convection during break
650 conditions) whereas low isotopic compositions coincided with low OLR values of \approx

651 200 W m^{-2} .

652 Air mass back trajectories over Darwin at 850 hPa, see Fig. 3.3a, illustrate the
653 arrival of the westerlies at 850 hPa, associated with the onset of the Monsoon.
654 Air masses early in the event (13–14 Jan) exhibited trajectories that were regional
655 (relatively close to the boundaries or within a $10^\circ \times 5^\circ$ bounding box). Trajectories
656 developed a more westerly direction as the event progressed, originating from the
657 Indian Ocean.

658 **3.3.2 March 2013**

659 The second measurement period in March represents the last significant rainfall
660 event of the 2012–2013 wet season. On 23rd March a high pressure area was located
661 over the Tasman Sea, between Australia and New Zealand, extending a ridge of
662 high pressure over eastern Australia. Darwin experienced a monsoon break period
663 while the MJO was positioned over the Pacific and convective activity over northern
664 Australia was low. The monsoon trough re-activated just north of Australia on
665 the 24th and 25th. A low-pressure area developed within this active trough over
666 the Arafura Sea and slowly moved west-southwest to remain stationary just west
667 of Darwin for the remainder of the month and into the beginning of April. This
668 low brought heavy rainfall to northern Australia with 321.8 mm recorded at Darwin
669 Airport during the measurement period.

670 Data from all measurement stations showed a similar trend throughout the course
671 of the event, (see Fig. 3.2b). The $\delta^{18}\text{O}$ values were initially relatively enriched during
672 the break conditions ($\approx -1 \text{ ‰}$) but became more depleted over the following 5 days
673 to a minimum of -17.4 ‰ during the burst on 28 March, before becoming more
674 enriched again during break conditions towards the end of the sampling period.
675 The rainfall-weighted average $\delta^{18}\text{O}$ value for the event in March was -10.0 ‰ .

676 Convective activity developed over Darwin from the 23rd onwards, as westward
677 propagating disturbances identified as an equatorial Rossby (ER) wave moved into
678 the region, (see Fig. 3.2d). Low level easterly winds were observed above Darwin at
679 the start of the event and gradually decreased and shifted to westerly around the 28th

680 as the convective envelope moved into the region. Eastward trailing cloud shields
681 and rain areas were also identified throughout the measurement period (shown as
682 clack dashed lines in Fig. 3.2d). These convective systems developed further during
683 the event and increased in size (up to ≈ 500 km diameter), both over Darwin and in
684 the upwind region, spanning across and area of ≈ 1500 km. Intersections between the
685 westward and eastward propagating systems produced areas of enhanced convective
686 activity (labeled as X in Fig. 3.2d), reaching a peak on the 29th coinciding with
687 the lowest recorded $\delta^{18}\text{O}$ values, decreasing thereafter. Regionally averaged OLR
688 and $\delta^{18}\text{O}$ values were strongly correlated. The timing of the minimum $\delta^{18}\text{O}$ values
689 coincided with the lowest region-averaged OLR values of ≈ 160 W m^{-2} .

690 Air mass back trajectory end points, see Fig. 3.3b, were initially located in the
691 Coral Sea (until 28th) and became closer to Darwin from the 29th–31st. Air mass
692 back trajectory end points were located relatively close to Darwin—near the edges
693 of the bounding box—towards the end of the event with air masses travelling over
694 the Timor and Arafura Sea on the 1st and 2nd of April.

695 **3.3.3 ISV of monsoon driving rainfall isotopic composition**

696 Pearson ρ correlation coefficients and corresponding p-values between $\delta^{18}\text{O}$ values
697 of both events and precipitation amounts (local and regional), regionally averaged
698 OLR and precipitation amount along air mass back trajectories, are provided in
699 Table 3.1. The correlation between $\delta^{18}\text{O}$ and local precipitation amount was not
700 statistically significant ($\rho = -0.21$, p-value < 0.3), whereas correlation with regional
701 parameters was significant. The relationship between $\delta^{18}\text{O}$ values for both events
702 and precipitation along the trajectories was evaluated for different trajectory lengths
703 (24-168 hours) and showed the strongest correlation for a 96 hour trajectory duration
704 ($\rho = -0.74$, p-value < 0.05 ; Fig. 3.4a).

705 **3.3.4 Inter-seasonal variation of monsoon in the Darwin GNIP** 706 **record**

707 Isotopic variation in precipitation over longer time scales (Darwin 23 year, monthly
708 GNIP record) also showed strong relationships with regional precipitation amount
709 and OLR, see Fig. 3.4b and Table 3.1. In order to further explain these relation-
710 ships, zonal 850 hPa winds were examined as these are indicators of monsoonal
711 active (bursts) and inactive (break) periods [6]. Three regimes were identified in the
712 data for the available years 1979–2002; (i) low isotopic values and higher regional
713 precipitation accompanied by strong westerlies, with this regime dominant in the
714 monsoon season from December to April, (ii) high isotopic values accompanying
715 relatively low regional rainfall amounts associated with easterly winds in the dry
716 season and (iii) a transition from low to high isotopic values where regional average
717 zonal winds are weak or entirely absent. The significant outlier at point $\delta^{18}\text{O}=-$
718 12.52 ‰ represents April 1985 during which time Tropical Cyclone Gretel tracked
719 along the coast near Darwin, with 189.5 mm recorded at Darwin Airport in this
720 month. Cyclonic precipitation is known to result in very low isotope values close to
721 the centre of the cyclone [84, 85, 53].

722 **3.4 Discussion**

723 **3.4.1 Intra-seasonal variability**

724 The isotopic evolution of two typical monsoon rainfall events was fully captured
725 during their burst-break cycle. Results of the current study suggests that the isotopic
726 record in tropical Australian rainfall in this study reflects regional ($10^\circ \times 5^\circ$ box,
727 centered over Darwin) climatic conditions rather than local rainfall amounts, similar
728 to results that have been reported elsewhere [19, 33, 34].

729 The ISV of monsoon conditions, caused by different convection types resulted in
730 distinctively different isotopic signatures. This means that high-temporal-resolution
731 isotopic records from archives such as stalagmites, in this region [see for an example

732 elsewhere 84] could be used to reconstruct ISV of the AISM as short term isotopic
733 variations are picked up within the rainfall signal.

734 The lowest $\delta^{18}\text{O}$ values occurred when eastward and westward moving convective
735 systems merged over the measurement location, creating the largest stratiform cloud
736 areas. These merger events were also reported by Kurita et al. [32] and strengthens
737 the hypothesis that the size of the convective active area plays a dominant role in
738 the lowering of $\delta^{18}\text{O}$ values. Our results confirm the connection reported by [86]
739 and [41] between the type of convection, relative position of the measurement site
740 (ie upwind/downwind of convective area) and measured $\delta^{18}\text{O}$ values.

741 Merger points between eastward- and westward moving systems provide a fa-
742 vorable environment for the development of MCS. MCS contain large regions of
743 stratiform rainfall and these are responsible for depletion of the δ values in precip-
744 itation due to the injection of depleted vapour from high altitudes into the lower
745 altitudes from which the precipitation is derived [19]. Recycling of vapour through
746 successive MCS's results in an amplification of this negative isotopic signal. This
747 is reflected in the strong correlation that we observed between isotope values and
748 integrated rainfall amounts along trajectories. In contrast, enriched isotopic values
749 were associated with periods when convective activity in, or upwind of the mea-
750 surement region was low, the size of stratiform decks was reduced and recycling
751 through successive MCS's was absent. Higher $\delta^{18}\text{O}$ values, associated with oceanic
752 trajectories have also been observed by Treble et al. [87] and Corrales et al. [88]
753 and attributed to entrainment of oceanic moisture. However, since the majority of
754 trajectories had a significant oceanic path and a similar vapour source, we suspect
755 that the absence of large convective areas with associated stratiform fractions and
756 hence less rainfall/moisture recycling along the trajectories prior to arriving at the
757 measurement site led to relatively high $\delta^{18}\text{O}$ values.

758 **3.4.2 Connection between intra- and inter-seasonal variabil-** 759 **ity**

760 Long term monthly averaged climatology is summarized in Figure 3.5. There is a
761 clear difference in cloudiness (OLR) between the wet (low) and dry (high) season,
762 accompanied by a shift in 850hPa wind fields bringing in warm moist tropical air in
763 the wet season (characterized by higher θ_e values) and relatively colder dry air in the
764 dry season (low θ_e values). This study found a strong connection between these mon-
765 soon characteristics and isotopic variation in the GNIP record. Monsoon ‘bursts’
766 —of widespread convection in the wet season accompanied by westerly winds at
767 850 hPa—produce low $\delta^{18}\text{O}$ values. High $\delta^{18}\text{O}$ values are produced during monsoon
768 ‘breaks’ (when convection is scattered, shorter-lived and 850 hPa easterlies prevail).
769 The strong relationship between short-term meteorological drivers of isotopic vari-
770 ability found during the two events and inter-seasonal/annual variation of $\delta^{18}\text{O}$ in
771 the GNIP record supports the hypotheses that past monsoon activity can be recon-
772 structed from the isotope record in north Australia. These results demonstrate that
773 the local isotopic record has regional significance and enable a more comprehensive
774 interpretation of past monsoon activity.

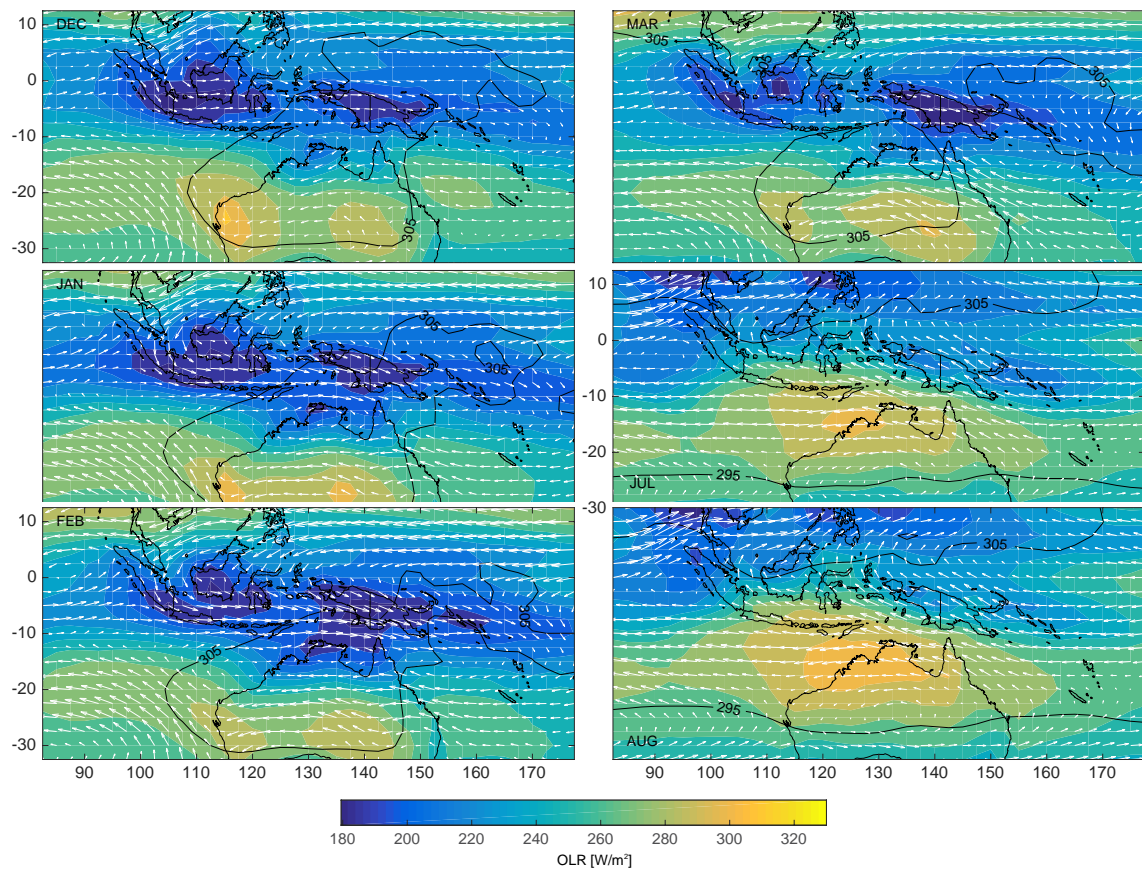


Figure 3.5: Long term (1981-2010) NCEP/NCAR average of 850hPa winds (white arrows), OLR (color) and derived θ_e (black contour lines).

775 **3.5 Conclusions**

776 Low isotopic values in precipitation measured on 12 hourly timescales in Darwin,
777 northern Australia, were associated with large convective areas propagating through
778 the region. The largest negative amplitude in the isotopic signal was observed at
779 the intersection of eastward and westward moving precipitation systems within the
780 convective envelope. The main drivers of isotopic variation in precipitation on these
781 intra-seasonal timescales were found to be (i) integrated precipitation history along
782 air mass trajectories and (ii) the extent and organisation of convective activity in
783 the region. A local amount effect was not statistically significant on 12 hourly
784 timescales.

785 OLR and regional precipitation amount show strong correlations with observed
786 isotope ratios in rainfall in single events and in monthly GNIP isotope data, support-
787 ing the interpretation that rainfall isotopic variation on longer time scales is driven
788 by the same meteorological factors that explain short term intra-seasonal monsoon
789 variability. This suggests that information about the variability of the Australian
790 monsoon can likely be inferred from the isotopic proxy records in north Australia
791 on short (intra seasonal; speleothems, molluscan shells and coral records) and long
792 (inter seasonal or longer; leaf waxes, sediment records) timescales.

Chapter 4

Isotopic signature of Monsoon conditions, Cloud modes and Rainfall type

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Abstract

This work provides a comprehensive physically based framework for the interpretation of the north Australian rainfall stable isotope record ($\delta^{18}\text{O}$ and $\delta^2\text{H}$). Until now interpretations mainly relied on statistical relationships between rainfall amount and isotopic values on monthly timescales. Here we use multi-season daily rainfall stable isotope and high resolution (10 min) ground-based C-band polarimetric radar data and show that the five weather types (monsoon regimes) that constitute the Australian wet season each have a characteristic isotope ratio. The data suggests that this is not only due to changes in regional rainfall amount during these regimes but, more importantly, is due to different rain- and cloud-types that are associated with the large scale circulation regimes. Negative (positive) isotope anomalies occurred when stratiform rainfall fractions were large (small) and the horizontal extent of raining areas were largest (smallest). Intense, yet isolated, convective conditions were associated with enriched isotope values whereas more depleted isotope values were observed when convection was widespread but less intense. This means that isotopic proxy records may record the frequency of which these typical wet season regimes occur. Positive anomalies in paleo climatic records are most likely associated with periods where continental convection dominates and convection is sea-breeze forced. Negative anomalies may be interpreted as periods when the monsoon trough is active, convection is of the oceanic type, less electric and stratiform areas are wide spread. This connection between variability of rainfall isotope anomalies and the intrinsic properties of convection and its large-scale environment has important implications for all fields of research that use rainfall stable isotopes.

Author contributions

C. Zwart developed the research question, analysed and interpreted the data and wrote the manuscript. N. C. Munksgaard and D. Lambrinidis performed the laboratory analysis. A. Protat processed the radar data. N. Kurita and M.I. Bird participated in critical discussion on development of the manuscript. All authors provided critical feedback and commented on the manuscript. Acknowledgement of Valentin Louf (Monash University) for the calibration of the CPOL data.

837 4.1 Introduction

838 Reconstructions of past monsoon conditions largely depend on the interpretation of
839 the stable isotope proxy records that can be found in, for example, lake sediment
840 deposits, speleothems, corals and tree rings [61, 62, 85, 89].

841 In tropical areas such as north Australia, the stable isotope record has been inter-
842 preted in the frame work of an 'amount effect'; a correlation based on the relationship
843 between rainfall isotope values and amount of precipitation on monthly or longer
844 timescales [18]. However, the timescales of the process involved in determining rain-
845 fall isotopic values vary from decades (ocean surface temperature) to seconds (cloud
846 microphysics). Typically the statistically based 'amount effect' becomes weaker or
847 is entirely absent on shorter timescales [see for example 20, 22, 23, 21].

848 Traditionally, the ISV of north Australian monsoon conditions have been inter-
849 preted as the alternating occurrence of so called monsoon 'bursts' -periods of high
850 rainfall over the ocean associated with low-level westerly winds- and 'breaks', periods
851 of high rainfall over land associated with moist low-level easterly winds [74, 90, 6].
852 More recently, [91] demonstrated that the highly variable north Australian wet sea-
853 son conditions can be divided into five regimes:

- 854 • Dry Easterly (DE) trade wind regime. Lower tropospheric winds are south-
855 easterly in this regime and the moisture profile is driest compared to other
856 regimes.
- 857 • Deep West (DW) regime, this is the active monsoon regime. Moist lower
858 tropospheric winds (up to ≈ 400 hPa are westerly and the precipitable water
859 content and humidity are highest during this regime.
- 860 • Easterly (E), this is the buildup regime, it represents the transition from
861 tradewind (DE) to the active monsoon (DW) regime. The wind and hu-
862 midity profile of this regime are similar to the DE regime but weaker/higher
863 respectively.
- 864 • Shallow West (SW). This is a mixed inactive/break regime. The eastern part

865 of Australia’s monsoon region (see inset Figure 4.2) experiences and active
866 monsoon during this regime but monsoon circulation over Darwin is weaker
867 and westerly winds are shallow. Moisture profiles in the lower troposphere are
868 wetter than the easterly regimes.

- 869 • Moist East (ME). This regime corresponds to break monsoon conditions.
870 Zonal winds in the entire troposphere are easterly and relatively weak. Hu-
871 midity profiles during this regime are higher than DE and E regimes.

872 Several meteorological studies have used radar reflectivity data and demonstrated
873 that cloud, convective cell and precipitation properties, are closely linked to the
874 above large scale circulation regimes [92, 93, 94].

875 The aim of this work is to link the variability of rainfall isotope composition to
876 the intrinsic properties of convection and its large-scale environment, with a longer
877 term scope to better interpret the paleo climate archives in north Australia and
878 elsewhere. We use ground based radar reflectivity and climate reanalysis data to
879 evaluate atmospheric conditions and its rainfall isotopic response in a 3.5 year daily
880 rainfall isotope time series. The high temporal resolution of our dataset enables
881 us to examine meteorological conditions in great detail and link cloud and rainfall
882 types to their associated rainfall isotopic composition.

883 **4.2 Methods**

884 **4.2.1 Rainfall sampling and isotope analysis**

885 Accumulated 24 hourly rainfall was collected from January 2014–July 2017 near
886 Charles Darwin University (12.37°S 130.86°E). A Palmex rainfall sampler designed
887 to prevent sample evaporation, were used with a 14.5 cm diameter funnel. The
888 minimum volume for sampling was 10 ml (equivalent to ≈ 0.5 mm rain). Stable
889 isotope analysis was carried out using Picarro L2120i and L2130i instruments and
890 autosampler connected to a diffusion sampler device [see 30]. Measurements were
891 scaled relative to the Vienna Standard Mean Ocean Water (VSMOW) scale using 3

892 secondary water standards whose composition were determined relative to the cer-
893 tified isotope standards VSMOW, Standard Light Antarctic Precipitation (SLAP)
894 and Greenland Ice Sheet Precipitation (GISP) by multiple analyses using Isotope
895 Ratio Infrared Spectrometry (IRIS) and Isotope Ratio Mass Spectrometry (IRMS)
896 at three laboratories. Precision is typically $\pm 0.1\text{‰}$ and $\pm 0.5\text{‰}$ for $\delta^2\text{H}$ and $\delta^{18}\text{O}$
897 respectively (1σ s.d.). Correlations are reported as Pearson ρ .

898 4.2.2 Meteorological data

899 Monsoon burst periods were identified following the definitions of [95] and [96].
900 According to these definitions a burst occurs when regional daily precipitation rate
901 increases from below to above the long term mean within a seven day period. In their
902 method, regional is defined as the land area covered by the rectangular box (10° -
903 20°S , 120° - 150°E , which represents the extent of the Australian summer monsoon
904 [97], see inset of Figure 4.2), however we also included the area over the ocean as this
905 greatly impacts coastal land points. Secondly we analysed lower tropospheric zonal
906 wind as indicator of burst conditions analogous to Drosowsky [90]. Era Interim
907 reanalysis data and Global Precipitation Measurement mission (GPM) over land
908 and sea was used to calculate regional daily (15 March 2014–28 April 2017) and
909 long-term (1979–2009) precipitation rates.

910 The mean wind and humidity profiles that characterise different monsoon regimes
911 are easily distinguished [see Figure 2 in 91, 94]. We plotted wind and humidity pro-
912 files for each day in our isotope dataset using NCEP reanalysis data. First, profiles
913 were classified as west- or easterly by evaluating the wind direction in the lower- to
914 mid-troposphere (1000–500 hPa). Second, the classification was subdivided into the
915 DW/SW or DE/E/ME regimes. This was done by visually finding the closest match
916 to the mean profiles reported by [91]. Reanalysis data and OLR were downloaded
917 from the NOAA (<https://psl.noaa.gov/data/gridded/>,
918 <https://www.esrl.noaa.gov/psd/>). Regional average daily OLR values were cal-
919 culated by averaging daily OLR values over the above bounding box of $30^\circ(\text{EW})\times 10^\circ(\text{NS})$,
920 centered over Darwin airport. Local rainfall statistics (station identifier 14015) as

921 well as MJO parameters were obtained from the BoM website ([http://www.bom.](http://www.bom.gov.au/)
922 [gov.au/](http://www.bom.gov.au/)).

923 Cloud- and rainfall properties were analysed using C-band radar data. The
924 Darwin C-band-dual-polarization (CPOL) Doppler research radar is located ≈ 20
925 km from the sampling site and has a range of 150 km. The CPOL radar minimum
926 detectable signal is -2 dBZ at 100km for the period used in this paper. The analysis
927 has been restricted to 100km range to make sure we were able to detect 0-dBZ
928 echo top heights at any included range. The existing 17-year radar data set was
929 calibrated using a combination of the Relative Calibration Adjustment technique
930 [98] and statistical comparisons with the NASA TRMM and GPM precipitation
931 radars in space using the technique described in Warren et al. [99]. Rainfall and
932 cloud types were classified using the method described by Kumar et al. [100]. This
933 algorithm by Steiner et al. [101] classifies gridded radar pixels at the 2.5 km level as
934 convective if the reflectivity value is at least 40 dBZ or greater than a threshold on
935 the area-averaged background reflectivity.

936 All radar pixels above the 2.5 km level were assigned the same classification,
937 this assumption is reasonable during convective wet season conditions in Darwin
938 [100]. Cloud types were determined using the zero-dBZ Echo Top Height ($ETH \leq$
939 $6.5 \text{ km} = \text{Cumulus Congestus}$, $6.5 \text{ km} \geq ETH \leq 15 \text{ km} = \text{deep convective}$ and ETH
940 $> 15 \text{ km} = \text{overshooting convective}$, following Kumar et al. [100]. The daily average
941 of classified pixels (convective or stratiform) were calculated by averaging in space
942 (over the radar domain) and time (from start to finish of isotope sampling). The
943 duration that stratiform pixels were present in the radar domain was recorded by
944 setting a counter while stratiform pixels were present in the radar domain and reset
945 once the radar domain was clear.

946 Spectral analysis was performed on the 10-day running mean $\delta^{18}\text{O}$ anomaly
947 (3-year mean removed) using a Lomb-Scargle Fourier transform as our data was
948 unevenly spaced. Statistical significance was assessed by generating a red noise
949 spectrum and confidence intervals using the program REDFIT [102].

950 Sea Surface Temperatures (SST) were downloaded from the Integrated Marine
951 Observing System (IMOS) website (<http://imos.org.au/sstproducts.html>). Anoma-
952 lies were calculated by averaging over a rectangular box (10° - 15° S \times 127.5° - 132.5° E)
953 and subtracting the summer mean (October–May).

954 **4.3 Results**

955 Results will be discussed in terms of $\delta^{18}\text{O}$ only as the O and H isotope results were
956 highly correlated (Figure 4.1b). A least square analysis of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ of the
957 rainfall samples resulted in a significant and strong correlation ($\rho = 0.99$, $p < 0.05$).
958 The resulting meteoric water line (MWL) is $\delta^2\text{H} = 7.93 \times \delta^{18}\text{O} + 13.25$ ($R^2 = 0.98$)
959 (Figure 4.1b).

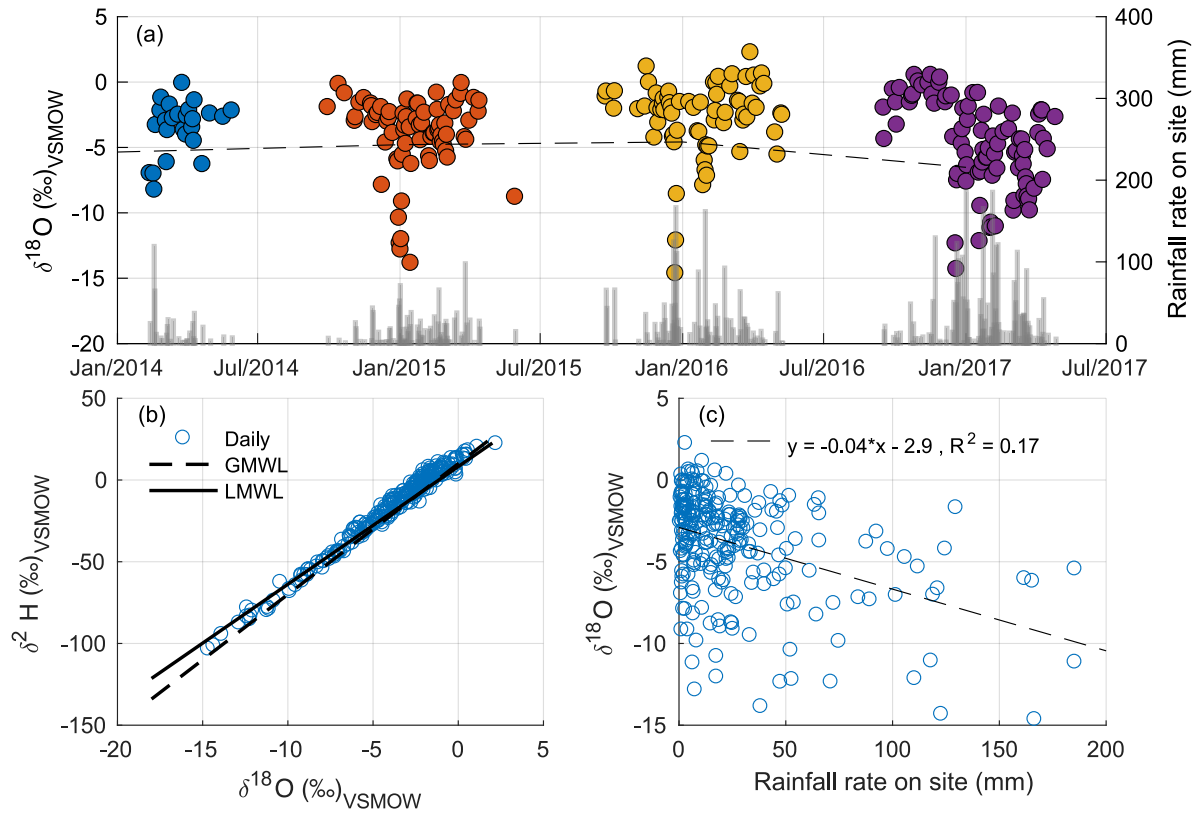


Figure 4.1: (a) Daily time series of $\delta^{18}\text{O}$. Blue, Red, Yellow and Purple indicate season 13/14, 2014/2015, 2015/2016 and 2016/2017 respectively. Grey bars show rainfall collected over isotope sample period. Dashed line connects amount weighted seasonal means. (b) $\delta^2\text{D}$ versus $\delta^{18}\text{O}$. Dashed and solid black lines are Global Meteoric [81] and Local [76] Meteoric Water Lines respectively. (c) Daily $\delta^{18}\text{O}$ versus rainfall collected on site.

960 4.3.1 Rainfall amount

961 Rainfall in Darwin and corresponding daily isotope values showed a strong season-
962 ality (Figure 4.1a). Rain days during the months May to September were absent
963 with the exception of one rain event in June 2015. The rainfall isotopic composi-
964 tion throughout seasons 2014/2015 and 2015/2016 and to a lesser extend in season
965 2016/2017 showed a distinct Y shape with enriched values at the start (Septem-
966 ber) and end (May) of the season and largest negative excursions around Jan-
967 uary/February. Minimum $\delta^{18}\text{O}$ values of ≈ -15 ‰ were reached around January
968 in every season except for season 2013/2014, however we note that this season was
969 incomplete as sampling only started mid January and low $\delta^{18}\text{O}$ events might have
970 occurred earlier. The total rainfall recorded at Darwin Airport during 2014/2015
971 and 2015/2016 was below the long term (1961–1990) average, with season 2016/2017
972 showing higher than average rainfall totals [103]. The amount weighted $\delta^{18}\text{O}$ values
973 per season remain fairly constant for the first 3 seasons and show a decrease for the
974 2016/2017 season (dashed line Figure 4.1a and Table 4.1).

Table 4.1: Seasonally amount weighted $\delta^{18}\text{O}$ values and accumulated rainfall amounts at the measurement site. Total sample days used for analysis $n= 214$, amount weighted $\delta^{18}\text{O}$ for all measurements = -5.53 [‰], amount weighted standard deviation = 3.43 [‰]. Annual mean values at bottom of table from GNIP 1962–2002, [76] and BoM (1961–1990) respectively [103].

