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TITLE: Minimum founding populations of the first people to colonise Sahul

SHORT TITLE: Founding populations of Sahul

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1 **Abstract**

2 The timing, context, and nature of the first people to enter Sahul is still poorly understood
3 owing to a fragmented archaeological record. But quantifying the plausible demographic
4 context of this founding population is essential to determine how and why colonisation of
5 Sahul occurred. We developed a stochastic, age-structured model using demographic rates
6 from hunter-gatherer societies and relative carrying capacity hindcasted with LOVECLIM's
7 net primary productivity for northern Sahul. We projected these populations to determine
8 resilience and minimum sizes required to avoid extinction. A census founding population of
9 between 1300 and 1550 total individuals was necessary to maintain a quasi-extinction
10 threshold $\lesssim 0.1$. This minimum founding population could have arrived at a single point in
11 time, or through multiple voyages ≥ 130 people over $\gtrsim 700$ years. This result shows that
12 substantial population amalgamation in Sunda and Wallacea in Marine Isotope Stages 3–4
13 provided the conditions for successful, large-scale, and likely planned colonisation of Sahul.
14

15 **Main**

16 An understanding of the demographic circumstances and ecological repercussions of the
17 arrival of the first people to Sahul (mainland Australia, Tasmania, and New Guinea joined at
18 times of lower sea level) in the Late Pleistocene remains elusive¹⁻³. Some have previously
19 proposed that colonisation arose from only small family groups consisting of < 150 people⁴⁻
20 ⁷, while more recent human behavioural-ecology models suggest that several hundred
21 people would have been required for long-term survival⁷. These estimates are largely
22 speculative, but genomic research and radiocarbon-inferred demographic modelling
23 support the larger values, and imply that population sizes of 1000 to 3000 people were
24 more probable⁸⁻¹⁰. Quantifying the plausible demography of the first humans (i.e., the rate
25 of population changes relative to regional carrying capacity, and the duration of time
26 populations could have persisted at low density following initial colonisation) is essential to
27 ascertain to what extent increasing human populations could have altered their
28 environments. Quantifying demographic transitions could also potentially help to interpret
29 the likelihood of discovering archaeological evidence, given the persistence of small human
30 populations over extended windows of time so long ago⁶.

31 The oldest archaeological evidence claimed in Australia is 65.0 ± 5.7 ka (95% confidence
32 interval) for Madjedbebe rockshelter in Arnhem Land^{11,12}, and an increasing number of early
33 sites have been reported dating to around or before 47 ka¹³⁻²¹. For our purposes here, we
34 therefore take the broad interval of 65–50 ka as the likely arrival window of people into
35 Sahul. Arrival most likely occurred somewhere in the northern regions of Sahul, closest to
36 the islands of Wallacea in the Timor Sea, Arafura Sea, and across Torres Strait and
37 Carpentarian Plain during times of lower sea levels²²⁻²⁶. However, given that only a few
38 archaeological sites from the north have revealed cultural remains within the putative
39 arrival window and that ancient DNA is poorly preserved in this region of the world, it is
40 difficult to estimate when and where enough people first arrived in Australia to produce one
41 of the longest standing, successful human populations in the world outside of Africa — a
42 population that went on to adapt successfully and populate the entire continent over the
43 following several thousand years^{10,27,28}. Recent studies have modelled plausible routes and
44 therefore the potential geographic locations that would have supported a successful
45 migration to Australia^{22-26,29}, but the numbers and diversity of humans that first arrived on
46 the continent remain largely unknown^{10,27,28}. Fundamentally then, the size and migration
47 patterns of founding populations (i.e., whether it was accidental by a small band of hunter-
48 gatherers, or something larger-scale and more complex) directly contributes to our
49 understanding of modern human societies at this time, and how the colonisation of Sahul
50 fits into this broader story.

51 To determine the likely range of these unknown demographic conditions, here we
52 develop a stochastic, age-structured demographic model for ancient Australians to (i)
53 estimate the minimum size of a founding population that would be required to avoid a high
54 risk of extinction at the time of colonisation, and (ii) calculate the interval and frequency of
55 smaller introductions that would maintain a low probability of extinction over the initial
56 arrival window (65–50 ka). Our model is based on realistic estimates and assumptions of
57 hunter-gatherer demography, as well as a reconstruction of carrying capacity based on
58 hindcasted estimates of net primary production. We hypothesise that several thousand
59 individuals arriving over a defined period within several centuries were required to avoid
60 extinction within the first 100 human generations following initial colonisation.

61

62 **Results**

63 *Deterministic matrix properties*

64 The base matrix **M** using the Siler hazard model³⁰ to estimate the survival vector (Fig. S1)
65 produced a dominant eigenvalue $\lambda = 1.0037$, which equates to an instantaneous rate of
66 population change (r) = 0.0037. Applying different underlying parameters for the Siler
67 hazard model for ‘average forager-horticulturist’ and ‘Northern Territory Aborigines’³¹
68 increased the base matrix’s dominant eigenvalue ($\lambda = 1.0085$ and 1.0201, respectively).
69 However, given the assumed hunter-gatherer mode of subsistence during the time of initial
70 colonisation of Sahul, and the rapid rate of increase from the Aboriginal data (collected
71 1958–1960) that likely underreported infant deaths³¹, we decided to remain with the lowest
72 λ for the ‘average hunter-gatherer’ scenario in all subsequent simulations. This model gives
73 a ratio of the number of female offspring in year $t+1$ to the number born in the previous
74 year (R_0) of 1.11, and a mean generation time (G) of 27.7 years, which agrees well with the ~
75 29-year generation length estimated from genealogy-based studies of hunter-gatherers³².
76 Life expectancy (e_x) according to this model increases from around 31 to a maximum 42
77 years between the ages of 1 and 5 years old, after which point it declines linearly with age
78 (Fig. S1). Thus, a 20-year-old has $e_x = 35$ (additional) years of expected life, and a 40-year-old
79 has $e_x = 24$ additional years of expected life (Fig. S1).

