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TITLE: LANDSLIDE SUSCEPTIBILITY ANALYSIS USING REMOTE SENSING AND GIS IN THE WESTERN ECUADOREAN ANDES

Keywords: Landslide Susceptibility Analysis; Yule Coefficient; Landslide Inventory; Distance Distribution Analysis; Ecuador; Risk Assessment; Spatial Association.

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Abstract

In this paper we created and validated a predictive model for assessing the susceptibility of landslides along Highway E-20 in Ecuador, by measuring the degree of spatial association of a landslide inventory with a set of spatial factors in an empirical way. The main aims of this paper are to: 1) determine what spatial factors are most associated to landslide occurrence, 2) determine if the E-20 has any type of influence on landslide occurrence and, if so, up to what distance. For this, we created a landslide inventory based on multi-temporal images from different sources and used the Yule Coefficient and the Distance Distribution Analysis, which enabled us to determine which spatial factors are more closely related to the occurrence of landslides. The findings support the idea that landslides are not randomly distributed, but are associated (positively or negatively) to the different geo-environmental conditions of the study area; in this case, landslides have shown positive association with areas of active erosive processes, granitic rocks, volcanic sandstone and rainfall ranging from 1 500 to 1 750 mm. The statistical significance of the model was tested in two different ways, thus it can be considered as valid, showing that each spatial factor has some influence on the occurrence of landslides.

1. Introduction

Landslide Susceptibility Analysis (LSA) attempts to establish a relationship between landslides and the factors associated to them, in order to determine the spatial probability of occurrence of new landslides in a given area (Marsh 2000; Remondo et al. 2003); this is done by identifying past landslides, their distribution and main characteristics and applying statistical and geographic information tools to establish which factors are more associated to landslides. The results from LSA are not predictions of landslide occurrence, but references of where they can generally be expected to occur in the future.

Ecuador, located in western South America, is frequently subject to natural disasters of different kinds and magnitudes (Cajas Alban and Fernandez 2012; Demoraes and D'ercole 2001; Tibaldi et al. 1995); Landslides are mass movements containing soil, mud, rock and other materials that detach from a mountain or hill and move down a slope (Wang et al. 2005); they often affect the country's road network and other critical infrastructure leaving entire communities destroyed or uncommunicated (Harden 2001; Hoy 2014a; Hoy 2014b); furthermore, landslides have been found to be the deadliest disaster type in Ecuador, killing more people than flooding or epidemics (Zevallos 2004). By determining the geographical factors that are associated with landslides, the places that have the highest probabilities of landslides can be delineated, thereby raising awareness and enhancing community resilience and preparation.

Highway E-20 (from now on E-20) links Quito with Santo Domingo de los Tsáchilas (from now on Santo Domingo) and plays a major role in communicating communities in the high Andes with those in the coastal lowlands. The E-20 departs Alóag and climbs to the 3 100 m.a.s.l. mark before beginning its steep descent to Santo Domingo, at 550 m.a.s.l.. This section of the highway is often affected by landslides and frequently closed for days (Comercio 2014a; Comercio 2014b), therefore being an important subject for LSA.

The main objectives of this paper are to: *i)* determine what spatial factors are most associated to landslide occurrence, *ii)* determine if the E-20 has any type of influence on landslide occurrence and, if so, up to what distance, and *iii)* create a landslide susceptibility map based on the findings.

This paper is firstly describes the location of the study area, followed by a section that describes the acquisition of the spatial data and the methods uses; here, the Yule Coefficient (YC) and the Distance Distribution Analysis (DDA) are presented as efficient tools for LSA, followed by the methodologies used to test the statistical significance of the model. Subsequently, the main results are outlined then, the discussion and finally a short conclusion.

2. Study Area

The study area is located in central Ecuador and comprises twelve (12) municipalities (i.e. parroquias) from the provinces of Pichincha and Santo Domingo de los Tsáchilas ; it covers a total area of 5 093 Km². The boundaries of the study area are: 10 010 636 m North to 9 913 845 m South, and 773 147 m East to 674 910 m West. It was delineated by using the 'Political Division – Parroquia', and not using a geophysical characteristic (e.g. water sheds), because when the model is finished, it can be easily implemented by each administration in small scale, rather than the model having to pass a bureaucratic process in several Ministries before its approval and later use. There are three important topographic features of the study area: the Atacazo, Corazon and

47 Guagua Pichincha volcanoes, all with elevations above 4 000 m.a.s.l. and a high presence of
48 outcrops and quarries of different sorts.

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Fig. 1: Location of the study area
Source: Google Earth

54 The selected portion of the E-20 Highway covers 98 Km, and it is the central feature in the
55 study area running from east to west; it connects the towns of Alóag, located in the east, at 2 880
56 m.a.s.l., and Santo Domingo, located in the west, 530 m.a.s.l.. The road crosses its highest section
57 (3 170 m.a.s.l.) approximately 12 Km west of Alóag , crosses the western Ecuadorian Andes and
58 descends to Santo Domingo, located in the coastal plains (see Fig. 2).

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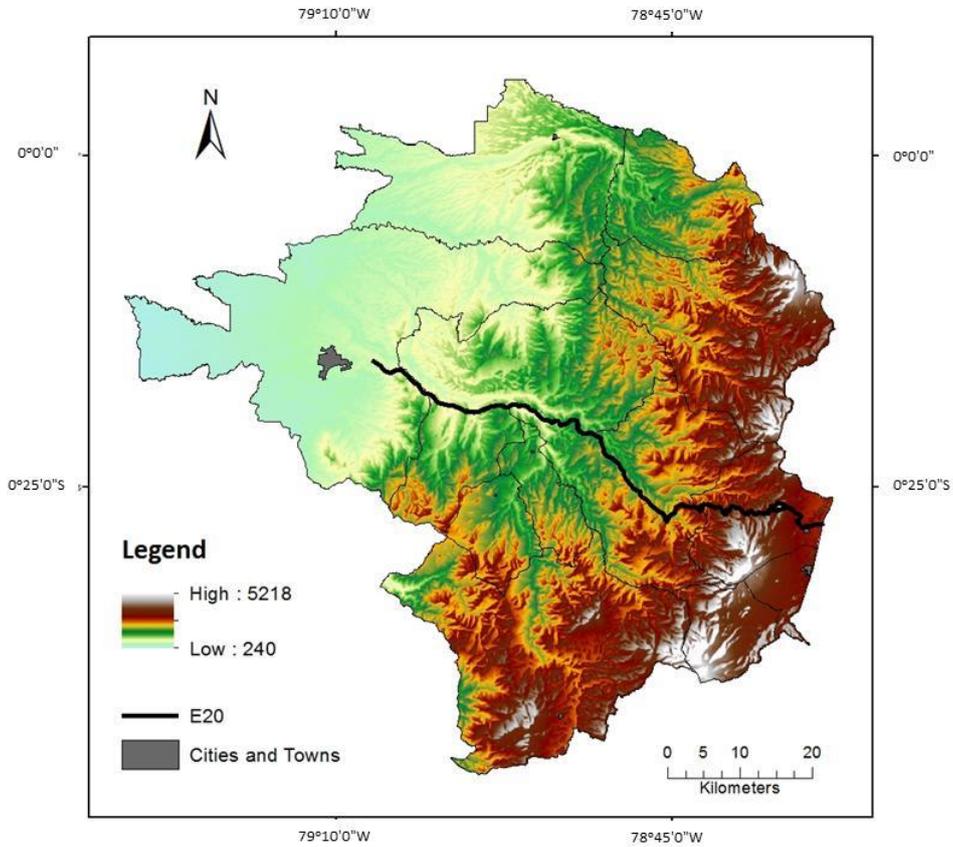


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Fig. 2: Elevation profile of Highway E-20. Alóag is shown on the left hand, with the highest elevation and Santo Domingo on the right hand with the lowest elevation.
Source: Google Earth

65 The topography of the area, as displayed in Fig. 3, is very rugged, with uneven terrain and
66 high elevations in the east tending to more smooth hillsides and flat plains in the west. The highest
67 and lowest elevation are 5 218 m.a.s.l. and 240 m.a.s.l. respectively, this means that climate,
68 rainfall, and vegetation types vary widely throughout the study area. On the one hand, the region
69 surrounding Alóag is characterized by cool to mild temperatures averaging 12°C, 79% relative

70 humidity and 1 500 mm of rainfall each year; On the other hand, Santo Domingo has anywhere
71 between 2 000 and 3 000 mm of rainfall and an average temperature of 22°C (INAMHI 2013a;
72 INAMHI 2013b). Once below 1 300 m.a.s.l. the vegetation changes to evergreen forests of the
73 coastal lowlands, which increases the amount of cloud cover and creates a semi-permanent
74 blanket over the forest (Sierra 1999).