	$\delta^{18}\text{O}$ [‰]	Rainfall [mm]	n	nr Bursts
13/14 (partial season only)	-5.35	468	25	
2014/2015	-4.79	1220	73	10
2015/2016	-4.58	1990	69	7
2016/2017	-6.52	3070	90	5
Annual long term mean	-5.27	1770		
DE	-1.86	3.4 %	9.4 %	
DW	-7.39	49.7 %	34.1 %	
E	-1.89	9.3 %	11.2 %	
SW	-3.61	19.4 %	26.6 %	
ME	-4.89	16.8 %	17.2 %	

975 Several peaks in rainfall coincide with large negative excursions of the isotope
976 ratios, however a scatterplot of rainfall amount at the site (local gauge) against
977 $\delta^{18}\text{O}$ values shows a large range of $\delta^{18}\text{O}$ values for a given rainfall amount and only
978 a weak correlation ($\rho = -0.40$, $p < 0.05$, Figure 4.1c). Regional rainfall amount shows
979 a stronger correlation with $\delta^{18}\text{O}$ ($\rho = -0.58$ $p < 0.05$ respectively).

980 Using the definition of monsoon bursts proposed by [95] we identified 10, 7
981 and 5 bursts for seasons 2014/2015, 2015/2016 and 2016/2017. Season 13/14 was
982 excluded from this analysis as only the second half of the season was sampled. We
983 note that due to the specifics of [95] description of a burst (see Methods), many
984 burst-like features do not classify as bursts and would be excluded from analysis if
985 only rainfall amount was used in the classification. Large bursts always occurred
986 when the MJO was in phase 5 or 6. Spectral analysis of the 10-day moving average
987 $\delta^{18}\text{O}$ anomaly shows significant peaks around a period of ≈ 51 days (see Figure 6.2a)
988 which most likely reflects the influence of the MJO [32]. Other significant peaks
989 occur around the 18- and 15-day period, with these periods attributed by [95] to
990 the passages of extra tropical disturbances and their associated fronts.

991 **4.3.2 Weather types**

992 Next, we analysed the rainfall isotopic compositions of the the five different large
993 scale circulation regimes regimes that occur in Darwin [91]. The five regimes are
994 associated with different wind- and humidity profiles as discussed above. Large peaks
995 in precipitation rate coincided with strong westerly winds, for example January
996 2015, the end of December 2015, February and March 2016 and mid December 2016
997 and February 2017 (Figure 4.2). Lower –than seasonal average– $\delta^{18}\text{O}$ values were
998 observed during burst periods with westerly winds. Positive $\delta^{18}\text{O}$ anomalies were
999 often observed when easterly winds dominated the lower troposphere.

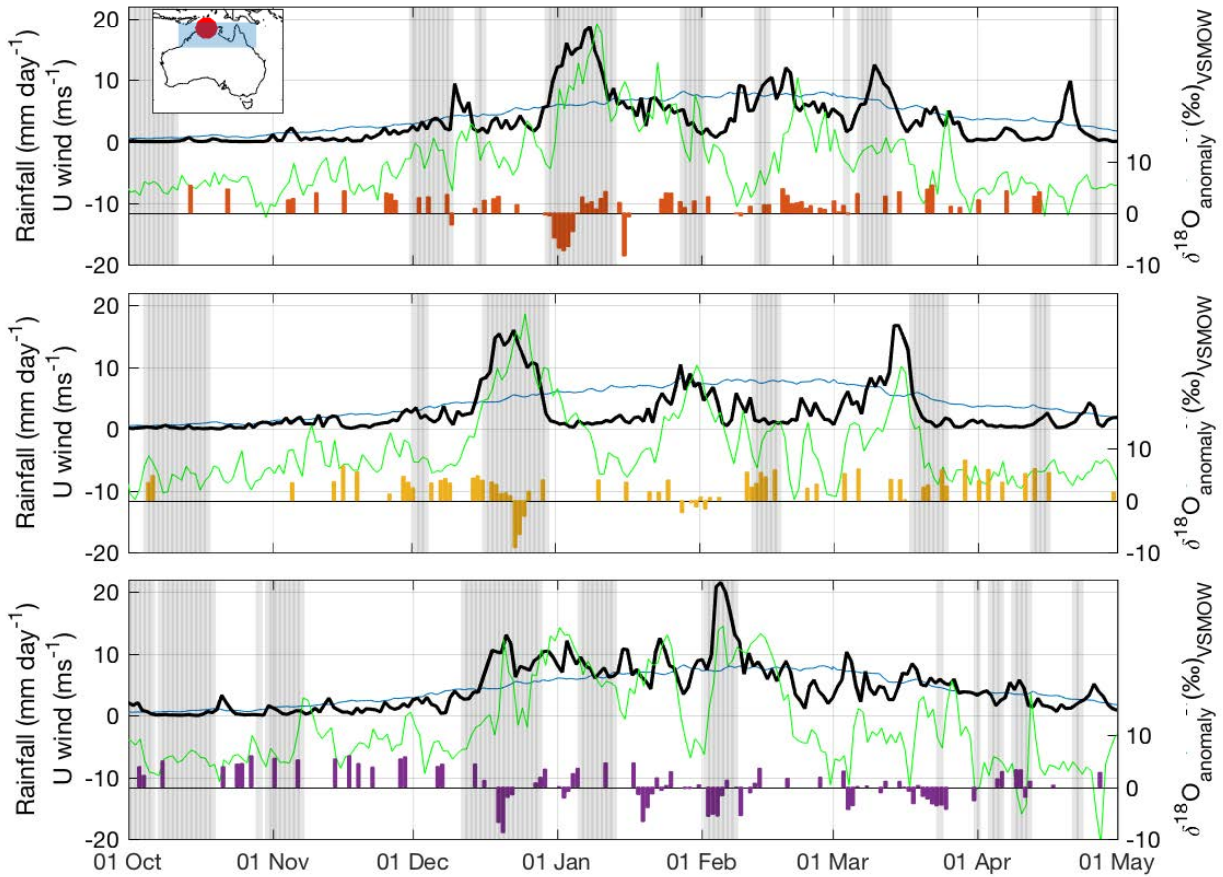


Figure 4.2: Long term climatology and regional daily precipitation rates, analogous to [95, 96]. Top to bottom season 2014/2015, 2015/2016 and 2016/2017, inset top left displays defined region and Darwin (blue shading and red dot respectively). Thin blue line: long term smoothed average (1979–2009) precipitation rate. Black line: Global Precipitation Measurement mission (GPM) regionally averaged daily precipitation rate. Green line: Era-Interim mean 850 hPa zonal wind (positive=westerly and negative=easterly). Vertical coloured (orange, ochre, purple) bars show $\delta^{18}\text{O}$ anomaly (anomaly defined as $\delta^{18}\text{O}$ - three-year mean $\delta^{18}\text{O}$). Grey bars indicate MJO in phase 5 or 6.

1000 $\delta^{18}\text{O}$ values clustered according to these regimes and have different amount-
1001 weighted mean $\delta^{18}\text{O}$ values (Table 4.1), the largest difference was observed between
1002 DE and DW regimes ($1.6 * \text{s.d.}$). $\delta^{18}\text{O}$ values also showed a robust relationship with
1003 lower to mid-tropospheric relative humidity ($\rho = -0.66$, $p < 0.05$, Figure 4.3b) . The
1004 DW regime contributed the most rainfall to our dataset at the measurement site
1005 and showed the lowest $\delta^{18}\text{O}$ values. Rainfall totals were lowest during DE and E
1006 regimes and $\delta^{18}\text{O}$ values most enriched. Similar amounts of rainfall were recorded
1007 during ME and SW regimes and $\delta^{18}\text{O}$ values were similar during those periods and
1008 intermediate between DW and DE values. SST during the wet season showed a drop
1009 of $\approx 6^\circ\text{C}$ during the transition from break to burst conditions (not shown).

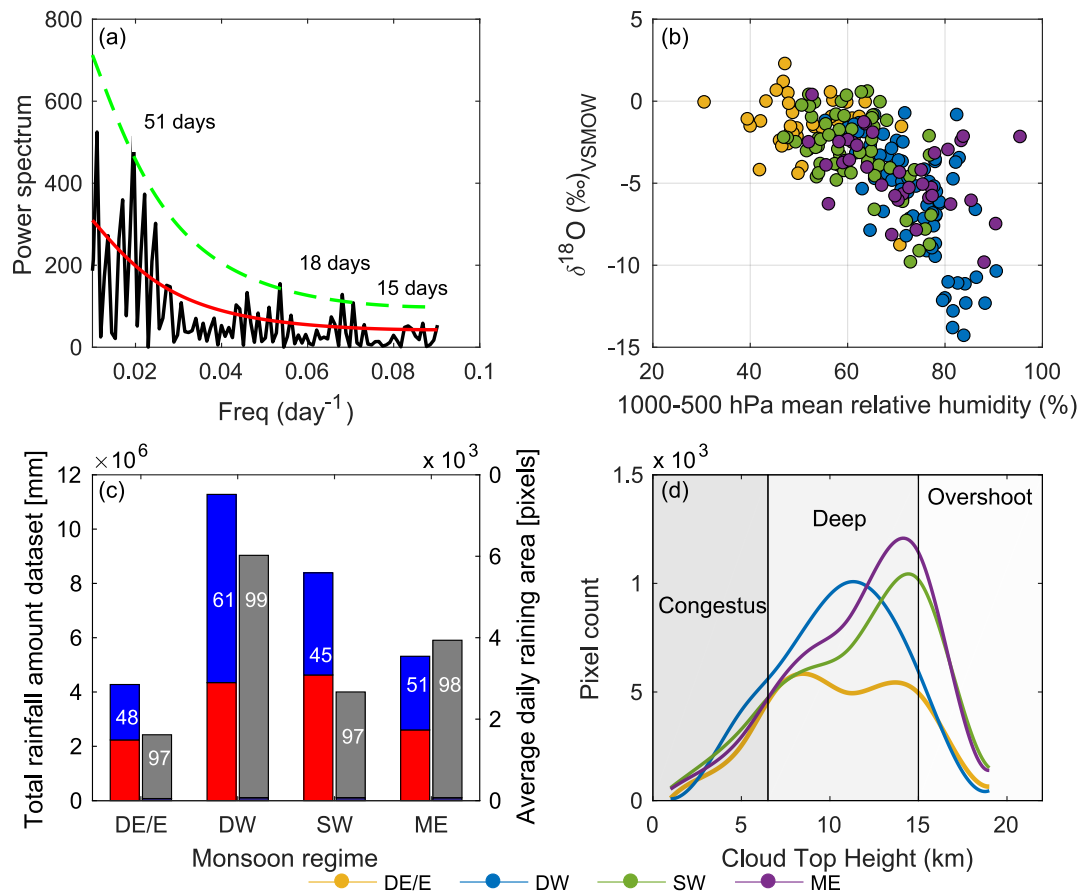


Figure 4.3: (a) Power spectrum of $\delta^{18}\text{O}$ anomaly (Lomb-Scargle, black line) with Red Noise spectrum and 90% confidence interval (red and green line respectively) generated using REDFIT [102]. (b) $\delta^{18}\text{O}$ against lower tropospheric relative humidity. Different colors represent monsoon regimes; Dry East and East (yellow), Deep West (blue), Shallow West (green) and Moist East (purple). (c) Rainfall characteristics derived from C-band polarimetric radar for different monsoon regimes. Stratiform (blue) and Convective (red) rainfall totals (whole radar-domain) per regime in dataset (left Y-axis). Black and Grey bars indicate average daily convective and stratiform raining area respectively (right Y-axis). White text indicate stratiform fraction (%). (d) Distribution of Echo Top Heights (ETH) for different monsoon regimes.

1010 Radar derived rainfall amount, stratiform/convective fraction and raining area
1011 showed clear differences across monsoon regimes (Figure 4.3c). Our results are
1012 similar to Penide et al. [94] that used the same radar in Darwin during two wet
1013 seasons (2005/2006 and 2006/2007). We attribute small differences between their
1014 and our results to the fact that we only evaluated radar data on days when there
1015 was enough rain over our sampling station whereas Penide et al. [94] evaluated all
1016 raining days.

1017 The DW regime corresponded to the largest precipitation area and highest rain-
1018 fall accumulation in the radar domain throughout the dataset. The stratiform rain-
1019 fall fraction and stratiform fraction of the raining area was also highest, 61% and
1020 99% respectively. Lowest precipitation amount was during the DE regime which
1021 also has the lowest stratiform rainfall fraction (43%). The precipitating area of the
1022 DE regime was also lowest, however, the stratiform fraction of this area was equal
1023 to the E and SW regimes (97%). The precipitating area of the E and SW regime
1024 were similar (around $2-3 \times 10^3$ number of pixels), however the SW regime produced
1025 more than twice the amount of rain in the dataset than the E regime. Stratiform
1026 fractions were lowest for the DE and SW regimes (43 and 45% respectively) and
1027 similar for the E and ME regimes (49 and 51% respectively).

1028 Estimated cloud top heights showed different distributions for the different mon-
1029 soon regimes see Figure 4.3d. DW days show a normal distribution with a mean
1030 ETH of ≈ 11 km, reflecting dominance of deep convection in this regime whereas
1031 the DE regime shows a double peak at 7 km and 15 km respectively, the shape of
1032 the E regime is somewhat similar with a less pronounced secondary peak and higher
1033 overall pixel count. Highest counts of overshooting convection were found during
1034 SW and ME regimes.

1035 Figure 4.4 further illustrates the different rainfall and cloud properties across
1036 the range of $\delta^{18}\text{O}$ values. $\delta^{18}\text{O}$ values showed a negative (positive) relationship
1037 with stratiform (convective) reflectivity (Figure 4.4a,b). The different regimes were
1038 scattered across the range of stratiform reflectivity (Figure 4.4a), however, highest

1039 stratiform reflectivity was observed during SW, ME and DW regimes. Increasing
1040 convective reflectivity corresponds to an increase in intensity of the convective cells
1041 and these intense convective cells were associated with higher $\delta^{18}\text{O}$ values (Fig-
1042 ure 4.4b) . Days with low convective intensity occurred mainly during the active
1043 monsoon regime (DW) and were associated with lowest $\delta^{18}\text{O}$ values. Stratiform
1044 reflectivity and pixel count was high on these days, and stratiform pixels present
1045 in the radar domain for a longer period of time (Figure 4.4c,d). Stratiform pixel
1046 count increased exponentially as a function of stratiform reflectivity, indicating a
1047 well-developed ice phase in well organised convective systems. It is also in this
1048 higher stratiform reflectivity range (dBz > 10) that $\delta^{18}\text{O}$ values decrease rapidly.

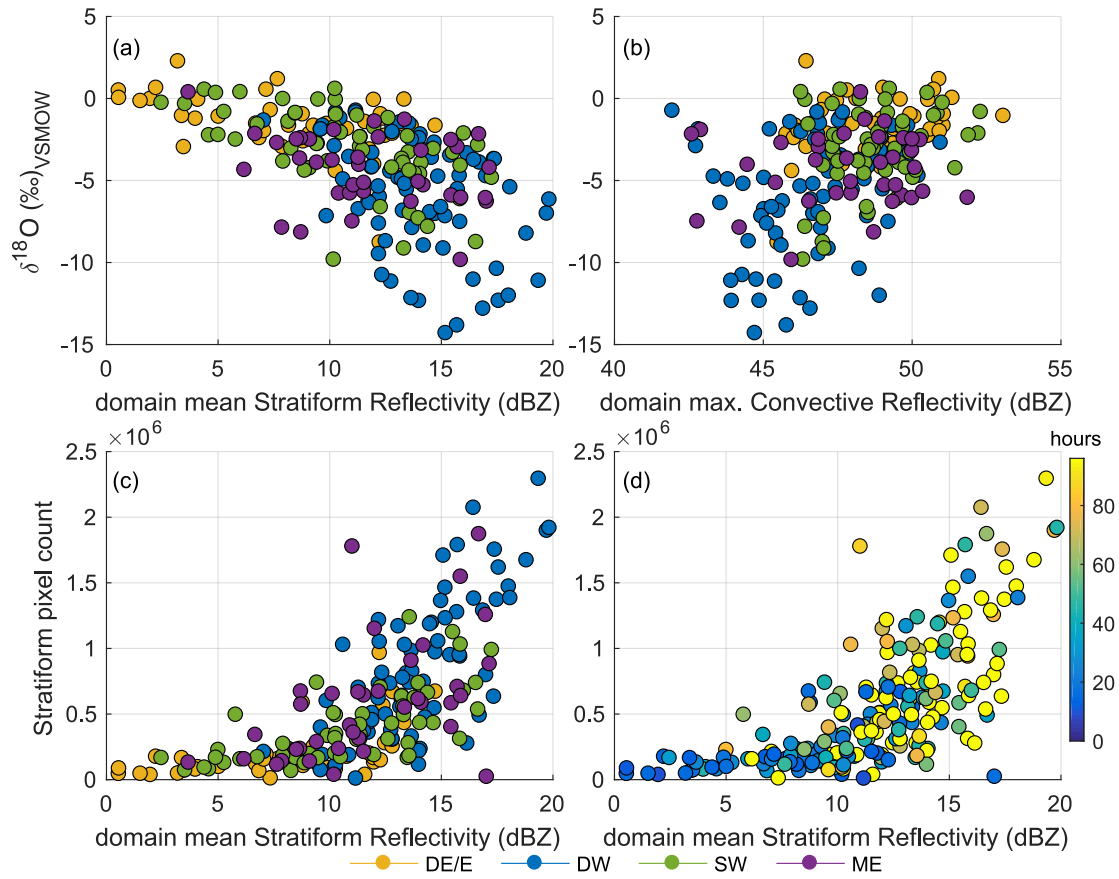


Figure 4.4: Daily $\delta^{18}\text{O}$ values against radar-domain-daily-mean stratiform (a) and radar-domain-daily-max convective (b) reflectivities at 2.5 km height. A rainfall isotope sample (coloured circle) appears in both the stratiform and convective panel at a given day. (c) Stratiform pixel count in radar-domain against domain-mean stratiform at 2.5 km, Color indicates Monsoon regime. (d) as (c) but color indicating the duration that stratiform pixels had present in radar domain at time of rainfall sampling.

1049 4.4 Discussion

1050 Regional precipitation amount is significantly correlated with $\delta^{18}\text{O}$ values ($\rho = -0.50$
1051 $p < 0.05$) and exhibits similar periodicities. This indicates that they share a common
1052 driver and suggests that the stable isotope record can be used as a proxy for inter
1053 annual variability of wet season precipitation amount.

1054 The driving forces for sudden increases in regional precipitation amount in this
1055 region have been attributed to the MJO [104] and mid-latitude influences such as
1056 changes in convective instability, moisture flux and associated changes in circulation,
1057 [see 95, 96].

1058 However the correlation between precipitation amount and $\delta^{18}\text{O}$ here and in
1059 many other studies is statistically, not physically based. This is illustrated by the
1060 strongly reduced correlation of the amount effect at short timescales as has also been
1061 observed for monsoon events in Australia [70, 23] and elsewhere [19, 33].

1062 $\delta^{18}\text{O}$ values showed a strong link to large scale circulation regimes, indicated
1063 by robust correlations between $\delta^{18}\text{O}$ values and lower tropospheric humidity and
1064 regional cloudiness ($\rho = -0.66, -0.58$ $p < 0.05$ respectively). These regimes have dis-
1065 tinct atmospheric wind and moisture profiles [91] and the convective conditions
1066 during these regimes have been shown to be of a different kind [93]. Each mon-
1067 soon regime showed distinct convective/stratiform rainfall fractions, raining area
1068 and cloud height distributions.

1069 The influence of these regimes on the rainfall isotopic composition is illustrated
1070 by the relatively low isotopic ratios at the end of season 2016/2016. This season was
1071 not characterised by the typical 'Y' shape. We attribute this to the presence of DW,
1072 SW and ME regimes at the end of the season (from March onward), this resulted in
1073 higher than average regional rainfall and types of convection that produced relatively
1074 low rainfall isotopic ratios. In contrast, DE and E regimes were present at the
1075 end of seasons 2014/2015 and 2015/2016, producing more enriched rainfall isotope
1076 compositions.

1077 The link between monsoon regimes and $\delta^{18}\text{O}$ values is also reflected by the specific

1078 range of $\delta^{18}\text{O}$ values that different monsoon regimes produce. The DW regime
1079 produced most rainfall and lowest $\delta^{18}\text{O}$ values in our data set and also exhibited
1080 largest stratiform areas, the DE regime produced little rainfall, highest $\delta^{18}\text{O}$ values
1081 and was associated with smaller convective systems. Similar rainfall characteristics
1082 in this region were reported by Penide et al. [94] who analysed two Australian wet
1083 seasons (05/06 and 06/07). Our results support the findings of Aggarwal et al. [41]
1084 who used monthly TRMM radar data to demonstrate a link between low rainfall
1085 isotopic values and large stratiform rainfall fractions and Kurita et al. [32] who found
1086 a relationship between low δD values and large stratiform areas.

1087 DE and DW regimes were clearly on opposite sides of the range of isotope values
1088 but there was however also still considerable scatter within the different regimes.
1089 This scatter indicates the complexity of the interplay between regional scale process
1090 and local mechanisms that produce resulting rainfall isotope ratios. The strong
1091 depletion of ^{18}O from rain originating from matured convective systems has been
1092 attributed to the recycling of depleted vapour from aloft and upstream of the rainfall
1093 location Kurita [19] and similar results in Darwin were reported during the merging
1094 of large convective envelopes [23].

1095 The interpretation of $\delta^{18}\text{O}$ was further illustrated by radar reflectivities. Convec-
1096 tive cells during the active monsoon regime are often less intense and embedded in
1097 large mesoscale stratiform decks [105]. This accords with the relatively lower ETH's
1098 during the DW regimes and associated lower convective reflectivities. In general,
1099 high convective reflectivities indicate intense convection (strong updrafts and high
1100 ETH) and this was associated with smaller stratiform areas and higher $\delta^{18}\text{O}$ values.

1101 Previous studies have provided possible explanations for the relatively high $\delta^{18}\text{O}$
1102 values of rain originating from such convective cells: (i) a relatively short life time of
1103 these cells, this gives not enough time for a recycling process to take effect [19]. (ii)
1104 Cloud microphysics in convective cells producing different $\delta^{18}\text{O}$ values than strati-
1105 form clouds [41]. We currently do not have enough data to provide a comprehensive
1106 explanation on this subject.

1107 Rainfall in tropical regions is a mixture of convective and stratiform contribu-
1108 tions as stratiform clouds are essentially older convective cells where strong updrafts
1109 have ceased to exist [106]. This means that the rainfall isotopic composition that
1110 clouds produce is always a mix of these two components and scatter amongst a
1111 daily-resolved isotopic ratio. This scatter is evident in the relationship of $\delta^{18}\text{O}$
1112 and stratiform reflectivity and can be explained as follows: as convective cells grow
1113 larger, the sampling site might receive rain from the stratiform area, however for
1114 smaller sized systems, the relative convective contribution will be larger.

1115 We observed an increasing amount of scatter in the $\delta^{18}\text{O}$ values with increasing
1116 stratiform reflectivity and an exponential increase in stratiform area with increasing
1117 stratiform reflectivity. This illustrates the degree of organisation of the convective
1118 cells that have a well developed ice phase; as the convective cells are more developed
1119 vertically they live longer and ice particles reside longer and have more chance to
1120 aggregate, become larger and yield higher reflectivities than in smaller convective
1121 systems.

1122 **4.5 Conclusions**

1123 Five wet-season weather patterns in Darwin, north Australia showed distinct rain-
1124 fall isotopic ratios. Rainfall isotopic variability was not related to local rainfall
1125 amount but to the properties of convection that are linked to large scale circulation
1126 regimes. Positive isotopic anomalies were associated with easterly and shallow west-
1127 erly regimes when stratiform rainfall fractions were relatively small. The largest
1128 negative isotope anomalies were recorded during the active monsoon regime, and
1129 were associated with the passage of the MJO.

1130 The data suggest that the isotopic proxy records in north Australia may record
1131 the frequency with which these typical wet season regimes occur. This means that
1132 positive anomalies in paleo climatic records are most likely associated with periods
1133 where continental convection dominates and convection is sea-breeze forced. Neg-
1134 ative anomalies may be interpreted as periods when the monsoon trough is active,

1135 convection is of the oceanic type, less electric and more wide spread [93].

1136 These results have important implications beyond the application of paleoclimate
1137 research, such as hydrology (groundwater recharge studies) and climate modelling.
1138 For example, climate models often fail to predict correctly the variability of rainfall
1139 properties [94]. The link between the variability of rainfall properties in its large scale
1140 context and isotopic values may be used to improve cloud system parameterisations
1141 in isotope-equipped climate models.

1142 Future work will require detailed monitoring of convective cells and the associated
1143 rainfall isotopic ratios on short timescales (continuous to minutes) to disentangle
1144 the influence of processes such as vapour recycling and cloud microphysics on the
1145 resulting rainfall isotopic composition.

1146 **Chapter 5**

1147 **Stable isotope anatomy of tropical cyclone**
1148 **Ita, north-eastern Australia, April 2014**

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1155

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1157 **Abstract**

1158 The isotope signatures registered in speleothems during TCs provides information
1159 about the frequency and intensity of past TCs but the precise relationship between
1160 isotopic composition and the meteorology of TCs remain uncertain. Here we present
1161 continuous $\delta^{18}\text{O}$ and $\delta^2\text{H}$ data in rainfall and water vapour, as well as in discrete
1162 rainfall samples, during the passage of TC Ita and relate the evolution in isotopic
1163 compositions to local and synoptic scale meteorological observations. High-resolution
1164 data revealed a close relationship between isotopic compositions and cyclonic features
1165 such as spiral rainbands, periods of stratiform rainfall and the arrival of subtropical
1166 and tropical air masses with changing oceanic and continental moisture sources. The
1167 isotopic compositions in discrete rainfall samples were remarkably constant along the
1168 ≈ 450 km overland path of the cyclone when taking into account the direction and
1169 distance to the eye of the cyclone at each sampling time. Near simultaneous variations
1170 in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in rainfall and vapour and a near-equilibrium rainfall-vapour
1171 isotope fractionation indicates strong isotopic exchange between rainfall and surface
1172 inflow of vapour during the approach of the cyclone. In contrast, after the passage
1173 of spiral rainbands close to the eye of the cyclone, different moisture sources for
1174 rainfall and vapour are reflected in diverging d-excess values. High-resolution isotope
1175 studies of modern TCs refine the interpretation of stable isotope signatures found
1176 in speleothems and other paleo archives and should aim to further investigate the
1177 influence of cyclone intensity and longevity on the isotopic composition of associated
1178 rainfall.

1179 **Author contributions**

1180 Conceived and designed the experiments: NCM CZ AB JN MIB. Performed the
1181 experiments: NCM CZ AB JN MIB. Analyzed the data: NCM CZ NK. Contributed
1182 reagents/materials/analysis tools: NCM CZ NK AB. Wrote the manuscript: NCM
1183 CZ NK AB JN MIB.

1184 5.1 Introduction

1185 The use of isotopes to reconstruct long-term, high-resolution records of TCs is a
1186 relatively recent advance within the developing field of palaeotempestology. TC
1187 rainwater, compared to monsoonal and thunderstorm rain, is typically depleted
1188 in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ due to extensive isotopic fractionation of atmospheric moisture
1189 flowing towards the TC core. To date, this fingerprint has been used to develop
1190 annual records of TCs extending back over 1500 years in Australia [107, 85] and at
1191 weekly intervals over a 26 year period in Belize [84] from cave speleothems. These
1192 records are registered following the percolation of $\delta^{18}\text{O}$ depleted rainwater through
1193 the cave roof, dissolving limestone which precipitates as generally seasonal layers of
1194 speleothem calcite between 100 and 200 μm thick. The same TC isotope fingerprint
1195 is also preserved in tree ring cellulose [108] and has been used to generate tree-ring
1196 records of past TC activity over the last 200 years in the south-eastern USA [109].

1197 While existing speleothem and tree ring isotope proxy records compare well with
1198 historical records of TCs for these regions there still remains uncertainty around
1199 the precise relationship between the isotope signature registered by the proxy and
1200 meteorological parameters of the TC. These parameters include the TC intensity,
1201 longevity of the system, distance from the sampling location to the TC eye or track
1202 and distance inland from the coastal crossing location and associated progressive
1203 weakening of system intensity and persistence of the isotopic signature within the
1204 rainfall.

1205 Following the pioneering work in the Gulf of Mexico [110, 111, 112] there have
1206 been relatively few studies examining the isotope values of TC (or ex-TC) rainfall
1207 over a substantial portion of the life of a TC system or along the TC track [113, 69].
1208 Of particular relevance are the characteristics of the isotope values after the cyclonic
1209 system crosses the coast and begins to weaken, as sampling locations can be some
1210 distance inland from the coast. There is also little available data on the relationship
1211 between isotope values and various structural aspects of TCs such as spiral bands
1212 and zones in between and variations in relative humidity and rainfall rates.

