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2 ***Oecophylla smaragdina* ants provide pest control in Australian cacao.**

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20 **ABSTRACT**

21 Ecosystem service-driven methods of agricultural production that make optimal use of
22 an ecosystem's natural services are often effective in smallholder crops, leading
23 increases in habitat heterogeneity at large spatial scales that encourage beneficial
24 species. Pollination services in cacao farms can be enhanced through efforts to
25 conserve pollinator habitats, such as adding cacao fruit husks underneath trees to
26 provide habitat and food resources for midge pollinators. However, it remains unclear
27 how this habitat manipulation affects pest densities or biological control. Here, we
28 evaluated the effects of the predaceous ant *Oecophylla smaragdina* on three major
29 pests of Australian cacao (*Helopeltis* spp., *Amblypelta* spp. and *Rhyparida nitida*) in
30 an Australian cacao farm, in the presence and absence of cacao fruit husks. We also
31 evaluated the effect of *O. smaragdina* on their potentially plant-damaging, mutualistic
32 mealybug (Pseudococcidae) partners in the presence and absence of cacao fruit husks.
33 *O. smaragdina* reduced the combined densities of *Helopeltis* spp. and *Amblypelta*
34 spp., regardless of cacao fruit husk addition. The ants also reduced the densities of *R.*
35 *nitida* in the absence of cacao fruit husks, but *R. nitida* densities were naturally low in
36 the experimental plots that received the addition of cacao fruit husks, regardless of ant
37 presence. In contrast, ants generally increased the densities of mealybugs. These data
38 suggest that *O. smaragdina* ants provide pest control services for three major insect
39 pests in Australian cacao farms. Furthermore, this control may be compatible with
40 habitat manipulations (*i.e.*, the addition of cacao fruit husks) to improve pollinator
41 habitat.

42

43 *Key words: Amblypelta; agriculture; biological control; cocoa, Helopeltis;*
44 *mealybugs; mutualism; wet tropics.*

45

46 CACAO (*THEOBROMA CACAO* L., MALVACEAE) IS A TROPICAL UNDERSTORY TREE
47 NATIVE TO THE NORTHERN PARTS OF SOUTH AMERICA, from which the global
48 commodity cocoa is produced (Motamayor *et al.* 2002, Omolaja *et al.* 2009).
49 Although a major global commodity, cacao is largely cultivated by smallhold farmers
50 on individual, family-managed farmlands typically ranging 0.5 to 7 ha in size (Clay
51 2004) in the lowland tropical regions of Latin America, West Africa and Indonesia
52 (Franzen & Mulder 2007). The increasing global demand for cocoa has consequently
53 led a worldwide push towards the intensification of cacao cultivation for increased
54 productivity (Schroth & Harvey 2007), moving cacao production further from the
55 traditionally managed, sustainable cacao cultivation systems of the past (Somarriba *et*
56 *al.* 2004, Somarriba *et al.* 2014) .

57 Ecologically driven management strategies of cacao production that make
58 optimal use of an ecosystem's natural services (*e.g.*, pest control by natural enemies
59 of pests) rather than relying upon artificial inputs (*e.g.*, pesticide application to control
60 pests) to manage cacao, may benefit tropical smallholder agricultural systems
61 (Perfecto *et al.* 2007, Perfecto & Vandermeer 2010, Clough *et al.* 2011), where
62 intensified agricultural models typified by high chemical input dependence are
63 economically unsustainable (Tittonell & Giller 2013). Further, ecologically driven
64 management strategies of agricultural production can potentially reduce the
65 environmental externalities of intensified agriculture (*e.g.*, lethal effects of pesticide
66 application on non-target beneficial insects) and lead increases in both agricultural
67 production (Bommarco *et al.* 2011) and biodiversity (Reitsma *et al.* 2001).
68 Nonetheless, cacao cultivation is transitioning from traditional, less intensive
69 agroforestry cultivation systems (*i.e.*, limited agrochemical use, limited mechanisation

70 and where cacao is grown together with a diversity of other tree and crop species
71 (Somarriba *et al.* 2001)) that support higher levels of biodiversity (Reitsma *et al.*
72 2001), to modernized and intensified monoculture cacao production models
73 (Gockowski & Sonwa 2011). For example, in Australia where cacao has only recently
74 been cultivated for commercial production, cacao farms predominantly comprise
75 high-density monoculture farms with trees organized in linear rows, intensively
76 managed using mechanized management tools (*e.g.*, tractor, lawn-mower) and
77 artificial agrochemical inputs (*e.g.*, fertilizer, pesticide and herbicide) (Diczbalis *et al.*
78 2010). Internationally, the transition to intensified cacao systems has included an
79 increased reliance upon chemical pest management (Perfecto & Castiñeiras 1998),
80 possibly in response to predator declines and increased pest outbreaks linked to
81 agricultural intensification (Klein *et al.* 2002). This increased use of chemical pest
82 control may contribute to the pollination deficits recently observed in cacao crops
83 (Brittain *et al.* 2010, Groeneveld *et al.* 2010, Bommarco *et al.* 2013). Therefore, the
84 identification of biological alternatives to chemical pest control, including identifying
85 natural enemies of pests, is an important step in improving the provision of pollination
86 services and crucial for ecologically and economically sustainable cacao production.
87 Furthermore, similarities between the responses of some predators and pollinators to
88 habitat management practices (Bianchi *et al.* 2006) suggest that the potential for
89 biological control agents in cacao should be evaluated in the context of strategies used
90 to encourage other ecosystem services such as pollination, as part of a more holistic
91 approach to farming. In cacao, one such strategy used to encourage pollinators is to
92 apply decomposing cacao fruit husks as mulch to reduce the effects of habitat
93 simplification on cacao pollinators (Adjaloo *et al.* 2013). The effectiveness of this
94 habitat manipulation may be attributable to the increased availability of alternative

95 habitat and food resources for pollinators, however the effects of this habitat
96 manipulation on predators and their role in the biological control of pests are yet to be
97 determined. Thus, the effectiveness of biological control by natural enemies of pests
98 should be considered in the presence of cacao fruit husk addition.