80

81 *Minimum founding population size*

82 The probability of quasi-extinction ($N < 50$ individuals or < 25 females) stabilised at around
83 0.1 over 100 generations for founding population sizes of 1300 to 1550 individuals (Fig. 2),
84 or between 650 and 775 females assuming an equal sex ratio. There was quantitatively no
85 difference between the two curves assuming different timing of initial colonisation, 65–55
86 ka or 60–50 ka (Fig. 2). The relationship between probability of quasi-extinction and
87 founding population size takes into consideration all uncertainty associated with the
88 hindcasted carrying capacity K (Fig. 1), start year, and error (process and sampling) in the
89 Leslie matrix’s demographic-rate elements (survival and fertility; Fig. S1, S2), but it assumes
90 a single-year introduction event (i.e., all founding individuals arrive during the same year).

91 Taking this range (650–775 founding females) and dividing it by 10, such that one-tenth
92 of this minimum arrive at incrementing intervals from 10 to 200 years, the probability of

93 extinction rises approximately linearly with increasing interval length (Fig. 2b), but remains
94 near 0.1 for up to about 70-year intervals (i.e., 65–75 founding females arriving every 70
95 years over seven centuries) (Fig. 3b). Assuming a non-regular (random) arrival frequency
96 and a Gaussian-resampled arriving population size, the rate of increase in Pr(quasi-
97 extinction) was also linear, but less than the rate based on a regular arrival frequency (Fig.
98 3b); for the latter, however, the probability remained ~ 0.1 up to approximately 70- to 90-
99 year arrival intervals on average.

100

101 *Global sensitivity analysis*

102 The boosted-regression tree emulator for the twelve-dimension, Latin hypercube-sampled
103 parameter space indicated that the dominant (negative) influence on the probability of
104 quasi-extinction was variation in age-independent mortality (b_1 in the Siler hazard model)
105 (Fig. S3). Total fertility (F) had the next-highest relative (negative) influence, followed by the
106 (positive) influence of infant mortality rate (a_1), and the (positive) influence of the rate of
107 mortality decline (a_2), with all other parameters considered having relatively weak or no
108 detectable influence on the Pr(quasi-extinction) (Fig. S3).

109

110 **Discussion**

111 Discerning the plausible demographic conditions of human arrival to Australia is problematic
112 because of the deep age of the event(s), the differential preservation of archaeological
113 material since then, limited ancient DNA evidence, uncertainties associated with dating,
114 taphonomic biases, and incomplete temporal and spatial coverage of samples. However,
115 stochastic demographic models built from realistic human demographic rates, hindcasts of
116 indicative regional carrying capacity, and relevant archaeological and genetic data to guide
117 inference, return ecologically credible conditions. Accordingly, our models estimate that as
118 few as ~ 650 females (representing ~ 1300 individuals total) arriving in small groups (~ 130
119 each) over as much as 700–900 years would be sufficient to avoid a high probability of
120 extinction during the likely environmental conditions that dominated northern Sahul
121 between 65 and 50 ka.

122 These numbers of people, whether ~ 130 arriving at semi-regular intervals¹⁰ over a long
123 period or 1300 at one time, are substantively larger than ethnographically observed

124 Aboriginal hunter-gatherers, except during larger ceremonial gatherings³³. It therefore
125 suggests that large populations were likely present in Wallacea during Marine Isotope
126 Stages 3 (29–57 ka) and possibly 4 (57–71 ka) — much denser than their counterparts in
127 Australia for much of the last 50 ka — or that smaller hunter-gatherer groups banded
128 together to make one or more migrations to Sahul. In either case, it implies modern human
129 populations at that time were sufficiently socially integrated³⁴ to be able to achieve the
130 construction and successful voyaging of multiple ocean-going vessels²⁶. It further
131 demonstrates cognitive ability and planning, and likely deliberate migration given the
132 numbers of people involved^{22,26}.

133 Unlike the mostly genetics-based estimates of founding *effective* population size (N_e) that
134 cannot easily discern an associated *census* population size (N_c), our estimates provide a N_c
135 that does not necessarily imply random breeding among all individuals alive. This is because
136 we indirectly accounted for potential inbreeding depression that could arise from non-
137 random breeding by including a catastrophic mortality function that scales with generation
138 time³⁵. This added stochasticity thus more closely aligns with the ecological reality of a
139 population constrained not only by environmental variability, but also by demographic and
140 genetic stochasticity. Furthermore, genetics-based estimates of N_e cannot typically identify
141 fine-scale details of multiple arrival events over the period of several human generations.
142 Thus, our resampling approach also provides the unique minimum interval over which
143 successive human arrivals could have occurred. This does not necessarily imply that arrivals
144 of small groups of humans occurred over the 700- to 900-year (i.e., 25- to 32-generation)
145 window we estimated; rather, it merely indicates that extinction probability remained low
146 within this window. This does not therefore preclude the occurrence of larger and more
147 frequent introduction pulses over longer timeframes.

148 Of course, our model predictions do rely on several unmeasurable parameters, not least
149 of which are the types of survival and fertility schedules experienced by the first humans to
150 colonise Sahul over 50–65 millennia ago. Indeed, our global sensitivity analysis
151 demonstrated that our results are most sensitive to variation in the underlying patterns of
152 initial and environmentally stochastic survival probabilities estimated with the Siler hazard
153 model, as well as total fertility (Fig. S3). Nonetheless, our adoption of ‘average’ hunter-
154 gatherer demographic rates appears reasonable and probably does not over-estimate infant
155 survival³¹. We also assumed carrying capacity was proportional to net primary

156 productivity³⁶, although it is also plausible that ancient humans struck a compromise
157 between high productivity and ease of passage and/or visibility to hunt prey by tending
158 toward ecotones of mid-range productivity³⁷. Had the ecological conditions at the time of
159 colonisation favoured higher vital rates, then the true population size might have been
160 larger than our estimates suggest; however, we are concerned here solely with estimating
161 *minimum* viable population size derived from conservative, yet realistic, demographic
162 parameters.