1213 The energy driving the circulation of TCs is provided by the evaporation of
1214 moisture from the sea surface and the subsequent release of latent heat upon the
1215 condensation of water vapour which also generates precipitation [110]. Moisture is
1216 conveyed along the surface towards the TCs low-pressure core and inside a radius
1217 of about 100 km from the core moisture inflow is typically 10-fold greater than the
1218 moisture flux from the surface within the central area itself [114]. As a consequence,
1219 the O and H isotope anatomy of TCs is influenced not only by the physical processes
1220 within the cyclone itself but also by the moisture sources and the precipitation
1221 histories of the air masses that become entrained in the circulation system [69, 115,
1222 116]

1223 We present here the results of an investigation into the O and H isotope char-
1224 acteristics of rainfall generated during TC Ita, which made landfall in northeast
1225 Queensland on April 11th, 2014. After landfall, TC Ita travelled over land parallel
1226 to the coast and re-entered the Coral Sea ≈ 300 km south of its initial landfall lo-
1227 cation. Samples of rainfall were collected along the length of this track at a variety
1228 of time intervals over a two-day period. The most intense sampling was undertaken
1229 near Cairns where, for the first time, two Isotope Ratio Infrared Spectrometers
1230 (IRIS) were used to simultaneously obtain continuous real-time $\delta^{18}\text{O}$ & $\delta^2\text{H}$ values
1231 of both rainfall and water vapour during the approach and passage of a TC. The
1232 results allow us to draw conclusions about the characteristics of the isotope values
1233 along the cyclone track and over time after making landfall. Comparisons between
1234 isotope values and rainfall rates, relative humidity and moisture source areas were
1235 also possible. The results are important for not only understanding the isotope vari-
1236 ability within a TC over time but also for testing specific conclusions made about
1237 a previously derived ≈ 800 year long TC isotope record collected close to the track
1238 of this system [85].

1239 **5.2 Observations**

1240 Tropical Cyclone Ita developed from a tropical low on 1 April 2014 over the Solomon
1241 Islands and gradually moved westward. Banding features wrapped around the circu-
1242 lation and deep convection became persistent by 2 April. On 10 April, Ita intensified
1243 into a Category 5 system on the Australian Scale (central pressure ≈ 930 hPa), but
1244 weakened to a Category 4 prior to landfall at Cape Flattery in North Queensland
1245 at 11 April 22:00 Australian Eastern Standard Time (AEST) (Fig. 5.1). Following
1246 landfall, Ita weakened rapidly to a Category 1 intensity with a central pressure of
1247 approx. 990 hPa and moved in a southerly direction parallel to the coast at ≈ 10
1248 km/h. The system re-entered the Coral Sea north of Townsville early on 13 April
1249 and continued moving south-east whilst undergoing extra-tropical transition on 14
1250 April [117, 118]. Meteorological observations, details of Cyclone Ita’s track and
1251 sampling and analysis of rainfall and water vapour are summarised in Table 5.1.

1252 Microwave and radar imaging by NASA’s satellite-borne Tropical Rainfall Mea-
1253 suring Mission [TRMM, see 77]. show that just prior to landfall on April 11 cloud
1254 tops approached an altitude of 15 km near the eye and the most intense rainfall
1255 occurred in distinct bands up to an altitude of ≈ 6 km [119]. Land-based radar
1256 reflectivity images from the WF 100 C Band radar at Cairns [120] show that the
1257 cyclone remained relatively well structured with distinct spiral rainbands extending
1258 out to a distance of ≈ 200 km over the Coral Sea during its 36 hour transit from
1259 Cape Flattery to Townsville (Fig. 5.2). However, rainbands became poorly defined
1260 on the western side of the cyclone as it moved south.

1261 **5.3 Methods**

1262 **5.3.1 Continuous sampling**

1263 Isotopic $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values of rainfall were measured continuously at Trinity Beach,
1264 Cairns (Lat. $16^\circ 47.5'$ S, Long. $145^\circ 41.8'$ E, altitude 20 m above mean sea level
1265 (AMSL)) from April 10-13 2014 using Diffusion Sampling - Cavity Ring-down Spec-

1266 trometry (DS-CRDS) [30] with addition of thermo-electric control of air and water
1267 inlet temperature for enhanced suppression of temperature dependent drift. This
1268 system continuously converts rain water into water vapour for real-time stable iso-
1269 tope analysis by a Picarro L2120-i CRDS analyser at 30 s intervals. A total of 1612
1270 30 s measurements of rainfall isotopic composition were acquired during the 28 hour
1271 period of rainfall associated with Cyclone Ita. Rainfall was collected on a 0.64 m²
1272 inclined metal sheet connected to a small receptacle (≈ 15 ml volume) fitted with a
1273 float switch which automatically switched between pumped sampling of rainwater
1274 from the receptacle (during rainfall) and reference water (between rainfall) [30, 70].
1275 With a sample uptake of 2.5 ml min⁻¹ the collection system provides sufficient rain-
1276 water for continuous time-based analysis at a constant rainfall of < 1 mm hour⁻¹.
1277 This design ensures that the receptacle volume is rapidly exchanged as rainfall in
1278 excess of the pump uptake rate flushes the receptacle and flows to waste. However,
1279 where rainfall is intermittent the rainfall data may be truncated as 5–10 minutes is
1280 required for the isotope measurement to stabilise following a switch from reference
1281 water to rainfall.

1282 The raw isotope data was downloaded from the analyser as 30 s average values
1283 and corrected for drift by referencing each sample value to two bracketing refer-
1284 ence water values. To eliminate memory effects between rapidly changing isotopic
1285 compositions, data were omitted from the final results where changes between 30
1286 s values exceeded conservative thresholds limits of 0.2‰ for $\delta^{18}\text{O}$ or 1‰ for $\delta^2\text{H}$
1287 which represent the maximum rate of compositional change that can be captured by
1288 the DS-CRDS system. Isotopic compositions are given in the standard δ notation,
1289 e.g. $\delta^{18}\text{O} = [({}^{18}\text{O}/{}^{16}\text{O}_{\text{sample}} - {}^{18}\text{O}/{}^{16}\text{O}_{\text{standard}}) / {}^{18}\text{O}/{}^{16}\text{O}_{\text{standard}}] \times 10^3$. Three water
1290 standards were analysed three times during the 60 hour observation period through
1291 the rainfall uptake system: Lake Eacham Water ($\delta^{18}\text{O} = +0.88$ ‰; $\delta^2\text{H} = +3.7$
1292 ‰), Evian Water ($\delta^{18}\text{O} = -10.64$ ‰; $\delta^2\text{H} = -71.5$ ‰) and Casey Snow Melt ($\delta^{18}\text{O} =$
1293 -18.36 ‰; $\delta^2\text{H} = -140.4$ ‰). The isotopic compositions of these standards were
1294 determined by WS-CRDS vaporisation analysis (Picarro L2120-i and A0212) and

1295 calibrated against the certified IAEA references waters VSMOW, GISP and SLAP.
1296 Isotope data precision at a 30 s integration time was typically <0.2 ‰ for $\delta^{18}\text{O}$ and
1297 <0.6 ‰ for $\delta^2\text{H}$ (1SD). Instrumental drift of the L2120i analyser is expected to be
1298 < 0.6 ‰ and <1.8 ‰ over a 24-hour period for $\delta^{18}\text{O}$ and $\delta^2\text{H}$, respectively [121].
1299 Rainfall intensity was monitored using an Onset HOBO RG3-M logging rain gauge
1300 located at James Cook University 2 km from the Trinity Beach site.

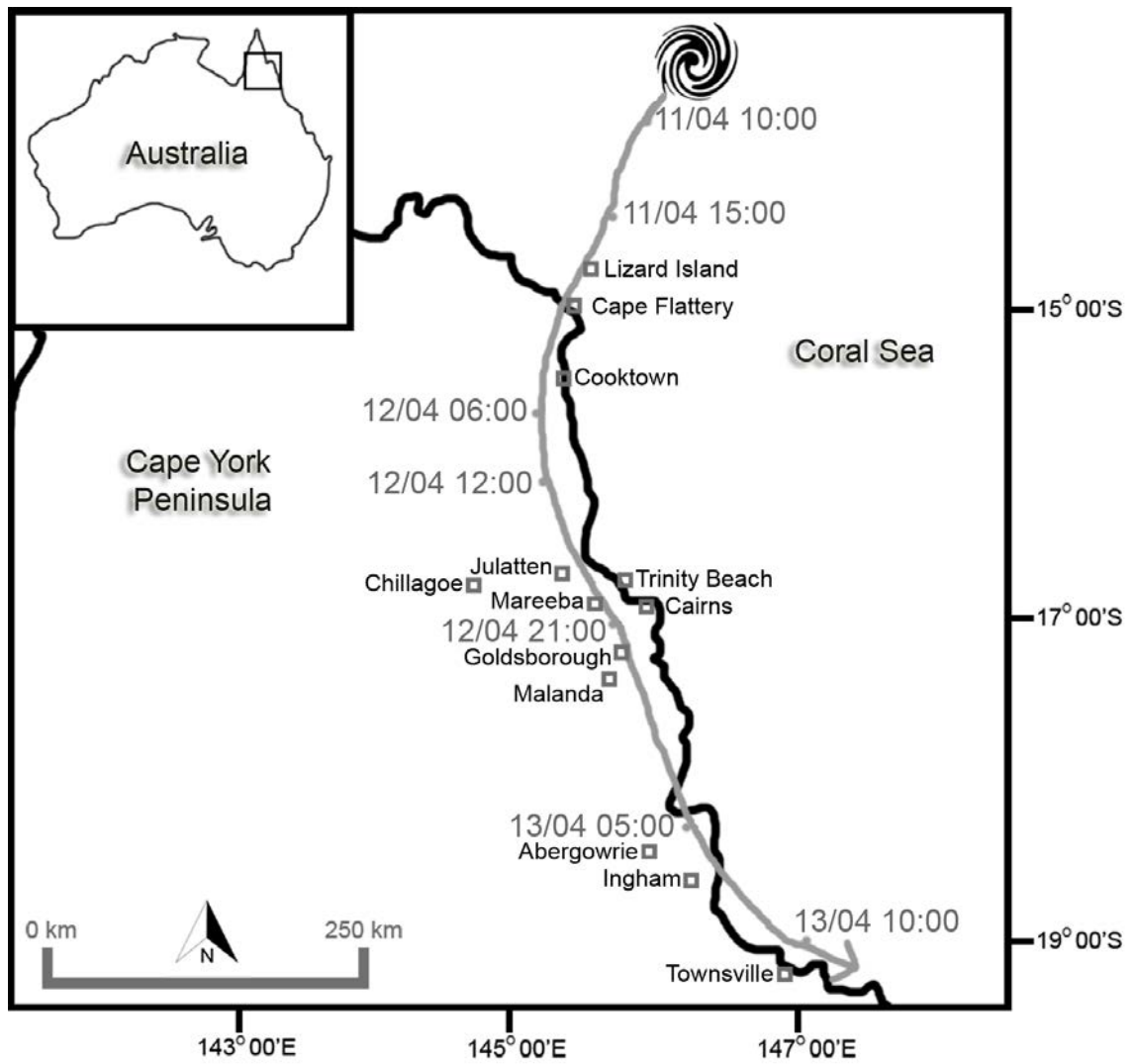


Figure 5.1: Sampling locations and track of TC Ita April 11 to 13, 2014 [117, 118]

Table 5.1: Sampling, analysis and meteorological observations of Cyclone Ita. Data from Bureau of Meteorology [117] with the exception of Lizard Island data [122]. RF and V denotes rainfall and vapour sampling, respectively. *: '-' and '+' indicates land and ocean side of cyclone track, respectively.

Site	Time of closest approach (AEST)	Distance to eye (km)	Minimum pressure at site (hPa)	Movement of cyclone (km/hour, direction)	Sampling and analysis
Lizard Island	11/04/2014 19:00	0 to +5	954	18, SW	none
Cape Flattery	11/04/2014 22:00	0 to +5	963	12, SSW	none
Cooktown	12/04/2014 2:00	0 to +15	975	10, S	RF at 2 sites (n = 15)
Julatten	12/04/2014 16:00	+5 to +10	No data	10, SE	RF at 2 sites (n = 7)
Cairns area	12/04/2014 19:00	+15 to +20	997	10, SSE	Real-time RF and V analysis at 1 site, RF sampling at 8 sites (n = 34)
Mareeba	12/04/2014 19:00	-15	998	10, SSE	RF at 1 site (n = 21)
Goldsborough	12/04/2014 20:00	-10	No data	10, SSE	RF at 1 site (n = 4)
Malanda area	12/04/2014 22:00	-15	No data	15, SSE	RF at 4 sites (n = 17)
Abergowrie	13/04/2014 5:00	-30	No data	18, SE	RF at 1 site (n = 4)
Ingham area	13/04/2014 6:00	-10 to -20	No data	18, SE	RF at 2 sites (n = 10)
Townsville	13/04/2014 10:00	-15 to -30	997	21, SE	RF at 5 sites (n = 23) area

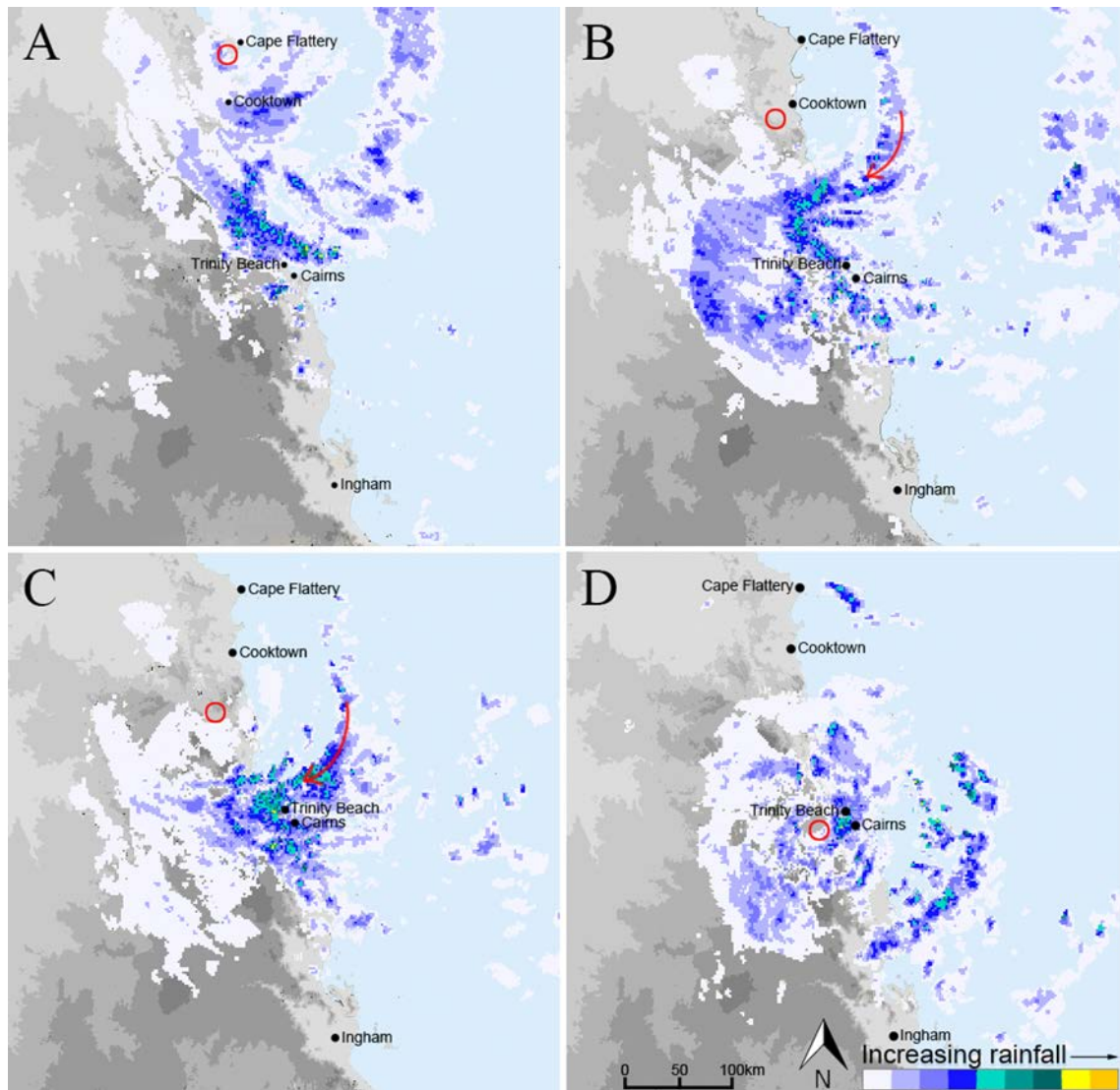


Figure 5.2: Radar images of TC Ita at 11/4 22:10 AEST (A), 12/4 07:20 AEST (B), 12/4 09:50 AEST (C), 12/4 20:00 AEST (D) [18]. Figure is for representative purposes and is similar but not identical to the original image. The approximate position of the eye of the cyclone is indicated by a red circle. The red arrows indicate a spiral rainband with intense rainfall with the most depleted isotopic composition.

1301 Water vapour $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values were measured continuously at Trinity Beach
1302 using a Picarro L2130-i WS-CRDS. Water vapour isotopic composition was mea-
1303 sured at 1 s intervals during the 59 hour approach and passage of Cyclone Ita.
1304 Ambient air was introduced to the instrument via a 6 m length of 3.2 mm inter-
1305 nal diameter FEP tubing with the inlet located 3 m above ground level under an
1306 elevated building and well shielded from ingress of rain. Raw isotope data was
1307 downloaded as 30 s average values and scaled to the Vienna - Standard Mean Ocean
1308 Water (V-SMOW) using data for water vapour derived from the same three water
1309 standards used for scaling the rain fall data. The water standards were quanti-
1310 tatively converted to water vapour using an LGR Water Vapor Isotope Standard
1311 Source (WVISS) [123] connected to the Picarro L2130-i analyser before and after
1312 the 60 hour observation period. Isotope data precision when analysing a constant
1313 vapour source was typically $<0.1\text{‰}$ for $\delta^{18}\text{O}$ and $<0.2\text{‰}$ for $\delta^2\text{H}$ (1SD) at a 30 s
1314 integration time. Instrumental drift of the L2130i analyser is expected to be < 0.2
1315 ‰ and $< 0.8\text{‰}$ over a 24 hour period for $\delta^{18}\text{O}$ and $\delta^2\text{H}$, respectively [121].

1316 **5.3.2 Discrete sampling**

1317 Discrete samples (n=135) of rainfall were collected by volunteers at 27 sites between
1318 Cooktown and Townsville (Table 5.1, Fig. 5.1, S1 Dataset). At some sites rainfall
1319 was collected from roof down pipes (i.e. near-instantaneous grab samples) or from
1320 accumulated rainfall in buckets placed on open ground and emptied at ≈ 1 hour
1321 intervals. At other sites rainfall was accumulated in buckets for ≈ 12 hours with
1322 scheduled sampling times at 7am and 7pm (AEST). No rainfall samples were ob-
1323 tained from the sparsely populated area west of the narrow coastal strip along TC
1324 Ita's track (Fig. 5.1).

1325 Samples were analysed using the diffusion sampling WS-CRDS system connected
1326 to an auto sampler and scaled to VSMOW as described for the continuous rainfall
1327 analysis.

1328 **5.3.3 Synoptic conditions**

1329 The Japanese 55-year reanalysis project (JRA-55) dataset [124] were used to exam-
1330 ine synoptic scale weather conditions. The JRA-55 data are on a horizontal 1.25
1331 x 1.25 degree grid with 37 vertical layers from 1000 to 1 hPa. By using this data,
1332 we calculated the vertically averaged (925-850 hPa) equivalent potential tempera-
1333 ture (θ_e) and vertically integrated (surface to 300 hPa) horizontal water vapor flux
1334 (vectors: $\text{kg m}^{-1} \text{s}^{-1}$).

1335 **5.3.4 Air-mass trajectories**

1336 Synoptic scale back-trajectories of air-masses at an altitude of 500 m AMSL were
1337 calculated at 6 hourly intervals using HYSPLIT [80] with Global Data Assimilation
1338 System (GDAS1) data [125] Time series of vertical wind and humidity profiles for
1339 the Trinity Beach measurement site were also based on data obtained from GDAS1
1340 [125].

1341 **5.4 Results and Discussion**

1342 **5.4.1 Rainfall amount and intensity**

1343 The total recorded rainfall associated with Cyclone Ita was 198 mm at Cooktown
1344 and 211 mm at Townsville [126]. At Trinity Beach we recorded a total rainfall of 231
1345 mm with a rainfall intensity of 7.4 mm hour^{-1} for the continuous rain period from
1346 April 11 17:11 to April 13 0:39 (AEST). This intensity slightly exceeds the maximum
1347 intensity of 7 mm hour^{-1} recorded for category 1-2 cyclones in a microwave imaging
1348 survey of 260 TCs globally [127]. The peak rainfall intensity, recorded during the
1349 passage of an inner spiral rainband, was $\approx 13 \text{ mm per 10 minutes}$ at Trinity Beach.

1350 **5.4.2 Rainfall isotopes - spatial distribution**

1351 The systematic distribution of isotope compositions of rain and vapour within TCs
1352 and the direct link between isotope compositions and the physical processes of evap-
1353 oration and condensation enables O and H isotope compositions to be used as tracers

1354 of the dynamics and structural evolution of TCs [110, 69]. Rainfall associated with
1355 TCs is usually characterised by $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values that are distinctly lower than
1356 other tropical rain systems and the isotopic values generally decrease inward towards
1357 the core of the cyclone [110, 113, 115]. For example, $\delta^{18}\text{O}$ values in discrete rainfall
1358 samples from five TCs in the western Gulf of Mexico ranged from -3.9 to -14.3 ‰
1359 and all samples taken within 100 km of the cyclone eye had $\delta^{18}\text{O}$ values < -8.7 ‰
1360 [110]. In the Western Pacific, Typhoon Shansan yielded $\delta^{18}\text{O}$ values from ≈ -4 to
1361 -14 ‰ and $\delta^2\text{H}$ values from ≈ -20 to -100 ‰ with the lowest values recorded in close
1362 proximity to the advancing eye wall of the cyclone [113]. Airborne sampling of TCs
1363 has also yielded low isotope ratios in both rain and vapour at altitude [115, 128].
1364 However, in very intense cyclones, the lowest isotope ratios in rain occurred between
1365 50 and 250 km from the eye while isotope ratios were higher in the eye wall due to
1366 the incorporation of vapour derived from sea spray [115].

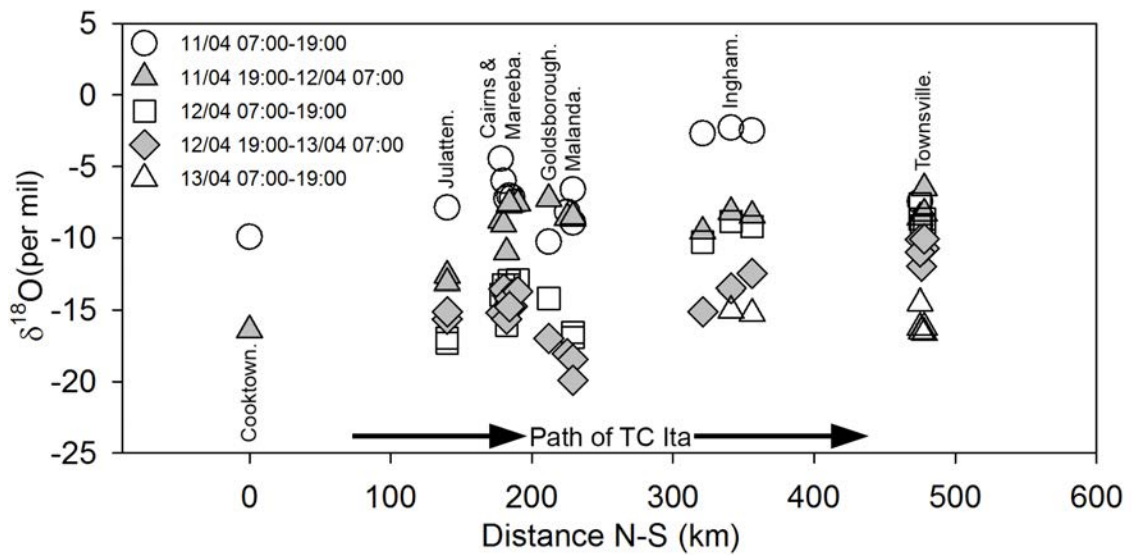


Figure 5.3: $\delta^{18}\text{O}$ values in discrete 12 hour rainfall samples ($n=85$) collected from 27 sites during the north to south passage of TC Ita from April 11–13, 2014.

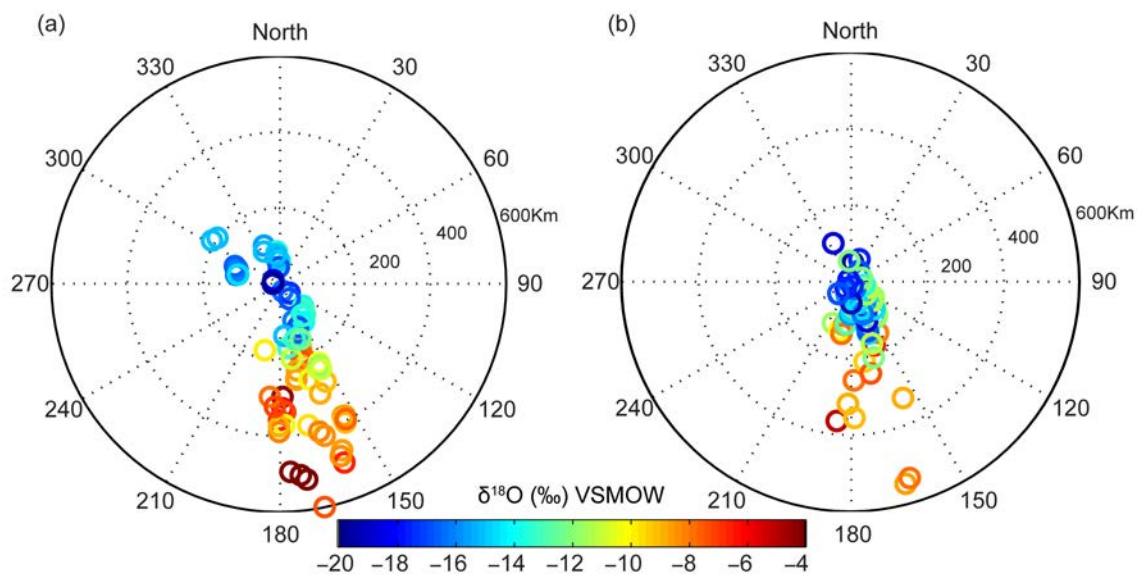


Figure 5.4: Evolution in space and time of $\delta^{18}\text{O}$ values in discrete (a) 12 hour ($n=85$) and (b) 1 hour ($n=50$) rainfall samples collected from 27 sites from April 11–13, 2014. $\delta^{18}\text{O}$ values of samples taken at different times are shown as a function of distance and direction from the eye of TC Ita (at centre of plot) at the time of sampling. Some plot positions were moved slightly in order to separate overlapping data.

1367 In cyclone Ita the amount-weighted mean isotopic compositions of rainfall at the
1368 continuous measurement site at Trinity Beach were $\delta^{18}\text{O} = -10.2 \text{ ‰}$ $\delta^2\text{H} = -67 \text{ ‰}$
1369 ($n = 1612$) in a total rainfall of 231 mm. The range of isotopic values in rainfall
1370 was -4.8 to -20.2 ‰ for $\delta^{18}\text{O}$ and -25.4 to -142 ‰ for $\delta^2\text{H}$ whilst the lowest values
1371 recorded for a 12 hour cumulative sample were $\delta^{18}\text{O} = -19.9 \text{ ‰}$ and $\delta^2\text{H} = -147 \text{ ‰}$ at
1372 Malanda. Due to the high condensation efficiency of the converging surface inflow of
1373 moist air masses in TCs the mean isotopic values of TC rainfall can be expected to
1374 approach the surface vapour values [110]. This is borne out by the mean $\delta^{18}\text{O}$ and
1375 $\delta^2\text{H}$ values in TC Ita rainfall which was only slightly higher than the inter-quartile
1376 ranges of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values (≈ -11 to -13 ‰ and -75 to -90 ‰ respectively) of
1377 western Pacific Ocean surface vapour at latitudes of 5 to 25°S [19].

1378 While the mean and minimum $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in rainfall during TC Ita
1379 are amongst the lowest recorded for a range of different weather systems passing
1380 Cairns, a previous convective rainfall event (43 mm total rainfall) associated with
1381 the over-land migration of the monsoon trough (Inter-Tropical Convergence Zone)
1382 produced mean isotopic values of $\delta^{18}\text{O} = -13.8$ and $\delta^2\text{H} = -97$ [70] However, large
1383 rainfall amounts (e.g. > 100 mm) with relatively low, but variable, isotopic values
1384 are likely to be uniquely associated with TCs [110].

1385 The distribution of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in discrete rainfall samples from TC
1386 Ita are strongly correlated along the Global and Local Meteoric Water Lines (S1
1387 Dataset); the data will be discussed with reference to $\delta^{18}\text{O}$ values only. The evolution
1388 in space and time of $\delta^{18}\text{O}$ values in the 12-hour discrete rainfall samples collected at
1389 27 sites along the path of TC Ita is shown in Fig. 5.3. The sampling sites covered a
1390 distance of approx. 450 km between Cooktown and Townsville and all were within
1391 ≈ 30 km of the track of the eye of the cyclone (Table 5.1). It is seen that the
1392 range, and progression with time, of isotopic values were similar at all sites as the
1393 cyclone approached and passed each site. However, lower minimum $\delta^{18}\text{O}$ values were
1394 recorded at the sites at highest altitude (Malanda at ≈ 750 m AMSL ≈ -18 to -20
1395 ‰) compared to sites near sea level (Cairns, Ingham and Townsville ≈ 16 to -17

1396 ‰. The clear radial distribution of $\delta^{18}\text{O}$ values with $\delta^{18}\text{O} < -12$ ‰ in all samples
1397 collected within 150 km of the eye and, with two exceptions, all $\delta^{18}\text{O}$ values < -8
1398 ‰ within a distance of 400 km from the eye is shown in Fig. 5.4. Three samples
1399 collected more than 500 km to the south of the eye of the cyclone had $\delta^{18}\text{O}$ values $>$
1400 -4 ‰ and represent rainfall prior to the influence of the cyclone. Deuterium excess
1401 values ($d = \delta^2\text{H} - 8 * \delta^{18}\text{O}$, S1 Dataset) varied between $+6.5$ ‰ and $+20.8$ ‰ (mean
1402 $= +14.7$ ‰) in the discrete rainfall samples but did not vary systematically with
1403 distance to the eye of the cyclone.