99 Ants provide important biological services in tropical agroecosystems
100 (Perfecto & Castiñeiras 1998), including pest control by predatory ants (Way & Khoo
101 1992). However, the pervasive mutualistic association of some ants with hemipterans
102 can overshadow the benefits they provide to crop production (Way 1963). Therefore,
103 the relative impacts of ants on particular herbivorous pests are important to consider
104 when describing the ecological role of ants in agroecosystems. For example,
105 *Dolichoderus thoracicus* ants are known to successfully control multiple insect pests
106 of cacao in Malaysia and Indonesia, where their successful deterrence of major cacao
107 pests such as heteropteran mirids (Hemiptera: Miridae) and the lepidopteran cocoa
108 pod borer *Conomorpha cramerella* (Snellen) seems to outweigh their potentially
109 negative association with a number of hemipteran mealybug (Pseudococcidae) species
110 (Khoo & Chung 1989, Way & Khoo 1991, See & Khoo 1996). This is in large part
111 due to the low economic impact from mealybugs relative to other pests in these
112 regions (Perfecto & Castiñeiras 1998).

113 *Oecophylla smaragdina* ants are commonly found in the cacao farms of
114 northern Australia and have long been recognised as beneficial predators of pests in
115 tropical cropping systems (Way & Khoo 1992, Van Mele 2008). This marked control
116 of pests in other crops suggests great potential for *O. smaragdina* in Australian cacao
117 systems as well. In Australian cacao farms, the major insect pests damaging cacao
118 trees are the mirids (*Helopeltis* spp.), fruit-spotting bugs (*Amblypelta* spp.)
119 (Hemiptera: Coreidae) and black swarming leaf beetles (*Rhyparida nitida* Clark)

120 (Coleoptera: Chrysomelidae) (Diczbalis *et al.* 2010). Although research
121 demonstrating reduced cacao yields from these herbivorous insect pests has not been
122 conducted in Australian cacao farms, it is generally accepted amongst farmers and
123 state government agricultural services that these pests can cause economically
124 significant damage to both young cacao fruits and *T. cacao* seedlings (Diczbalis *et al.*
125 2010). Also found in Australian cacao farms are mealybugs. In other cacao growing
126 regions such as West Africa, mealybugs play a major role in the transmission cocoa
127 swollen shoot virus (Dzahini-Oblatey *et al.* 2006), a severely damaging badnavirus
128 causing defoliation, dieback, yield losses and tree mortality in cacao (Muller &
129 Sackey 2005). However, as cocoa swollen shoot virus is not currently present within
130 Australian cacao farms, disease transmission by mealybugs is not a major concern and
131 damage caused by mealybugs is likely minimal.

132 Effective natural predators of the three major insect pests present within
133 Australian cacao systems are yet to be identified and an efficient biological control
134 program, as an alternative to chemical pest management, is unavailable to facilitate
135 the adoption of ecologically sustainable cacao farm management practices within
136 Australia. Given the biological control efficiency of *O. smaragdina* in other tropical
137 crops (Way & Khoo 1992, Van Mele 2008), we conducted a field manipulation study
138 to investigate the effectiveness of *O. smaragdina* in the control of three major insect
139 pests (*Helopeltis* spp., *Amblypelta* spp. and *Rhyparida nitida*) on a commercially
140 active Australian cacao farm. Further, as *O. smaragdina* ants often involve mutualistic
141 associations with herbivorous mealybugs that vector disease in other cacao production
142 systems (Muller & Sackey 2005) and can cause significant negative impacts on plant
143 health in other crop systems (Styrsky & Eubanks 2007), we also investigated the
144 effect of *O. smaragdina* on mealybug density. Finally, we evaluated the effectiveness

145 of pest control services by *O. smaragdina* on each herbivore species in the presence
146 of a recently developed method to improve pollination rates, the addition of cacao
147 fruit husks underneath cacao trees (Adjaloo *et al.* 2013) to determine whether
148 management strategies used to promote biological pest control services provided by
149 *O. smaragdina* ants are compatible with agricultural practices employed to support
150 pollinators and pollination services.

151

152 **METHODS**

153

154 **STUDY SITE.**— The study site, Whyanbeel Valley Cocoa Estate (145°21'E, 16°22'S)
155 includes a conventional monoculture farm where trees are organized in linear tree
156 rows. The planting material includes three self-compatible Trinitario SG2 hybrid *T.*
157 *cacao* varieties developed at the Papua New Guinea Cocoa and Coconut Research
158 Institute. Within the farm, we established fourteen randomized experimental plots,
159 with each plot containing a total of eight trees organized as two parallel rows of four
160 trees per row (Figure 1A).