163 More importantly, assessments of relative carrying capacity appeared to have only weak
164 effects on our model predictions, particularly given the near-identical form of the quasi-
165 extinction/founding population size curve for the introduction windows of 65–55 ka and 60–
166 50 ka (Fig. 2), as well as the low influence of the density-feedback survival modifier (S_{mod})
167 and nadir population density (D_{min}) identified in the global sensitivity analysis (Fig. S3). Thus,
168 the specific choice of carrying capacity (expressed in total humans permitted to occupy the
169 landscape) and the arrival window *per se* have little bearing on our conclusions. This
170 outcome holds even if carrying capacities were, in fact, higher than we assumed because of
171 potentially higher prey availability at initial colonisation relative to later periods when many
172 megafauna species were no longer present^{1,2}.

173 Further, our estimate of ~ 1300 minimum founding individuals arriving within 25 to 32
174 generations agrees well with genetics-based estimates of total effective population size. For
175 example, there are N_e estimates of populations as small as 170–230 Maori women based on
176 mitochondrial DNA for the colonisation of New Zealand³⁸, as few as 70 individuals based on
177 mitochondrial and Y-chromosome DNA for the colonisation of the New World from Asia³⁹,
178 and 150 female Yakuts in north-eastern Siberia based on mitochondrial DNA⁴⁰. While the
179 true $N_e:N_c$ for each of these populations is unknown, if we assume an average of ~ 0.10
180 based on a multi-species assessment⁴¹, the previously cited values of N_e would equate to an
181 N_c of 700–4600 individuals; the resemblance to our demographically based estimates is
182 therefore striking. Previous studies of Aboriginal Australian DNA posited that at least 36–50
183 founding females were required to establish known Australian mitochondrial diversity
184 (estimated from 4–5 founding females for each of the 9–10 haplogroups)²². This range is
185 likely to be a conservative minimum boundary, as founding populations with limited female
186 diversity (< 10 per haplogroup) have little chance of survival⁴². Again assuming a $N_e:N_c = 0.1$
187 gives an N_c ranging from 720 to 1000, our results are not at odds with this argument,

188 although our model conservatively suggests that the minimum number of females per
189 haplogroup would likely have been higher. However, such estimates assume that known
190 Australian haplogroups today represent the total mitochondrial diversity present during
191 colonisation and this assumption is likely to be inaccurate.

192 In summary, our demographic models quantifying the ecologically plausible demographic
193 context of the first humans to colonise Australia now allow for exploration of other
194 questions regarding human adaptations and technological developments during this period,
195 which could have assisted in the successful colonisation of Sahul. Possibly driven in part by
196 population amalgamation in Sunda and Wallacea at this time, more research describing the
197 antecedent conditions in those regions would assist greatly in describing the source
198 population(s) and possibly reveal the impetus for subsequent directed migrations^{22-25,29} to
199 Sahul.

200

201 **Methods**

202 *Demographic rates*

203 Our first requirement was to estimate realistic demographic rates (survival, fertility,
204 longevity) for ancient Australians to parameterise an age-structured model. For survival, we
205 used the five-parameter Siler hazard model³⁰ to estimate the age- (x) specific proportion of
206 surviving individuals (l_x), which incorporates survival schedules for three stages: immature,
207 mature, and senescent individuals within the population:

$$208 \quad l_x = e^{\left(\frac{-a_1}{b_1}\right)(1-e^{-b_1x})} e^{-a_2x} e^{\left(\frac{a_3}{b_3}\right)(1-e^{b_3x})} \quad (1)$$

209 where a_1 = initial infant mortality (also described elsewhere as α_i), b_1 = rate of mortality
210 decline in immatures, a_2 = the age-independent mortality due to environmental influence,
211 a_3 = initial adult mortality, and b_3 = the rate of mortality increase (senescence). We used the
212 average 'hunter-gatherer' parameter estimates from Gurven and Kaplan³¹ (based on
213 modern populations and compared to palaeo-demography) to construct l_x ($a_1 = 0.422$, $b_1 =$
214 1.131 , $a_2 = 0.013$, $a_3 = 0.000147$, $b_3 = 0.086$), and then calculated the age-specific survival
215 (S_x) for life tables (Fig. S1) as:

$$216 \quad S_x = 1 - \frac{(l_x - l_{x+1})}{l_x} \quad (2)$$

217 From the l_x vector, we also calculated the age-specific life expectancy (e_x) as:

218
$$e_x = \sum_{i=1}^n \left(\frac{(l_x + l_{x+1})}{2} \right)_i$$

219 For fertility, we first estimated a fertility schedule based on age at primiparity estimates for
 220 22 modern hunter-gatherer groups⁴³, taking the average and 95% confidence interval of
 221 these for women as an indicator of the onset of reproduction in such societies. These give a
 222 mean age of 19 years old for primiparity among women (95% confidence interval: 16–24).
 223 Further evidence on reproductive senescence and menopause in hunter-gatherer women
 224 suggests that hunter-gatherer societies include many women beyond their fertile years⁴⁴⁻⁴⁶.
 225 Thus, the onset of reproduction and the implied fertility decline compares well with the
 226 global average fertility schedule of modern *Homo sapiens*⁴⁷. For total fertility (F), we used
 227 the value of 4.69 births (i.e., 2.35 daughters) for the !Kung hunter-gatherer society⁴⁸ (Fig.
 228 S2).