1404 The data presented in Figs. 5.3 and 5.4 demonstrate the similarity in the evo-
1405 lution of the isotopic composition of rainfall at all sampling sites as they were ap-
1406 proached and passed by TC Ita and indicate that the structure of the cyclone, its
1407 moisture and energy sources remained relatively constant during its 30 hour pas-
1408 sage over land. It is likely that the proximity of the track to the coast (< 50 km
1409 inland) allowed sufficient inflow of warm and moist oceanic air masses to sustain
1410 the energy requirement of the cyclone. This is supported by the cyclone's intact
1411 circular structure as revealed by radar reflectivity maps (Fig. 5.2a-d) with distinct
1412 rainbands on the ocean-ward side as it tracked south whilst the landward side was
1413 relatively poorly defined.

1414 **5.4.3 Continuous measurement of rainfall and water vapour** 1415 **isotopes**

1416 The high resolution isotope data for rainfall and vapour obtained at Trinity Beach
1417 enabled the various influences on the stable isotope evolution of TC Ita to be dis-
1418 tinguished.

1419 Rainfall intensity, $\delta^{18}\text{O}$, $\delta^2\text{H}$ and deuterium excess values are shown in Fig. 5.5
1420 which also shows the air pressure recorded at nearby Cairns Airport [126]. The
1421 data set is provided in S2 Dataset. In addition, the isotope data is interpreted
1422 with reference to radar images (Fig. 5.2) and time series of modelled GDAS-1 wind
1423 and moisture profiles at Trinity Beach (S1 Fig.). Furthermore, regional scale air-

1424 mass movements were derived using 48 hour HYSPLIT air-mass back-trajectories
1425 (S2 Fig.), and JRA-55 regional maps of equivalent potential temperature (θ_e) and
1426 vapour flux (S3 Fig.). It is noted that the 1.25 degrees grid resolution of JRA-55 and
1427 GDAS-1 data precludes a reliable analysis of air-mass trajectories close to the cyclone
1428 core. Fig. 5.5 includes isotopic values in 1 hourly accumulated rainfall samples
1429 collected at Trinity Beach during part of the measurement period for comparison to
1430 the continuous monitoring data.

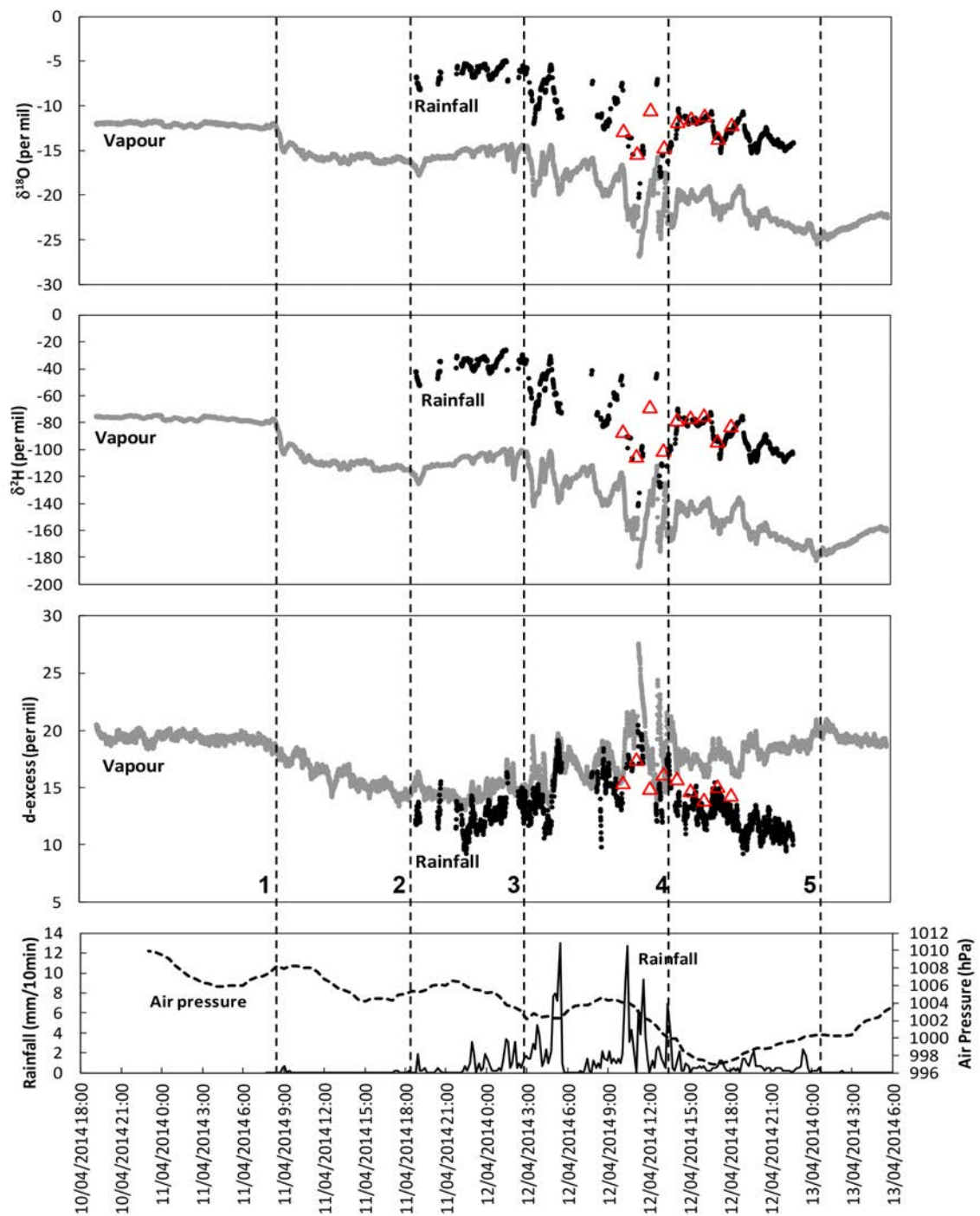


Figure 5.5: $\delta^{18}\text{O}$, $\delta^2\text{H}$ (30 s interval) and d-excess (moving average of five 30 s data points) in water vapour and rainfall and air pressure and rainfall intensity at Trinity Beach. Red triangles indicate values of discrete 1 hour rainfall samples from Trinity Beach. Time markers: 1: First influence of cyclonic circulation; 2: Start of main rain event; 3 to 4: Spiral rain band activity; 5: End of rain event.

1431 The most notable features of the continuous vapour and rainfall isotope data
1432 associated with TC Ita are (numbered list corresponds to markers '1' to '5' in Fig.
1433 5.5):

- 1434 1. A rapid decrease of the vapour $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values and the commencement of
1435 a gradual decrease in vapour d value commenced at $\approx 9:00$ AEST on April 11
1436 coinciding with a short rainfall event (insufficient amount for isotope analysis
1437 of rain). The eye of the cyclone was located ≈ 370 km to the north over
1438 the ocean at this time. HYSPLIT back trajectories show the commencement
1439 around this time of an anti-clockwise shift in the source area of air-masses
1440 arriving at Trinity Beach. θ_e and vapour flux maps show that warm, moist air
1441 mass arrived from the northeast around this time.
- 1442 2. The commencement of the main rainfall event (and the start of rainfall isotope
1443 measurement) at $\approx 18:00$ AEST on April 11. The vapour $\delta^{18}\text{O}$, $\delta^2\text{H}$ and d
1444 values were relatively constant for several hours around this time. The eye of
1445 the cyclone was close to the coastline (Fig. 5.2a). Air-mass back trajectories
1446 show that the air-mass source area continued to move anti-clockwise over the
1447 Coral Sea.
- 1448 3. The commencement at $\approx 3:00$ AEST on April 12 of the arrival of inner spiral
1449 rainbands marked by rapid decreases in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in both rainfall
1450 and vapour and coinciding with intensifications of rainfall (Fig. 5.2c). d values
1451 in both vapour and rainfall started to increase around this time. The eye of
1452 the cyclone was located ≈ 150 km to the northwest over land. Wind speed
1453 and relative humidity increased significantly above a height of ≈ 950 hPa. The
1454 area of highest θ_e was centred north of the Trinity Beach measurement site
1455 and air-mass back trajectories show air in-flow from this region of highest θ_e
1456 values.
- 1457 4. The cessation of rainband activity at $\approx 14:00$ AEST on April 12. At this time
1458 $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values rose rapidly in rainfall but not in water vapour and a

1459 divergence of d values in vapour (continuing to increase) and rainfall (starting
 1460 to decrease) commenced. The eye of the cyclone was located ≈ 60 km to the
 1461 north-west over land at this time. Within the following 2-3 hours the minimum
 1462 air pressure (997 hPa) was recorded at Trinity Beach and the wind direction
 1463 changed towards north-westerly as the eye of the cyclone passed ≈ 20 km to
 1464 the west at $\approx 18:00$ AEST (Fig. 5.2d). Moisture levels decreased and arriving
 1465 air-masses were now tracking over the landmass of Cape York Peninsula.

1466 5. The cessation of rainfall associated with the cyclone at $\approx 00:00$ AEST on
 1467 April 13. This was marked by the commencement of rising vapour $\delta^{18}\text{O}$ and
 1468 $\delta^2\text{H}$ values. The eye of the cyclone was located ≈ 80 km to the south at
 1469 this time. Consequently, wind direction gradually changed towards westerly
 1470 with air masses tracking clockwise over the coastal ranges to the south before
 1471 descending from the land side towards the Trinity Beach measurement site.

1472 The pronounced parallel tracking of isotopic values in rainfall and vapour in TC
 1473 Ita during much of the period of rainfall in the advancing front of the cyclone is
 1474 reflected in strong correlations between $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in rainfall and vapour
 1475 ($R^2 = 0.97$ for both $\delta^{18}\text{O}$ and $\delta^2\text{H}$). This correlation reflects a highly efficient isotopic
 1476 exchange between rainfall and vapour in a saturated atmosphere. It is notable that
 1477 vapour isotopic composition prior to the commencement of rainfall remained almost
 1478 unchanged after rainfall commenced and during the first 8-9 hours of rainfall (Fig.
 1479 5.5 markers '1' to '3'). This suggests that the vapour isotopic values measured at
 1480 ground level until $\approx 3:00$ AEST on April 12 were broadly representative of the
 1481 surface layer inflow. During this period the mean difference in isotopic composition
 1482 of rainfall ($\delta^{18}\text{O} = -6.0$ ‰ $\delta^2\text{H} = -36$ ‰) and water vapour ($\delta^{18}\text{O} = -15.3$ ‰
 1483 $\delta^2\text{H} = -108$ ‰), i.e. $\delta^{18}\text{O}_{rain-vapour} = 9.3$ ‰ $\delta^2\text{H}_{rain-vapour} = 72$ ‰ corresponded
 1484 closely to the equilibrium liquid-vapour fractionation ($10^3\ln\alpha_{l-v}({}^{18}\text{O}) = 9.2-9.4$,
 1485 $10^3\ln\alpha_{l-v}({}^2\text{H}) = 74-77$ [129] within the range of surface temperature observed at
 1486 Trinity Beach during TC Ita (24.5–26.5 °C).

1487 The rapid decrease of vapour $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values $\approx 9:00$ AEST on April 11

1488 (Fig. 5.5, marker '1') coincided with the arrival of a tropical air mass with high θ_e
1489 value. The decrease of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in this tropical air mass is consistent
1490 with previous isotope data collected along 20 oceanic transects over a 4-year period
1491 which showed that isotopic values of surface vapour in the tropics is significantly
1492 lower than those in the subtropics [19].

1493 The rapid decrease in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values of both rainfall and vapour from \approx
1494 3:00 to 14:00 AEST on April 12 (Fig. 5.5, markers '3'-'4') were associated with the
1495 arrival of an inner spiral rainband. This rainband was sustained for several hours
1496 and included several convection cells with anvil stratiform rainfall regions expanded
1497 towards the outside of the core by the tangential winds (see radar reflectivity maps in
1498 Figs. 5.2b and 5.2c). It has previously been shown that stratiform rain in hurricanes
1499 (cyclones) has significantly lower isotope ratios than convective rain as a result
1500 of its higher mean altitude of condensation and larger percentage of precipitation
1501 derived from great heights [115]. In the inner spiral rainbands of cyclones there
1502 is a successive cycling of moisture through subsidence under stratiform rainfall and
1503 transport of isotopically depleted vapour into the lower troposphere and towards the
1504 TC center where it is reused in subsequent convective condensation-precipitation
1505 cycles [19, 48, 32]. Consequently, the isotopic composition of precipitation becomes
1506 gradually depleted towards the TC center as the contribution of recycled water
1507 increases along the rainband. As the eye of the cyclone approached the Trinity
1508 Beach measurement site, the cycling of moisture through successive rainfall events
1509 along the upstream region of the spiral band ceased and thus the $\delta^{18}\text{O}$ and $\delta^2\text{H}$
1510 values in rainfall rapidly increased (just prior to marker '4' in Fig. 5.5). In contrast,
1511 this rapid increase was not seen in vapour.

1512 The general trends of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in rainfall and vapour continued to
1513 decrease after the main rainband passed Trinity Beach \approx 14:00 AEST on April 12
1514 (Fig. 5.5, markers '4' to '5') and after the passage of the core of TC Ita to the
1515 west (marked by the minimum recorded air pressure, Fig. 5). As the TC moved
1516 further south the air mass reaching Trinity Beach after 14:00 AEST on April 12

1517 passed over the coastal ranges to the south in a clockwise rotational motion over the
1518 landmass of Cape York Peninsula. This low-level flow passed through the upstream
1519 region of the spiral rain band (positioned to the south of Trinity Beach at this time,
1520 Fig. 5.2d) and may have transported moisture with low δ values and high d value
1521 derived from the air mass subsidence under stratiform rainfall regions. An additional
1522 contributing factor to the observed lowering of δ values may have been the addition
1523 of land-derived moisture which was derived from earlier low- δ precipitation in the
1524 advancing front of TC Ita itself.

1525 **5.4.4 Deuterium-excess**

1526 The d value in water vapour is often used as a tracer of moisture origin because the d
1527 value of moisture evaporated from the oceanic surface depends on surface humidity,
1528 temperature and wind speed [116, 44, 130] with humidity the most important factor.
1529 The d value decreases with increased relative humidity over the ocean and with
1530 decreasing temperature of the ocean surface. In the tropics, increases in surface
1531 vapour d values may also indicate subsidence of air from the upper troposphere
1532 [19, 32]. The production of precipitation from water vapour essentially conserves
1533 the d value [18] although falling raindrops may partially evaporate or re-equilibrate
1534 isotopically with surrounding vapour during descent [131, 132].

1535 The decrease in d values (Fig. 5.5 markers '1' to '2') in vapour at Trinity Beach
1536 as the outer circulation envelope of TC Ita approached can be attributed to the
1537 accelerating inflow of moisture derived from evaporation of surface waters at high
1538 relative humidity and temperature as indicated by high θ_e values (S3 Fig.). However,
1539 as TC Ita approached the measurement site rainfall intensified and d values in both
1540 vapour and rainfall increased (Fig. 5.5, markers '3' to '4'). Previous simulations of
1541 the isotopic evolution of hurricanes (cyclones) have shown that d values in rainfall
1542 increase near the eye although the simulated d values were lower than observed
1543 during TC Ita [115]. In addition, similar increases in d values, accompanied by
1544 decreasing $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values has been observed during the active convective
1545 phase of MJO in the tropical atmosphere [32]. They highlight the role of vapour

1546 recycling due to the subsidence of air masses from stratiform clouds. Because the
1547 lowest $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values during TC Ita corresponded to the successive linked
1548 convective-stratiform rainfall events (Fig. 5.2b-c and Fig. 5.5), the large increase
1549 of d values may be attributed to downward moisture transport above the boundary
1550 layer.

1551 A marked divergence in the d values of vapour and rain occurred from $\approx 14:00$
1552 AEST on April 12 (Fig. 5.5 marker '4') and continued after the passage of the eye
1553 of TC Ita. The divergence in d values indicates that moisture sources were different
1554 for precipitation and surface vapour. As described above air masses that arrived
1555 after 14:00 AEST ascended the coastal mountain range south of the measurement
1556 location, travelled in a clockwise direction across the hinterland and descended the
1557 ranges towards the Trinity Beach measurement site. We surmise that the low-level
1558 air flow through the upstream region of the spiral rain band (positioned to the
1559 south of Trinity Beach at this time, Fig. 5.2d) supplied surface moisture with low δ
1560 values and high d values whereas precipitation from higher levels was becoming less
1561 depleted and had relatively low d values as it was no longer derived from successive
1562 rainband activity.

1563 Enhanced sub-cloud evaporation may also have played a limited role in decreasing
1564 d values of falling rain as the measurement site at Trinity Beach is located on a
1565 narrow coastal strip adjacent to an elevated hinterland. Observed dewpoints at
1566 Mareeba on the hinterland were nearly 5 °C lower than at the coast during this
1567 period [126]. It appears that orographic rain south of Trinity Beach dried out the
1568 air mass as it moved clockwise over the elevated ranges in that region. Once this
1569 relatively dry air mass descended the range it dried further, thereby enhancing sub-
1570 cloud evaporation and decreasing the d values of falling rain.

1571 **5.4.5 Relevance to speleothem isotope records**

1572 There has been uncertainty about the relative importance of various parameters in
1573 a tropical cyclone such as intensity, longevity and distance to the eye in terms of
1574 the isotope signal recorded in palaeo-archives. Previous research [107] compared

1575 these parameters to annual isotope signals recorded in a stalagmite over the past
1576 ≈ 100 years and showed that the strongest relationship was between TC intensity
1577 divided by the distance of the cyclone to the sample site and the isotope signal. The
1578 isotope values measured during TC Ita also suggest that distance was an important
1579 factor. Although the central pressure of TC Ita increased considerably shortly after
1580 crossing the coast, the spatial pattern of rainfall $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values surrounding
1581 the cyclone as it approached and passed a measurement site remained remarkably
1582 constant along a 450 km long path over land (Fig. 5.4). It remains uncertain whether
1583 this relationship was a function of distance alone or in combination with decreasing
1584 air pressure as TC Ita approached and passed each site. However, it is clear that
1585 distance (and likely intensity) is important in determining the isotope values in TC
1586 rainfall and the isotopic signal imparted in speleothem limestone deposits. Similar
1587 studies of future TCs of varying intensity, longevity and coastal crossing locations
1588 should help refine the tempestological interpretation of stable isotope signatures
1589 found in speleothems and other palaeo-archives.

1590 5.5 Conclusions

1591 Continuous measurement in real time of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in both rainfall and
1592 water at a single site coupled with measurement of discrete rainfall samples from
1593 multiple sites provided a detailed characterisation of the stable isotope anatomy
1594 of TC Ita. In conjunction with local and synoptic meteorological observations the
1595 stable isotope values could be linked to specific features of the cyclone such as the
1596 passage of convective spiral rainbands, stratiform rainfall and the arrival of a suc-
1597 cession of subtropical and tropical air masses with changing oceanic and continental
1598 moisture sources.

1599 This study demonstrates that the stable isotope anatomy of TCs can be linked
1600 to the detailed physical evolution of the cyclone as well as to their synoptic-scale
1601 meteorological setting. At the continuous measurement site the near-simultaneous
1602 variations in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in rainfall and water vapour and an approach to

1603 liquid-vapour isotope fractionation equilibrium indicated isotopic exchange between
1604 rainfall and vapour during the approach of TC Ita. Following the passage of spiral
1605 rainbands and the cyclone eye, different moisture sources for rainfall and vapour
1606 were reflected in diverging d-excess values.

1607 The delineation of the magnitude, spatial scale and longevity of the isotope
1608 anomaly associated with TC Ita confirms previous assertions that intense, isotopi-
1609 cally depleted rainfall from TC's is likely to impart a detectable isotope signal in a
1610 range of environmental proxies over a significant area.

1611 Stable isotope data acquired at high temporal resolution will also provide detailed
1612 insights into the hydrological cycle of TCs.

1613 **Chapter 6**

1614 **Tropical Cyclone occurrence may be un-**
1615 **derestimated in the historical record**

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1620

1621 Prepared for submission to: *TBA*.

1622

Abstract

1623

1624 This chapter presents a multi-year, modern daily rainfall isotope data set and pro-
1625 vides an interpretational framework to support the interpretation of existing and
1626 future proxy records of climate change and variability, including TCs. We estab-
1627 lished a modern day precipitation stable isotope record (3.5 years of daily rainfall
1628 isotopic compositions) to determine the factors driving rainfall isotopic variation in
1629 this region. The data shows a strong correlation between daily rainfall stable isotope
1630 composition, regional rainfall amount and OLR. Strong depletion of the heavy iso-
1631 topes ²H and ¹⁸O correspond to (i) the presence of the monsoon trough and coincided
1632 with negative excursions in OLR, indicating the presence of large convective areas
1633 in the region and (ii) the passage of TCs. We found that the isotopic composition
1634 of TC rainfall can be similar to monsoonal rainfall, depending on proximity to the
1635 sampling site. High resolution rainfall and vapour data during four TC events showed
1636 a strong relationship between rainfall isotopic composition and distance to the TC
1637 eye wall (Pearson $\rho=0.70$, $p<0.05$, $n=264$). Furthermore, the impact of TC rain on
1638 a potential proxy record was evaluated using a mixing relationship which indicates
1639 that the ‘isotopic impact’ of TC rain was spatially quite limited (≈ 100 km). One out
1640 of four observed TC’s would most likely go undetected in a proxy record at a site
1641 more than 80 km away from the eye wall of a TC. This may imply that the currently
1642 available historical TC record for northern Australia is a conservative estimate of TC
1643 occurrence in this region.

1643

1644 Conceived and designed the experiments: CZ JN. Performed the experiments: CZ
1645 NCM JN MIB. Analysed the data: CZ NCM NK. Performed laboratory analysis:
1646 CZ NCM. Wrote the paper: CZ JN NCM MIB.

1647 **6.1 Introduction**

1648 Monsoon activity and TCs have a major social and economic impact on north Aus-
1649 tralia and TC intensity is expected to increase under a changing climate (IPCC
1650 2018). Predicting change in TC activity is difficult given the short temporal length
1651 of the instrumental TC record (less than 50 years), resulting in low confidence pre-
1652 dictions [85]. Climate reconstructions and associated TC activity beyond the instru-
1653 mental record using stable isotope proxy records can be used to calibrate hydrological
1654 and climate models and ultimately lead to improvements in TC predictions [85].

1655 Climate reconstructions use stable isotopes of water, retrieved from natural
1656 archives such as, tree rings [133], ocean sediments [134], lake deposits [135] as a
1657 proxy for temperature and rainfall amount. In addition, oxygen stable isotopes,
1658 retrieved from speleothems, have proven useful to assess past Tropical Cyclone ac-
1659 tivity in Australia [107, 85] and elsewhere [84]. An important step in interpreting
1660 these reconstructions is the translation from the isotopic proxy signal to the climate
1661 parameters and/or TC activity. This translation requires a thorough understanding
1662 of the factors driving modern day rainfall isotopic variability, across all seasons and
1663 also during extreme weather events such as TCs.

1664 The isotopic composition of TC rain is commonly observed to be strongly de-
1665 pleted of the heavy oxygen isotopes (^{18}O) compared to regular rainfall [112, 53].
1666 The isotopic composition of drip water that, for example, becomes the basis for
1667 a speleothem proxy record is a mix of soil and TC rain water. In this case, the
1668 depletion in soil water isotopic composition caused by TC rain translates in a cor-
1669 responding depletion in the isotope composition of the speleothem carbonate [115].
1670 Nott et al. [107] was able to reconstruct 800 years of TC activity and found that neg-
1671 ative excursions larger than 2.5 ‰ in the detrended calcite $\delta^{18}\text{O}$ time series indicate
1672 a moderate to severe hazard impact at their study site.

1673 While strong depletion in the heavy isotopes in modern rainfall during a TC in
1674 north Australia has been reported by [53], no modern multi season baseline isotopic
1675 rainfall data or interpretational framework for rainfall isotopic variation during ex-

1676 treme weather events and regular monsoon conditions exists for this region. Until
1677 now, interpretations have relied on (interpolated) isotope data from GNIP stations
1678 more than 800 km away in very different climatic zones. Furthermore, the meteo-
1679 rological processes driving rainfall isotopic variation during monsoon bursts operate
1680 on much smaller timescales (hours to days) than monthly GNIP data. This high-
1681 lights the need for a multi season modern monsoon rainfall isotopic record that will
1682 enable a better interpretation of variability in isotopic proxy records in this region.
1683 Examining the isotopic signature of TC rainfall in relation to the regular monsoon
1684 rainfall will also provide better insight into the interpretation of extreme weather
1685 events in isotopic proxy records.

1686 The goal of this study is therefore twofold; (i) provide a baseline for rainfall
1687 isotopic variability observed in north-east Queensland and determine the drivers of
1688 rainfall isotopic variation in this area. (ii) tease out the isotopic signature of modern
1689 day TCs against this monsoon baseline. First we establish a modern day monsoon
1690 rainfall isotope record using four monsoon seasons of daily isotopic measurements
1691 and investigate the drivers for isotopic variation in this record. We then compare the
1692 isotopic signature of four TCs in relation to the monsoon rainfall isotopic composi-
1693 tion. In addition we explore the potential 'isotopic impact' of the TCs on potential
1694 stable isotope proxy records by evaluating different mixing scenarios between TC
1695 rain and soil water.

1696 **6.2 Methodology**

1697 **6.2.1 Site description**

1698 The coastal strip of far north Queensland is unique in north Australia as it experi-
1699 ences orographic rain all year round due to the presence of the Great Dividing range
1700 (see Fig. 6.1). Local meteorology in winter (April–October) is dominated by SE
1701 trade winds and a daily sea breeze cycle. These moisture laden trade winds rainout
1702 over the eastern side of the range, resulting in tropical rainforests on the seaward
1703 side. The western slopes of the range experiences a more pronounced wet/dry cycle

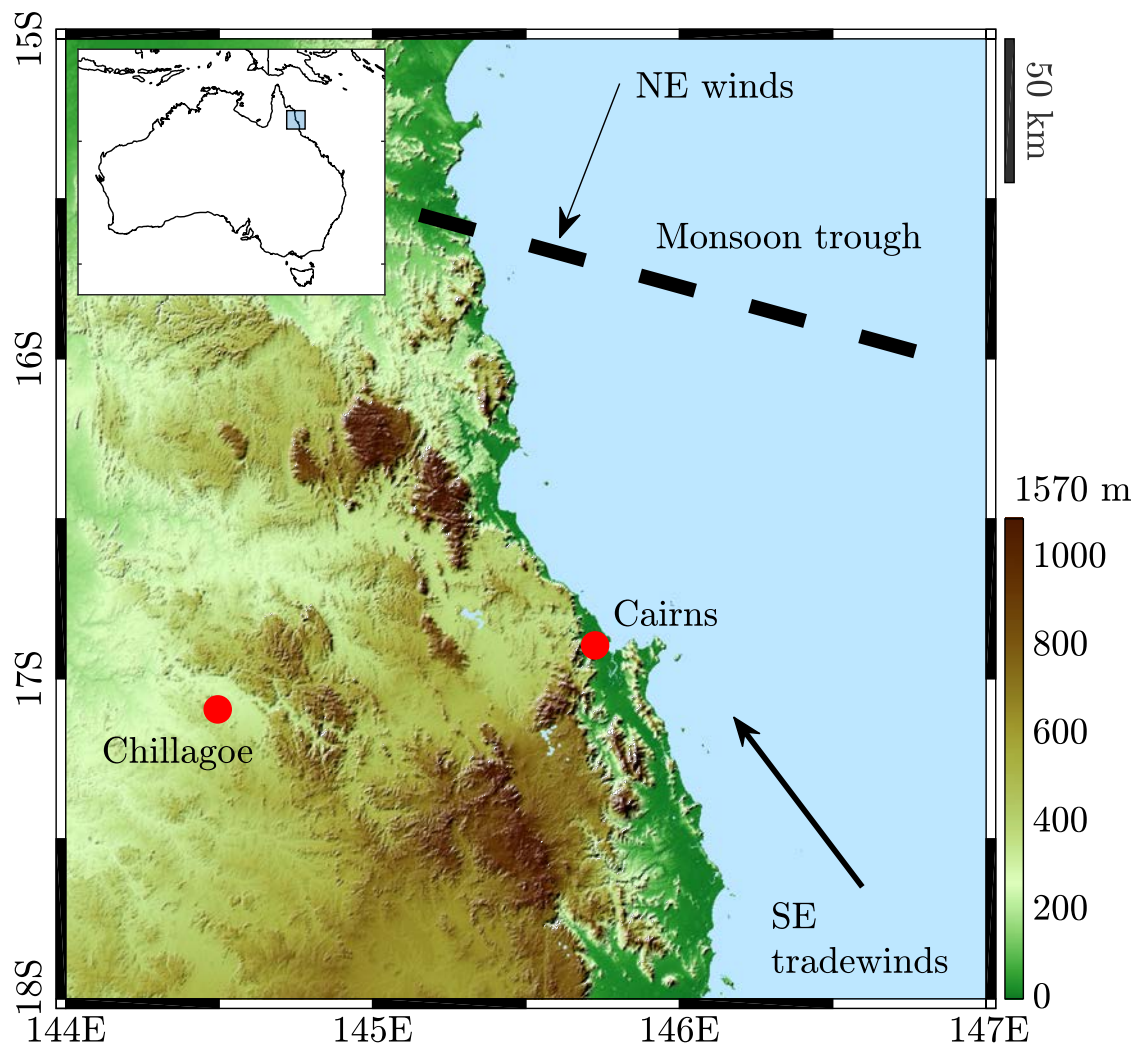


Figure 6.1: Overview of location of rainfall sampling (Cairns) and Chillagoe. Prevailing South-easterly trade winds (thick arrow), Monsoon trough (thick dashed line) and associated North-north-easterly winds (thin black arrow). Colorbar shows elevation (metres).