75
76 Fig. 3: Elevation map of the study area Highway E-20.

77
78 The geology of the study area is characterized by marine volcano-sedimentary rocks of
79 andesite and basalt composition with interbedded sediments of the Cretaceous era. The Macuchi
80 formation is dominant in the area, and is partially covered by volcanoclastic rocks, conglomerates,
81 shales, tuffs (especially along the E-20) and marine sedimentary rocks such as limestone; to the
82 east, continental Pleistocene-Holocene volcanic rocks of andesite composition are predominant.
83 There are also ash and lahar deposits throughout the area. To the and southeast the lithology is
84 characterizes by pyroclastic fragments of volcanic eruptions such as ash and pumice lapilli, mostly
85 form the Atacazo, Corazon and Guagua Pichincha volcanoes (GAD 2013).

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88 3. Data and Methods

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90 3.1. Data Collection

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92 Data was collected from different sources was used to generate the thematic layers (see
 93 Table 1). In spite of being in the Andes and close to three volcanoes, not enough spatial
 94 information is readily available regarding earthquakes to include them in this LSA.

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Table 1: Information used for the thematic layers.

NAME	TYPE	SCALE / RESOLUTION	SOURCE	YEAR
Ecuador Profile	shp	1:150.000	Instituto Geográfico Militar	2013
National Road Network	Shp	1:150.000	Ministerio de Transporte y Obras Públicas	2013
Cities and Towns	Shp	1:150.000	Instituto Geográfico Militar	2013
Political Division - Parroquia	Shp	1:150.000	Instituto Geográfico Militar	2011
Political Division - Province	Shp	1:150.000	Instituto Geográfico Militar	2011
Geomorphology	Shp	1:150.000	MAGAP – SigAgro	2005
Isohyets – Rainfall	shp	1:150.000	INAMHI	2003
Erosion	Shp	1:150.000	MAGAP – SigAgro	2002
Land Use	Shp	1:150.000	MAGAP – SigAgro	2002
Digital Elevation Model	Raster	30 m	Instituto Geográfico Militar	2013
Aerial Photographs	Raster	1:30.000	Instituto Geográfico Militar	2013
Aerial Photographs	Raster	1:20.000	Instituto Geográfico Militar	2005
Aerial Photographs	Raster	1:5.000	Instituto Geográfico Militar	2005
Aerial Photographs	Raster	Various	Google Earth Pro.	1970, 2002, 2003, 2007, 2012

97

98 The profile of the country was used as base to spatially align all other layers, and to ensure
 99 the Digital Elevation Model (DEM) was correctly located; the best available resolution for the DEM
 100 was thirty meter (30 m), that is, each grid cell measured 30m on each side; this was used for
 101 subsequent extraction of information (i.e. slope, aspect and curvature). The section of interest of
 102 the E-20 was obtained from the ‘National Road Network’ layer which was provided by the Ministry
 103 of Transport, while the outlines of the towns of Alóag and Santo Domingo where obtained from
 104 the ‘Cities and Towns’ shape file, provided by the Military Geographic Institute (IGM). The study
 105 area was selected from the ‘Political Division – Province’ on first stance, and ‘Political Division –
 106 Parroquia’ for the definite selection of municipalities. The ‘Geomorphology’ and ‘Land Use’ layers
 107 contain information on geology, lithology, land use and land cover for the study area. Lastly, the
 108 ‘Isohyets – rainfall’ layer contains the rainfall ranges for all the study area, and it was generated
 109 by interpolation of the nation’s network of weather stations.

110 The processing of all layers was done using ArcGIS v10.2, this included the geo-referencing
 111 of layers, assigning coordinate systems and datums, visualization, extraction and geo-processing
 112 of the raster datasets. The selected datum and coordinate systems were: WSG 1984 and UTM
 113 Zone 17 South respectively. In order to determine the spatial association of each spatial factor
 114 with the presence of landslides, Microsoft Excel was used.

115

116 3.2. Landslide Inventory

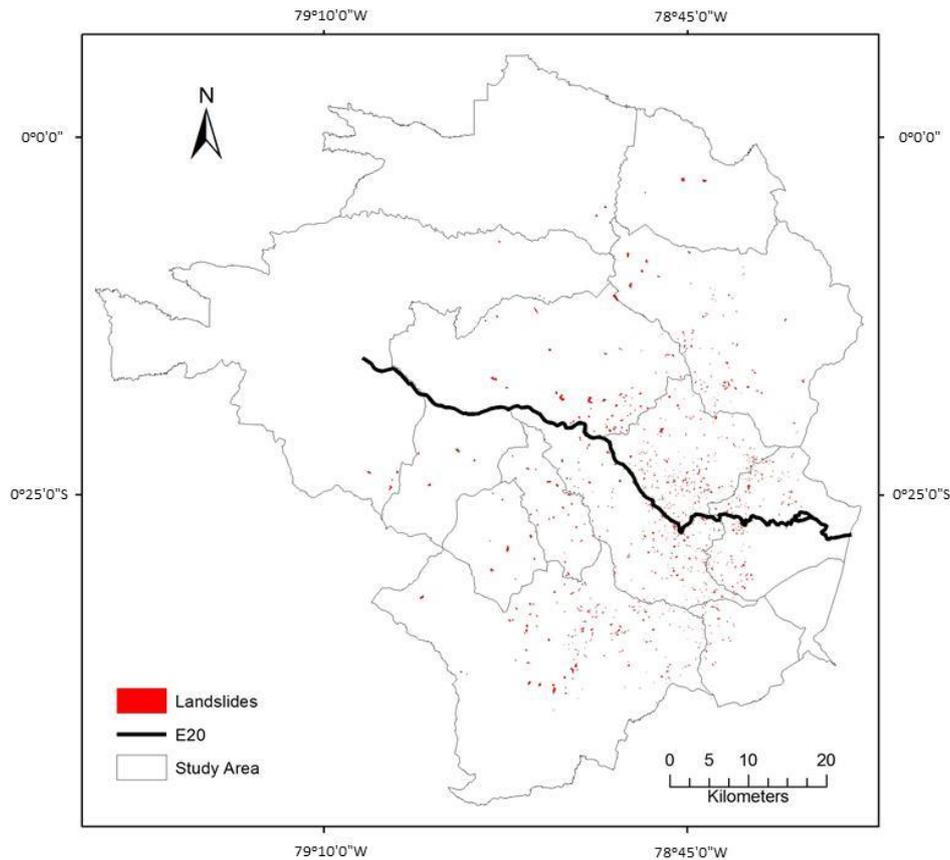
117

118 In order to identify and map the locations of landslides, multi-temporal images from
 119 Google Earth and the IGM were geo-referenced and used; the former were comprised of several
 120 images of the whole study area and were dated: Jan/1970, Jun/2002, jul/2003, jun/2007,
 121 jun/2012, jul2012 and sep/2012, but only a small section of the study area had images from all

122 the mentioned years. The latter were dated 2005 and scaled 1:5 000, 1:20 000 and 1:30 000 and
123 covered 1 840 Km² (36%) of the study area.

124 Out of 400 photos, 95 were selected on the basis of presence or absence of landslides;
125 the chosen images were geo-referenced using between 6 and 10 ground control points, and
126 ensuring the root mean square error was below half of the pixel size (Hughes et al. 2006), which
127 varied between photographs, hence making sure there was a good correlation between the
128 locations the geo-objects in ground and their expected location in the map. Once geo-referenced,
129 a new layer was created in ArcGIS and a polygon was created for every distinguishable landslide,
130 hence creating the landslide inventory (see Fig. 4).

131



132

133

Fig. 4: Landslide inventory

134 Google Earth Pro was also used to populate the inventory (Van Den Eeckhaut et al. 2012).
135 In this case, digitalization of landslides was done directly in the program, by creating individual
136 polygons and storing them in a database that would later be translated into ArcGIS. Having done
137 this, the layer created in Google Earth Pro was added to the inventory created in ArcGIS, thereby
138 having a total of 1 328 polygons (i.e. landslides) for the study area in one single layer that could
139 be superimposed to the other layers.

140 No Landsat images were used due to two main factors: 1) the resolution of the available
141 images did not allow clear differentiation between landslides and other land uses, and 2) most
142 images presented heavy cloud cover (over 40%), which made landslide identification very difficult.