229

230 *Age-structured (Leslie) matrix model*

231 From these estimated demographic rates, we constructed a pre-breeding, 81 (i) \times 81 (j)
 232 element (representing ages from 0 to 80 years old), Leslie projection matrix (\mathbf{M}) for females
 233 only (males are demographically irrelevant in this context assuming equal sex ratios),
 234 multiplying a population vector \mathbf{n} to estimate total population size at each forecast time
 235 step⁴⁹. Thus, we used a longevity (ω) of 80 years based on cross-cultural examination of
 236 hunter-gatherer societies³¹, which is itself founded on the modal adult death of about 70
 237 years. Fertilities (m_x) occupied the first row of the matrix, survival probabilities (S_x) occupied
 238 the sub-diagonal, and we set the final diagonal transition probability ($\mathbf{M}_{i,j}$) to zero. We
 239 projected the \mathbf{Mn} combinations for each iteration of the simulation (see below) to obtain
 240 yearly total population size.

241

242 *Carrying capacity*

243 In the absence of measured compensatory density-feedback mechanisms for ancient
 244 humans, we used a hypothetical reduction in the survival vector by constructing a
 245 theoretical carrying capacity (K) built from a hindcasted estimate of net primary production
 246 based on the LOVECLIM climate reconstruction⁵⁰. LOVECLIM is a three-dimensional Earth
 247 system model of intermediate complexity⁵¹ (i.e., its spatial resolution is coarser than that of

248 state-of-the-art general circulation models, and its representation of physical processes is
249 simpler). LOVECLIM includes representations of the atmosphere, ocean and sea ice, land
250 surface (including vegetation), ice sheets, icebergs and the carbon cycle, and produces
251 climates over the past 120 ka in 1000-year snapshots downscaled (using a bilinear
252 interpolation)^{52,53} at a spatial resolution of $1^\circ \times 1^\circ$. For each grid cell and each 1000-year
253 snapshot, we extracted mean annual temperature, mean annual precipitation, freshwater
254 availability (i.e., evapotranspiration – precipitation), bottom (soil) moisture, desert fraction,
255 and net primary production⁵⁴. The candidate *K* output variables (freshwater availability,
256 bottom moisture, desert fraction, and net primary production) for northern Australia (see
257 below) were highly correlated (Spearman's $|\rho| \geq 0.842$; Table S1), so we chose net primary
258 production ($\text{kg C m}^{-2} \text{ year}^{-1}$) as the comprehensive indicator of relative carrying capacity
259 through time. Indeed, regional carrying capacity is correlated with net primary production
260 for many species, including humans⁵⁵⁻⁵⁹. To focus on the region of interest, we took all Sahul
261 (Australia, New Guinea and Tasmania) $1^\circ \times 1^\circ$ grid cells from the equator (0°) to 14° south
262 latitude to represent 'northern' Sahul (including New Guinea, most of the Top End of the
263 Northern Territory and Cape York Peninsula) (Fig. 1a), and calculated the 25% and 75%
264 percentiles for net primary production across this region; from within this quartile range, we
265 stochastically sampled annual net primary production per projection iteration (see below).

266 To translate net primary production into a carrying capacity expressed in units of humans
267 the landscape was capable of supporting, we used data derived from archaeological sites
268 and the assumption of a putative population low (nadir) that occurred during and
269 immediately after the Last Glacial Maximum (23–18 ka)⁶⁰⁻⁶³, when conditions were cooler
270 than today and much (but not all⁶⁴⁻⁶⁷) of the continent was drier⁶⁸⁻⁷⁵. Demographic
271 reconstructions based on the spatial distribution of dated archaeological sites suggest that
272 up to 80% of Australia could have been abandoned or experienced reduced occupation at
273 some point during this interval⁶², or at least a major spatial thinning of populations (perhaps
274 as much as 60%) during this period⁹. From these demographic reconstructions, we set the
275 baseline population size at the Last Glacial Maximum at 47,000 people continent-wide⁷⁶.
276 This figure is based on an estimated area of habitable land⁷⁶ for of Sahul 9.4 million km^2 and
277 a population density⁶² of 0.005 individuals km^{-2} , which is similar to historical estimates of
278 population densities for Australian deserts⁷⁷. We recognise that inferences of past
279 population size are subject to many uncertainties^{63,78-81} and note accordingly that our model

280 results are not critically dependent on the above input values (see the global sensitivity
281 analysis and Fig. S3).

282 From this putative population low at or around the Last Glacial Maximum, we back-
283 tracked to the window of colonisation to estimate a relative carrying capacity for this
284 period. We then scaled the relative net primary production curve by first adding the
285 absolute minimum 25th percentile to each annual value, and then dividing by the maximum
286 median value. To these scaled annual net primary production values, we multiplied by
287 47,000 people to provide an annual K in units of individual people (Fig. 1b). For the founding
288 period of interest (65–50 ka), this translates into a minimum K of 69,230–111,329
289 individuals (25th–75th percentile limits) at 55 ka, and a maximum K of 82,297–158,645
290 individuals (25th–75th percentile limits) from 63 to 62 ka (Fig. 1c). We also reproduced the
291 analysis with a starting window between 60 and 50 ka, assuming instead a later date of
292 initial colonisation (see Results). It is important to understand that the precise timing of the
293 putative population nadir is irrelevant from the perspective of the mathematical
294 reconstruction of the K series, as long as a nadir occurred at some point after initial
295 colonisation. Also, the specific K (carrying capacity) conditions at time of colonisation had
296 little effect on our model outputs (see Results).

297

298 *Compensatory density feedback*

299 When the projected population exceeded the resampled net primary production K in person
300 units that year, we multiplied the *beta*-resampled survival vector (see below) by a multiplier
301 of 0.98 (S_{mod}) to impose a compensatory feedback mechanism. This is because the base \mathbf{M}
302 matrix had a low dominant eigenvalue (i.e., rate of population change; see Results), so this
303 compensatory density-feedback mechanism amounts to a 2% drop in average survival each
304 time total abundance exceeded that time step's sampled K value. This acted to keep the
305 projections from growing exponentially over the 100 human generations.