1704 as found in other areas of north Australia. The Austral summer (November–March)
1705 is characterised by frequent changes in flow direction, caused by southern incursions
1706 of the monsoon trough. Northerly winds bring equatorial airmass at these times to
1707 the region with associated prolonged periods of convective driven rain.

1708 In addition to the typical sea-breeze-forced orographic precipitation in winter
1709 and monsoon rains in winter, large –but infrequent– rainfall events occur during
1710 the passage of upper level troughs that trigger instability in the lower atmosphere.
1711 These troughs pass through the region from west to east.

1712 Speleothems are found in karst-caves near Chillagoe [107, 85], see Fig. 6.1).
1713 Chillagoe is located in the rain shadow of the Great Dividing Range and experiences
1714 a more distinct wet-dry season although rains do occur in the dry season. Rainfall
1715 averages are lower than coastal locations (for example, monthly summer average
1716 Cairns 357.6 mm, Chillagoe 181.3 mm) and large rainfall events only occur during
1717 active monsoon phases, extreme events such as TCs, or when upper level troughs
1718 slowly move eastward across the continent.

1719 **6.2.2 Sampling and analysis**

1720 Rainfall samples ($n=405$) were collected at a daily basis at the James Cook Uni-
1721 versity Campus in Cairns ($16.81^{\circ}\text{S } 145.68^{\circ}$, see Fig. 6.1). An IAEA recommended
1722 rainfall sampler designed to prevent evaporation (Palmex) was used with a 14.5 cm
1723 diameter funnel. Samples with volumes below 10 ml were discarded because of sus-
1724 pected evaporation in the collection vessel. A Picarro 2130i instrument was used to
1725 analyse the samples for their isotopic compositions via a diffusion sampler device,
1726 see Munksgaard et al. [30] and analysis was carried out as described in Zwart et al.
1727 [23]: Three in-house water standards were used to scale the measurements to the
1728 Vienna Standard Mean Ocean Water (VSMOW) scale. The isotopic compositions
1729 of the in-house standards were determined relative to the certified isotope standards
1730 VSMOW, Standards Light Antarctic Precipitation (SLAP) and Greenland Ice Sheet
1731 Precipitation (GISP) by multiple analysis using Isotope Ration Infrared Spectrom-
1732 etry (IRIS) and Isotope Ratio Mass Spectrometry (IRMS) at three laboratories.

1733 The $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values are reported in the standard δ notation (‰), i.e $\delta^{18}\text{O} =$
1734 $[(^{18}\text{O}/^{16}\text{O}_{\text{sample}} - ^{18}\text{O}/^{16}\text{O}_{\text{standard}})/^{18}\text{O}/^{16}\text{O}_{\text{standard}}] \times 10^3$. Precision was typically \pm
1735 0.1 ‰ and $\pm 0.5 \text{ ‰}$ for $\delta^2\text{H}$ and $\delta^{18}\text{O}$, respectively (1σ s.d.).

1736 A network of volunteers and a 4wd car fitted with isotope measuring equipment
1737 collected a total of 134 discrete rainfall samples from TCs Nathan (March 2015),
1738 Debbie (March 2017) and Marcus (March 2018). Continuous rainfall and water
1739 vapour isotopic composition was measured for TC Ita (April 2014) and continuous
1740 vapour isotopic composition for TC Nathan. Tracks of the different TCs are shown
1741 in Figure 6.2.

1742 6.2.3 Meteorological data

1743 TC tracks and metrics were retrieved from the BoM best track database [http:](http://www.bom.gov.au/cyclone/history/)
1744 [//www.bom.gov.au/cyclone/history/](http://www.bom.gov.au/cyclone/history/). The tracks were linearly interpolated onto
1745 15 min intervals to match meteorological parameters available for the time of rain-
1746 fall sampling. Rainfall data was retrieved from the following BoM stations; Bowen
1747 (033327), Cairns (031011), Chillagoe (30140), Cooktown (031209) and Darwin (014015).
1748 OLR data were obtained from NOAA and is described by Liebmann and Smith [78].
1749 Regional average daily OLR values were calculated by averaging daily OLR values
1750 over a bounding box of $10^\circ(\text{EW}) \times 5^\circ(\text{NS})$, centered over Cairns. Airmass source
1751 locations for each daily sample were calculated using HYSPLIT, model version 4.0
1752 [80]. The 2.5° global reanalysis archive provided by the United States National Cen-
1753 ters for Environmental Prediction (NCEP) was used as input for the wind fields.
1754 HYSPLIT back trajectories were computed in isobaric mode, 72 hrs runtime and
1755 selected arrival height was 500 m.

1756 6.2.4 Description of events

1757 Cyclone Ita (5–14 April 2014), a category 5 system on the Australian scale originated
1758 from a tropical low near the Solomon Islands and weakened to a category 4 system
1759 prior landfall, after which time it further weakened rapidly to a category 1 system
1760 while tracking south, parallel to the Australian Coastline. The lowest $\delta^{18}\text{O}$ value

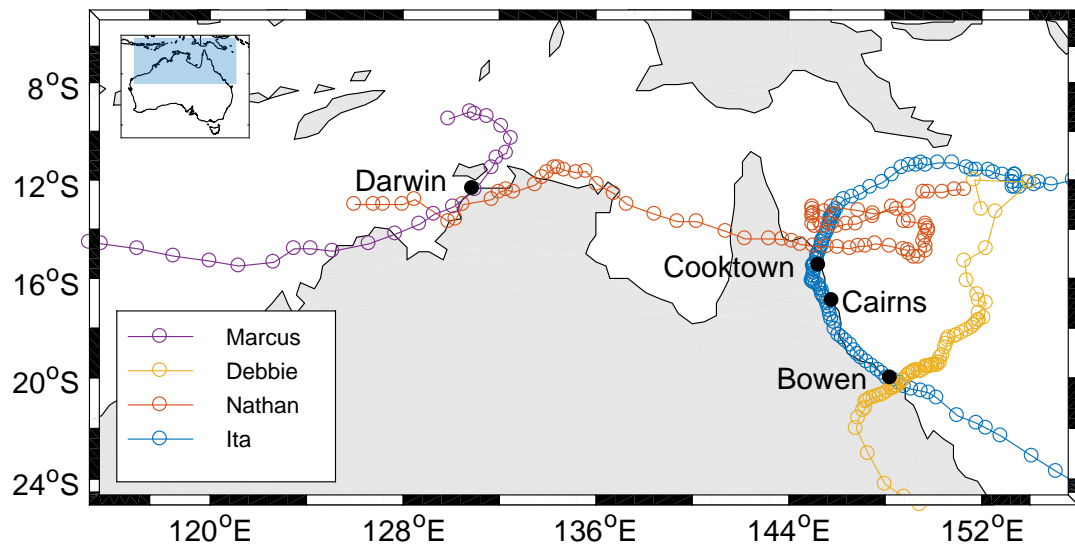


Figure 6.2: Tropical Cyclones that moved through the region during the measurement campaign, Marcus, (March 2018, purple), Debbie (March 2017, yellow), Nathan (March 2015, red) and Ita (April 2014, blue).

1761 recorded was -20.2 ‰ , and at this point the cyclone was ≈ 100 km away from the
1762 measurement site in Cairns. A total rainfall of 231 mm was recorded in Cairns
1763 (Trinity Beach, Lat. $16^{\circ}47.5'$ S, Long. $145^{\circ}41.8'$ E, altitude 20 m above mean sea
1764 level) and the amount weighted mean isotopic composition of rainfall at this site
1765 was $\delta^{18}\text{O} = -10.2\text{ ‰}$ ($n=1612$). Refer to Chapter 5 for a detailed description of Ita's
1766 development and isotope characteristics.

1767 Cyclone Nathan (10–24 March 2015) developed from a tropical depression in the
1768 northern Coral Sea and tracked westward while intensifying to a category 4 system
1769 prior to crossing the Cape York Peninsula, north of Cooktown. Nathan weakened to
1770 a category 1 system before entering the Gulf of Carpentaria where it re-intensified to
1771 a category 3 system while moving west. The lowest $\delta^{18}\text{O}$ value recorded in Cooktown
1772 was $\delta^{18}\text{O} = -10.2\text{ ‰}$. The mean $\delta^{18}\text{O}$ value of the discrete rainfall samples taken at
1773 15 minute intervals in Cooktown ($n=37$) was $\delta^{18}\text{O} = -6.9\text{ ‰}$, with a total rainfall
1774 amount of 81.8 mm.

1775 The isotopic compositions of water vapour ($\delta^{18}\text{O}$ and $\delta^2\text{H}$) for this system were
1776 monitored at two locations; close to its center (Cooktown ≈ 80 km from eye of
1777 cyclone) and further south (Cairns, ≈ 160 km south of Cooktown, see Figure 6.2).
1778 Isotopic compositions of rainfall and water vapour tracked parallel (see Figure 6.3)
1779 during the rainfall period, similar to observations made by [53]. Although Nathan
1780 was a category 4 cyclone, it did not produce did not produce rainfall in Cairns, and
1781 isotopic compositions of water vapour were not greatly affected in that area, ≈ 240
1782 km south of the center of the system, see Fig. 6.3.

1783 Tropical cyclone Debbie (25–29 March 2017) formed in the northern Coral Sea
1784 and tracked southwest while intensifying to a category 4 system just before mak-
1785 ing landfall on the the 28th of March around 11:30 am near Airlie Beach. Debbie
1786 subsequently tracked further inland while weakening to a category 1 system and
1787 caused widespread flooding along its track. The total amount of rainfall recorded at
1788 Bowen airport during the passing of cyclone Debbie was 385.6mm. Lowest rainfall
1789 $\delta^{18}\text{O}$ values in discrete rainfall samples ($\delta^{18}\text{O} = -21.20\text{ ‰}$) were measured just before

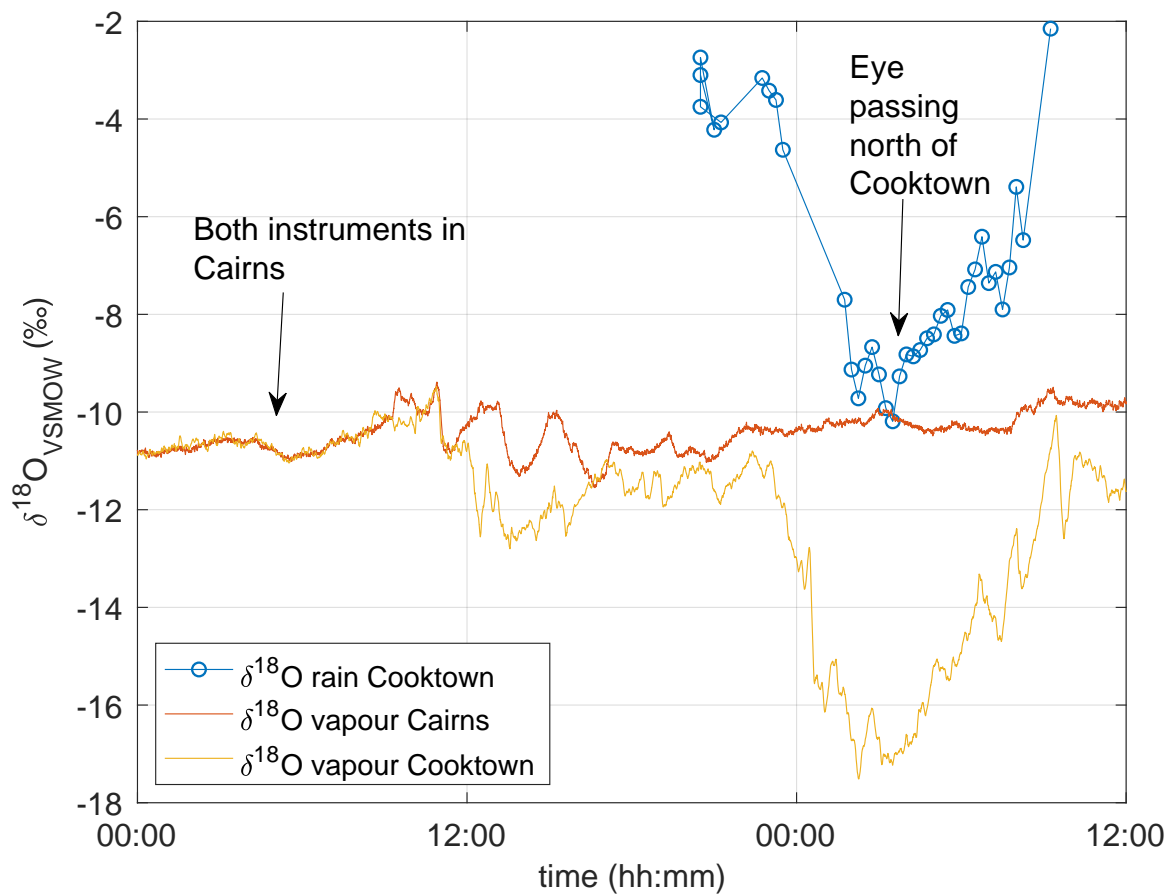


Figure 6.3: Graph showing timeline of $\delta^{18}\text{O}$ values in rainfall and vapour before and during the passage of TC Nathan. One vapour instrument remained stationary in Cairns (red line), second vapour instrument (yellow line) was transported by road to Cooktown (while measuring continuously) and installed stationary upon arrival. Little influence of cyclone Nathan on isotopic composition of water vapour was observed in Cairns, ≈ 240 km south. Water vapour $\delta^{18}\text{O}$ (yellow line) and rainfall $\delta^{18}\text{O}$ in discrete rainfall samples measured in Cooktown (blue markers) show a large negative amplitude during the passage of Tropical Cyclone Nathan.

1790 cyclone Debbie made landfall, the distance from the measurement location in Bowen
1791 to the eye wall was ≈ 30 km at that point.

1792 Tropical Cyclone Marcus (14–25 March 2018) formed in the Arafura Sea as a
1793 tropical low on the 15th of March and adopted a Southwesterly track, crossing over
1794 Darwin as a category 2 system. It intensified to a category 5 system once over
1795 warmer waters off shore Western Australia. Darwin Airport recorded 88.4 mm of
1796 rain during the crossing of Marcus, the lowest rainfall $\delta^{18}\text{O}$ value measured was -18.3
1797 ‰.

1798 **6.3 Results and discussion**

1799 **6.3.1 Isotope record of TCs**

1800 The combined data for all four TCs showed a strong relationship between between
1801 isotopic values recorded from Tropical Cyclone rain and distance (D) from the eye
1802 to the measurement sites (Pearson $\rho=0.70$, $p<0.05$, $n=264$, see Fig. 6.4). Minimum
1803 $\delta^{18}\text{O}$ values were recorded ≈ 25 –100 km outside the eyewall rather than closest to the
1804 centre, a phenomenon that has also been observed elsewhere [115], attributed to the
1805 uptake of (isotopically enriched) seaspray near the eyewall. This strong relationship
1806 between TC distance and isotopic composition of rainfall at the measurement site
1807 has also been reported by Good et al. [69] and Munksgaard et al. [53]. Studies have
1808 linked TC intensity indirectly to low $\delta^{18}\text{O}$ values of TC rain through the analysis
1809 of calcium carbonates derived from speleothems [84, 107]. This seems plausible as
1810 one would expect larger, more intense TCs to exhibit larger stratiform decks and
1811 stronger moisture recycling and more rainout leading to larger negative anomalies
1812 in $\delta^{18}\text{O}$ values [19].

1813 However, we found no clear relationship (Pearson $\rho=-0.16$) or discernible patterns
1814 between TC mean sea level pressures or maximum sustained winds and TC rainfall
1815 $\delta^{18}\text{O}$ values. On the contrary, we observed low $\delta^{18}\text{O}$ values (<-15 ‰) across a range
1816 of wind speeds, indicative of low Cat. 2 to high Cat. 4 TCs. This suggest that
1817 measured $\delta^{18}\text{O}$ values do not represent TC intensity or that the relationship is too

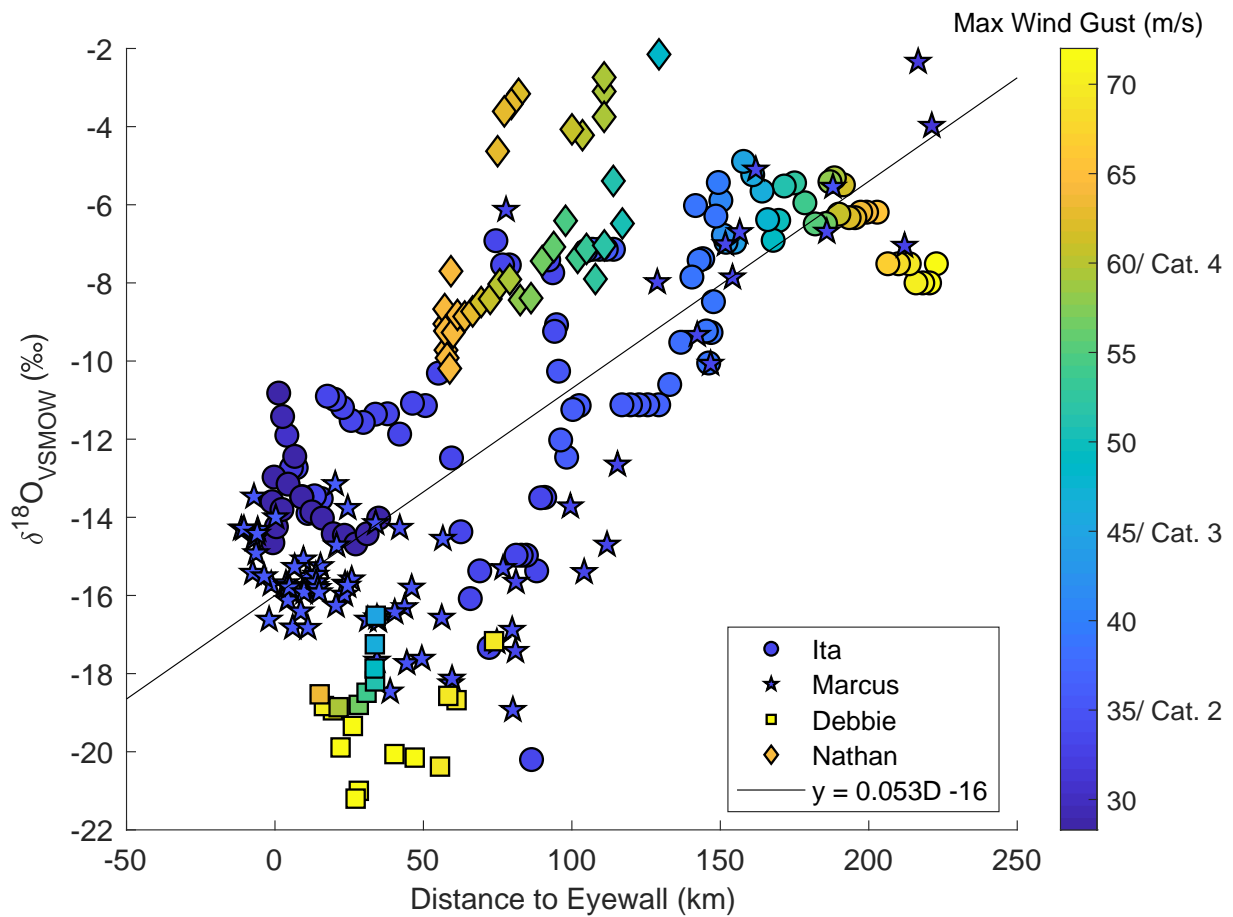


Figure 6.4: Rainfall $\delta^{18}\text{O}$ values versus distance to eye D (km), Ita (circles), Nathan (diamonds), Debbie (squares) and Marcus (stars). Positive/negative D indicates approaching/after passage of TC respectively. Color of symbols indicates maximum observed wind gusts (m/s). Black line shows linear regression $\delta^{18}\text{O}$ versus Distance to eyewall for combined samples of all TCs. Correlation factor between rainfall $\delta^{18}\text{O}$ and D was 0.70, p-value < 0.05 (Pearson ρ).

1818 complex to capture in a linear relationship. A sudden decrease in TC intensity,
1819 for example, can lead to a decrease of $\delta^{18}\text{O}$ values as ice particles from aloft, with
1820 low $\delta^{18}\text{O}$ values, flush out due to ceasing updrafts [112, 115]. Thus, while in some
1821 cases, TC intensity may be negatively correlated to δ values, sudden changes in TC
1822 intensity can have the opposite effect, resulting in no significant first order statistical
1823 relationship.

1824 TC Debbie underwent an eyewall replacement cycle prior to landfall, and the
1825 associated drop in intensity and associated flush out of ice particles may have caused
1826 relatively low $\delta^{18}\text{O}$ values. In addition, while making landfall, TCs often also exhibit
1827 a sudden decrease in intensity which may result in rapid lowering of $\delta^{18}\text{O}$ values.
1828 After making landfall, as the TC moves over land, it loses its oceanic moisture source
1829 and rainout further decreases $\delta^{18}\text{O}$ rainfall values. This complicates a supposedly
1830 relatively simple positive relationship between TC intensity and $\delta^{18}\text{O}$ values for a
1831 speleothem record near the coast.

1832 **6.3.2 Daily isotope record**

1833 Rainfall and daily $\delta^{18}\text{O}$ values in Cairns showed a strong seasonality (Fig. 6.5),
1834 this suggests that this region is well suited for high resolution environmental proxy
1835 records with seasonal resolution. Small rainfall events (<20 mm) comprised $\approx 80\%$
1836 of the dataset and occurred all year round. This is a very different pattern to that
1837 observed at the Darwin GNIP station which has often been used as a ‘representative’
1838 location for north Australian monsoon rainfall. Approximately 75% of the rainfall
1839 samples in Cairns had $\delta^{18}\text{O}$ values lower than -3‰ and the amount weighted average
1840 isotope value from January 2014 to June 2017 was -3.9‰ . The amount weighted
1841 mean $\delta^{18}\text{O}$ value of the daily wet season (December-January-February-March, djfm)
1842 record was -4.3‰ .

1843 Rainfall amount at the Cairns site was poorly correlated with $\delta^{18}\text{O}$ values dur-
1844 ing the wet season (Pearson $\rho = -0.29$, see Table 6.1) however, large rainfall events
1845 ($\gtrsim 50$ mm day^{-1} mostly occurred during the wet season and negative excursions in
1846 $\delta^{18}\text{O}$ (below -3‰ where $\delta^{18}\text{O}$ ranged from -14.1 – -1.2‰) were often associated with

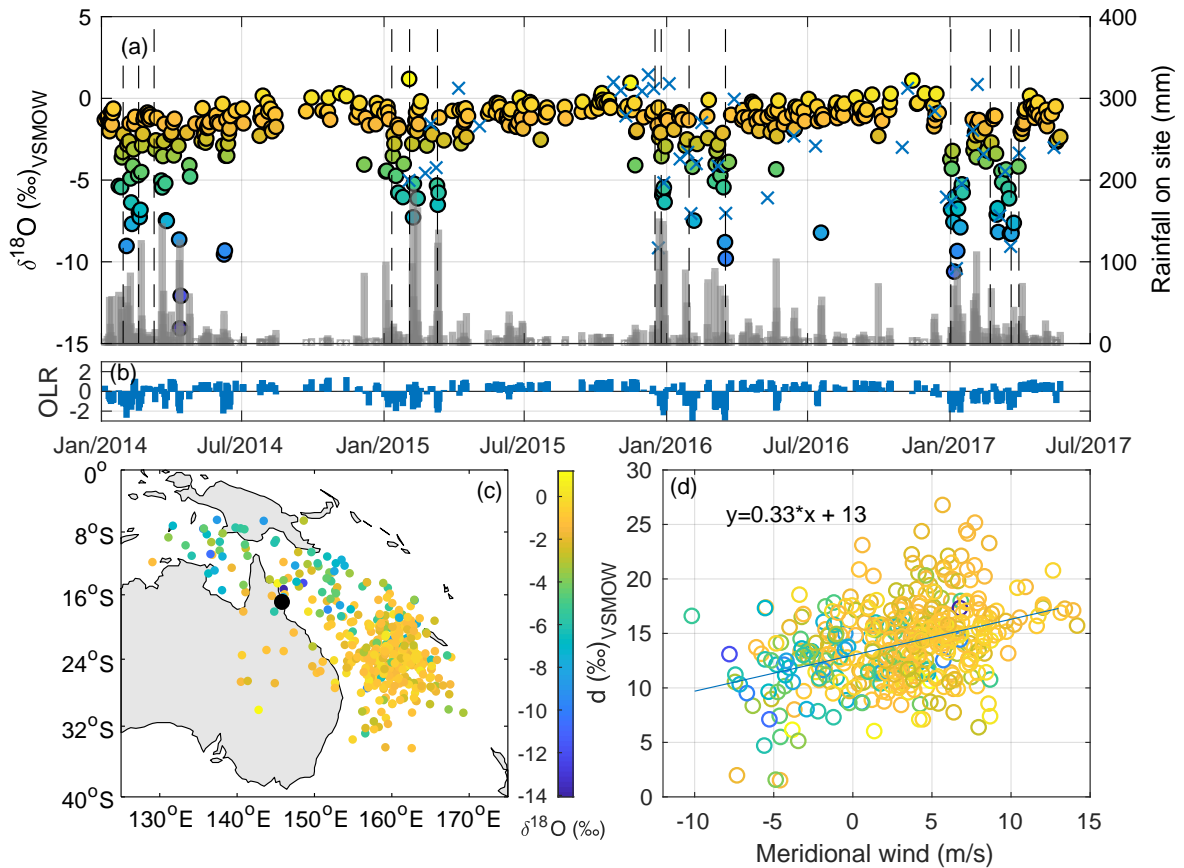


Figure 6.5: (a) $\delta^{18}\text{O}$ values in daily rainfall samples. Dashed vertical line indicates presence of the monsoon trough over the region. Different ranges of $\delta^{18}\text{O}$ are given a color for comparison with bottom panels. Grey bars indicates rainfall (local gauge) at the measurement site. Blue 'x' symbol shows weekly average rainfall $\delta^{18}\text{O}$ values in Chillagoe. (b) OLR anomaly (z-scores). (d) Airmass source (72 hrs back trajectory) locations for sampling days in (a). (e) d-excess versus Meridional (north-south) wind component.

1847 these events. A strong correlation between $\delta^{18}\text{O}$ values and rainfall amount was
1848 observed during the dry season (Pearson $\rho = -0.63$), suggesting that bulk microphys-
1849 ical processes (Rayleigh distillation, isotopic exchange and kinetic fractionation, see
1850 Chapter 2) underlying the ‘amount effect’ are likely the dominant driver of rainfall
1851 $\delta^{18}\text{O}$ variability in this season [15].

1852 Rainfall isotopic composition at the site was influenced by the amount of con-
1853 vective activity in dry- and wet seasons, illustrated by the strong correlation with
1854 cloudiness, (OLR). Larger negative amplitudes of rainfall isotopic ratios ($\delta^{18}\text{O} <$
1855 -5‰) mostly occurred during the presence of the monsoon trough over the area
1856 (see Figure 6.5a). This coincided with negative spikes in the daily averaged regional
1857 OLR (Figure 6.5b). Low values of OLR indicate the presence of MCS in the region.
1858 While relative contributions of processes underlying the link between convection and
1859 low $\delta^{18}\text{O}$ values are still under debate, the link is generally accepted [16]. Kurita [19]
1860 explored the vapour recycling hypothesis proposed by [38] and found that rainfall
1861 isotopic variability is closely linked to the degree of convective organisation and as-
1862 sociated stratiform rainfall fraction. Large scale convective activity was also found
1863 to be the dominant driver of isotopic variation in the Bay of Bengal by [34]. Other
1864 studies proposed cloud- and rainfall type as determining factors in $\delta^{18}\text{O}$ variability
1865 [41, 42, 40]. Airmass back trajectory analysis showed that airmass source regions for
1866 days with relatively low $\delta^{18}\text{O}$ values (dominated by blue/green in Fig. 6.5) during
1867 the wet season originated from sector areas northwest (over north) to the east of
1868 Cairns. Air masses with relatively high $\delta^{18}\text{O}$ values mainly originated from waters
1869 south east of Cairns.

1870 This shift in source regions due to the reversal of the seasonal winds was also
1871 reflected in the the so called d-excess (defined as $d\text{-excess} = \delta^2\text{H} - 8 \times \delta^{18}\text{O}$) values.
1872 Winds with a northerly component (meridional wind vector < 0 , d-excess range 1.5–
1873 18.5 ‰) were often below average ($d = 14.7 \text{‰}$) and associated with lower $\delta^{18}\text{O}$ values
1874 ($< -4 \text{‰}$, indicated by green and blue in Figure 6.5). In contrast, higher d values
1875 were more often associated with yellow markers in Figure 6.5, indicating higher $\delta^{18}\text{O}$

Table 6.1: Pearson ρ correlation coefficients and p-values between $\delta^{18}\text{O}$ in daily rainfall, local and regional rainfall amount, and OLR values.

	rain at site [daily mm]	regional [daily mm]	OLR [W m^{-2}]
$\delta^{18}\text{O}_{dry} = -3.6 \text{ ‰}$	-0.63	-0.58 (<0.05)	0.46 (<0.05)
$\delta^{18}\text{O}_{wet} = -4.3 \text{ ‰}$	-0.29	-0.58 (<0.05)	0.56 (<0.05)

Table 6.2: Range, average and standard deviations of TC rainfall $\delta^{18}\text{O}$ values.