143

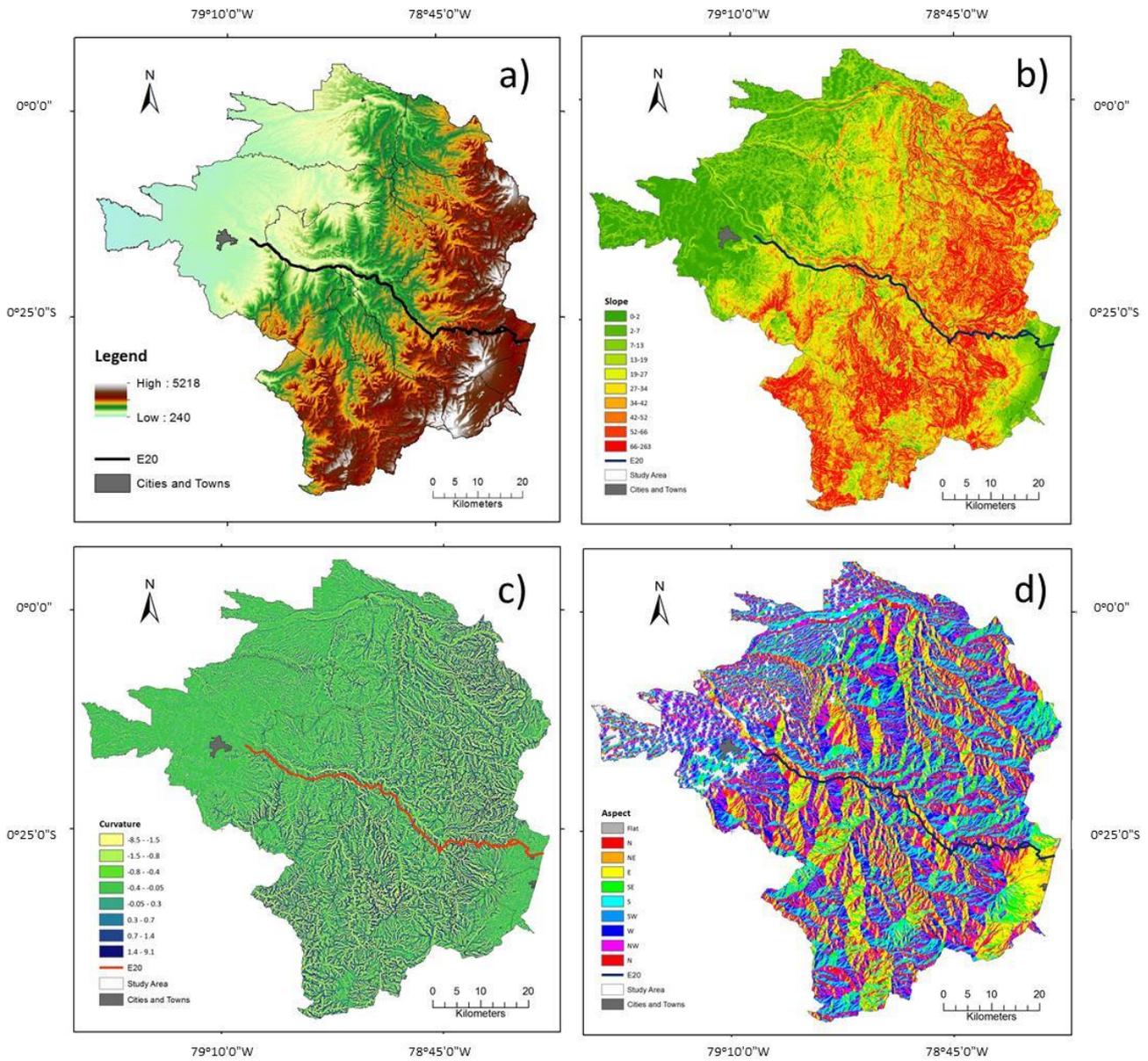
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3.3. Spatial Factors

Spatial factors are descriptions (i.e. characteristics) of spatial information, they can be presented in continuous (e.g. elevation) or categorical (e.g. land use) form. The spatial factors used for this project are shown in Figs. 5 and 6.

Given that the elevation model represented the whole of Ecuador, the section corresponding to the study area was extracted by using the 'Extract by Mask' function in ArcGIS 10.2, resulting in a raster dataset with the exact extent (i.e. shape) of the study area; all subsequent raster operations were calculated for the study area only.

Regarding the continuous datasets: the DEM was used to derive the Slope, Aspect and Curvature layers by using the appropriate function of the 'Spatial Analyst' toolbox with the following characteristics: *i*) slope was calculated in Percent Rise, *ii*) the aspect was expressed in degrees (i.e. 0 to 359.9) measured clockwise from the north and *iii*) the curvature, which varied between -8.5 and 9.1 showed if the cell represented an upwardly convex (i.e. positive value), a flat (i.e. value of zero) or an upwardly concave surface (i.e. negative value). All these layers had the same grid cell size of the DEM, and were calculated as continuous data, meaning that each cell had a value composed of a number with a certain number of decimal places.



164 Fig. 5: a) elevation, b) slope, c) curvature, d) aspect.

165

166 Because attribute tables in ArcGIS are only generated for categorical data, the slope,
 167 aspect, and curvature layers had to be reclassified. This was done with the 'Slice' function, which
 168 re-distributes the cell values into groups with *roughly* the same number of cells (see Fig. 5)(Chung
 169 and Fabbri 2003). By doing this, an attribute table for each layer was created, which allowed the
 170 usage of the 'Zonal Statistics' tool later on.

171 Now, for categorical datasets the treatment was different, as all layers were initially in
 172 vector format (see fig. 6). The 'Land Use' layer was generalized from 41 to 11 categories based on
 173 their representativeness in the study area and their similarities; land use is believed to play an
 174 important role in landslide occurrence according to CAN (2009) and Gonzales (2011). The main
 175 categories of land use in the study area are: Agriculture and livestock with 33%, followed by
 176 Conservation with 25% and Agriculture and forestry with 18% of the total area. Lithology has
 177 proven to be one of the main contributing factors for landslide occurrence Terrambiente (2006).

178 Regarding erosion, four categories have been identified in the study area: very active,
 179 active and potential, potential and null risk; about 22% of the area has null risk of erosion, and
 180 71% (3 643 Km²) of the study falls under the 'active and potential' category; in other words, erosive
 181 processes are taking place in most of the study area, especially in the mountainous region which
 182 is characterized by higher altitudes and steeper slopes.

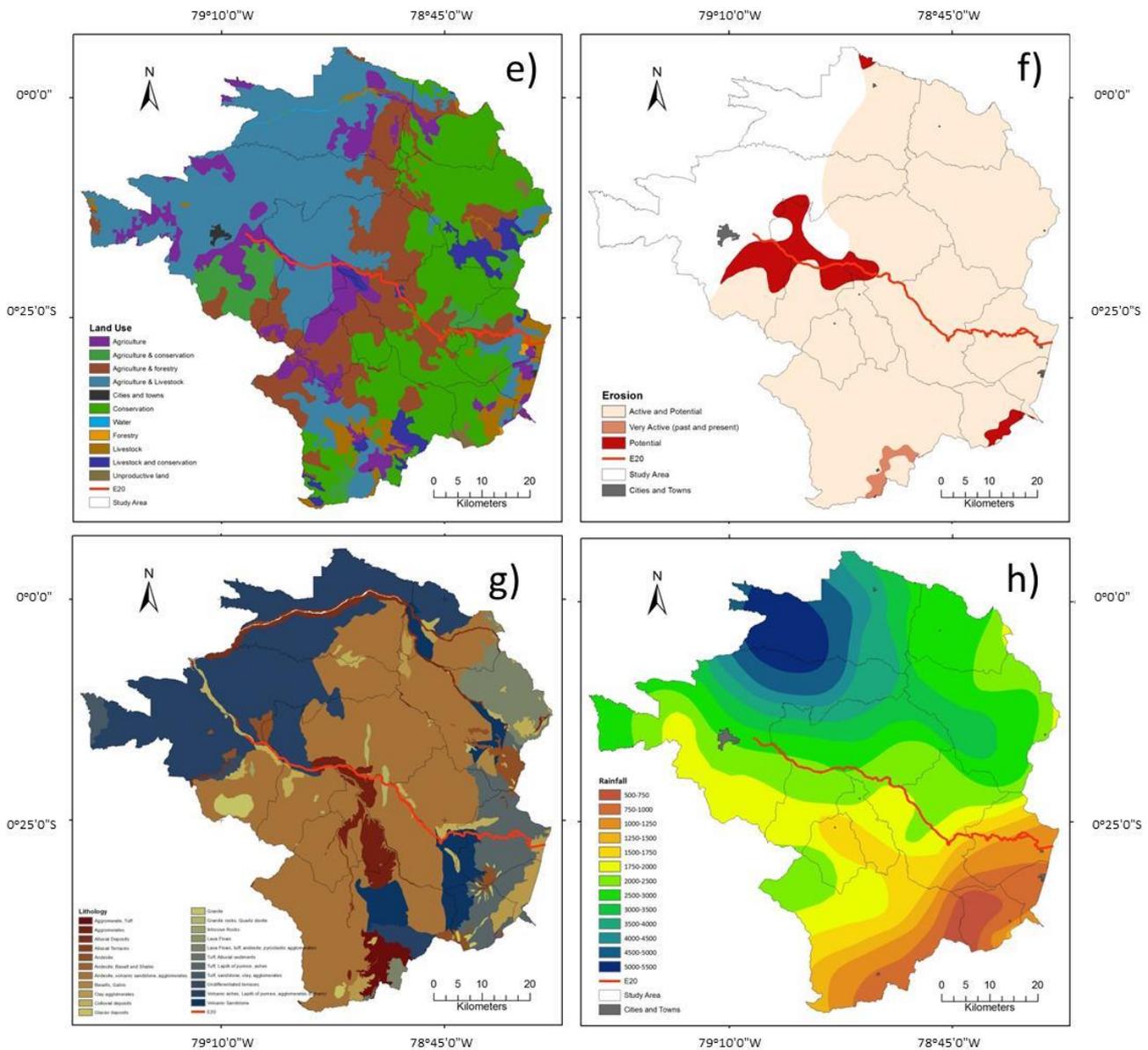


Fig. 6: e) land use, f) erosion, g) lithology, h) rainfall.