306

307 *Catastrophic mortality events*

308 We also included a catastrophic die-off function in the simulations to account for the
309 probability of catastrophic mortality events (C) scaling to generation length among
310 vertebrates³⁵:

311
$$C = \frac{p_C}{G}$$

312 where p_C = probability of catastrophe (set at 0.14)³⁵ and G = mean generation time
313 calculated from the deterministic matrix \mathbf{M} , which was 27.6 years⁴⁹. Once invoked at
314 probability C for any iteration of the model (see below), we halved the survival vector to
315 induce a 50% mortality (d) event for that year⁸². This is based on the definition of a
316 catastrophe as "... any 1 yr peak-to-trough decline in estimated numbers of 50% or
317 greater"³⁵.

318

319 *Stochastic projections*

320 We conservatively sampled the start date for each of 10,000 projection iterations using a
321 stochastic uniform sampler between 65 and 50 ka (we aimed to use the full uncertainty of K
322 during the approximate window of initial colonisation). We thus had a different, randomly
323 selected start year for the 100 generations projected into the future (i.e., from 65 to 50 ka
324 toward the present), based on the stochastically sampled \mathbf{M} matrix elements. Here, we
325 defined a function to estimate the shape parameters of a *beta* function, and then randomly
326 *beta*-resampled each element of the survival vector for each year of the projection
327 (assuming an arbitrary $\sigma_S = 5\%$ standard deviation on survival probability). For the fertility
328 vector, we used a random Gaussian resampler for the total (female) fertility F described
329 above, based also on an arbitrary 5% standard deviation.

330

331 *Founding population size*

332 We applied a starting population size from 50 to 1000 females in increments of 50, and
333 calculated the probability of quasi-extinction as the number of iterations per founding
334 population size, where at least one projected annual total population size fell below a quasi-
335 extinction threshold (Q) of 50 individuals (i.e., 25 females, assuming equal sex ratios). This is
336 based on the minimum size below which a population cannot avoid inbreeding depression
337 (although it could be twice as high as this⁸³, so our approach is conservative).

338 To estimate a realistic extinction risk, we must borrow from the ecological concept of
339 minimum viable population size⁸⁴. Here, there is a rising consensus that several thousand
340 individuals are normally required to avoid inbreeding depression and maintain evolutionary
341 potential⁸³, and thus avoid extinction⁸⁵. This is because non-random breeding generally

342 equates to a lower effective population sizes (N_e) than census population sizes (N_c)⁴¹. In the
343 case of founding *Homo* sp. populations, various population genetic approaches (in some
344 instances combined with archaeological evidence⁹) have estimated minimum founder
345 population sizes from 80 to several thousand effective individuals^{9,39,86-90}. However, the
346 relationship between N_e and N_c is complex and variable⁴¹, depending in part on the
347 timeframe over which the data are collected and measured⁹¹. Even with a current lack of
348 reliable estimates of N_e for the first people to arrive in Australia, the uncertainty associated
349 with $N_e:N_c$ ratios means that another approach is required to estimate both the likely initial
350 population size of founding humans arriving over 50 millennia ago and the period that these
351 people likely arrived in Australia and became a genetically interacting and viable founding
352 population.

353 However, this approach assumes an instantaneous arrival of the entire founding
354 population in year 1, which is probably an unrealistic representation of the more likely
355 sequence of multiple arrivals of smaller groups over the entire founding 'interval'. To
356 estimate the frequency of smaller introduction events that maintained a low probability of
357 extinction, we resampled 10,000 times the range of minimum viable population defined in
358 the previous step (i.e., the minimum number of total founders maintaining a probability of
359 quasi-extinction ~ 0.1). We first assumed that each introduction event represented one-
360 tenth of the total founding population, but were spread out by an incrementing interval of
361 decades. Thus, the first introduction frequency was every 10 years (i.e., one-tenth of the
362 minimum viable founding population arriving every 10 years over one century), the second
363 was every 20 years (one-tenth every 20 years over two centuries), and so on until a
364 frequency of 300 years (i.e., one-tenth arriving every 300 years over 3000 years) (Fig. 2c,d).
365 The resulting frequency-quasi-extinction probability relationship thus indicates at what
366 frequency one-tenth of the minimum founding population is required to raise the
367 probability of extinction beyond ~ 0.1 established in the first step.

368 But the reality of an even frequency of identical arriving population sizes is also unlikely,
369 so we added complexity to our model (thus increasing realism) by randomly resampling
370 10,000 times both the number of introduction events and the frequency between events,
371 such that the latter averaged an incrementing range of decades between events (as above).
372 Here, we randomly resampled the initial introduction event as a random uniform number
373 between 25 females (quasi-extinction threshold) and one-half of the minimum founding

374 population established in the first step. We then randomly resampled the following
375 introduction population sizes from the remaining number of individuals up to the minimum
376 total founding population size, until we reached the cumulative minimum founding
377 population size. We used a random Gaussian sampler of the same sequence as in the
378 previous step, assuming a 10% standard deviation. Thus, the first frequency was an
379 introduction interval resampled with a mean of 10 years and a standard deviation of 1 year,
380 the second was resampled with a mean of 20 years and a standard deviation of 2 years, and
381 so on up to a mean of 200 years between introductions (and the associated 20-year
382 standard deviation).