TC	$\delta^{18}\text{O}$ values (‰)		
	range	average	standard deviation
Ita	[-20.2, -4.9]	-10.1	3.4
Nathan	[-10.2, -2.2]	-6.9	2.4
Debbie	[-21.2, -16.5]	-18.9	1.2
Marcus	[-18.9, -2.3]	-14.2	3.7

1876 values and southerly winds (d-excess ranging from 6.1–26.8 ‰, positive meridional
1877 wind component). Previous studies have pointed out the relationship between d-
1878 excess and moisture source conditions, see for example Pfahl and Sodemann [46].
1879 Airmasses in the wet season (with associated low d-excess values) originate from
1880 tropical waters north of latitude $\approx 16^\circ\text{S}$ and higher d-excess values were associated
1881 with subtropical to mid-latitude waters. The difference in Sea Surface Temperature
1882 (SST) between these two regions is on average 6°C [103]. SST and Relative humidity
1883 at the source region are known to be positively and negatively correlated with d-
1884 excess respectively [46]. We therefore conclude that d-excess and $\delta^{18}\text{O}$ values in our
1885 dataset indicate the shift between south-easterly trade- and wet season northerly
1886 winds. This is also illustrated by the meridional wind vector that shows a positive
1887 correlation with d-excess (Figure 6.5d); northerly winds during the wet season bring
1888 in airmasses from a region with high SST's and relatively humid conditions, the
1889 opposite is true for the winter season.

1890 **6.3.3 Potential impact of TC rain on stable isotope proxy** 1891 **records**

1892 The stable isotope signal of a TC can be imprinted into a natural archive such as a
1893 speleothem if the input of TC rain changes the isotopic composition of a reservoir
1894 feeding the speleothem significantly [84]. In Chillagoe, a decrease in $\delta^{18}\text{O}$ value of
1895 2.5‰ below average has been used as a threshold representing the signature of TC
1896 rainfall near ($<400\text{ km}$) the observation site [107], meaning that TC rain should
1897 lower the $\delta^{18}\text{O}$ value of the soil water by at least 2.5‰ .

1898 The TC's in our dataset display a range of rainfall isotopic compositions and
1899 associated rainfall amounts which can be compared to the range of variations usually
1900 observed during the wet season, see Figure 6.6. The amount weighted mean and
1901 standard deviation of the daily wet season record in Cairns was -4.3‰ and -4.8‰
1902 respectively. The mean $\delta^{18}\text{O}$ values of TCs varied from -18.9‰ (Debbie) to -6.9
1903 ‰ (Nathan), see Figure 6.6. The stable isotope composition of rainfall associated

1904 with cyclones Debbie and Marcus differ significantly from the Cairns wet season
1905 distribution at the 95% significance level (see also Table 6.2 for an overview of
1906 range, average and standard deviation $\delta^{18}\text{O}$ values for TC rainfall).

1907 The change in soil water isotopic composition, resulting from the input of low
1908 $\delta^{18}\text{O}$ rainfall from different TCs was examined through two basic hypothetical volu-
1909 metric mixing scenarios:(i) average TC $\delta^{18}\text{O}$ rainfall values were used as input while
1910 the TC rainfall amount varied between 0 and 600 mm, see Fig. 6.7a. (ii) TC rain-
1911 fall amount was set constant and input TC $\delta^{18}\text{O}$ values are varied with distance (D)
1912 according to Figure 6.4. For the latter case, TC average $\delta^{18}\text{O}$ values were calculated
1913 at 25 km intervals.

1914 *Scenario 1*

1915 First we assume an initial reservoir size equalling one month of wet season rainfall
1916 amount in Cairns (≈ 360 mm, $\delta^{18}\text{O} = -4.25$ ‰). This mixing scenario was repeated for
1917 a smaller and larger water reservoirs, equalling one month (≈ 181 mm) and full wet
1918 season (djfm, (≈ 725.3 mm) respectively of average wet season rainfall in Chillagoe,
1919 ≈ 130 km inland where previous speleothem isotope studies have been located. The
1920 same reservoir initial isotopic composition (Cairns average $\delta^{18}\text{O} = -4.25$ ‰) was used
1921 for both scenarios as all TC observations were made on coastal locations and a
1922 continental effect is likely to change the isotopic composition of both TC and wet
1923 season rain in Chillagoe to a similar degree.

1924 The results show that, in this scenario, isotopic mixtures at small rainfall amounts
1925 (≈ 50 mm) fall between the average and critical impact value, meaning that no TC
1926 would effect a large enough perturbation in the calcite $\delta^{18}\text{O}$ to be detected as TC,
1927 see Figure 6.7. Rainfall from Debbie, Marcus and Ita cause a large enough change in
1928 soil water $\delta^{18}\text{O}$ values from around 75, 100 and 250 mm of rainfall respectively. The
1929 isotopic signature of TC Nathan, a category 4 system on the Australian scale, would
1930 become imperceptible after when mixing with soil water, either for one month (lower
1931 boundary) or a full wet season worth of rainfall (upper boundary) at Chillagoe. TC
1932 Debbie is likely to be detected assuming both large and small pre-existing reservoirs

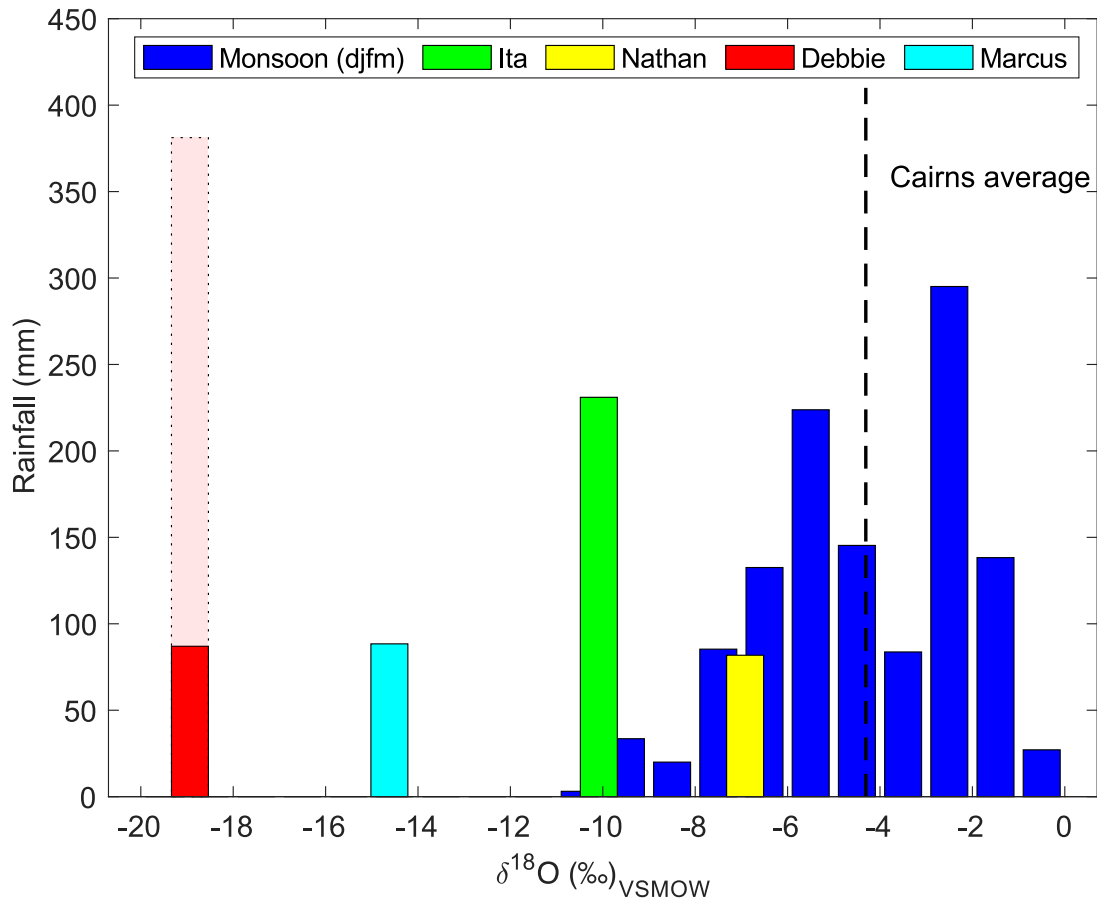


Figure 6.6: Average seasonal rainfall amount versus corresponding $\delta^{18}\text{O}$ as measured during 4 wet seasons (blue), dashed lines indicates wet season weighted mean for Cairns (right). Coloured bars show total cyclonic rainfall during measurement and corresponding mean $\delta^{18}\text{O}$ for Ita (green) Nathan (yellow) Debbie (red) and Marcus (cyan). Translucent red bar shows total rainfall outside measurement period.

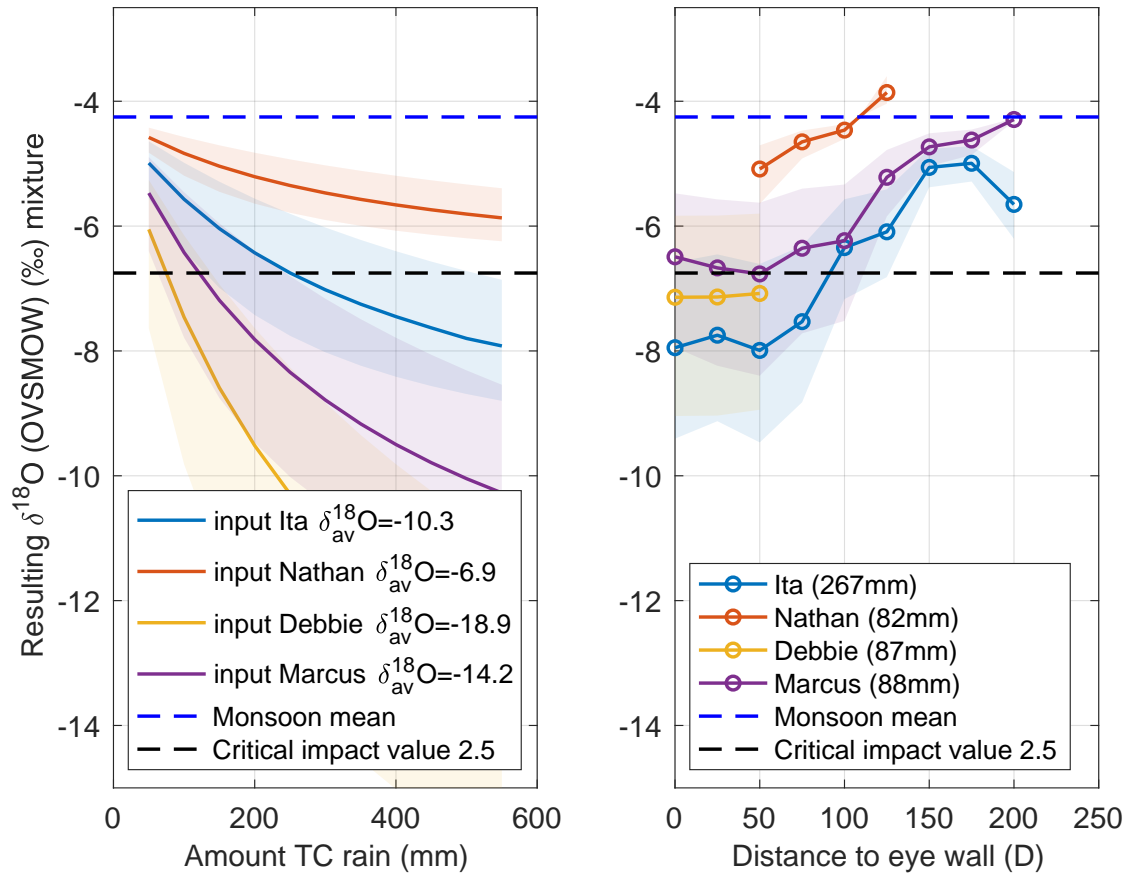


Figure 6.7: Different mixing curves showing resulting $\delta^{18}\text{O}$ in reservoir for Ita (green), Nathan (yellow) and Debbie (red). Reservoir defined as one month average wet season rainfall (360 mm) with initial $\delta^{18}\text{O} = -4.25$ ‰, indicated by dashed blue line. Critical impact value = -2.5 ‰ as defined by Nott et al. [107]. Mixing curves were calculated using the spreadsheet developed by Faghihi et al. [136]. Shaded areas lower and upper boundaries indicate one month or full wet season rainfall amounts respectively.

1933 for rainfall amounts exceeding 150 mm.

1934 Figure 6.4 illustrates that the distance to the eye wall determines, to a large
1935 extent, TC rainfall $\delta^{18}\text{O}$ values.

1936 *Scenario 2*

1937 Rainfall amount of TCs was set to the observed amount during the measurement
1938 period and $\delta^{18}\text{O}$ values of each TC were averaged over 25 km intervals away from
1939 the observation sites.

1940 The data (see Fig. 6.7) shows that, for the most diluted scenario (upper boundary
1941 of shaded areas, large initial reservoir size 725 mm) no TC/soil water mixture shows
1942 $\delta^{18}\text{O}$ value well below the critical impact level and TCs would most likely not be
1943 detected. The effect of TC Nathan was too small to lower mixture $\delta^{18}\text{O}$ values
1944 below the critical impact level. Figure 6.7 also shows that, too have enough effect,
1945 TCs need to pass in relative close proximity to the site (≈ 125 km) even in the least
1946 diluted scenario. An exception to this may be TC Debbie, however, no measurements
1947 further than 50 km to the eye wall were available to confirm this.

1948 **6.4 Conclusions**

1949 Negative $\delta^{18}\text{O}$ excursions in rainfall in Cairns, north east Australia were associated
1950 with the presence of the monsoon trough and low OLR values, indicating that large
1951 scale organised convection drives depletion events in this region. Furthermore, there
1952 was a clear seasonality in $\delta^{18}\text{O}$ values indicating that seasonal chronologies can
1953 potentially be retrieved from natural archives in this region, such as tree rings,
1954 lake sediments or speleothems. Seasonality was also apparent in d-excess values,
1955 reflecting a change in moisture source regions and/or conditions between wet and
1956 dry seasons. This, and the observed positive relationship the meridional wind vector
1957 and d-excess suggests that may be used as in indicator of the strength and duration
1958 of wet season conditions and as a proxy for trade wind strength.

1959 TC rain $\delta^{18}\text{O}$ values showed a larger range ($\approx -21 - \approx -2$ ‰) than that of multi
1960 season daily rainfall sample $\delta^{18}\text{O}$ values ($\approx -11 - 0$ ‰). TC rainfall $\delta^{18}\text{O}$ showed a

1961 strong correlation with distance of the TC eyewall to the measurement sites. Two
1962 out of four TCs produced rainfall $\delta^{18}\text{O}$ values that were significantly lower than
1963 the wet season rainfall $\delta^{18}\text{O}$ distribution. Two mixing scenarios were evaluated and
1964 demonstrated that three out of the four TCs can potentially effect a large enough
1965 perturbation in the the calcite $\delta^{18}\text{O}$ record to be detected as TC. Providing the
1966 reservoir size equalled one month of average rainfall amount and the TC eyewall
1967 would pass the site at a distance of ≈ 100 km. One TC would most likely go unde-
1968 tected in a stable isotope record located in both in the wetter coastal zone and semi
1969 wet interior.

1970 **Chapter 7**

1971 **Holocene monsoon hydroclimate from a la-**
1972 **custrine *n*-alkane $\delta^2\text{H}$ record, Girraween**
1973 **Lagoon, northern Australia.**

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1980

1981 Submitted to Quaternary Science Reviews.

1982

Abstract

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We present higher plant derived *n*-alkane hydrogen isotope proxy record spanning the Holocene preserved in Girraween Lagoon sediments, near Darwin in northern Australia. Comparatively high and variable $\delta^2\text{H}$ values during the early Holocene (11–8.5 ka) are interpreted as a period of increased variability in rainfall type and amount, seasonality, and, as a result of stronger evaporative demand. A decrease in $\delta^2\text{H}$ values from 8.5 ka to a broad minimum around 6–4 ka suggests more regular rainfall and the occurrence of an active monsoon phase, associated with widespread regional convection and large stratiform rainfall areas. An increase in $\delta^2\text{H}$ values was observed from 4–3 ka, and marginally thereafter, is interpreted as a decrease in annual rainfall and/or increased seasonality and change in rainfall type. Corroboration of this interpretation is provided by an aquatic pollen record spanning the Holocene from Girraween Lagoon and time series of hydrogen isotope composition of both daily and monthly regional rainfall from local lake waters. The differences between the identified periods are well explained by changes in ENSO activity and sea level. In general, the timing of ENSO activity deduced from this record matches well with other proxy records from this region. Differences between records most likely reflect locations of these records relative to the spatial structure of the north Australian monsoon system.

2001 **7.1 Introduction**

2002 Climate has varied substantially in the past and this variability is archived in a
2003 variety of palaeoenvironmental proxy records [3]. In tropical monsoon regions, these
2004 records potentially provide insight into the range of hydroclimate variability in the
2005 past and insight into the interactions between the range of processes driving monsoon
2006 hydroclimate [8, 3]. In these regions, the major sources of proxy hydroclimate
2007 information are the oxygen isotope ($\delta^{18}\text{O}$) composition of speleothem carbonate [60]
2008 and the hydrogen isotope ($\delta^2\text{H}$) composition of *n*-alkanes preserved in sedimentary
2009 archives (e.g. [137, 14]).

2010 On glacial-interglacial timescales in the IASM-EASM region, speleothem isotope
2011 records have demonstrated that Milankovitch forcing drives changes in monsoon
2012 hydroclimate [3], ultimately through changing solar insolation, as well as through
2013 control of sea level and thereby the area of ocean available for evaporation across the
2014 maritime continent [138]. Insolation changes on orbital timescales lead to changes in
2015 monsoon strength manifest in latitudinal shifts in the position of the Inter-tropical
2016 convergence zone (ITCZ), and therefore the position of highest seasonal rainfall in
2017 the IASM region [e.g. 138].

2018 While there are multiple speleothem isotope records of monsoon hydroclimate
2019 variability through the Holocene available for the EASM [e.g. 139] and equatorial
2020 maritime continent regions [e.g. 140], there are comparatively few records available
2021 from northern Australia. Speleothem records that span the Holocene are limited
2022 to those that comprise the composite KNI-51 record from a cave near Kununurra
2023 in Western Australia [67], with some data available from the late Holocene [85]
2024 and the early to mid- Holocene from Ball Gown Cave [141] and Cape Range [142],
2025 both also in Western Australia. To the north, in Southern Indonesia, there is a
2026 Holocene speleothem record from Liang Luar on the island of Flores [143]. Dur-
2027 ing the Holocene, these speleothem isotope records have demonstrated substantial
2028 decadal to millennial scale variability in monsoon hydroclimate related to dynamic
2029 interactions between the AISM and EASM modulated by other coupled atmosphere-

2030 ocean phenomena.

2031 Other information on Holocene hydroclimate in the region, generally of lower
2032 temporal resolution, has been derived from palynological [e.g. 144, 145, 146] and
2033 geomorphic [147, 148, 149] records onshore as well as inferred from geochemical
2034 measures thought to reflect changing runoff intensity derived from marine sediment
2035 records from offshore Western Australia [150], the Timor Sea [151], south of Java
2036 [152] and between Flores and Sumba [153].

2037 Collectively, all records of Holocene hydroclimate change in the southern AISM
2038 region show both similarities and differences in trends, timing and magnitude of
2039 changes, and in the interpretation of the mechanisms driving inferred hydroclimatic
2040 change through the Holocene.

2041 The hydrogen isotope composition of plant waxes (long chain alkanes and fatty
2042 acids) have shown considerable potential for reconstruction of changes in tropical
2043 hydroclimate [e.g. 14]), Despite this potential, there are few *n*-alkane hydrogen-
2044 isotope records from the AISM region and none from northern Australia. A long
2045 chain fatty acid hydrogen isotope records for the AISM region has been developed
2046 from the sediments in Lake Towuti [27], on Sulawesi in Indonesia, and a marine
2047 *n*-alkane hydrogen isotope record from the Indian Ocean off Java [154]. Here we
2048 present the first *n*-alkane hydrogen isotope record spanning the Holocene derived
2049 from sediments preserved in Girraween lagoon, near Darwin in northern Australia.
2050 We compared our results with the aquatic pollen record from Girraween Lagoon
2051 [155] to derive a record of hydroclimate change in the region. We then compared
2052 this record with other published proxies of Holocene hydroclimate change from the
2053 southern IASM region.

2054 **7.2 Methods**

2055 **7.2.1 Study area**

2056 Girraween lagoon (-12.517656 °S 131.080747 °E; 25 masl) is a perennial waterbody,
2057 located on the outskirts of Darwin, Northern Territory, Australia. The site is cur-

2058 rently ≈ 30 km from the open ocean, but estuaries under tidal influence extend to
2059 within ≈ 15 km of the site. The modern environment and Holocene evolution of the
2060 lagoon has previously been described in detail by [155]. In brief, the lagoon has a
2061 small catchment of 917 ha exhibiting little relief. The lagoon itself has a surface
2062 area of 45 ha, and at the deepest point is about 4.5 m in the dry season. There is
2063 a wet season increase of $\approx 1\text{--}2$ m in water depth, with the lake surface in the wet
2064 season roughly coincident with the local water groundwater table.

2065 The region experiences a strongly seasonal climate, encompassed within Köppen-
2066 Geiger's 'Tropical Savanna' classification subtype Aw [157]. The mean annual tem-
2067 perature maximum is 32.6 °C (minimum 23.2 °C). In the strongly seasonal climate
2068 that characterises the study area, potential evaporation exceeds 1,800 mm in most
2069 years [7] compared to mean annual regional rainfall of 1731 mm (Bureau of Me-
2070 teorology Station 014015, Darwin Airport), with 90% of rain falling in the wet
2071 season between November and April (Charles et al., 2016). Monsoon conditions are
2072 characterized by west to north-westerly winds whereas winds in the dry season are
2073 dominated by east to south-easterlies. The region is subject to Tropical Cyclones
2074 with records from 1964 to 2015 including 32 severe cyclone landfalls (categories 3,
2075 4 or 5 on the Australian scale, [103]).

2076 Modern vegetation around the site is Eucalyptus-dominated tropical open forest
2077 savanna and/or savanna woodland (dominant overstorey species *Eucalyptus tetradonta*,
2078 *Eucalyptus miniata*, *Corymbia polycarpa*) with a grassy understorey (*Sorghum* spp.)
2079 [155]. Variable transition communities dominated by *Lophostemon* spp. and *Melaleuca*
2080 spp. and broad-leaf herbs occur on approach to the water. The lagoon itself incor-
2081 porates a wetland fringe, with zonations in vegetation close to the lagoon edge de-
2082 termined by depth of open water and extent of onshore soil waterlogging. *Melaleuca*
2083 form partly floating woodlands in the shallow waters and on waterlogged soils. A
2084 well defined ring dominated by sedges rings the lagoon in shallow/seasonally flooded
2085 areas with *Nymphaea* and other submerged taxa occupying permanent open water.

2086 Girraween Lagoon was cored using a floating platform with hydraulic coring-

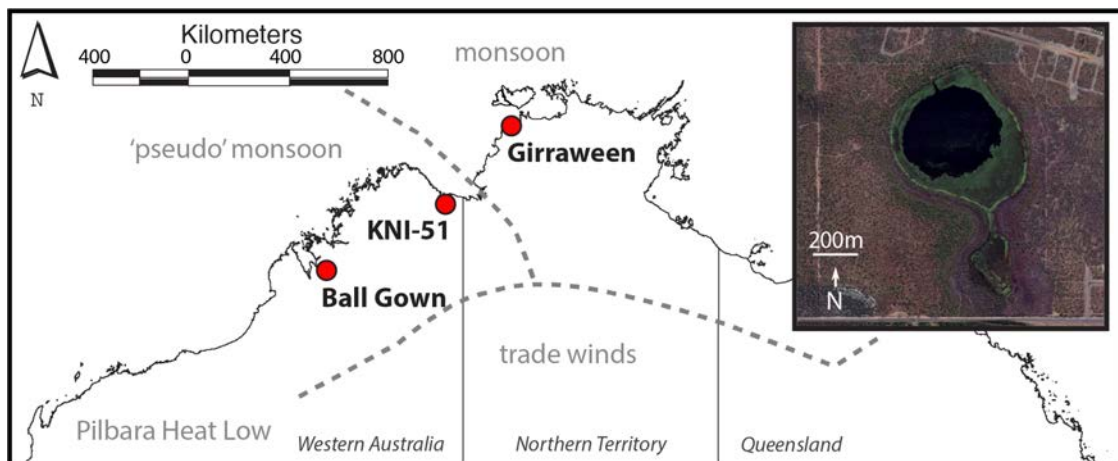


Figure 7.1: Location of Girraween lagoon, other locations mentioned in the text and monsoon wind classification scheme of [156]. Inset: satellite image of Girraween Lagoon.

2087 rig. A 19.4 m core in 1 m sections was collected (to the point of bedrock). The
2088 focus of this paper is the upper 5 m of this core. Each 1 m section was collected
2089 in plastic tubing and sealed in the field for transport. Core sections were split in
2090 half, described and sub-sampled at 5 or 10 cm intervals (dependent on the changing
2091 nature of sediments). The upper 5 m that are the focus of this paper represent
2092 relatively uniform organic-rich peats grading to organic-rich sediment with a higher
2093 proportion of fine clay below ≈ 4 m depth [155]. The chronology of the core is
2094 constrained by six radiocarbon dates indicating the sediments under investigation
2095 cover the last $\approx 12,500$ calendar years, with no breaks in sedimentation [155]. We
2096 use the chronological framework and some of the palynological data from [155] to
2097 underpin and complement the interpretation of the *n*-alkane result presented here.

2098 **7.2.2 Water isotope sampling and analysis**

2099 Accumulated 24-hourly rainfall was collected from through 2017 near Charles Dar-
2100 win University (12.37 °S 130.86 °E), 28 km northwest of Girraween Lagoon, and
2101 stable isotope results have previously been reported by [42]. Lake water from 30 cm
2102 depth was sampled monthly through 2017 into 50 ml Falcon plastic centrifuge tubes.
2103 Stable isotope analysis was carried out using Picarro L2120i and L2130i instruments
2104 and autosampler connected to a diffusion sampler device [see 30]. Measurements
2105 were scaled relative to the Vienna Standard Mean Ocean (V-SMOW) water scale
2106 using three secondary water standards whose composition were determined relative
2107 to the certified isotope standards Vienna Standard Mean Ocean Water (V-SMOW),
2108 Standard Light Antarctic Precipitation (SLAP), and Greenland Ice Sheet Precipi-
2109 tation (GISP) by multiple analyses using Isotope Ratio Infrared Spectrometry and
2110 Isotope Ratio Mass Spectrometry at three laboratories. Precision is typically \pm
2111 0.1‰ and $\pm 0.5‰$ for $\delta^2\text{H}$ and $\delta^{18}\text{O}$ respectively ($1\sigma\text{SD}$).

2112 **7.2.3 Lipid extraction and analysis**

2113 The lipids were extracted and column chromatography was performed at the James
2114 Cook University Advanced Analytical Centre, in Cairns Australia. A ≈ 5 cm aliquot

2115 of lake sediment was sampled at every 20 cm along the Holocene section of the
2116 Girraween sediment core, freeze dried and homogenised using a ring mill. Lipids
2117 were solvent extracted using a MARS in a dichloromethane/methanol (9:1) mixture
2118 from ≈ 1.5 –10 g subsamples depending on the amount of organic carbon in the
2119 sample. The *n*-alkanes were separated from the total extract using SPE columns,
2120 filled with 1.5 g of activated silica gel (0.040–0.063 micron), on a vacuum block with
2121 elution using 10 ml of hexane. Compounds were identified and quantified in the
2122 Organic Surface Geochemistry Lab at the German Research Centre for Geosciences
2123 in Potsdam, Germany (using a GC-FID/MSD Agilent 7890A GC, 5975C MSD,
2124 Agilent Technologies, Palo Alto, USA). For quantification, an internal standard (5 α -
2125 androstane) and external *n*-alkane mixture was used. Some samples required extra
2126 clean up on silver nitrate columns after which all samples showed separated base
2127 line peaks.

2128 Alkane hydrogen isotope ($\delta^2\text{H}_{\text{wax}}$) values were determined by running samples in
2129 duplicate using a Thermo Scientific Delta V^{PLUS}, coupled to a Trace 1310GC and
2130 Isolink operated at 1420 °C. Typical standard deviations were ≤ 3 ‰. nC27 and
2131 nC29 displayed continuous clean signals in all samples and chosen as representative
2132 $\delta^2\text{H}_{\text{wax}}$ values. The H_3^+ factor was evaluated every measurement sequence and was
2133 constant throughout the measurement period.

2134 **7.3 Results**

2135 Modern rainfall, lake water depth and $\delta^2\text{H}$ variations all illustrate the strong sea-
2136 sonality of north Australia’s climate (Figure 7.2). Strong rainfall events with daily
2137 rainfall amounts up to ≈ 185 mm occurred during the months Jan–Apr while little to
2138 no rain was recorded outside this period. The absence of rainfall in the dry season,
2139 while evapotranspiration fluxes were similar (or higher, see Figure 7.2), resulted in
2140 a ≈ 1 metre decrease in lake water level and, in turn, to a progressive increase of $\delta^2\text{H}$
2141 lake water values (30 ‰). Highest lake water $\delta^2\text{H}$ values were recorded in Novem-
2142 ber, before the following wet season. Rainfall $\delta^2\text{H}$ showed large variation during the

2143 wet season and varied from ≈ -90 ‰ to ≈ 0 ‰ lowest values were observed during
2144 monsoonal outbreaks in January, consistent with results from previous studies in
2145 the region Zwart et al. [42].