161

162 **EXPERIMENTAL DESIGN.**—To examine the effects of *O. smaragdina* on the densities of
163 herbivorous insects (*Helopeltis* spp., *Amblypelta* spp., *R. nitida* and mealybugs) on
164 cacao trees, within each experimental plot two differing *O. smaragdina* ant treatments
165 were randomly assigned and replicated twice within each plot row (Figure 1B, C).
166 The two differing ant treatments included an 'ant presence' (ants) treatment, in which
167 *O. smaragdina* ants were allowed to forage on trees and an 'ant exclusion' (no ants)
168 treatment where *O. smaragdina* ants were excluded from trees. *O. smaragdina* ants
169 were excluded from trees assigned the ant exclusion treatment by physically removing

170 the nests of any present ant colonies and by applying ant barriers around the trunks of
171 the trees, preventing ant movements along the trunk. Ant barriers involved a base
172 layer of thick cotton batting (height: 15 cm; width: 2 cm) to protect the trunk and a
173 layer of black duct tape (50 mm) on top of the batting material. The duct tape was
174 sealed over itself to secure the ant barrier around the trunk tight enough to exclude *O.*
175 *smaragdina* ants from movement underneath the ant barrier. For trees assigned the ant
176 exclusion treatment, a thick layer of Tangle-Trap (Tanglefoot, Contech, Victoria,
177 Canada) was applied on top of the duct tape to completely encircle the tree trunk and
178 inhibit ant movements onto the tree. We pruned the canopy foliage on all
179 experimental trees to disrupt canopy connectivity and inhibit the movements of ants
180 between canopies of neighbouring trees. Pruning of canopy foliage in this manner was
181 continued throughout the duration of the experiment to maintain canopy dis-
182 connectivity. The ant presence treatments were identical to the ant exclusion
183 treatments in experimental design, but did not receive the Tangle-Trap application,
184 allowing *O. smaragdina* ants to move up and down the tree trunk over the ant
185 barriers. For each ant presence tree where *O. smaragdina* were not observed, we
186 transplanted an active *O. smaragdina* nest to the tree (*as in* Peng & Christian
187 (2005b)). Ant barriers were applied to trees on 17 November 2014 and the Tangle-
188 Trap required for the ant exclusion treatments was applied on 19 November 2014
189 after the removal of present ant colonies and canopy foliage pruning.

190 To evaluate effects of cacao fruit husk addition on biological control by *O.*
191 *smaragdina*, all ant treatments were nested within plots selected for one of two cacao
192 fruit husk treatments (Figure 1B, C). Seven of the fourteen plots were randomly
193 selected for a ‘with cacao husk’ treatment, in which approximately 280 kg (35 kg per
194 tree) of fresh cacao fruit husks left over from processing were placed underneath all

195 trees within the selected plots. The remaining seven plots were assigned the ‘no cacao
196 husk’ treatment, where they did not receive the addition of cacao fruit husks. The
197 ‘with cacao husk’ treated plots were spaced at a minimum of 15 m away from the ‘no
198 cacao husk’ treated plots to reduce the possibility of treatment effect spillover
199 between the two cacao husk treatments (Figure 1A). The two *O. smaragdina*
200 treatments (ants: n = 28 trees; no ants: n = 28 trees) were nested equally within the
201 two cacao fruit husk treatments (with cacao husk: n = 56 trees; no cacao husk: n = 56
202 trees). Using a split-plot design, two trees per plot row were randomly assigned to one
203 of the two ant treatments, regardless of cacao husk treatment. Thus, a total of 28
204 replicate trees for each of the two ant treatment types were present within each of the
205 two cacao husk treatments.

206

207 *O. SMARAGDINA AND INSECT SURVEYS.*—Field surveys measuring the density of each
208 insect species were conducted fortnightly from December 2014 to March 2015, during
209 the morning hours between 0700 h and 1200 h. On each observation date, we visually
210 surveyed each tree within each experimental plot for a period of 3 minutes. During the
211 observation period, the entirety of all trees (foliage, branches, trunk) was actively
212 surveyed, recording all observed *O. smaragdina* ants, mirids (*Helopeltis* spp.), fruit-
213 spotting bugs (*Amblypelta* spp.), leaf swarming beetles (*R. nitida*) and mealybugs. All
214 insects were identified to family and where possible, species. To reduce the effects of
215 daily weather fluctuations on the fortnightly surveys, under conditions of heavy
216 rainfall or high wind, monitoring was postponed to the next appropriate day.

217

218 *STATISTICAL ANALYSIS.*—We used generalized linear mixed models in proc mixed
219 using SAS[®] software version 9.04 (SAS 2015) to evaluate the effects of ant exclusion

220 (ant presence versus ant exclusion), cacao fruit husk addition (cacao husk addition
221 versus no cacao husk addition) and sample date, as well as all potential interactions on
222 the density of each insect group surveyed. Each generalized linear mixed model
223 included a random effect of plot and an autoregressive heterogeneous covariate
224 structure to describe covariance between sample dates for the same tree. We grouped
225 all mealybug species together due to difficulties identifying species in the field. We
226 also grouped *Helopeltis* spp. and *Amblypelta* spp. together, due to their similar
227 biology (similar size, phloem feeding nature, broader taxonomic grouping and
228 response to ants in other studies (Peng *et al.* 1997, Peng *et al.* 2012, Wielgoss *et al.*
229 2014)) and low densities. For the statistical analysis of *R. nitida* beetle density, we
230 only included beetle counts from the four sample dates between 20 January and 5
231 March, due to low densities on the first three sample dates.