383

384 *Global sensitivity analysis*

385 We designed a 'global' sensitivity analysis to provide robust sensitivity measures of the
386 probability of quasi-extinction to variation in the underlying parameters of our stochastic
387 model^{92,93}. We applied a Latin-hypercube-sampling protocol⁹³ of the parameter space
388 assuming a founding population size of 700 females projected over 100 generations. We
389 sampled 12 parameters from a uniform distribution as follows: (1–5) all five parameters
390 used to calculate the Siler hazard model for age-specific survival: a_1 (varying from 0.3 to
391 0.5), b_1 (from 1.0 to 2.0), a_2 (from 0.010 to 0.015), a_3 (from 1.323×10^{-4} to 1.617×10^{-4}), and b_3
392 (from 0.060 to 0.095); (6) standard deviation of survival (σ_S) for stochastic resampling (from
393 0.025 to 0.100); (7) density-feedback survival modifier S_{mod} (from 0.95 to 0.99); (8) total
394 fertility F (from 2.1105 to 2.5795); (9) quasi-extinction threshold Q (from 13 to 75 females);
395 (10) probability of catastrophe p_c (from 0.1 to 0.2); (11) intensity of catastrophic die-offs d
396 (from 0.25 and 0.75); and (12) nadir population density during/near the Last Glacial
397 Maximum (from 0.0025 to 0.010 individuals km^{-2} ; i.e., from half to double the 0.005 value
398 assumed in the model based on archaeological data^{62,76}). To sample using the Latin
399 hypercube protocol, we ran the simulation for 100 iterations, with 1000 samples from the
400 parameter space. To test the effect of the parameter values on $\text{Pr}(\text{quasi-extinction})$, we
401 used a boosted-regression tree⁹⁴ emulator with the function *gbm.step*⁹⁵ in the `dismo` R
402 library, setting the error distribution family as Gaussian, the bag fraction to 0.75, the
403 learning rate to 0.01, the tolerance to 0.0001, and the tree complexity to 2 (first-order
404 interactions only). To assess the relative contribution of each sampled parameter to
405 $\text{Pr}(\text{quasi-extinction})$, we present the boosted-regression tree metrics of relative influence⁹³.

406

407 **Data availability**

408 All data and R code are available for download at

409 github.com/cjabradshaw/SahulHuman.

410

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620

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626

627 **Author contributions**

628 C.J.A.B. and F.S. designed the research. C.J.A.B. did the analysis and sourced the data.
629 C.J.A.B., F.S., S.U., A.N.W. and M.I.B. wrote the paper. All other co-authors contributed
630 substantially to developing the manuscript.

631

632 **Competing interests**

633 The authors declare no competing interests.

634

635 **Additional information**

636 **Supplementary information** is available for this paper at [xxxx.xxx/xxx ...](#)

637

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639

Figure captions

Figure 1. Change in net primary production and indicative human carrying capacity. (a) Net primary production ($\text{kg C m}^{-2} \text{ year}^{-1}$) hindcasted by the LOVECLIM⁵⁰ Earth system model⁵¹, showing an example for Sahul at 60,000 years ago (ka). The outlined box at the top of panel **a** indicates the $1^\circ \times 1^\circ$ grid cells ($n = 166$) covering ‘northern’ Sahul (0° to 14° South latitude) used to derive relative human carrying capacity (K) used in subsequent analyses (see Methods). **(b)** Shaded area indicates the range between 25% and 75% percentiles of carrying capacity (K) from 120 ka to the present, expressed in terms of total human population size (N). **(c)** Same as in panel **b**, but focusing on the period of conservative initial colonisation, 65–55 ka.

Figure 2. Estimating quasi-extinction probability for Sahul colonisers. (a) Probability (Pr) of quasi-extinction (< 25 females or < 50 total individuals), expressed as function of the size of a one-off founding population (N) according to 10,000 runs of the stochastic demographic model. The dashed black line indicates the probability decay curve assuming the initial year of colonisation fell between 65 and 55 ka; the grey line is the curve derived from an initial colonisation window of 60–50 ka. The shaded N_{min} area indicates the range of minimum founding population sizes giving $\text{Pr}(\text{quasi-extinction}) \approx 0.1$, which we applied in the simulations shown in panel **b** (symbolised by the downward-pointing arrow from panels **a** to **b**). **(b)** $\text{Pr}(\text{quasi-extinction})$ as a function of an increasing interval between regularly spaced arrival events (10, 20, 30, ... 300 years), each comprising one-tenth of the total founding population of 650–775 females (black line = ‘regular interval’), or as a function of randomly sampled introduced-population sizes and randomly sampled intervals averaging 10, 20, 30, ... 300 years (grey line = ‘random interval’). Also shown are the least-squares linear-regression R^2 coefficients for both trajectories. The circles indicate example projections shown in panels **c** and **d**. **(c)** An example 50-year constant interval simulation occurring over 500 years (int_c). Upper and lower lines indicate the 95% confidence intervals of median (darker middle line) projected population size (N). **(d)** An example 100-year random interval simulation occurring over an average of 1000 years (int_r). Lines as described in panel **c**.