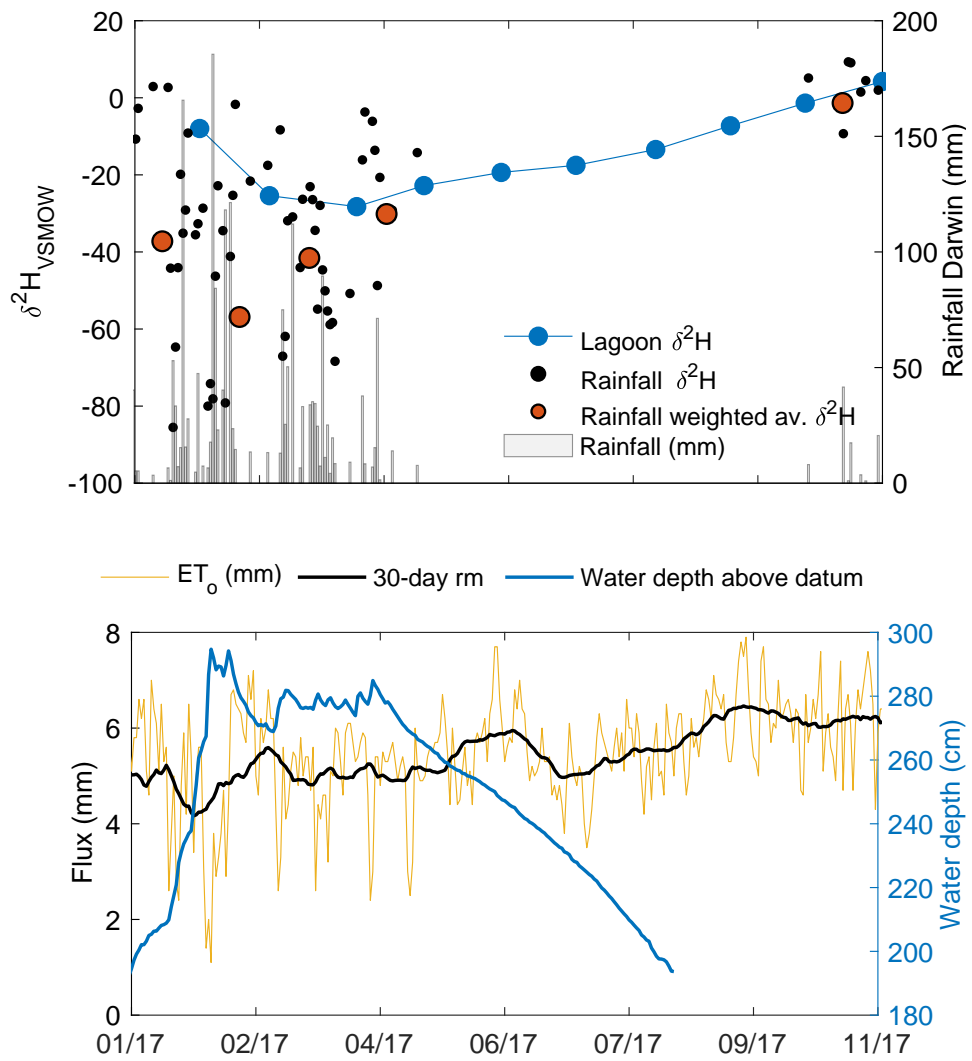


Figure 7.2: Lagoon $\delta^2\text{H}$ values (blue dots), Rainfall $\delta^2\text{H}$ (black dots) and amount weighted $\delta^2\text{H}$ (red dots) and rainfall amount (indicated by grey bars, upper panel). Evaporative flux in mm (yellow), 30-day mean evaporative flux (black) and Water depth (blue, lower panel)

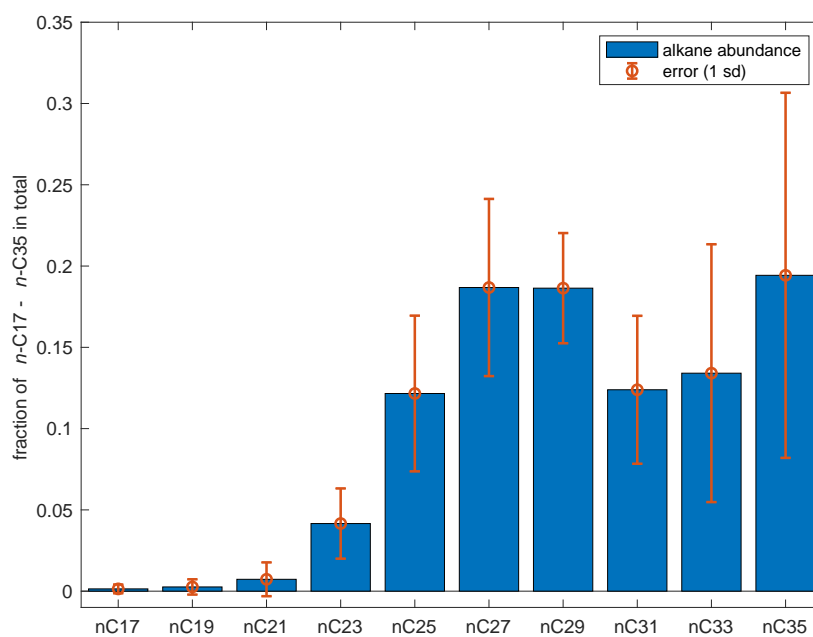


Figure 7.3: Mean proportion of each odd chain length alkane from n -C17 to n -C35, relative to the total odd chain length alkanes from n -C17 to n -C35. Error bars are standard deviation of values for all samples.

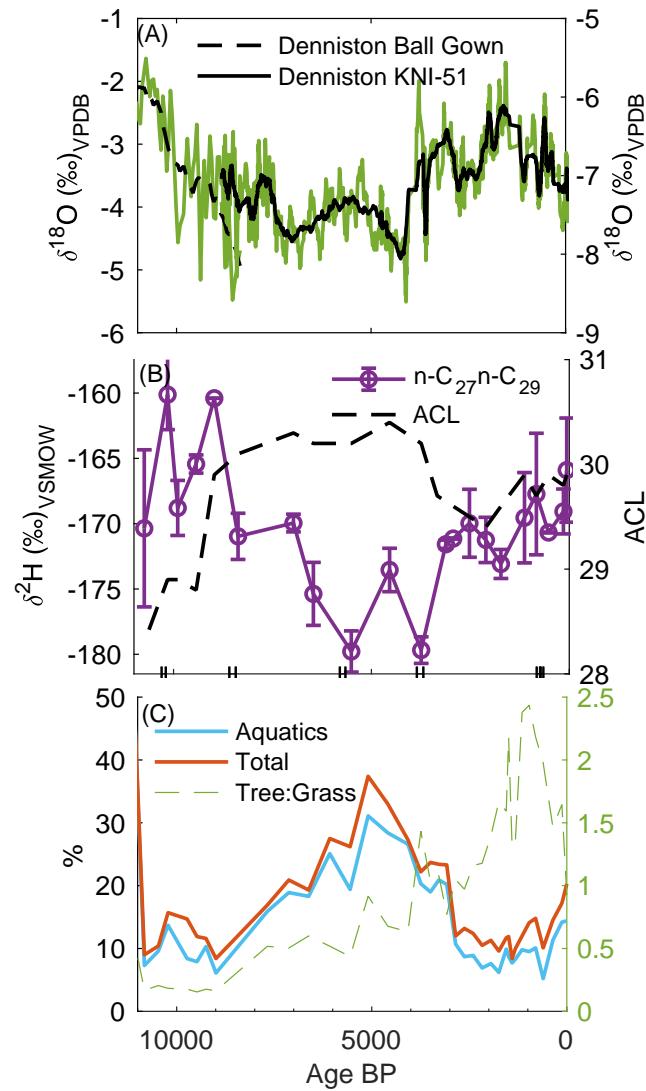


Figure 7.4: : (a) Speleothem $\delta^{18}\text{O}$ records, raw data (green line) and moving average (black), (b) $\delta^2\text{H}_{\text{wax}}$ and ACL_{odd} record from Girraween Lagoon, black vertical lines on x-axis indicate location of ^{14}C ages and uncertainty, (c) Pollen record for Girraween Lagoon from Rowe et al. [155].

2146 The *n*-alkane distributions from the Girraween lagoon samples indicate a dom-
2147 inant higher plant source, with minor contributions from alkanes with a carbon
2148 number $< n$ -C23, increasing to a peak in relative abundance at *n*-C27 and/or *n*-
2149 C29 (Supplementary Table 1). The abundances of *n*-C31 and *n*-C33 are lower than
2150 *n*-C29. There is a second peak in abundance at *n*-C35, for mid-Holocene samples
2151 between 3,500 and 7,000 Cal BP in age, where the *n*-C35 represents $>31\%$ of the
2152 total alkanes in the samples (Figure 7.3). Following Bush and McInerney [158], we
2153 calculate the average chain length of odd-numbered terrestrially derived leaf waxes
2154 from *n*-C27 to *n*-C33 (ACL_{odd} Supplementary Table 1). ACL_{odd} values are the
2155 lowest in the record (<29.0) before 8,500 cal BP, but increase rapidly thereafter to
2156 values consistently between 30.0 and 30.5 until 3,500 cal BP. After 3,500 cal BP,
2157 ACL_{odd} values decrease to 29.5 by 2,000 cal BP and then increase marginally to 30.0
2158 toward the present. Based on the consistently high abundance of *n*-C27 and *n*-C29
2159 in all samples (neither ever $<12\%$ and both up to 30% , of total alkanes) and the fact
2160 that these compounds clearly represent higher plant waxes of known provenance, we
2161 present the hydrogen isotope record for Girraween Lagoon as the average of the two
2162 alkanes at each depth. Deviation from the mean of those analyses represents the
2163 measure of uncertainty we adopt.

2164 The Holocene plant wax *n*-alkane isotope (δ^2H_{wax}) record for *n*-C27 and *n*-C29
2165 from Girraween is shown in Figure 7.4(b) indicating initially high δ^2H_{wax} values (\geq -
2166 170‰), decreasing from ≈ 9 ka to values $\leq -170\text{‰}$ between 4 and 6 ka, thereafter
2167 increasing to values between -165 and -170‰ toward the present. Where comparison
2168 is possible across these broad temporal periods, the other alkanes above *n*-C23 mirror
2169 these broad trends. The *n*-alkane data also exhibits relatively larger fluctuations in
2170 δ^2H_{wax} values in the period before ≈ 4 ka compared to the period towards the present.

2171 7.4 Discussion

2172 7.4.1 Interpreting alkane records in tropical seasonal envi- 2173 ronments

2174 In addition to the factors governing the isotope composition of rainfall [42], the
2175 $\delta^2\text{H}_{\text{wax}}$ record is also subject to variability associated several other factors that
2176 should be considered in interpreting the record [159]. These include (i) potential
2177 evaporation of the water in the environment prior to biosynthate production, leading
2178 to an increase in the $\delta^2\text{H}$ value of the water, and (ii) a number of climate-, species-
2179 , and therefore location-specific variables that govern the apparent water-alkane
2180 isotope fractionation factor (ϵ_{alk}).

2181 In the strongly seasonal climate that characterises the study area, potential evap-
2182 oration exceeds 1,800 mm in most years [7] compared to mean annual regional rainfall
2183 of 1731mm (Bureau of Meteorology Station 014015, Darwin Airport), with 90% of
2184 rain falling in the wet between November and April [7]. Thus surface and soil water
2185 is subject to an extended period where evaporation can lead to a significant increase
2186 in the $\delta^2\text{H}$ value of the remaining water. This is evident in the seasonal increase
2187 of 20–30 ‰ in the $\delta^2\text{H}$ value of the water in Girraween Lagoon (Figure 7.2) and
2188 this will be reflected in the $\delta^2\text{H}_{\text{wax}}$ values of aquatic vascular plants in the lagoon
2189 [160, 137, 161].

2190 Likewise, in the Darwin region, soil water in the upper soil layers in the dry season
2191 can be increased by 20–30 ‰ compared to wet season values in the Darwin region
2192 [162], a phenomenon that is characteristic of at least seasonally dry environments
2193 [161, 163]. To a greater degree than deeper-rooted trees, this difference will be
2194 reflected more in the shallow-rooted grasses, particularly the perennial grasses that
2195 live into the dry season. In aggregate this suggests that evaporative effects on
2196 $\delta^2\text{H}_{\text{wax}}$ will be important for alkanes originating from aquatic plants and grasses,
2197 but possibly be of less important to alkanes derived from trees.

2198 Liu et al. [161] calculate a relatively constant global apparent ϵ_{alk} of -116 ± 5 ‰

2199 (n = 941) for plants and -125 ± 6 ‰ (n=460) for modern sediments, with the lower
2200 sediment value attributed to aquatic plants contributing *n*-alkanes with generally
2201 low $\delta^2\text{H}_{\text{wax}}$ values to lake sediments. Sachse et al. [61] previously demonstrated
2202 that, in more detail, C3 dicots, primarily trees (average $\epsilon_{\text{alk}} = -113$ ‰) fractionate
2203 hydrogen isotopes during the production of *n*-alkanes to a lesser degree than C4
2204 monocots, primarily grasses (average $\epsilon_{\text{alk}} = -134$ ‰). Liu et al. [161] have further
2205 demonstrated that the difference between dicots and monocots is decreased at low
2206 latitudes with a dicot apparent ϵ_{alk} of -115 ‰ and monocot apparent ϵ_{alk} of -132
2207 ‰.

2208 While these differences are not large, this means $\delta^2\text{H}_{\text{wax}}$ values will vary as the
2209 proportion of trees and grass vary over time, and tree to grass ratio varies substan-
2210 tially through the Holocene at Girraween Lagoon (Figure 7.4 panel d). Further,
2211 whereas fractionation in C4 plants is not sensitive to rainfall (aridity) fractionation
2212 in C3 plants changes as rainfall changes. On an aridity transect that includes the
2213 location of this study Kahmen et al. [162] found that the C3 tree species that form
2214 the major tree component of the vegetation through the Holocene in this study -
2215 *Eucalyptus* and *Corymbia* spp. - decreased by ≈ 50 ‰ in $\delta^2\text{H}_{\text{wax}}$ on a rainfall gradi-
2216 ent from 278 mm in Alice Springs to 1700 mm in Darwin. This rainfall gradient is
2217 much larger than the rainfall extremes likely over the Holocene in the study (80 year
2218 range in annual Darwin rainfall = 1025–2777 mm). Nonetheless, changing tree to
2219 grass ratio can be expected to have exerted some control over apparent ecosystem
2220 ϵ_{alk} over the Holocene in the Girraween record.

2221 Vogts et al. [14] report near constant hydrogen isotope fractionation (ϵ_a) between
2222 precipitation and alkane (C29, C31, C33) of -109 ± 5 ‰ from marine surface sedi-
2223 ment receiving input from adjacent terrestrial environments ranging from forest to
2224 grassland along the west coast of Africa, possibly partly due to the opposing effects
2225 of changing apparent ecosystem fractionation factors as tree to grass ratio changes
2226 with increasing aridity. Tree to grass ratio (Figure 7.4) is not correlated with vari-
2227 ations in $\delta^2\text{H}_{\text{wax}}$ values (pearson $\rho = -0.19$, p-value = 0.40) and in combination with

2228 the discussion above, we conclude that changing tree to grass ratio in the Holocene
2229 is unlikely to be a major driver of variations observed in the $\delta^2\text{H}_{\text{wax}}$ record.

2230 A corollary of this conclusion is that the observed variability in *n*-alkane $\delta^2\text{H}$
2231 record is best explained by changes in rainfall type, amount and/or the seasonality
2232 of rainfall. Thus, periods of lower rainfall and/or longer dry season provides more
2233 opportunity for dry season evaporative increase in the $\delta^2\text{H}$ values of soil water [162],
2234 lake water (Figure 7.2) and, to a lesser degree, groundwater which tends to be
2235 recharged mainly by intense rainfall events of generally lower $\delta^2\text{H}$ values [e.g. 164].
2236 During periods of high rainfall and/or lower seasonality evaporative increases in $\delta^2\text{H}$
2237 values are more muted.

2238 **7.4.2 Interpretation of the Girraween *n*-alkane record**

2239 From this analysis we conclude that in general terms, $\delta^2\text{H}_{\text{wax}}$ values whether aquatic-
2240 or terrestrially-derived can be interpreted as providing a combined measure of wa-
2241 ter availability and evaporative demand. Thus low $\delta^2\text{H}_{\text{wax}}$ values should indicate
2242 relatively wetter periods/less seasonality, while high $\delta^2\text{H}_{\text{wax}}$ values indicate drier pe-
2243 riods/more seasonality. Corroboration of this conclusion is provided by the record
2244 of aquatic pollen in the lagoon (Figure 7.4; panel d). Lake surface area, and hence
2245 area available for photosynthesis, increases rapidly as water level increases due to
2246 the topography of the catchment (Fig 7.1). We interpret periods of increased aquatic
2247 pollen as times of higher lake level and therefore more effective precipitation, and
2248 the aquatic pollen record is in close accord with the major changes in the $\delta^2\text{H}_{\text{wax}}$
2249 record.

2250 Three periods are clearly distinguishable in the $\delta^2\text{H}_{\text{wax}}$ record from Girraween
2251 Lagoon (Figure 7.4). From the beginning of the record (11ka) until ≈ 8.5 ka, $\delta^2\text{H}_{\text{wax}}$
2252 values were comparatively high and variable implying periods of low and higher
2253 rainfall different types of convection over the region. Lower rainfall is also likely a
2254 simple response to the fact that sea level at 12 ka was 40–50 m below present and
2255 hence the coastline was 100 km further away from the site [165]. Currently mean
2256 annual rainfall decreases by 100–200 mm 100 km inland relative to the rainfall on

2257 the coast [7] and a similar effect is likely throughout the Holocene. In addition, it
2258 has been proposed that the Early Holocene was a period of increased ENSO activity
2259 [166] and this may also have led to increased inter-annual variability in rainfall
2260 and/or increased seasonality, as is currently observed in the region [7].

2261 From 8.5 ka, $\delta^2\text{H}_{\text{wax}}$ values consistently decrease to a broad minimum between
2262 ≈ 6 ka and ≈ 4 ka. This period, interpreted as indicating more regular and higher
2263 monsoonal rainfall, coincides with sea level slightly above modern in the area, with
2264 the coast ≤ 20 km distant from the site [167]. This period is recognized in northern
2265 Australia as a relatively wet period [67] and globally as a period of reduced ENSO
2266 variability [168, 169, 170]. $\delta^2\text{H}_{\text{wax}}$ values increase again from 4–3 ka, and thereafter
2267 increase marginally toward the present with no marked rapid changes. This trend
2268 suggests decreased annual rainfall and/or increased seasonality relative to the mid-
2269 Holocene attributed to increased ENSO variability manifest today in interannual-
2270 decadal variability in rainfall amount and change in wet season length [7]. The
2271 inference and timing of the change to increased ENSO variability correlates well
2272 with other records in northern Australia and elsewhere, which are generally placed
2273 between 4 and 3 ka [171, 67, 172, 170]. Lower rainfall may also reflect a northward
2274 contraction of the ITCZ at this time, as a sustained increase in rainfall is inferred
2275 from both the Liang Luar speleothem record [143] and a marine record from the
2276 nearby Lombok Basin [153] at around 3 ka.

2277 **7.4.3 Regional context**

2278 Two speleothem-based oxygen isotope records of Holocene hydroclimate have been
2279 published from the region. Denniston et al. [67, 141] published a high resolution
2280 Holocene record from a cave in Western Australia ≈ 400 km SW (KNI-51), and
2281 from Ball Gown Cave ≈ 850 km SW of Girraween Lagoon (Figure 7.1). Both of
2282 these speleothem-based stable isotope proxies record changes in the IASM in north-
2283 ern Australia and should be sensitive to similar factors driving climate variability at
2284 Girraween Lagoon. Under suitable cave conditions (i.e high humidity, limited poten-
2285 tial for kinetic fractionation) isotope fractionation factors are well known [107, 85].

2286 In those cases, speleothem records represent a reasonably direct and immediate mea-
2287 sure of local rainfall $\delta^{18}\text{O}$ value, integrated over a relatively short time period and
2288 encoded in a carbonate mineral through precipitation reactions. They also provide
2289 a high resolution archive, that is precisely dateable by U-series techniques. Interpre-
2290 tation of these records is based on the premise that the $\delta^{18}\text{O}$ values of speleothem
2291 carbonate in the tropics predominantly records an ‘amount effect’ with lower $\delta^{18}\text{O}$
2292 values reflecting ‘intense’ monsoon or cyclone-derived rain, although although it is
2293 also likely that part of the signal can be attributable to changes in moisture source
2294 [42, 13].

2295 As discussed above, the alkane isotope record provides an integrated measure
2296 of relative changes in available moisture and seasonality, at lower resolution. De-
2297 spite these caveats the alkane and speleothem records display remarkable general
2298 coherence. The $\delta^2\text{H}_{\text{wax}}$ values exhibits a total range through the Holocene of ≈ 20
2299 ‰, which is similar to the total range of $\delta^2\text{H}$ values calculated from the speleothem
2300 $\delta^{18}\text{O}$ values via the relationship derived from the Global Meteoric Water Line ($\delta^2\text{H} =$
2301 $8 \cdot \delta^{18}\text{O} + 10$). The speleothem records exhibit the same general structure with lower
2302 $\delta^{18}\text{O}$ values in the mid-Holocene relative to earlier and later times. The trends in
2303 these records have been interpreted as indicating an early Holocene intensification
2304 of the monsoon followed by pronounced shifts in monsoon intensity, related to fac-
2305 tors such as the position of the ITCZ and ENSO modulated changes in monsoon
2306 intensity and cyclone frequency from at least the mid Holocene [66, 67, 173]. This
2307 interpretation is in broad accord with the interpretation based on the Girraween
2308 $\delta^2\text{H}_{\text{wax}}$ record.

2309 In detail, the speleothem and alkane records differ. The inferred trend to wetter
2310 conditions from the early to mid-Holocene Holocene begins at 11ka in the speleothem
2311 record at Ball Gown Cave and is uni-directional, whereas the $\delta^2\text{H}_{\text{wax}}$ record and
2312 aquatic pollen representation fluctuates into the early Holocene followed by a sus-
2313 tained trend to wetter conditions after 9 ka. Where the $\delta^2\text{H}_{\text{wax}}$ record and KNI-51
2314 speleothem record overlap from 8 ka, the records are similar in trend. The difference

2315 between the Ball Gown speleothem record and the $\delta^2\text{H}_{\text{wax}}$ record likely relates to
2316 the location of Ball Gown Cave clearly in the ‘pseudo’-monsoon zone, under the in-
2317 fluence of recycled anticyclonic air masses that travel over the eastern Indian Ocean,
2318 deflected westwards under the influence of the Pilbara heat low [97, 174]. In con-
2319 trast, Girraween Lagoon lies in the ‘true’ monsoon region under the influence of
2320 cross-equatorial air flow, and KNI-51 lies close to the boundary between the two.
2321 The differences between the two zones are evident in the ≈ 2 ‰ offset in $\delta^{18}\text{O}$ values
2322 between Ball Gown and KNI-51 (Figure 7.4).

2323 Gentilli [174] proposed that the boundary between the ‘pseudo’-monsoon and
2324 monsoon shifted zonally in the Holocene as climate warmed and the influence of the
2325 Pilbara heat Low changed. Girraween lagoon would be sensitively placed to record
2326 periods in the early Holocene when rainfall at the site was derived from the two
2327 sources, of divergent $\delta^{18}\text{O}$ value, and potentially also from divergent precipitation
2328 mechanisms that lead to different $\delta^{18}\text{O}$ values [42]. In addition, in the modern
2329 environment, the western Australian coast is subjected to a greater proportion of
2330 tropical cyclone landfalls (9.2–12.4 per decade) than the Northern Territory coast
2331 [6.8 per decade, 175]. Tropical cyclones produce intense rainfall of relatively low
2332 $\delta^{18}\text{O}$ values [53, 85], so changes in the number of cyclones making landfall in the
2333 Girraween area due to changes in the extent of the ‘pseudo’ monsoon domain in
2334 the early Holocene would impact local water balance and the $\delta^2\text{H}_{\text{wax}}$ record. Thus
2335 low $\delta^2\text{H}_{\text{wax}}$ values in the early Holocene are interpreted as periods where the true
2336 monsoon dominated, and high values as periods when the ‘pseudo’-monsoon regime
2337 extended eastwards over the site as the monsoon fully established over the region.

2338 In the last two thousand years, the KNI-51 speleothem $\delta^{18}\text{O}$ values decrease,
2339 while $\delta^2\text{H}_{\text{wax}}$ values do not, although there is a slight increase in aquatic pollen
2340 representation implying a slight increase in water balance at Girraween (Figure
2341 7.4). This relatively minor divergence may have multiple causes. The divergence
2342 might be due to relative changes in the total amount of precipitation resulting from a
2343 long term increase in monsoon strength and associated precipitation, a phenomenon

2344 observed over the last 200 years [176]. It might also be due to the relative proportion
2345 of different precipitation modes at the two sites [177, 42], including changing cyclone
2346 incidence [67, 85], either of which can modify the $\delta^{18}\text{O}$ values of rainfall. In either
2347 case the changes could be driven by interactions between the multiple potential
2348 controls on rainfall in northern Australia [178, 179, 180, 181].