184
 185
 186 The lithology layer initially had 41 categories in the study area, and was generalized to 22
 187 based on their similarities In order to facilitate the interpretation of the results when using the
 188 Yule Coefficient.

189 The rainfall values for the study area are distributed in 13 categories, ranging from 500mm
 190 to 5500mm in the original layer, and were used that way; the layer was created by the National
 191 Institute for Meteorology and Hydrology of Ecuador (INAMHI), and covers years 1981 to 2010; it
 192 is believed that rainfall is an important contributing factor to landslides (CAN 2009; Zevallos 2004).
 193 All four layers (i.e. land use, erosion, lithology and rainfall) were transformed to raster format,
 194 using the same grid cell size as the DEM, in order to use the 'Zonal Statistics' tool.

195

196

197 3.4. Statistical Analysis

198

199 The quantitative analysis used here was composed by two main statistical methodologies,
200 the Yule Coefficient and the distance Distribution Analysis. Both of these relate the occurrence of
201 geo-objects, in this case landslides, with any given spatial factor (see section 3.2) (Bijukchhen et
202 al. 2013; Komac and Zorn 2009).

203 On the one hand, the Yule Coefficient (YC) (Yule 1900; Yule 1912) is used to measure the
204 association between two attributes; in this case, the attributes considered are *i)* the presence of
205 landslides and *ii)* slope, aspect, curvature, lithology, rainfall, land use and erosion. On the other
206 hand, the Distance Distribution Analysis (Berman 1977; Berman 1986) is used to determine the
207 degree of association between geo-objects in terms of the how distant they are from each other;
208 for this scenario the presence of landslides was weighed against their proximity to the E-20.

209

210

211 3.4.1. The Yule Coefficient

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213 The Yule Coefficient, also known as the Phi coefficient (Chedzoy 2004), has been used in
214 the sciences as a reliable measure of association between variables, expressed as a dichotomy
215 (e.g. presence-absence, true-false, yes-no) (Adeyemi 2011). This technique associates the
216 presence of landslides to a given spatial actor and assigns a *weight* that represents the strength
217 of the association between the two (Komac and Zorn 2009). When incorporated into a GIS, the
218 relationship between landslides and categorical maps with multiple classes (e.g. lithology, rainfall
219 ranges, aspect, etc.) can be established by addressing each combination of landslide and class,
220 thereby treating it as a binary event (i.e. bivariate analysis). Among the advantages of using the
221 YC, Adeyemi (2011) states the following: *i)* it does not need corrections before/after, *ii)* it is quickly
222 and easily computed, and *iii)* it is a measure of the proportional association of one variable to
223 another.

224 To begin the process of obtaining the YC, the ‘Zonal Statistics as Table’ tool in ArcGIS was
225 used to create a summary of how many Landslide cells intersect the individual categories of each
226 Spatial Factor; in other words, one can now how many landslides are present in each slope or
227 rainfall range, as well as in each lithology category, etc. Having this information allowed to proceed
228 with the calculation of the YC using the formula presented by Bonham-Carter (1994):

229
$$Q = \frac{\sqrt{T_{11}/T_{21}} - \sqrt{T_{12}/T_{22}}}{\sqrt{T_{11}/T_{21}} + \sqrt{T_{12}/T_{22}}} \quad (1)$$

230 were:

231 T_{11} : Area where both attributes are present.

232 T_{12} : Area where the first attribute is present but not the second.

233 T_{21} : Area where neither attribute is present.

234 T_{22} : Area where the second attribute is present but not the first one.

235

236 Now, Q varies between +1, when attributes have a positive correlation (i.e. complete
 237 association), and -1 when there is a negative correlation (i.e. complete disassociation). If $Q=0$ then
 238 the attributes are independent from each other. It's important to know that the YC assumes that:
 239 *i)* the attributes are only related to each other, that is, their relationship is not affected by external
 240 factors and *ii)* the attributes are shown in polygons and/or points (Bonham-Carter 1994; Ghosh et
 241 al. 2011).

242

243 The values for T_{11} , T_{12} , T_{21} and T_{22} were derived from the 'Zonal Statistics as Table' tool
 244 when combining the layer containing the landslides and the ones containing each spatial factor.
 245 This tool provides the following information for the YC: *i)* the number of cells for each category in
 246 each spatial factor (Npix), and *ii)* the number of landslide cells that coincide with each layer (T_{11}).
 247 With this information, T_{12} , T_{21} and T_{22} can easily be derived in the following way as shown in Tables
 248 2 and 3.

249

250 Table 2: Extraction of the Yule Coefficient for the spatial factors related to the DEM.

251

SPATIAL FACTOR	#	COUNT	FACTOR CLASS	Npix LU	T11	Npixs	T12	T21	T22	YC
ASPECT	1	320057	Flat	320057	71	11163	11092	319986	5310154	-0,508
	2	323064	N	323064	874	11163	10289	322190	5307950	0,084
	3	654045	NE	654045	1724	11163	9439	652321	4977819	0,083
	4	505694	E	505694	1151	11163	10012	504543	5125597	0,039
	5	447060	SE	447060	974	11163	10189	446086	5184054	0,026
	6	523223	S	523223	815	11163	10348	522408	5107732	-0,065
	7	774608	SW	774608	1272	11163	9891	773336	4856804	-0,053
	8	891484	W	891484	1645	11163	9518	889839	4740301	-0,021
	9	853016	NW	853016	1857	11163	9306	851159	4778981	0,028
	10	349052	N	349052	780	11163	10383	348272	5281868	0,033
CURVATURE	1	703902	-8.5 - -1.5	703902	2193	11163	8970	701709	4928431	0,134
	2	861503	-1.5 - -0.8	861503	1671	11163	9492	859832	4770308	-0,006
	3	685399	-0.8 - -0.4	685399	1142	11163	10021	684257	4945883	-0,048
	4	1074884	-0.4 - -0.05	1074884	1278	11163	9885	1E+06	4556534	-0,149
	6	701522	-0.05 - 0.3	701522	1146	11163	10017	700376	4929764	-0,054
	8	573070	0.3 - 0.7	573070	989	11163	10174	572081	5058059	-0,038
	9	553330	0.7 - 1.4	553330	1432	11163	9731	551898	5078242	0,076
	10	487693	1.4 - 9.1	487693	1312	11163	9851	486381	5143759	0,085
SLOPE	1	603808	0 - 2	603808	132	11163	11031	603676	5026464	-0,520
	2	536827	2 - 7	536827	202	11163	10961	536625	5093515	-0,410
	3	570800	7 - 13	570800	479	11163	10684	570321	5059819	-0,226
	4	569699	13 - 19	569699	927	11163	10236	568772	5061368	-0,054
	5	545706	19 - 27	545706	1211	11163	9952	544495	5085645	0,032
	6	561451	27 - 34	561451	1399	11163	9764	560052	5070088	0,065
	7	563067	34 - 42	563067	1583	11163	9580	561484	5068656	0,100
	8	572456	42 - 52	572456	1713	11163	9450	570743	5059397	0,118
	9	559912	52 - 66	559912	1796	11163	9367	558116	5072024	0,138

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253

254

Table 3: Extraction of the Yule Coefficient for the categorical spatial factors.