232 For each response variable (*O. smaragdina*, heteropterans, *R. nitida* and
233 mealybugs), we used AIC scores to evaluate multiple assumptions of distributions and
234 covariance structures and selected the most appropriate option. We assumed the
235 densities of each *O. smaragdina* ants, *R. nitida* beetles and mealybugs followed a
236 negative binomial distribution. We modeled temporal autocorrelation in *O.*
237 *smaragdina* ant densities with a general Toeplitz covariance structure and added
238 further detail by using an autoregressive structure for mealybugs and an
239 autoregressive heterogeneous structure for *R. nitida* beetles. Due to numerous zero-
240 counts in the analysis of heteropteran densities, the negative binomial distribution fit
241 these data poorly. Thus, we used two approaches to analyze these data. First, we
242 analyzed $\log_{10}(x + 1)$ transformed insect counts with a Gaussian distribution and
243 autoregressive heterogeneous covariance structure. We then modeled the presence of
244 heteropterans with a binomial distribution. Due to the reduced power inherent in

245 analyzing presence/absence data, we used a simplified model only evaluating the
246 main effects of *O. smaragdina* presence, cacao fruit husk presence and time, using a
247 compound symmetry covariance structure to describe the covariance for a given tree.

248

249 RESULTS

250

251 *O. SMARAGDINA*. —The ant exclusion treatment was successful at reducing ant
252 densities, with the number of *O. smaragdina* ants per tree significantly greater in trees
253 selected for the ant presence treatment ($F_{1, 743} = 41.49, P < 0.0001$; Fig. 2A, B) than
254 trees selected for the ant exclusion treatment. The cacao fruit husk treatments had no
255 direct influence on *O. smaragdina* density (cacao fruit husk treatment effect: $F_{1, 743} =$
256 $0.02, P = 0.8939$; Fig. 2A, B), or on the effectiveness of ant treatments (cacao fruit
257 husk treatment \times ant treatment interaction: $F_{1, 743} = 0.05, P = 0.8237$). *O. smaragdina*
258 density significantly varied over time ($F_{6, 743} = 2.37, P = 0.0281$; Fig. 2A, B) and ant
259 density was dependent upon the cacao fruit husk treatment ($F_{6, 743} = 3.30, P =$
260 0.0033), with ant exclusions less effective on the first and final sample dates in the
261 with cacao husk treated plots (Fig. 2B). This also appeared to drive a significant
262 interaction between ant treatment, cacao fruit husk treatment and time on *O.*
263 *smaragdina* densities ($F_{6, 743} = 5.04, P < 0.0001$; Fig. 2). There was no significant
264 interaction between time and ant treatment on *O. smaragdina* densities ($F_{6, 743} = 1.83,$
265 $P = 0.0899$).

266

267 HETEROPTERAN INSECTS (HELOPELTIS SPP. & AMBLYPELTA SPP.). —Heteropteran
268 insect densities were significantly lower in trees selected for the ant presence
269 treatment than in trees selected for the ant exclusion treatment ($F_{1, 742} = 4.44, P =$

270 0.0354; Fig. 3A, B). The cacao fruit husk treatment had no direct effect on
271 heteropteran insect density (cacao fruit husk treatment effect: $F_{1, 742} = 0.31$, $P =$
272 0.5769; Fig. 3A, B) and did not influence the effect of *O. smaragdina* ants on
273 heteropteran insect density (cacao fruit husk treatment \times ant treatment interaction: $F_{1,$
274 $742 = 0.03$, $P = 0.8624$; Fig. 3A, B). There was significant variation in heteropteran
275 density over time ($F_{6, 742} = 6.07$, $P < 0.0001$; Fig. 3A, B), but no significant
276 interaction was found between time and either ant treatment ($F_{6, 742} = 1.02$, $P =$
277 0.4100; Fig. 3A, B) or cacao fruit husk treatment ($F_{6, 742} = 0.79$, $P = 0.5776$; Fig. 3A,
278 B). There was no significant three-way interaction between ant treatment, cacao fruit
279 husk treatment and time on heteropteran insect densities ($F_{6, 742} = 0.51$, $P = 0.8036$).
280 Heteropterans were more likely to be present in trees assigned the ant exclusion
281 treatment ($F_{1, 761} = 9.48$, $P = 0.0022$; Fig. 3A, B). The presence of heteropterans was
282 variable through time ($F_{6, 761} = 8.62$, $P < 0.0001$; Fig. 3A, B) though heteropteran
283 presence showed no temporal variation in plots assigned the cacao fruit husk
284 treatment ($F_{1, 761} = 0.08$, $P = 0.7713$; Fig. 3B).

285

286 RHYPARIDA NITIDA. —The densities of *R. nitida* leaf beetles, when beetles were
287 common in our plots (weeks 20 January through 5 March) were significantly lower in
288 trees selected for the ant presence treatment, than in trees selected for the ant
289 exclusion treatment ($F_{1, 419} = 21.48$, $P < 0.0001$; Fig. 3C, D). However, the effect of
290 ant treatment on *R. nitida* density was significantly greater in plots selected for the ‘no
291 cacao husk’ treatment, than those plots selected for the ‘with cacao husk’ treatment
292 (cacao fruit husk treatment \times ant treatment interaction: $F_{1, 419} = 8.23$, $P = 0.0043$; Fig.
293 3C, D). There was no direct influence of cacao fruit husk treatments on *R. nitida*
294 density (cacao fruit husk treatment effect: $F_{1, 419} = 0.38$, $P = 0.5393$; Fig. 3C, D).