2349 **7.5 Conclusions**

2350 We present the first molecular isotope paleohydrological record for monsoonal trop-
2351 ical Australia. The observed record of change in $\delta^2\text{H}_{\text{wax}}$ values over the course of
2352 the Holocene in the Girraween record is best explained by changes in rainfall type,
2353 amount and the seasonality of rainfall in the past. A record of aquatic pollen repre-
2354 sentation for the same site is in close accord with the major changes in $\delta^2\text{H}_{\text{wax}}$ values,
2355 which provided evidence for the interpretation of changes in $\delta^2\text{H}_{\text{wax}}$ values the result
2356 of combinations of changing rainfall and evaporative demand. The Girraween $\delta^2\text{H}_{\text{wax}}$
2357 record also displays a remarkable general coherence with speleothem records in this
2358 region. Trends in these records have been interpreted as indicating early Holocene
2359 intensification of the monsoon followed by pronounced shifts in monsoon intensity,
2360 related to factors such as the position of the ITCZ and ENSO-modulated changes
2361 in monsoon intensity and cyclone frequency from at least the mid Holocene. Differ-
2362 ences between the *n*-alkane and speleothem records are attributed to a difference in
2363 location between the lake and speleothem record relative to the ‘pseudo’ and ‘true’
2364 monsoon regions in north Australia. Combining speleothem and *n*-alkane isotope
2365 records provides a powerful tool for providing a more nuanced view of changes in
2366 hydroclimate in the past.

sample	depth (m)	Age (cal BP)	nC17 ($\mu\text{g/g}$ dry weight)	nC17 fraction of total	nC19 ($\mu\text{g/g}$ dry weight)	nC19 fraction of total	nC21 ($\mu\text{g/g}$ dry weight)	nC21 fraction of total	nC23 ($\mu\text{g/g}$ dry weight)	nC23 fraction of total	nC25 ($\mu\text{g/g}$ dry weight)	nC25 fraction of total	nC27 ($\mu\text{g/g}$ dry weight)	nC27 fraction of total	nC29 ($\mu\text{g/g}$ dry weight)	nC29 fraction of total	nC31 ($\mu\text{g/g}$ dry weight)	nC31 fraction of total	nC33 ($\mu\text{g/g}$ dry weight)	nC33 fraction of total	nC35 ($\mu\text{g/g}$ dry weight)	nC35 fraction of total	ACL odd (nC17 to nC33)
'A12'	0.12	64	0.85	0.01	2.37	0.01	5.69	0.03	8.94	0.05	17.61	0.11	22.45	0.14	24.32	0.15	18.82	0.11	21.50	0.13	42.82	0.26	29.9
'A16'	0.16	147	0.75	0.01	2.19	0.02	4.73	0.03	8.44	0.06	16.89	0.12	21.69	0.15	22.78	0.16	16.47	0.11	17.83	0.12	34.21	0.23	29.8
'A34'	0.34	523	0.00	0.00	0.00	0.00	0.49	0.01	2.05	0.04	4.98	0.11	6.89	0.15	7.54	0.16	5.24	0.11	6.78	0.14	13.46	0.28	29.9
'A52'	0.52	831	0.55	0.01	0.79	0.01	1.92	0.02	7.14	0.07	16.09	0.16	20.97	0.21	22.08	0.22	13.94	0.14	16.15	0.16	0.00	0.00	29.7
'A72'	0.72	1131	0.54	0.01	0.00	0.00	0.66	0.01	4.04	0.05	9.25	0.12	11.80	0.15	12.83	0.16	9.26	0.12	10.95	0.14	19.93	0.25	29.9
'B13'	1.13	1727	0.00	0.00	0.53	0.01	1.15	0.01	5.82	0.06	13.33	0.14	16.90	0.18	18.09	0.19	12.88	0.14	10.70	0.11	14.65	0.16	29.6
'B33'	1.33	2107	0.00	0.00	0.50	0.01	1.04	0.01	5.27	0.06	12.61	0.14	17.83	0.20	17.96	0.20	11.18	0.12	9.37	0.10	14.76	0.16	29.4
'B53'	1.53	2522	0.00	0.00	0.51	0.01	1.08	0.01	4.60	0.05	12.12	0.13	18.39	0.19	19.60	0.20	11.94	0.12	10.68	0.11	17.06	0.18	29.5
'B73'	1.73	2932	0.00	0.00	0.00	0.00	0.38	0.01	1.77	0.03	5.68	0.10	10.24	0.19	11.29	0.21	6.44	0.12	7.42	0.14	11.05	0.20	29.6
B82'	1.82	3337	0.58	0.01	0.00	0.00	0.57	0.01	2.40	0.03	7.41	0.09	13.83	0.17	15.61	0.20	8.72	0.11	11.63	0.15	19.01	0.24	29.7
'C13'	2.13	3742	0.00	0.00	0.00	0.00	0.00	0.00	2.51	0.02	11.86	0.08	19.78	0.13	22.60	0.15	12.96	0.09	29.91	0.20	51.80	0.34	30.2
'C33'	2.33	4540	0.00	0.00	0.00	0.00	0.00	0.00	1.61	0.02	6.72	0.08	10.16	0.12	11.46	0.14	7.23	0.09	17.34	0.21	28.50	0.34	30.4
'C54'	2.54	5508	0.00	0.00	0.00	0.00	0.00	0.00	1.35	0.02	6.09	0.09	10.00	0.14	11.24	0.16	5.48	0.08	14.40	0.20	21.87	0.31	30.2
'C74'	2.74	6466	0.00	0.00	0.00	0.00	0.00	0.00	1.26	0.02	5.29	0.08	8.74	0.14	10.34	0.16	5.05	0.08	13.23	0.21	20.54	0.32	30.2
'C84'	2.84	6970	0.00	0.00	0.00	0.00	0.00	0.00	1.29	0.01	6.98	0.07	12.83	0.13	15.78	0.16	7.75	0.08	22.13	0.22	34.03	0.34	30.3
'D12'	3.12	8370	0.00	0.00	0.00	0.00	0.00	0.00	1.71	0.03	6.12	0.11	12.32	0.23	10.85	0.20	5.26	0.10	14.92	0.27	3.47	0.06	30.1
'D33'	3.33	8970	0.00	0.00	0.00	0.00	0.00	0.00	0.13	0.03	0.53	0.12	0.97	0.21	0.79	0.17	0.60	0.13	0.92	0.20	0.63	0.14	29.9
'D53'	3.53	9430	0.00	0.00	0.00	0.00	0.00	0.00	0.17	0.03	0.75	0.12	1.65	0.26	1.63	0.26	1.20	0.19	0.00	0.00	0.94	0.15	28.8
'D73'	3.73	9895	0.00	0.00	0.00	0.00	0.00	0.00	0.23	0.03	0.84	0.12	1.97	0.28	1.78	0.25	1.75	0.25	0.00	0.00	0.55	0.08	28.9
'D84'	3.84	10151	0.00	0.00	0.00	0.00	0.00	0.00	0.24	0.06	0.73	0.20	0.97	0.26	0.80	0.22	0.84	0.23	0.00	0.00	0.13	0.04	28.9
'E10'	4.1	10740	0.00	0.00	0.00	0.00	0.00	0.00	0.12	0.10	0.36	0.29	0.38	0.31	0.27	0.21	0.13	0.10	0.00	0.00	0.00	0.00	28.3
average (1 σ)			0.001 0.003	0.001 0.003	0.003 0.005	0.003 0.005	0.01 0.01	0.01 0.01	0.04 0.02	0.04 0.02	0.12 0.05	0.12 0.05	0.19 0.05	0.19 0.05	0.19 0.03	0.19 0.03	0.12 0.05	0.12 0.05	0.13 0.08	0.13 0.08	0.19 0.11	0.19 0.11	29.7 0.5

alkane	average fraction of C17-C35 in total	average error (1 Σ)	Calibrated age 95% probability range (cal BP)	Calibrated age (median probability)
nC17	0.0014	0.0027	654–724	676
nC19	0.0026	0.0047	737–823	796
nC21	0.0073	0.0104	3687–3852	3766
nC23	0.0416	0.0216	5656–5798	5762
nC25	0.1216	0.0479	8429–8590	8512
nC27	0.1868	0.0545	10,197–10,302	10252
nC29	0.1864	0.0339	15,188–15,740	15453
nC31	0.1239	0.0455		
nC33	0.1341	0.0793		
nC35	0.1943	0.1123		

Supplementary Table 1. *n*-alkane distributions from the Girraween Lagoon. ¹⁴C Radio-carbon age uncertainty data from Rowe et al. [155]

2367 Chapter 8

2368 Discussion

2369 Several factors need to be considered when interpreting a stable isotope proxy record
2370 of paleohydrological conditions such as the leaf wax record derived in this thesis
2371 (Figure 7.3, chapter 7). First, Chapters 3, 4, 5 and 6 demonstrated that rather than
2372 a ‘simple linear’ interpretation such as that implied by the classical amount effect,
2373 there are higher order controls resulting from local meteorology and circulation
2374 patterns that strongly influence the interpretation of the rainfall isotopic signal that
2375 flow directly into the inference of past climatic conditions. Secondly, modifications
2376 to the rainwater isotopic signal after rain has entered the terrestrial biosphere and
2377 potential fraction effects associated with the incorporation and preservation of a
2378 rainfall signal in a proxy record, can significantly impact interpretation, as discussed
2379 in Chapter 7. The major inferences that can be drawn from the previous chapters
2380 are discussed below.

2381 **8.1 Modern drivers of variability in monsoon rain-** 2382 **fall isotopic composition**

2383 Water stable isotope signatures preserved in a range of natural archives in tropical
2384 regions have long been used as a simple wet-dry proxy, with high $\delta^{18}\text{O}$ or $\delta^2\text{H}$ values
2385 used to infer ‘dry’ periods and low values used to infer ‘wet’ periods. While this
2386 often holds true at the general level and on longer timescales, the data presented in
2387 this thesis clearly demonstrates that drivers of isotopic variation are more complex
2388 and can lead to results opposite to those that would be inferred by assuming a simple
2389 ‘amount effect’.

2390 In contrast to earlier studies in this region [76], rainfall isotope measurements

2391 across all the studies comprising this thesis (n=847) showed weak correlations with
2392 rainfall amount at the measurement sites. This indicates that the microphysical
2393 based frame work proposed by Dansgaard [15] (Rayleigh distillation and isotopic
2394 exchange) alone, cannot fully explain observed rainfall isotopic variation in northern
2395 Australia (and beyond).

2396 At a regional level, rainfall amount and $\delta^{18}\text{O}$ values showed a strong relationship,
2397 during single rainfall events comprising several days, across several seasons and at
2398 different locations (see for example Table 3.1, Figures 3.4 and 4.1). Spectral analysis
2399 (Figure 4.3) showed similar periodicities for both parameters indicating that they
2400 share a common driver and this observation does suggest that the stable isotope
2401 record encoded in archives such as speleothems and lake sediments at annual to
2402 millennial resolution can be used as a proxy for longer-term change in wet season
2403 precipitation amount.

2404 Analysis of satellite imagery and strong statistical correlations further strenght-
2405 ened the inference that regional processes play an important role in driving $\delta^{18}\text{O}$
2406 variability. Periods of low $\delta^{18}\text{O}$ values were associated with the presence of large
2407 convective systems (Mesoscale Convective Systems) in the region. Typical of these
2408 MCSs is the presence of large (stratiform) cloud decks and the subsidence of vapour
2409 from higher altitudes. This vapour from aloft is generally depleted in ^{18}O and ^2H
2410 and, as a result, its condensate produces precipitation with low $\delta^{18}\text{O}$ values. Conse-
2411 quently, the presence of MCSs will lower the overall vapour and rainfall $\delta^{18}\text{O}$ values
2412 in a region, especially when these systems are located upwind of the measurement
2413 station. The magnitude of this recycling effect increases when vapour is recycled
2414 through multiple systems (see Figure 3.4a).

2415 Moisture recycling in large convective systems also plays a significant role in
2416 modulating rainfall isotopic composition variability as illustrated by the strong cor-
2417 relation between $\delta^{18}\text{O}$ and accumulated rainfall along air parcel trajectories during
2418 two monsoonal events (chapter 3). Parcels that were subject to more rainfall events
2419 along the track showed stronger depletion and vice versa. This means that the

2420 periods of low $\delta^{18}\text{O}$ values in the proxy record may be associated with periods of
2421 enhanced large convective system activity in the region.

2422 Five circulation regimes (classified using lower tropospheric wind and humidity
2423 profiles) dominated the weather during multiple seasons in Darwin (Chapter 4).
2424 These weather types each produced a specific range of $\delta^{18}\text{O}$ values. High resolu-
2425 tion radar data suggested several explanations for these findings, such as distinct
2426 convective/stratiform rainfall fractions, reflectivities, raining area and cloud height
2427 distributions.

2428 Typical monsoon-break conditions (easterly winds, trades and sea breeze) were
2429 associated with intense convection. Radar pixels showed high convective reflectiv-
2430 ities indicating intense convection (strong updrafts) and high cloud top heights,
2431 relatively smaller stratiform areas and higher $\delta^{18}\text{O}$ values. This convection was usu-
2432 ally short lived. Convective cells during the active monsoon regime (westerlies) are
2433 often less intense and embedded in large mesoscale stratiform decks [105]. This ac-
2434 cords with the relatively lower estimated cloud top heights during the DW regimes
2435 and associated lower convective reflectivities.

2436 Possible explanations for the relatively high $\delta^{18}\text{O}$ values of rain originating from
2437 such convective cells are: (a) A relatively short life time of these cells, providing
2438 insufficient time for a recycling process [19] to take effect. (b) Cloud microphysics
2439 in convective cells producing different $\delta^{18}\text{O}$ values than stratiform clouds [41], and
2440 in addition, larger raindrops being less sensitive to isotopic exchange [15] after for-
2441 mation in convective conditions.

2442 Rainfall in tropical regions is a mixture of convective and stratiform contributions
2443 as stratiform clouds are essentially older convective cells where strong updrafts have
2444 ceased to exist [106]. So, rainfall isotope composition represents a mix of these two
2445 cloud components. Multi-year meteorological and rainfall isotope data presented in
2446 chapter 4 showed that, different weather types, each with their associated type of
2447 convection, produced a specific range of $\delta^{18}\text{O}$ values. However rainfall amounts were
2448 often similar. This suggests that, in addition to the amount effect and moisture re-

2449 cycling, the type of convection and associated clouds and rainfall is a driver of $\delta^{18}\text{O}$
2450 variability. Thus, rainfall $\delta^{18}\text{O}$ should be interpreted as a proxy for weather type
2451 rather than just rainfall amount. CPOL radar data at sub-daily timescales poten-
2452 tially enables monitoring convective cells on a raindrop size resolution and thereby
2453 tracking the evolution of convective cells and their rainfall isotopic development
2454 real-time. This can provide further insights regarding the relative contributions of
2455 different processes driving rainfall isotope composition.

2456 **8.2 The influence of TCs on the proxy record**

2457 TC rain can make a large contribution to vadose zone water that serves as the source
2458 for water influenced stable isotope proxy records. Numerous studies in the northern
2459 hemisphere (mainly in the US) have found that TC rain is often more depleted of
2460 the heavy water isotopes (^{18}O and ^2H) than regular (summer/monsoon) rainfall.
2461 This, in combination with high resolution chronologies, enables reconstructions of
2462 past TC activity. However, while Australia is home to such proxy records, no in
2463 situ isotopic measurements of TC- and monsoon rain have been available to test this
2464 hypothesis and examine the relationship between TC- and monsoon rainfall isotopic
2465 composition.

2466 In this thesis, four TCs were sampled for their rainfall (and in some cases, vapour)
2467 isotopic content using a mobile specialised 4wd vehicle and network of volunteers.
2468 In addition, rainfall sampling stations were established near a speleothem -stable
2469 isotope- proxy site in northeast Australia to provide a multiple season rainfall iso-
2470 topic baseline. This section will briefly discuss the isotopic composition of TC rain
2471 and its potential influence on the isotopic signal in the proxy record.

2472 **8.2.1 TC rainfall isotopic composition**

2473 In general, results from the four TCs observed during the course of this study con-
2474 firmed findings reported elsewhere, that the $\delta^{18}\text{O}$ values of TC rainfall are relatively
2475 low. However, in some cases observed $\delta^{18}\text{O}$ values were comparable to, and in the
2476 range of, $\delta^{18}\text{O}$ values observed during ‘normal’ monsoon rainfall events. While the

2477 processes governing rainfall isotope composition of TC and monsoon rainfall are
2478 broadly similar, the extreme meteorological conditions and structures that are char-
2479 acteristic of TCs make the interpretation of TC rainfall isotope composition quite
2480 complex. For example, strong winds near the eye wall generate large amounts of sea
2481 spray. This has been reported to recharge the TC with heavy water isotopes increase
2482 the $\delta^{18}\text{O}$ values of vapour and rain near the eye wall. In contrast, rain bands outside
2483 the eye wall form effective fractionation chambers, leading to progressive rain out
2484 of heavy isotopes resulting in a decrease in $\delta^{18}\text{O}$ values towards the eye wall. In
2485 addition, fluctuations in TC intensity can also lead to changes in rainfall isotopic
2486 composition. A sudden decrease in updrafts, caused by, for example, landfall or
2487 an eye wall replacement cycle, may result in a downward flush of ice particles from
2488 aloft resulting in rainfall with low $\delta^{18}\text{O}$ values. A progressive lowering of TC rainfall
2489 $\delta^{18}\text{O}$ values towards the eye wall and over time has been attributed to rainout after
2490 making landfall and disconnecting from (relatively enriched) oceanic source water
2491 [182].

2492 Data presented in this thesis proved valuable in assessing TC isotope dynamics.
2493 Chapter 5 demonstrated that the spatial structure of a landfalling TC remained
2494 fairly constant and exhibited a clear radial distribution, in line with observations
2495 elsewhere. Combined data from all four TC's combined showed a strong relationship
2496 with distance to the measurement sites, suggesting that rainfall isotopic composition
2497 can be used to estimate the distance to a nearby TC. In other aspects this data set
2498 differs from earlier studies; TC intensity has been reported to correlate negatively
2499 with speleothem $\delta^{18}\text{O}$ values [84, 107], suggesting that larger, more intense TC pro-
2500 duce more negative $\delta^{18}\text{O}$ rainfall values. While this seems intuitive as larger, more
2501 intense TCs would exhibit larger stratiform cloud decks and stronger more efficient
2502 recycling as discussed above, the data presented in this thesis did not confirm this
2503 hypothesis. On the contrary, low $\delta^{18}\text{O}$ values were observed across a range of in-
2504 tensities from category 2 to category 4 TCs. Modelling done by Gedzelman et al.
2505 [115] suggested that tropical rain systems develop lowest $\delta^{18}\text{O}$ values long before

2506 reaching hurricane strength, which could explain our observations. This means that
2507 a strongly depleted rainfall signal is not exclusive to large TC systems. I also note
2508 that the complex meteorological processes governing rainfall isotopic composition in
2509 TCs operate on timescales much smaller than resolved in the available observational
2510 data ($\approx 3\text{--}6\text{--}12$ hours), and am therefore cautious to over-interpret this dataset.
2511 Based on the data available and accepting its limitations, I conclude that the ampli-
2512 tude of $\delta^{18}\text{O}$ values are only indicative of distance between TCs and measurement
2513 locations.

2514 **8.2.2 Post rainfall isotopic changes of TC rain**

2515 Several processes affect the isotopic composition of rainwater once in a (karst or
2516 surface) reservoir. First, kinetic fractionation effects during evaporation of surface
2517 waters could potentially lead to an increase in $\delta^{18}\text{O}$ values, especially if the water
2518 is exposed to the atmosphere for prolonged periods of time. This effect is clearly
2519 seen in Figure 7.2 , chapter 7, which illustrates evaporative enrichment in heavy
2520 isotopes of lake water during the the dry season. Secondly, mixing of TC rain with
2521 soil water will dilute the TC rainfall isotopic signature as the soil water most likely
2522 has a different precipitation history and may also have been subject to evaporation.
2523 Thirdly, as the rainwater percolates through the epikarst it is subject to isotopic
2524 exchange with the limestone bedrock that it dissolves. Kinetic fractionation during
2525 deposition of the secondary carbonate can also change the isotopic composition of
2526 its final deposit that forms the speleothem.

2527 Nott et al. [107] observed alternating ochre and white layers along the stalag-
2528 mite's growth axis, representing the wet and dry season respectively in Chillagoe,
2529 north-east Australia. The ochre layer was interpreted as the start of the wet sea-
2530 son rains flushing organics and clays. Park Rangers also reported short lag times
2531 (personal communication), suggesting a relatively short water residence time that
2532 would reduce the potential enrichment in heavy isotopes of the surface water and
2533 reduced isotopic exchange within the epikarst system. Monitoring of cave temper-
2534 atures, humidity levels and intra layer analysis of $\delta^{18}\text{O}$ values of the speleothem

2535 in Chillagoe [107] and [85] indicated equilibrium conditions in the cave at time of
2536 deposition. This indicates that changes in rain water isotope composition before
2537 it precipitates as calcite are most likely relatively small, and kinetic fractionation
2538 did not occur during the precipitation of the calcium carbonate [107]. Therefore,
2539 changes in isotope composition of the speleothem are expected to be mostly driven
2540 by changes of the isotopic composition of the waters in the vadose zone, which in
2541 turn, are driven by the input of monsoon- and TC rainfall.

2542 A change in speleothem $\delta^{18}\text{O}$ values of more than 2.5 ‰ has been attributed
2543 to the presence of TC's for this site and mainly depends on isotopic composition of
2544 the water in the vadose zone [107]. This, as discussed above, fluctuates as input of
2545 TC rain water with relatively low $\delta^{18}\text{O}$ values mixes with the water in the vadose
2546 zone water. The potential rate of change in isotopic composition of the vadose zone
2547 water due to the influx of TC rainwater was evaluated using two moving scenarios
2548 and this demonstrated that, for the observed amount of TC rainfall, the 'isotopic
2549 impact' of TCs diminishes very quickly with increasing distance to the eyewall. For
2550 distances larger than ≈ 100 km, none of the observed TCs would cause a large enough
2551 perturbation in the isotopic composition of the speleothem at this location, and this
2552 is likely a general finding.

2553 **8.3 Leafwax *n*-alkane record**

2554 In addition to the isotopic composition of rainfall, discussed in Chapters 3, 4 and
2555 6, the isotopic composition of leaf wax *n*-alkanes are determined by several others
2556 factors in the ecosystem that should be considered in interpreting the record [159].
2557 These include (i) potential evaporation of water in the environment prior to biosyn-
2558 thesis of the *n*-alkanes, leading to an increase in the $\delta^2\text{H}$ value of the water, and (ii)
2559 a number of climate-, species-, and therefore location-specific variables that govern
2560 the apparent water-alkane isotope fractionation factor (ϵ_{alk}).

2561 Thus surface and soil water is subject to an extended period where evaporation
2562 can lead to a significant increase in the $\delta^2\text{H}$ value of the remaining water. This

2563 is evident in the seasonal increase of 20–30 ‰ in the $\delta^2\text{H}$ value of the water in
2564 Girraween Lagoon (Figure 7.2) and this will be reflected in the $\delta^2\text{H}_{\text{alk}}$ values of
2565 aquatic vascular plants in the lagoon [160, 137, 161]. This enrichment is likely to
2566 effect shallow-rooted grasses and is possibly of less significance to deeper rooted
2567 trees.

2568 In addition, fractionation factors during the production of *n*-alkanes are different
2569 for particular vegetation types (trees or grasses). For example, Sachse et al. [61]
2570 demonstrated that C3 dicots, primarily trees, fractionate hydrogen isotopes to a
2571 lesser degree than C4 monocots, primarily grasses. At lower latitudes however,
2572 these differences decrease [161]. While these differences are small, it is expected
2573 that vegetation type will exert some control on $\delta^2\text{H}_{\text{alk}}$. Furthermore, fractionation
2574 in C4 plants is not sensitive to rainfall (aridity) while fractionation in C3 plants
2575 changes as rainfall changes [162].

2576 However, Vogts et al. [14] reported near constant hydrogen isotope fractionation
2577 between precipitation and alkane (C29, C31, C33) of -109 ± 5 ‰ from marine surface
2578 sediment receiving input from adjacent terrestrial environments ranging from forest
2579 to grassland along the west coast of Africa, possibly partly due to the opposing effects
2580 of changing apparent ecosystem fractionation factors as tree:grass ratio changes with
2581 increasing aridity. As the in this thesis shows no correlation between tree-grass ratio
2582 and variations in $\delta^2\text{H}_{\text{alk}}$ it is unlikely that changing tree-grass ratio in the Holocene
2583 was a major driver of variations observed in the $\delta^2\text{H}_{\text{alk}}$ record.

2584 This leads to the conclusion that the observed variability in the Girraween La-
2585 goon *n*-alkane $\delta^2\text{H}$ record is best explained by changes in rainfall type, amount and
2586 or the seasonality of rainfall and that $\delta^2\text{H}_{\text{alk}}$ can best be interpreted as providing a
2587 combined measure of water availability and evaporative demand. Thus low $\delta^2\text{H}_{\text{alk}}$
2588 values should indicate relatively wetter periods/less seasonality which high $\delta^2\text{H}_{\text{alk}}$
2589 values indicate drier periods/more seasonality. This conclusion is supported by the
2590 aquatic pollen record of Girraween Lagoon [155] which is in close accord with the
2591 major changes in the $\delta^2\text{H}_{\text{alk}}$ record.

2592 Three periods were clearly distinguishable in the $\delta^2\text{H}_{\text{alk}}$ record from Girraween
2593 Lagoon. In the first period (from 11ka until ≈ 8.5 ka), $\delta^2\text{H}_{\text{alk}}$ were relatively high
2594 and variable implying periods of low and higher rainfall and variability in the type
2595 of convection over the region. At 12 ka the sea level was 40–50 m below present and
2596 hence the coastline was 100 km further away from the site [165], potentially resulting
2597 in lower rainfall compared to the coast as is the case today [7]. In addition, the Early
2598 Holocene has been proposed to be a period of increased ENSO activity [166] which
2599 may have to to increased inter-annual variability in rainfall and seasonality as is
2600 currently observed in the region [7].

2601 $\delta^2\text{H}_{\text{alk}}$ reach a broad minimum in the second period (between ≈ 6 ka and ≈ 4 ka),
2602 $\delta^2\text{H}_{\text{alk}}$. This period was interpreted as a time when the monsoon was intensified
2603 and prolonged (longer periods of typical deep westerly winds) and coincides with
2604 slightly higher sea levels than modern and the coast ≤ 20 km distant from the site
2605 [167]. Others have interpreted this region as a relative wet period for Australia [67]
2606 and globally as a period of reduced ENSO [168, 169, 170]. $\delta^2\text{H}_{\text{alk}}$ values increase
2607 again in the third period (from 4–3 ka), and thereafter increase marginally towards
2608 the present with no marked rapid changes. This trend suggests decreased annual
2609 rainfall and or increased seasonality relative to the mid-Holocene and is likely due to
2610 increased ENSO variability, illustrated by interannual decadal variability in rainfall
2611 amount and change in wet season length [7].

2612 The inference and timing of increased ENSO variability in the Girraween Lagoon
2613 $\delta^2\text{H}_{\text{alk}}$ correspond well with other records in this region [171, 67, 172, 170]. There
2614 was also a remarkable general coherence with speleothem records from western Aus-
2615 tralia, despite the $\delta^2\text{H}_{\text{alk}}$ record being of lower resolution and less precisely dated.
2616 Differences that do exist between the speleothem and Girraween lagoon records may
2617 be due to a different location in regards to the position of the monsoon trough. The
2618 speleothem records are placed within the ‘pseudo’-monsoon zone, under influence of
2619 recycled anti cyclonic airmasses that travel over the Indian Ocean, deflected west-
2620 wards under the influence of the Pilbara heat low [97, 174]. In contrast, Girraween

2621 Lagoon lies in the 'true' monsoon region under the influence of cross-equatorial air
2622 flow.

2623 Chapter 9

2624 Summary and future directions

2625 This thesis takes an important step towards a better understanding of factors that
2626 control isotopic composition of natural archives in tropical Australia. Extensive
2627 measurement campaigns covered ‘all’ types of modern tropical rainfall, during dry
2628 and wet seasons (Chapters 3 and 4), and extreme events such as Tropical Cyclones
2629 (Chapters 5 and 6).

2630 The data and associated analysis effectively contributed to filling a knowledge
2631 gap in relation to the inconsistencies of the ‘amount effect’ when measuring on short
2632 timescales that has been highlighted by numerous previous studies on this topic and
2633 during the IAEA Coordinated Research Project (CRP) entitled ‘Stable isotopes
2634 in precipitation and paleoclimatic archives in tropical areas to improve regional
2635 hydrological and climatic impact models’ (2013–2016). While great progress has
2636 been made and pan-tropical datasets of daily rainfall isotopic composition are now
2637 available [183] there are still challenges and opportunities that remain. The results of
2638 each Chapter in this thesis and recommendations for future research are summarised
2639 below.

2640 Two monsoon rainfall events (see Chapter 3) in Darwin were closely (every 12
2641 hrs) monitored to capture their evolution in rainfall isotopic composition. Possible
2642 drivers of rainfall isotopic variation were investigated, using remote sensing and
2643 local meteorological data. The main drivers of isotopic variation in precipitation on
2644 these intra-seasonal timescales were found to be (i) integrated precipitation history
2645 along air mass trajectories and (ii) the spatial extent and organisation of convective
2646 activity in the region. A local amount effect was not statistically significant on 12
2647 hourly timescales. This indicated that the ‘amount effect’, which was until then the
2648 benchmark of rainfall isotopic variability interpretations, may not be main driver

2649 of rainfall isotopic variation in north Australia. This Chapter also showed that the
2650 larger scale atmospheric state was related to isotopic data from a single measurement
2651 location.

2652 The measurement campaign described in Chapter 4 was the first of its kind in
2653 Australia. It combined multiple seasons of daily rainfall isotope, radar and weather
2654 balloon data and effectively combined the fields of meteorology and geochemistry
2655 to systematically investigate drivers of rainfall isotopic variation. The data showed
2656 that rainfall isotopic composition was related to different weather types that consti-
2657 tute the Australian monsoon. Rainfall isotopic variability was not related to local
2658 rainfall amount but to the properties of convection that are linked to large scale cir-
2659 culation regimes. Positive isotopic anomalies were associated with shallow westerly
2660 and easterly regimes when stratiform rainfall fractions were relatively small. The
2661 largest negative anomalies were recorded during the active monsoon regime (deep
2662 west) and were associated with the passage of the MJO. This suggests that isotopic
2663 proxy records in north Australia record the frequency with which these typical wet
2664 season regimes occur.

2665 The Tropical Cyclone specific field campaign undertaken in north east Australia
2666 has led to some important insights in regards to the use of water stable isotopes
2667 in this specific region. Due to its geographical location, this area receives rain all
2668 year round and the local climatology (trade wind versus monsoon weather) were
2669 clearly visible in the rainfall stable isotope composition. This means that seasonal
2670 chronologies can potentially be retrieved from natural archives in this region such
2671 as tree rings, lake sediments or speleothems. This seasonality was also apparent
2672 in d-excess values. Furthermore a positive relationship with the meridional wind
2673 vector suggested that d-excess may be used as an indicator of duration and strength
2674 of monsoon conditions and proxy for trade wind strength.

2675 Hypothetical mixing scenarios with data collection from four TCs and monsoon
2676 rainfall data demonstrated that some TCs would most likely go undetected in a
2677 stable isotope proxy record in this region. Furthermore, detectability of TCs in the

2678 stable isotope record was found to be strongly dependant on the distance of the
2679 TC eye wall to a potential proxy site, the data suggested that the limit for detec-
2680 tion was around ≈ 100 km. This leads us to the question how representative one
2681 particular speleothem is for a region. Perhaps comprehensive statistical analysis,
2682 combined with the collection of potentially more speleothems throughout the re-
2683 gion or combined analysis with other proxy records might shed more light on these
2684 matters.

2685 These results add considerable nuance to the interpretation of stable isotope
2686 paleo climatic proxy records. While the ‘amount’ effect provides a simple wet-dry
2687 proxy, the results from Chapters 3 and 4 allow for a more comprehensive analysis
2688 of past rainfall, cloud and climatic conditions. Furthermore, these results also have
2689 important implications for other fields of research that use water stable isotopes, for
2690 example, ground water studies (hydrology) or climate/weather modelling that can
2691 use these results to improve cloud system parameterisations.

2692 Until now, rainfall stable isotope composition has often been interpreted on rel-
2693 atively long time scales (monthly data), using averages and statistical relationships.
2694 However, processes that affect water stable isotope ratios in clouds and rainfall oper-
2695 ate on very short time scales of seconds, minutes and hours). Resolving stable isotope
2696 composition on cloud-scale level is a big challenge. While the physics of stable iso-
2697 tope behaviour is relatively well understood, there is a lack of in-situ data to verify
2698 the theoretical framework and determine the relative importance/dominance of each
2699 driving parameter, under different weather conditions, at different sites. Progress
2700 has been made in regards to collecting data on shorter time scales, however, the real
2701 challenge is to combine this with in-situ high resolution weather data. Without the
2702 weather data, many interpretations are still based on ‘best guess’ estimates of micro
2703 meteorology processes.

2704 An important step towards the reconstruction of hydro climate in this region
2705 was made with the start of reconstructing the first leaf wax climate record in north
2706 Australia (see Chapter 7). While the sampling resolution at this stage was still

2707 relatively low, results are promising and show remarkable coherence with other proxy
2708 records from this region. There were clear general trends in this record that have
2709 been interpreted as changes in monsoon regimes, water availability, and most likely
2710 related to factors such as the ITCZ and ENSO. The results of this core shows
2711 potential for leaf wax based climate reconstruction beyond the LGM. This, and
2712 resampling the Holocene part of this core at higher resolution was beyond the scope
2713 of this thesis and will be part of future research. The results of this thesis and future
2714 research will ultimately contribute to unravelling the natural and human drivers of
2715 change in northern Australia's climate and biodiversity.

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