SPATIAL FACTOR	#	COUNT	FACTOR CLASS	Npix LU	T11	Npixs	T12	T21	T22	YC
LAND USE	1	169174	Livestock & Conseration	169174	500	11163	10663	168674	5461466	0,104
	2	1058908	Agriculture & Forestry	1058908	4513	11163	6650	1E+06	4575745	0,264
	3	1478237	Conservation	1478237	3664	11163	7499	1E+06	4155567	0,080
	4	4333	Forestry	4333	0	11163	11163	4333	5625807	-1,000
	5	559810	Agriculture	559810	535	11163	10628	559275	5070865	-0,194
	6	1887136	Agriculture & Livestock	1887136	1277	11163	9886	2E+06	3744281	-0,328
	7	237943	Agriculture & Conservation	237943	425	11163	10738	237518	5392622	-0,027
	8	26265	Unproductive land	26265	0	11163	11163	26265	5603875	-1,000
	9	199477	Livestock	199477	249	11163	10914	199228	5430912	-0,118
	10	12574	Cities & Towns	12574	0	11163	11163	12574	5617566	-1,000
	11	7446	Water	7446	0	11163	11163	7446	5622694	-1,000
LITHOLOGY	1	2502359	Andesite, volcanic sandstone, agglomerates.	2502359	6559	11163	4604	2E+06	3127243	0,144
	2	145346	Aggromerates	145346	474	11163	10689	144872	5478171	0,129
	3	71162	Aggromerate, Tuff	71162	43	11163	11120	71119	5551924	-0,291
	4	17943	Glacier deposits	17943	0	11163	11163	17943	5605100	-1,000
	5	120602	Alluvial Deposits	120602	313	11163	10850	120289	5502754	0,069
	6	113597	Clay agglomerates	113597	106	11163	11057	113491	5509552	-0,189
	7	107919	Andesite	107919	40	11163	11123	107879	5515164	-0,400
	8	1425988	Volcanic ashes, Lapilli of pumice, agglomerates (Lahars)	1425988	527	11163	10636	1E+06	4197582	-0,447
	9	282571	Volcanic Sandstone	282571	1710	11163	9453	280861	5342182	0,299
	10	124212	Colluvial deposits	124212	255	11163	10908	123957	5499086	0,009
	11	237119	Lava Flows, tuff, andesite, pyroclastic agglomerates	237119	57	11163	11106	237062	5385981	-0,491

	12	6773	Alluvial Terraces	6773	0	11163	11163	6773	5616270	-1,000
	13	17579	Undifferentiated terraces	17579	0	11163	11163	17579	5605464	-1,000
	14	2270	Intrusive Rocks	2270	0	11163	11163	2270	5620773	-1,000
	15	20574	Granitic rocks, Quartz diorite	20574	388	11163	10775	20186	5602857	0,519
	16	34142	Granite	34142	71	11163	11092	34071	5588972	0,012
	17	2529	Andesite, Basalt and Shales	2529	0	11163	11163	2529	5620514	-1,000
	18	15972	Basalts, Gabro	15972	39	11163	11124	15933	5607110	0,052
	19	2016	Lava Flows	2016	0	11163	11163	2016	5621027	-1,000
	20	34137	Tuff, sandstone, clay, agglomerates	34137	0	11163	11163	34137	5588906	-1,000
	21	2426	Tuff, Alluvial sediments	2426	0	11163	11163	2426	5620617	-1,000
	22	346970	Tuff, Lapilli of pumice, ashes	346970	581	11163	10582	346389	5276654	-0,045
EROSION	1	278029	Potential	278029	0	10958	10958	278029	4064642	-1,000
	2	40099	Very Active (past and Present)	40099	0	10958	10958	40099	4302572	-1,000
	3	4035501	Active and Potential	4035501	10958	10958	0	4E+06	318128	1,000
RAINFALL	1	255309	5000-5500	255309	0	11163	11163	255309	5374831	-1,000
	2	201843	1250-1500	201843	814	11163	10349	201029	5429111	0,186
	3	799702	1750-2000	799702	2459	11163	8704	797243	4832897	0,134
	4	101629	500-750	101629	160	11163	11003	101469	5528671	-0,058
	5	291595	750-1000	291595	305	11163	10858	291290	5338850	-0,164
	6	394079	1500-1750	394079	2063	11163	9100	392016	5238124	0,270
	7	310822	1000-1250	310822	337	11163	10826	310485	5319655	-0,156
	8	232441	4500-5000	232441	31	11163	11132	232410	5397730	-0,594
	9	1034302	2000-2500	1034302	2702	11163	8461	1E+06	4598540	0,088
	10	180479	4000-4500	180479	0	11163	11163	180479	5449661	-1,000
	11	344835	3500-4000	344835	125	11163	11038	344710	5285430	-0,412
	12	508543	3000-3500	508543	1148	11163	10015	507395	5122745	0,037
	13	985724	2500-3000	985724	1019	11163	10144	984705	4645435	-0,185

255

256

257

258

Firstly, the total number of pixels (N_{pixT}) is obtained by adding all the pixels for each category (N_{pixAS}). Afterwards, the total number of pixels of geo-objects of interest (N_{pixs}) is calculated by adding all the values in column T11.

259

$$N_{pixT} = \sum (N_{pixAS}) \quad (2)$$

260
261
262

$$Npixs = \sum(T11) \quad (3)$$

263 Then, T_{12} (T12) is determined by subtracting the numbers in the T11 column from those
264 in Npixs, hence showing the presence of landslides outside of the specified class. Then, T_{21} (T21)
265 is calculated by subtracting T11 from Npix AS, resulting in the number of cells that have each
266 Aspect class, but no landslide.

$$T12 = Npixs - T11 \quad (4)$$

$$T21 = NpixT - T11 \quad (5)$$

$$T22 = NpixT - T11 - T12 - T21 \quad (6)$$

272 Finally, T_{22} (T22) is calculated by subtracting T11, T12 and T21 from the total number of
273 pixels (NpixT). These is shown in equations 2 through 6 and can be programed in ArcGIS or any
274 spreadsheet, such as Excel. Having done this, the YC is calculated using Equation 1. After this is
275 done, the relationship between linear features and landslides can be determined, as the next
276 section shows.
277

278
279

3.4.2. Distance Distribution Analysis

280
281

282 Given that the distance between geo-objects (i.e. proximity or adjacency) is used as a
283 benchmark for describing their relationship, the Distance Distribution Analysis (DDA) (Berman
284 1977; Berman 1986) is a statistical tool that can be used when trying to establish de degree of
285 association between linear features (e.g. roads, faults, power lines, etc.) and point or polygon
286 features (e.g. landslides).

287 DDA compares the cumulative proportion of measured distances $D(L)$, with the
288 cumulative proportion of expected distances $D(NL)$, of two sets of geo-objects; in this case
289 landslides and the E-20 highway. This can be demonstrated as follows (Carranza 2009; Ghosh et
290 al. 2011):

$$D(L) = \frac{N(C_{ji} \cap L)_{cum}}{N(L_T)} \quad (5)$$

292

$$D(NL) = \frac{N(C_{ji})_{cum}}{N(T)} \quad (6)$$

294

295 where:

296 $N(C_{ij} \cap L)$: the cumulative number of pixels where landslides (L) and the i^{th} class of the j^{th}
297 spatial factor coincide ($i = 1, 2, \dots, n$; and $j = 1, 2, \dots, m$),

298 $N(C_{ij})$: the cumulative of the total number of pixels occupied by the i^{th} class of the j^{th} spatial
 299 factor ($i = 1, 2, \dots, n$; and $j = 1, 2, \dots, m$),
 300 $N(L_T)$: the total number of landslide pixels in the area,
 301 $N(T)$: the total number of pixels of the map.

302
 303 Having done this, the degree of association of landslide occurrences with a set of (linear)
 304 spatial factors is determined by comparing the graphs of $D(L)$ and $D(NL)$ following Berman (1977)
 305 and Carranza (2009):

$$306 \quad D = D(L) - D(NL) \quad (7)$$

307
 308 If:
 309 $D \cong 0$, the geo-objects are said to be independent from each other.
 310 $D > 0$, there is a positive spatial association between the geo-objects.
 311 $D < 0$, there is a negative spatial association between the geo-objects.
 312
 313

314 Put more simply, $D(L)$ represents a correlation between the linear feature (i.e. E-20) and
 315 the spatial location of geo-objects (i.e. landslides), whilst $D(NL)$ represents a random correlation
 316 between the linear features and any location in the study area. Now, in order to assess the
 317 distribution of landslides along the E-20, the following steps have to be followed:

- 318 i. Create a raster file by using the 'Euclidean Distance' tool in ArcGIS, using the
 319 highway as feature of origin. The resulting file has to be reclassified into ten (10)
 320 percentile intervals by using Quantiles, and the break values exported to Excel.
 321 The latter ones will be in meters, and have to be transformed into kilometres, that
 322 is, column 'distance Km'.
- 323 ii. The 'npix' column is filled in Excel, by using the Attribute Table for the recently
 324 created raster and counting the number of pixels on each class (i.e. distance).
- 325 iii. To calculate the distance distribution for non-landslide ($D(NL)$) locations with
 326 respect to the highway, the cumulative cell count (cnpix) and the total cell count
 327 are calculated. Equations 8, 9 and 10.