295 Across dates from 20 January 2015 through 5 March 2015 there was no temporal
296 variation in *R. nitida* density ($F_{3, 419} = 3.30$, $P = 0.0205$; Fig. 3C, D), or any interaction
297 effect between time and either ant treatment ($F_{3, 419} = 1.85$, $P = 0.1369$; Fig. 3C, D) or
298 cacao fruit husk treatment ($F_{3, 419} = 0.11$, $P = 0.9549$; Fig. 3C, D). There was also no
299 significant three-way interaction between ant treatment, cacao fruit husk treatment
300 and time on *R. nitida* densities ($F_{3, 419} = 1.42$, $P = 0.2371$).

301

302 MEALYBUGS. —Mealybug densities were significantly greater in trees selected for the
303 ant presence treatment, than in the trees selected for the ant exclusion treatment ($F_{1, 743} = 10.00$, $P < 0.0016$; Fig. 2C, D). The cacao fruit husk treatments had no direct
304 influence on mealybug density (cacao fruit husk treatment effect: $F_{1, 743} = 0.79$, $P =$
305 0.3736 ; Fig. 2C, D), or on *O. smaragdina*-mediated effects on mealybug density
306 (cacao fruit husk treatment \times ant treatment interaction: $F_{1, 743} = 0.15$, $P = 0.6954$; Fig.
307 2C, D). There was no significant variation in mealybug densities over time ($F_{6, 743} =$
308 2.27 , $P = 0.0351$; Fig. 2C, D) and no significant interaction between time and either
309 ant treatment ($F_{6, 743} = 1.61$, $P = 0.1422$; Fig. 2C, D) or cacao fruit husk treatment ($F_{6, 743} = 0.68$, $P = 0.6691$; Fig. 2C, D). There was also no significant three-way
310 interaction between ant treatment, cacao fruit husk treatment and time on mealybug
311 densities ($F_{6, 743} = 1.99$, $P = 0.0650$).

314

315 **DISCUSSION**

316

317 We found that *Oecophylla smaragdina* ants reduced the densities of two major
318 insect pests of cacao in Australia: the mirids and the fruit-spotting bugs (*Helopeltis*
319 spp. and *Amblypelta* spp. respectively) and the addition of cacao fruit husks as a

320 mulch did not disrupt the ability of *O. smaragdina* to reduce the densities of these
321 pests. Our results support similar findings in other Australian tropical fruit crops (*i.e.*,
322 cashew and mango), in which *O. smaragdina* were found an economically important
323 biological control agent for both mirids (*Helopeltis pernicalis* Stonedahl *et al.* and
324 fruit-spotting bugs (*Amblypelta lutescens* Distant) (Peng *et al.* 1995, Peng *et al.* 1997,
325 Peng & Christian 2005a, Peng & Christian 2005b). We also found *O. smaragdina*
326 ants are effective in reducing the densities of *Rhyparida nitida* leaf beetles when
327 cacao fruit husk mulch is absent, but may not be necessary when cacao fruit husks are
328 applied as a mulch. This interaction appears due to low *R. nitida* beetle densities in
329 the ‘with cacao husk’ treated plots even in the absence of ants, rather than attributable
330 to poor control by *O. smaragdina*. The low *R. nitida* densities in the ‘with cacao husk’
331 treated plots may be due to the dispersal of *R. nitida* beetles outside of the plots, or
332 due to within-plot mechanism (*e.g.*, unsuitable habitats for *R. nitida* reproduction
333 and/or survival or increased predation on *R. nitida* beetles mediated by habitat-related
334 increases in predator densities). In a concurrent study we found that in addition to
335 increasing pollination rates, the addition of cacao fruit husks as mulch underneath
336 trees, increased the densities of native spider and skink predators (Forbes 2015). Thus,
337 it is possible that increased native predator densities within the ‘with cacao husk’
338 treated plots, helps to maintain low insect pest densities in the absence of the
339 predaceous *O. smaragdina* ants.

340 Biological control by ants is achieved by directly preying upon pest insects, by
341 chemically deterring pest insects and by causing pest insects to drop from the host
342 plant to the ground when harassed by predatory ants (Way & Khoo 1992) where other
343 predators might consume them (Philpott *et al.* 2004, Philpott & Armbrrecht 2006). In
344 the current study, *O. smaragdina* ants were regularly observed capturing and

345 transporting all three major insect pests (*Amblypelta* spp., *Helopeltis* spp., and *R.*
346 *nitida*) back to the nest, indicating that direct predation by *O. smaragdina* is likely a
347 major mechanism driving the observed reductions in insect pest densities. However,
348 *O. smaragdina* ants also produce a conspicuous, long-lasting recruitment odour trail
349 that remains strong for at least 24 hours and persists for three days (Jander & Jander
350 1979). Insects can identify olfactory cues associated with predation (Abbott 2006,
351 Gonçalves-Souza *et al.* 2008, Goodale & Nieh 2012), including *O. smaragdina*
352 recruitment odour trails that may aid predator detection and avoidance by plant-
353 visiting herbivorous insects (Li *et al.* 2014). For example, Offenberg *et al.* (2004)
354 report that *R. wallacei* beetles can detect and actively avoid *O. smaragdina*
355 pheromone. Thus, predator detection and avoidance may be an additional mechanism
356 driving the reductions in pest densities in trees inhabited by *O. smaragdina* ants
357 observed in our study.