Figure 1

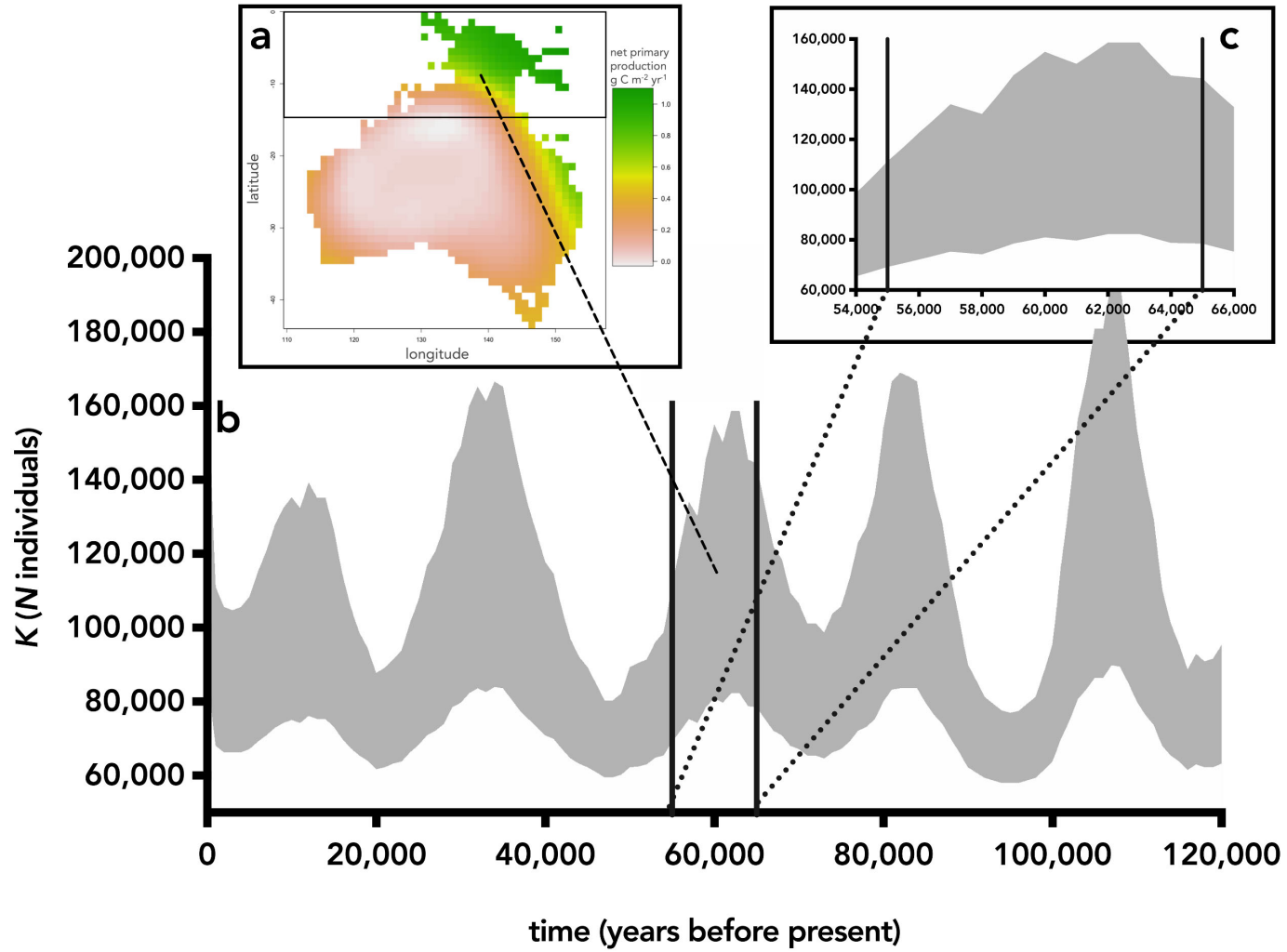
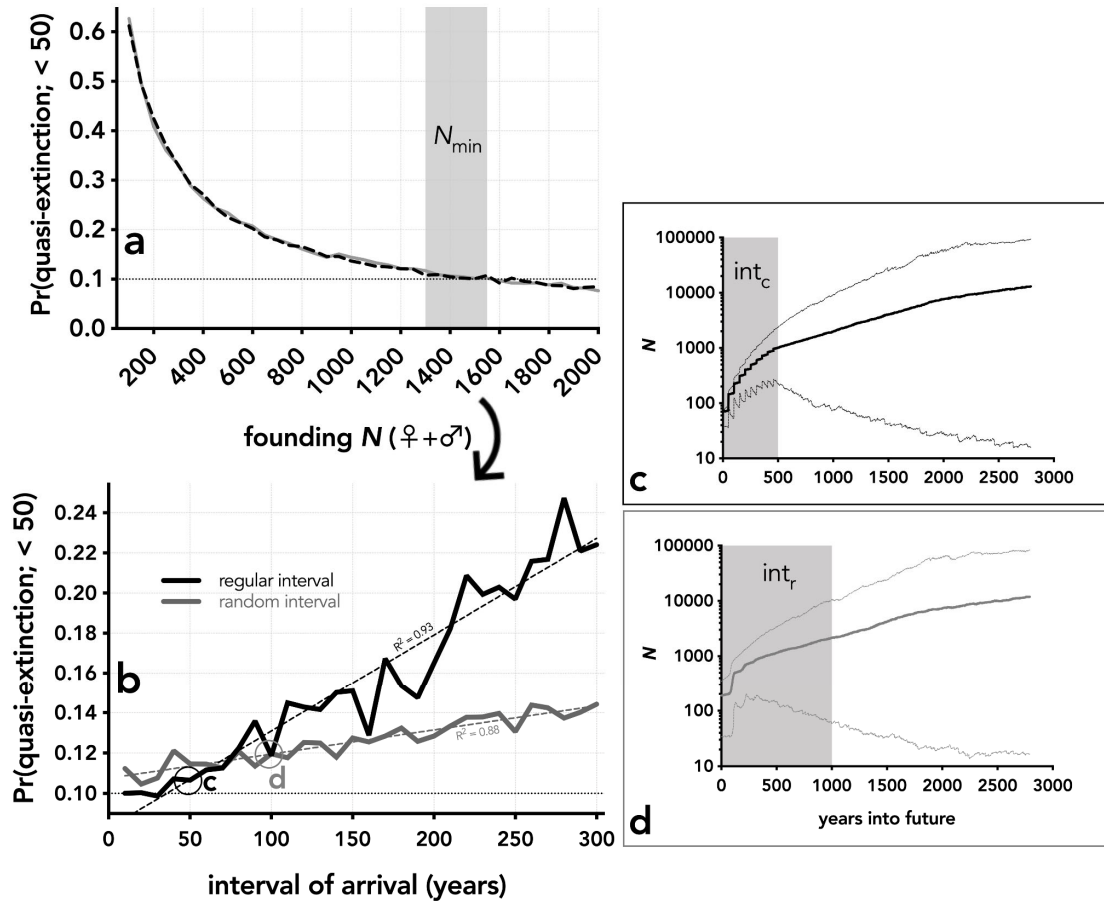


Figure 2



Supporting Information

Table S1. Correlation matrix (Spearman's ρ) of the five potential indices of human carrying capacity hindcasted from the LOVECLIM⁵⁰ Earth system model⁵¹ for the median of the 166 $1^\circ \times 1^\circ$ grid cells covering 'northern' Australia (10° to 18° latitude) from 120 ka to the present (in 1000-year slices).

	bottom moisture	water availability	mean annual temperature	mean annual precipitation	desert fraction
water availability	-0.853	1	-	-	-
mean annual temperature	-0.205	0.494	1	-	-
mean annual precipitation	0.940	-0.713	0.103	1	-
desert fraction	-0.903	0.931	0.436	-0.786	1
net primary production	0.982	-0.842	-0.188	0.934	-0.913

Figure S1. Age-specific survival (S_x) calculated using the five-parameter Siler hazard model³⁰ for three stages: immature, mature, and senescent individuals within the population (solid black line), and the age-specific life expectancy (e_x) calculated from the same model (dashed grey line).