$$328 \quad cnpix = \sum (npix)_{cum} \quad (8)$$

$$330 \quad tnpix = \sum (cnpix) \quad (9)$$

$$332 \quad D(NL) = \frac{cnpix}{tnpix} \quad (10)$$

- 333
 334 iv. The 'Zonal Statistics as Table' tool in ArcGIS is applied to the landslide and the
 335 distance layers to find the number of landslides in a given class (i.e. distance from
 336 E-20), this is column 'npix_d'.
- 337 v. Columns 'cnpix_d' and 'tnpix_d' are now calculated by adding the number of
 338 landslides cells in each distance range, and counting the total number of landslide
 339 cells respectively. This is shown in equations 11 and 12.

$$340 \quad cnpix_d = \sum (npix_d)_{cum} \quad (11)$$

$$342 \quad tnpix_d = \sum (cnpix_d) \quad (12)$$

343
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vi. Lastly, the cumulative proportion of measured distances is obtained by dividing 'cnpix_d' by 'tnpix_d', and the difference between D(L) and D(NL) can be calculated as per equations 13 and 1 respectively:

$$D(L) = \frac{cnpix_d}{tnpix_d} \tag{13}$$

349
350

The result of this procedure is shown in Table 4.

351
352

Table 4: Distance Distribution Analysis of Landslides along the E-20.

Distance m	Distance Km	npix	cnpix	tnpix	D(NL)	npix_d	cnpix_d	tnpix_d	D(L)	D(L)-D(NL)
3.137	3,137	556249	556249	5641303	0,099	2450	2450	11163	0,219	0,121
6.449	6,449	563537	1119786	5641303	0,198	2418	4868	11163	0,436	0,238
9.761	9,761	583355	1703141	5641303	0,302	1198	6066	11163	0,543	0,241
12.898	12,898	581385	2284526	5641303	0,405	959	7025	11163	0,629	0,224
15.861	15,861	569128	2853654	5641303	0,506	831	7856	11163	0,704	0,198
19.347	19,347	567080	3420734	5641303	0,606	1244	9100	11163	0,815	0,209
23.356	23,356	555745	3976479	5641303	0,705	924	10024	11163	0,898	0,193
27.714	27,714	554214	4530693	5641303	0,803	831	10855	11163	0,972	0,169
32.768	32,768	562356	5093049	5641303	0,903	45	10900	11163	0,976	0,074
44.446	44,446	548254	5641303	5641303	1,000	263	11163	11163	1,000	0,000

353
354

355 The importance of determining the degree of spatial association between the highway
356 and the location of landslides is the possibility of the former being a controlling factor on the
357 latter; by assessing this relationship one could map the landslide susceptibility of different
358 locations, thereby allowing for actions to be taken ahead of time to prevent losses (Ghosh and
359 Carranza 2010).

360
361

3.5. Model Evaluation

362
363

364 As stated by Chung and Fabbri (2003) the evaluation of the model is an essential part of
365 the process. In order to test the statistical significance of the model, the Chi-squared test was used
366 due to its close relationship with the YC (Adeyemi 2011; Chedzoy 2004):

$$\phi^2 = \frac{x^2}{N} \quad \text{or} \quad x^2 = \phi^2 N \tag{14}$$

367
368
369

were:

370

ϕ^2 = phi coefficient or Yule coefficient, squared.

371 $\chi^2 =$ Chi-squared
372 $N =$ number of pixels for each factor class

373

374 The next steps are to determine *i*) the degrees of freedom (*df*), as shown in *eq. 15*, and *ii*)
375 the critical value for Chi-squared (Adeyemi 2011); in this case, the latter will be given by a
376 confidence level of 0.999, that is, a 99.9% assurance on the association, or lack of, between the
377 variables:

378
$$df = (r - 1) * (c - 1) \tag{15}$$

379

380 where *r* and *c* are the number of rows and columns of the table respectively.

381

382 By determining the Chi-squared value, the hypotheses stated at the beginning of this
383 paper can be accepted or rejected based on the comparison of these with the critical values of
384 Chi-squared, based on a 0.999 upper confidence band. In addition to this test, Chedzoy (2004)
385 states that one, rarely used, approximation to the standard error of ϕ can be done by dividing 1
386 by the square root of *N* (see. *eq. 16*). This estimation of the error will also be used here.

387

388
$$\text{Error} = \frac{1}{\sqrt{N}} \tag{16}$$

389

390

391 4. Results

392

393 The first results of the bivariate analysis were the calculation of the statistics for the YC.
394 Coefficients are shown in Table 5, where values range from -1 (i.e. negative association) to +1 (i.e.
395 positive association). The Aspect factor class presents low degree of association with landslides
396 (see Fig. 7). Overall the values range from -0.065 to 0.084, which means that landslides are more
397 or less evenly distributed among all slope aspects. As expected, flat areas are *not* associated with
398 the occurrence of mass movements and present negative values for the YC (-0.508). In general,
399 slopes that face north and northeast present the highest degree of association to landslide
400 occurrence, despite the values being very small: 0.084 and 0.083 respectively. Slopes facing in all
401 other directions have YC values that range from 0.039 to -0.065. This means that landslides occur
402 regardless of the orientation of the slope with a slightly higher tendency to happen on slopes
403 facing north and northeast.

404

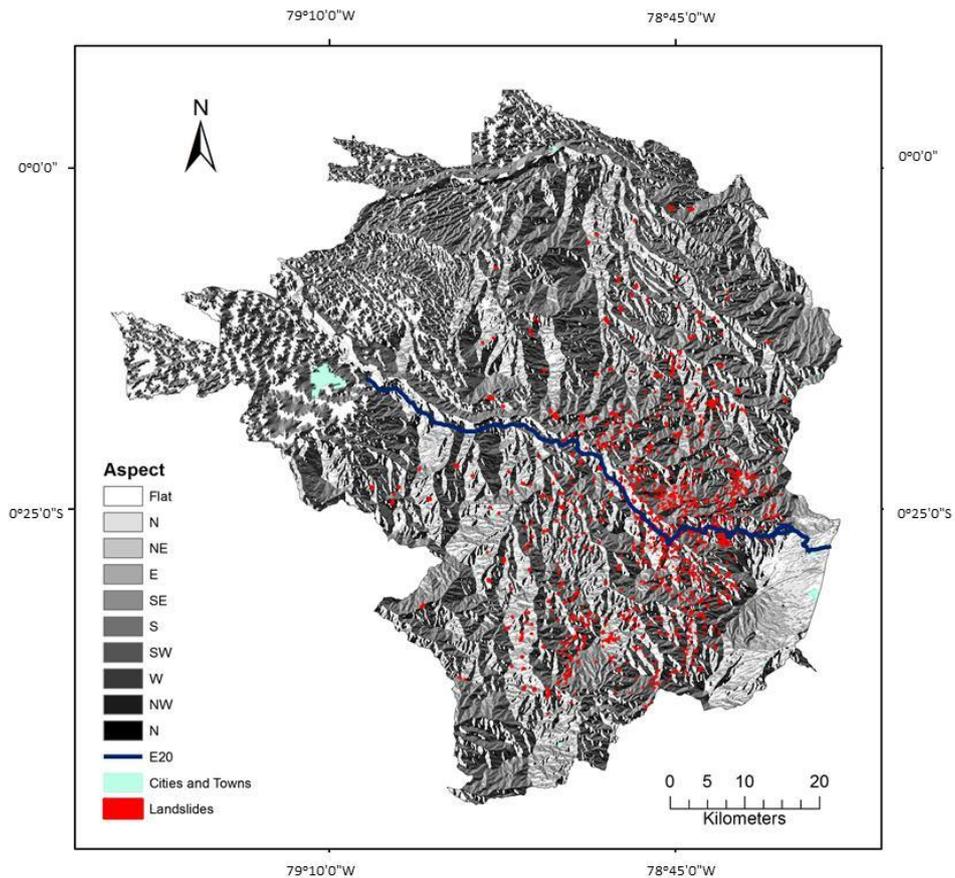


Fig. 7: Landslide distribution and aspect.

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The data suggests that landslide frequency increases gradually with slope (see Fig. 8); this is, the higher the slope, the higher the number of landslides present. Although no single slope range presents a complete association (i.e. $YC = 1$) with landslide occurrence, landslides are more associated with gradients over 34% than to softer ones. Inclinations between 52 and 66% present the highest degree of association to mass movements ($YC=0.138$). Conversely, slopes below 7% are not related to landslides, while slopes between 13% and 27% are independent of landslides with YC values that range from -0.054 to 0.032.