358 Our results suggest that *O. smaragdina* may serve as an effective biological
359 control agent for heteropteran and *R. nitida* pest insects in Australian cacao. However,
360 *O. smaragdina* ants were found to increase the densities of hemipteran mealybugs.
361 This observed increase is not surprising considering the proclivity of *O. smaragdina*
362 to tend hemipterans and harvest their sugary honeydew exudate (Bluthgen & Fiedler
363 2002). In cacao, likely the most economically significant role of mealybugs is in the
364 transmission of cocoa swollen shoot virus (Dzahini-Oblatey *et al.* 2006). Fortunately,
365 cocoa swollen shoot virus is not present in Australia, so the potential for economic
366 damage caused by the *O. smaragdina*-mediated increases in mealybug densities
367 observed in our experiments is likely minimal. Nonetheless, further experimental
368 work is required to determine the per-capita effects of each pest species on cacao
369 yields and whether the benefits of pest suppression by *O. smaragdina* outweigh the

370 negative impacts of *O. smaragdina* on cacao production through their tending and
371 promotion of mealybugs.

372 Phloem-sucking hemipterans are commonly regarded as one of the most
373 damaging groups of plant pests worldwide as they consume plant phloem, damage
374 plant tissues and may vector toxins and disease in many plants of economic
375 importance (Carver *et al.* 1991, Culik & Gullan 2005). However, while the presence
376 of both ants and hemipterans may indirectly benefit the host plant whilst hemipteran
377 densities remain low (Way 1963, Cushman & Addicott 1991, Styrsky & Eubanks
378 2007), at high densities hemipterans can reduce plant fecundity or increase plant
379 mortality (Styrsky & Eubanks, 2007). This positive effect on hemipterans suggests the
380 total benefits of ants in biological control may depend on the magnitude of their
381 impact on the densities of the hemipterans they tend, relative to their role as predators
382 of other pests (Way & Khoo 1992). Several studies have addressed the dual function
383 of ants in agricultural systems as predators of pests and hemipteran tenders, with some
384 demonstrating an overall net benefit of ants in agroecological systems. For example,
385 in Mexican coffee farms, a diverse group of ants significantly reduce the major pest
386 insect, coffee berry borer *Hypothenuemus hampei*, however they also tend the less
387 damaging scale insects (Gonthier *et al.* 2013). Similarly, in Indonesian cocoa farms,
388 *Dolichoderus* spp. ants reduce the densities of major pests including *Helopeltis* spp.
389 true bugs and *Conopomorpha* spp. moths, thus reducing herbivory and indirectly
390 increase fruit set, presumably by increasing plant nutrient availability (Wielgoss *et al.*
391 2014). The same study also found that ants increased mealybug densities and
392 contributed to the spread of fungal pathogens, but that the positive effects outweighed
393 the negative effects, with higher yields in the presence, rather than absence, of
394 *Dolichoderus* spp. ants. In cases where high densities of ants' mutualistic partners can

395 become damaging, the conservation of diverse predator communities may help to
396 maintain relatively low hemipteran densities (*e.g.*, Francis *et al.* 2012). For example
397 in Mexican coffee farms, ants provide biological control of coffee berry borers, while
398 parasitic phorid flies and predaceous lady beetles reduce the densities of ants and the
399 scale insects they tend, respectively (Vandermeer *et al.*, 2010). Taken in sum, ants can
400 play a critical role in the provision of biological control ecosystem services in tropical
401 agroecosystems.

402 Enhancement of ecosystem services in agriculture, often called ecological
403 intensification, has drawn increasing attention to the ways in which organisms
404 contribute to the delivery of ecosystem services (Kremen & Ostfeld 2005, Díaz *et al.*
405 2007, Luck *et al.* 2009). While the current demand for ecosystem services is growing
406 rapidly (*e.g.*, Beier *et al.* 2008, Loring *et al.* 2008, Carpenter *et al.* 2009), uncertainty
407 remains as to how to manage the provision of multiple ecosystem services
408 simultaneously. In some cases, as may be the case in the improvement of pollination
409 and biological control, identifying synergies between management strategies
410 employed for individual ecosystem services may improve our ability to manage
411 multiple, perhaps complimentary, ecosystem services (Lundin *et al.* 2012,
412 Shackleford *et al.* 2013). Previous studies evaluating such synergies have ranged from
413 documenting trade-offs such as predator conservation that disrupts pollination
414 services (Knight *et al.* 2006), to synergistic management strategies such as natural (or
415 semi-natural) habitat promotion (Diekotter *et al.* 2010) and integrated pest
416 management systems (Dale & Polasky *et al.* 2007) that simultaneously promote
417 pollinators, predators of pests and the ecosystem services they provide (Stallman
418 2011). Here, we found that habitat management to promote pollination ecosystem
419 services in cacao agriculture did not disrupt biological pest control services. A greater

420 mechanistic understanding of cases such as this may lead to improved management of
421 multiple ecosystem services in other agroecosystems.

422

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424

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430

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646

647 **FIGURE LEGENDS**

648

649 FIGURE 1. Diagrammatic representations of (A) example experimental plot
650 placement and spacing within the greater *T. cacao* plantation; (B) example
651 experimental ant treatment design nested within plots assigned the ‘With cacao husk’
652 treatment; and (C) example experimental ant treatment design nested within plots
653 assigned the ‘No cacao husk’ treatment. Individual experimental plots each comprise
654 eight *T. cacao* trees, organized as two parallel rows of four consecutive trees. Within
655 each plot, regardless of cacao husk treatment (With cacao husk; No cacao husk), two
656 differing ant treatments (Ants; No ants) were randomly assigned and replicated twice
657 within each plot row.