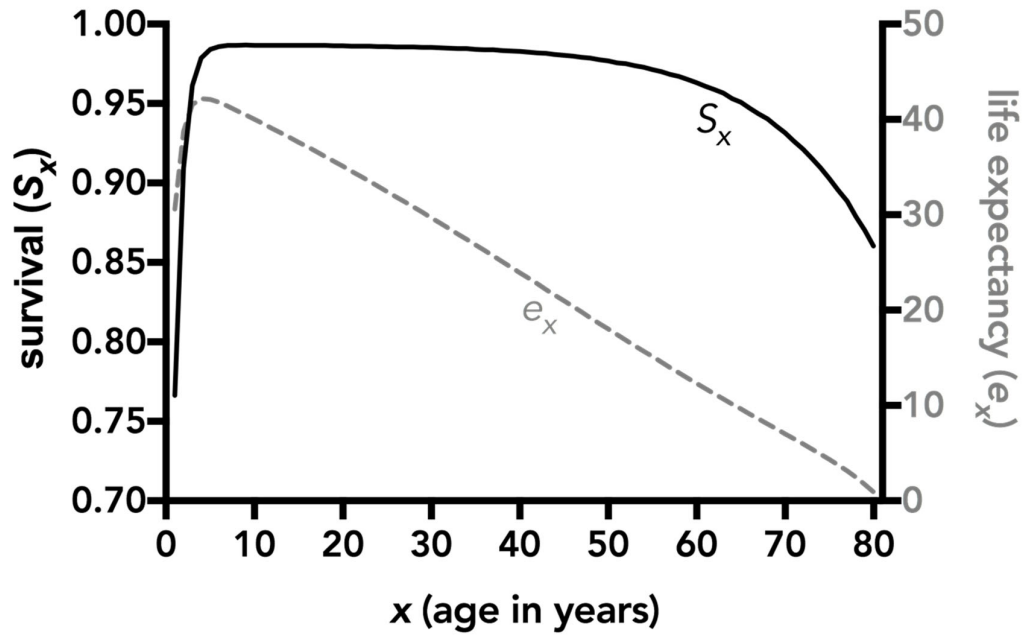


Figure S2. Age-specific fertility (m_x) based on age at primiparity (first reproduction) estimates for 22 hunter-gatherer groups⁴³, taking the average and 95% confidence interval of these for women as an indicator of the onset of reproduction in such societies. These give a mean age of 19 years old for primiparity among women (95% confidence interval: 16–24). The onset of reproduction and the implied fertility decline compares well with the global average fertility schedule of modern *Homo sapiens*⁴⁷. For total fertility (F), we used the value of 4.69 births (i.e., 2.35 daughters) for the !Kung hunter-gatherer society⁴⁸.

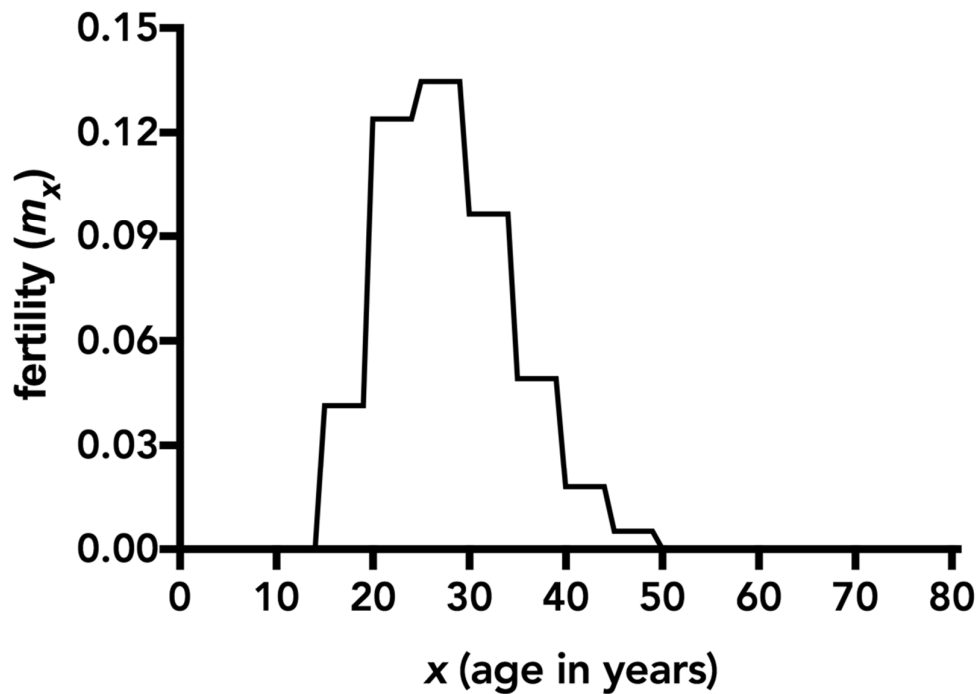


Figure S3. Global sensitivity analysis results. Shown are relative inference scores from a boosted-regression tree⁹⁴ of the relative importance of varied model parameters on the probability of quasi-extinction. See main text for parameter descriptions and ranges tested. The most influential parameters (five top-ranked) are also given with the direction of their influence on the probability of quasi-extinction: (-) = negative; (+) = positive.