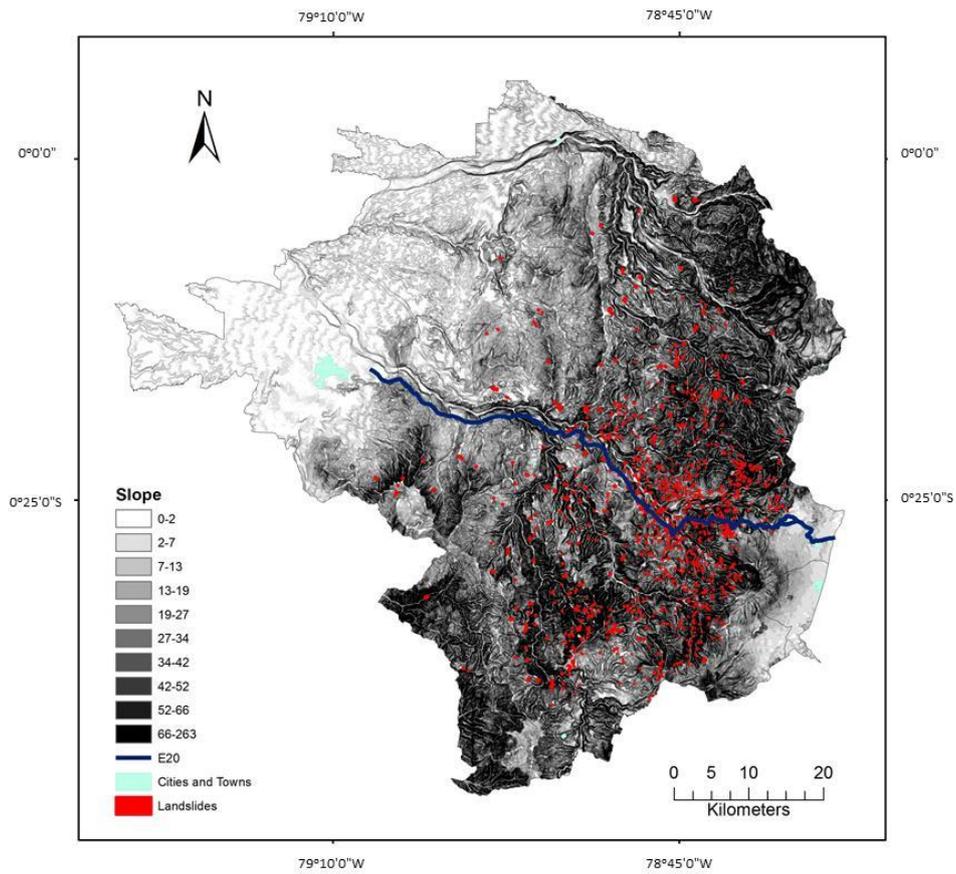


Fig. 8: Landslide distribution and slope.

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The curvature of a surface is related to its vertical plane, and its related to the degree of change in the surface aspect and is related to certain types of landslides (Komac and Zorn 2009). The analysis shows that upwardly concave surfaces, represented by negative values in Fig. 9, have a $YC=0.134$ and are more likely to be associated to mass movements than those upwardly convex, which are represented by positive values in Fig.9, and have a $YC=0.085$. Again, flat surfaces are not associated with landslides having the lowest value (-0.149) for the YC . Overall, landslides can occur in concave or convex surfaces with roughly the same frequency.

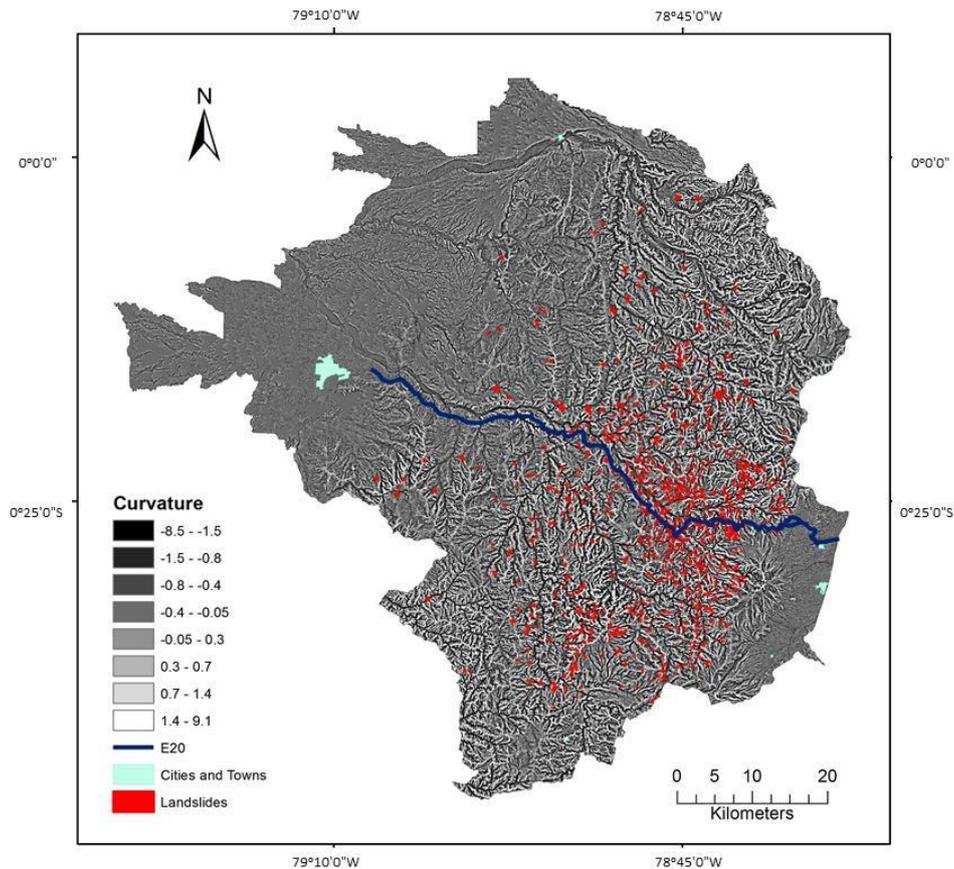


Fig. 9: Landslide distribution and curvature.

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So far we have seen that the topographic characteristics of the area (i.e. slope, aspect and curvature) do not show high association to landslide occurrence, save for the slope which appears to have a positive association on four of its ten classes. Now, the results for spatial association of landslides and categorical factors (i.e. land use, lithology, rainfall and erosion), some of which are independent of the geological aspects (Tibaldi et al. 1995), are as follows:

Land Use presents four classes with complete disassociation with mass movements (i.e. $YC=-1$), this means that no landslides were identified in the following areas: 'Water', 'Cities and Towns', 'Unproductive land' and 'Forestry' (see Fig. 10). This is because the first two are located low-lying flatlands with slopes up to 7%, while 'Unproductive lands' refers to small areas (adding to 23 Km²) located above 4 000 m.a.sl. Represented mainly by rock outcrops, quarries and glaciers and gravel. The 'Forestry' patch on the other hand, is a privately managed parcel located close to Alóag; it presents a high land cover, has slopes below 13% and does not record any landslides neither in the images from Google Earth nor in the ones from the IGM. Land uses 'Agriculture & Livestock', 'Agriculture' and 'Livestock' also have little association with landslide occurrence, with values for YC ranging from -0.328 to -0.118.