658

659 FIGURE 2. Mean (\pm SE) *O. smaragdina* (A, B) and mealybugs (C, D) density per tree
660 surveyed from 11 December 2014 to 5 March 2015, when subject to ant presence
661 (Ants) and exclusion (No ants) treatments, in plots without (A, C) and with (B, D)
662 cacao fruit husks underneath trees.

663

664 FIGURE 3. Mean (\pm SE) heteropterans (*Helopeltis* spp. & *Amblypelta* spp.) (A, B)
665 and *Rhyparida nitida* (C, D) density per tree surveyed from 11 December 2014 to 5
666 March 2015, when subject to ant presence (Ants) and exclusion (No ants) treatments,
667 in plots without (A, C) and with (B, D) cacao fruit husks underneath trees.

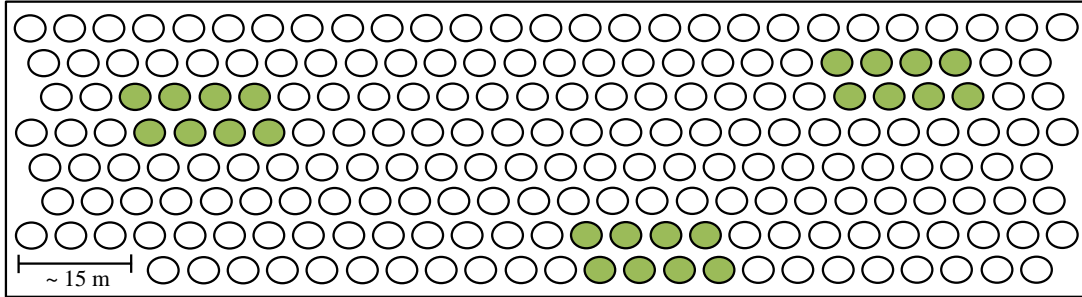
668

669 **FIGURES**

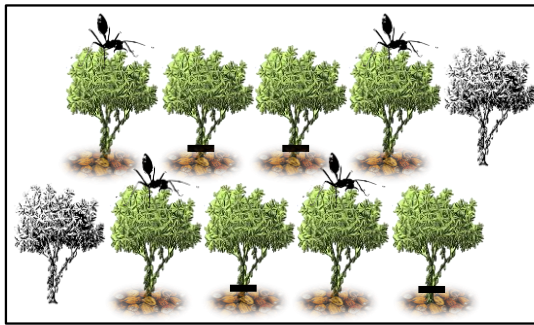
670

671 **FIGURE 1.**

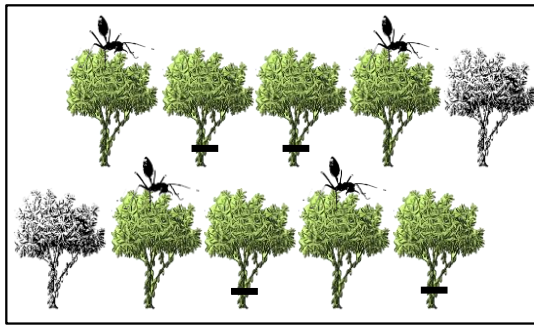
A) Example of plot placement within *T. cacao* plantation





B) Example treatment design in 'With cacao husk' plots



C) Example treatment design in 'No cacao husk' plots

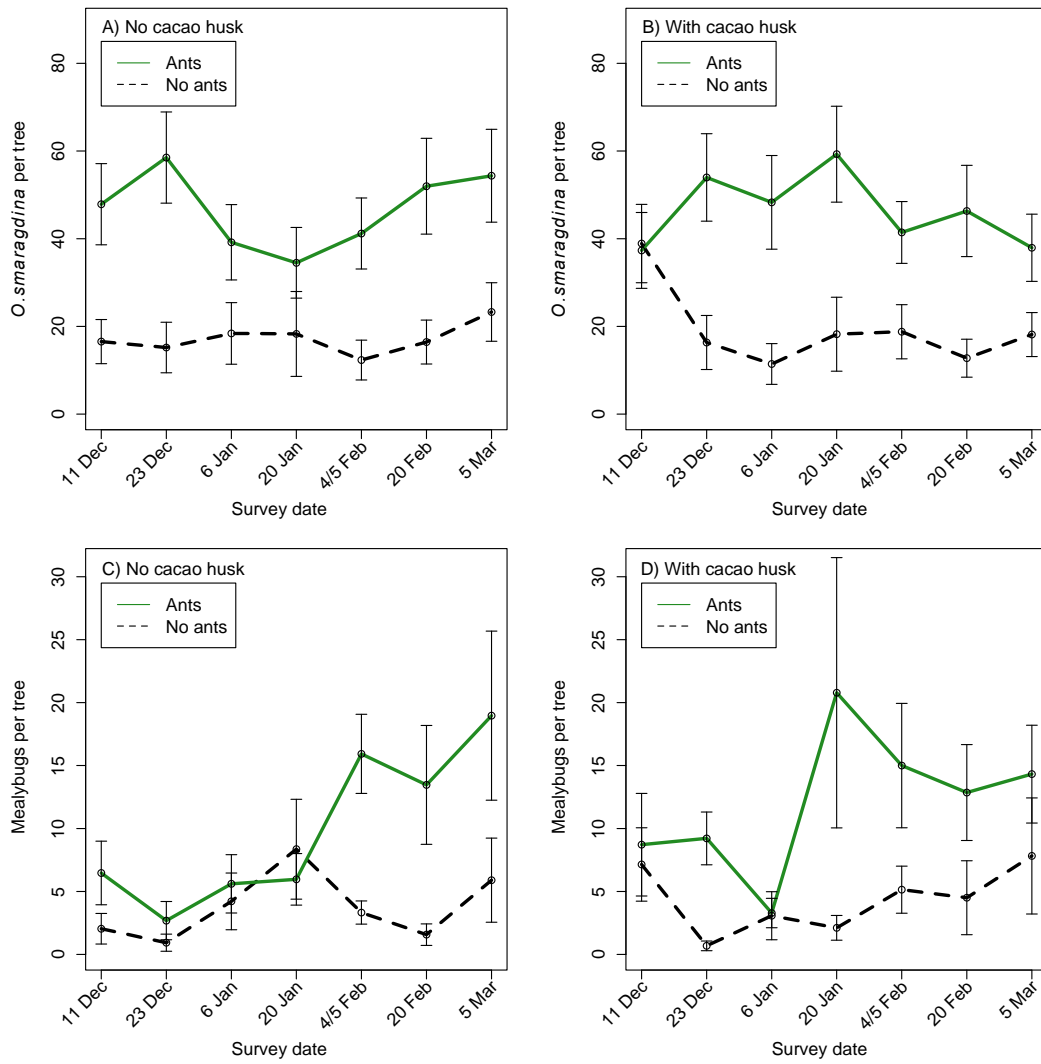


Legend:  'Ants' treatment  'No ants' treatment

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673

674 FIGURE 2.



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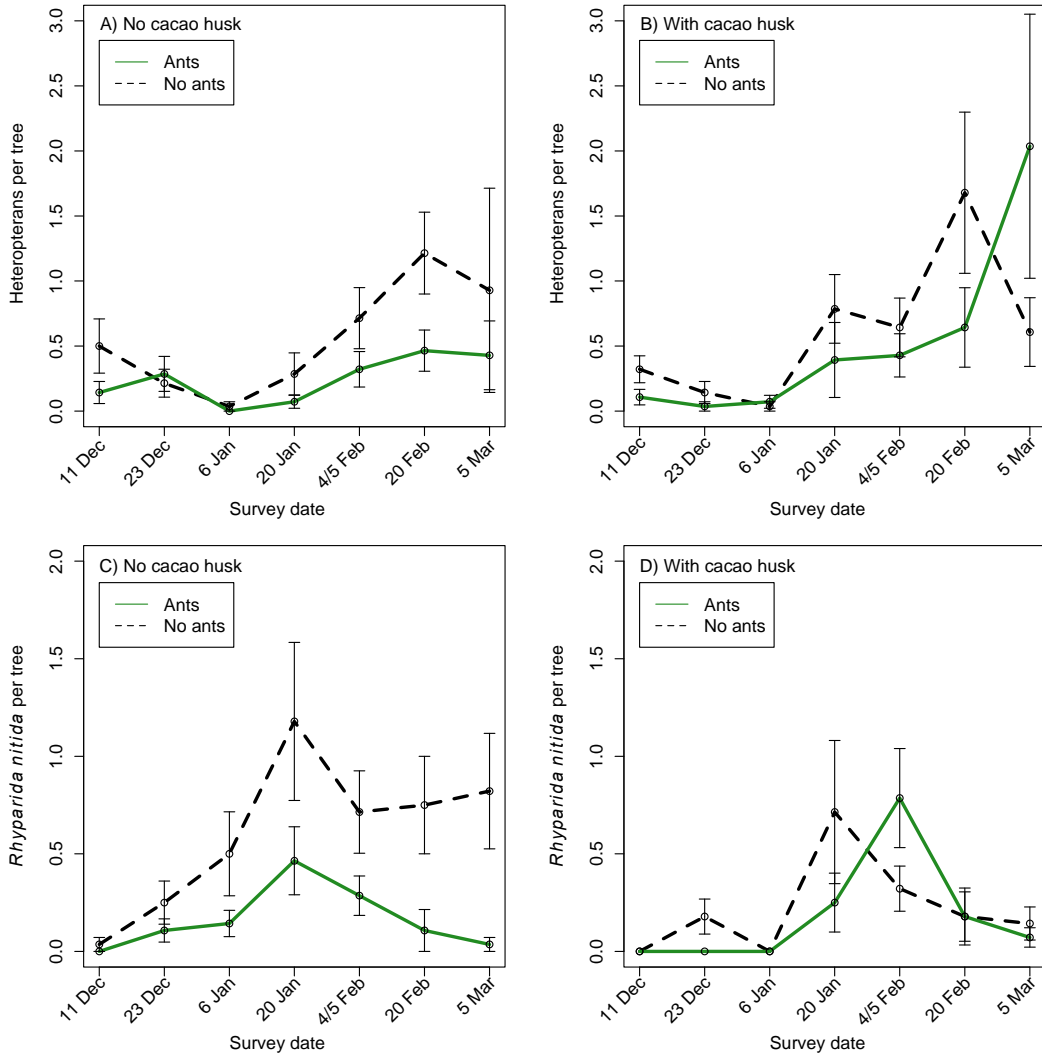
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682 FIGURE 3.



683