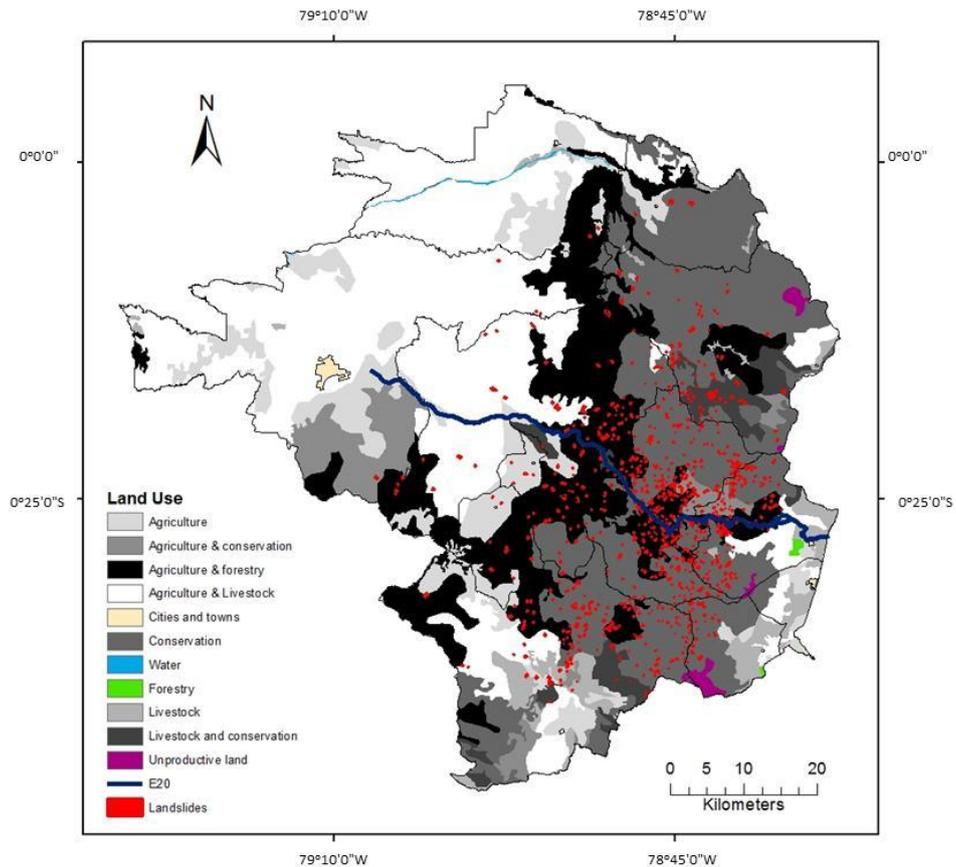


Fig. 10: Landslide distribution and land use.

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450 Regarding the remaining land uses, the most associated to landslides are 'Agriculture &
 451 Forestry' with $YC=0.264$, and 'Livestock & Conservation' with $YC=0.104$. The former refers mainly to
 452 forests or planted forests mixed with fast rotation crops, while the latter refers to forests or shrubs
 453 mixed with different grass crops (for feeding livestock). In both cases the land has to be cleared to
 454 make space for crops or cattle roaming. As for 'Conservation' and 'Agriculture & Conservation' is
 455 concerned, both have values close to zero (0.080 and -0.027) thereby showing a high degree of
 456 independence from the occurrence of landslides.

457

458 Now, almost 100% of the landslides have been identified in the area for 'Active and Potential'
 459 erosion processes (see Fig. 11). Out of 1 328 mapped landslides, only 2 lie out of named factor class
 460 and they are located in the null risk area (in other words, they are out of the official extent of the layer
 461 provided by the Ministry of Agriculture). This means that areas labelled as 'Potential' and "Very Active
 462 (past and present)' have complete disassociation ($YC=-1$) and the 'Active and Potential' zone has
 463 complete association ($YC=1$) with the presence of landslides.

464

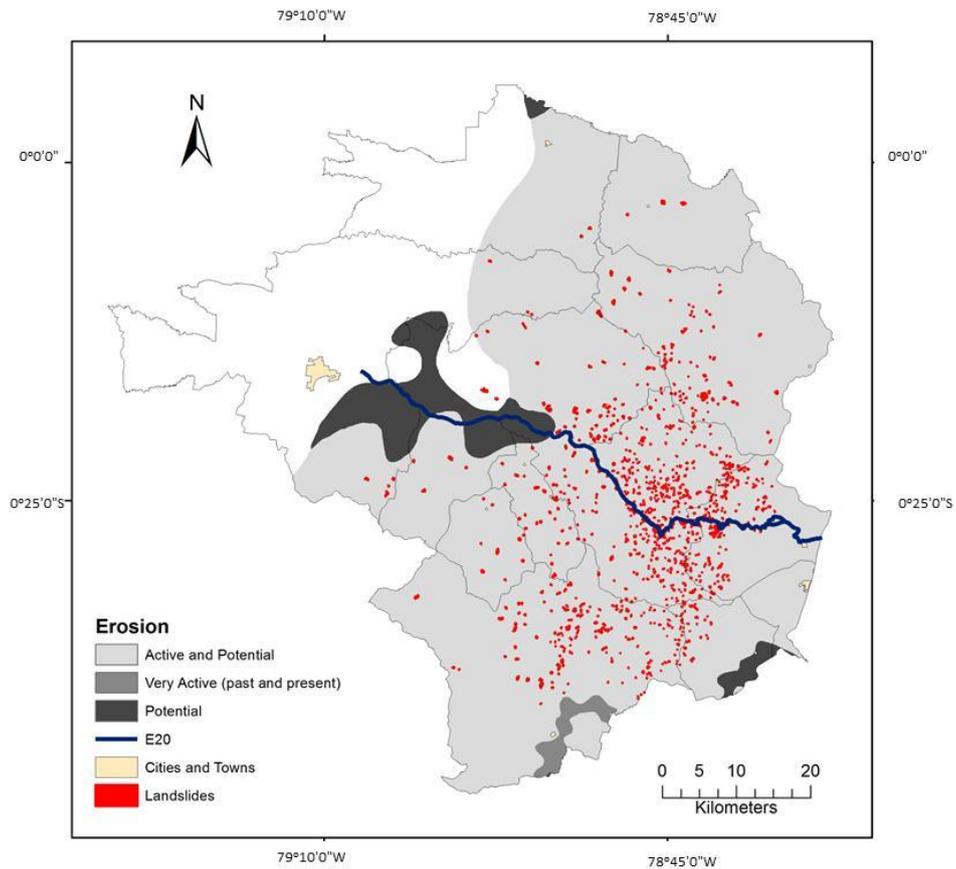


Fig. 11: Landslide distribution and erosion.

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468 Turning to precipitation, landslides are mostly associated with areas that have between
 469 1 250mm and 2 000mm of rain annually, which are found in the south east section of the study area;
 470 this range is comprised of three categories with the following values for YC: 0.270, 0.186 and 0.134.
 471 The zones with the highest precipitation values (i.e. 3 500mm to 5 500mm), located mainly in the
 472 northwest, show disassociation with landslides (i.e. YC=-0.412 to -1) as shown in Fig. 12.

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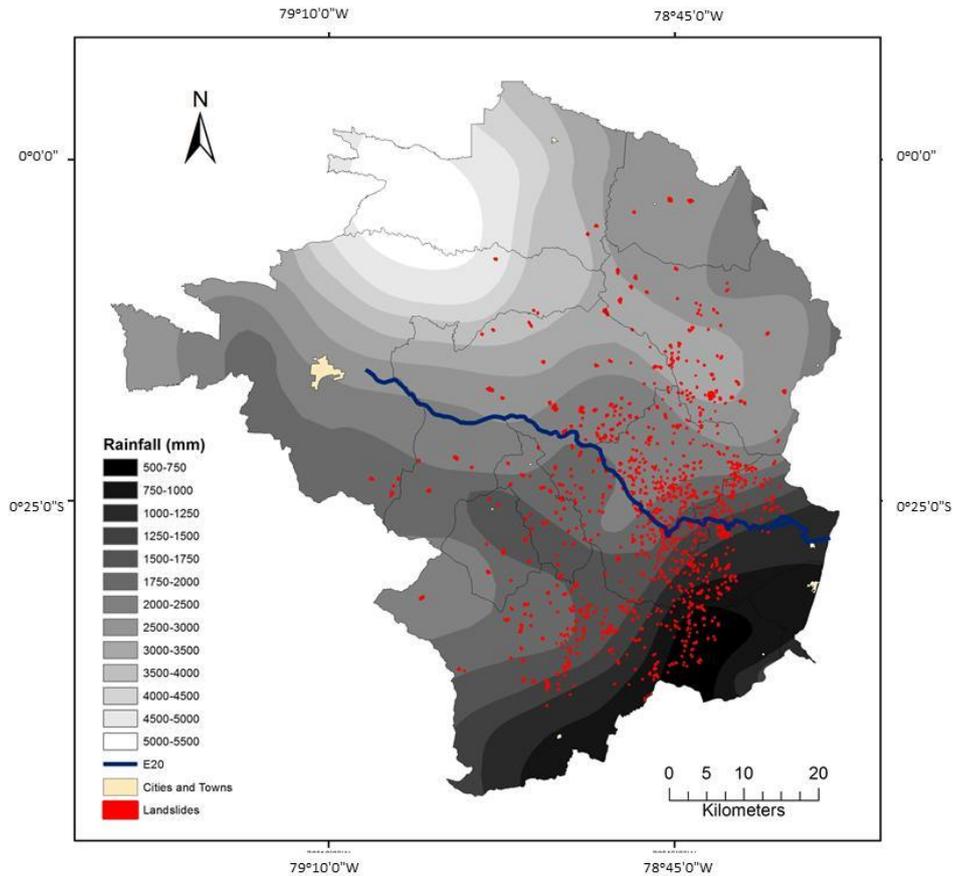


Fig. 12: Landslide distribution and rainfall.

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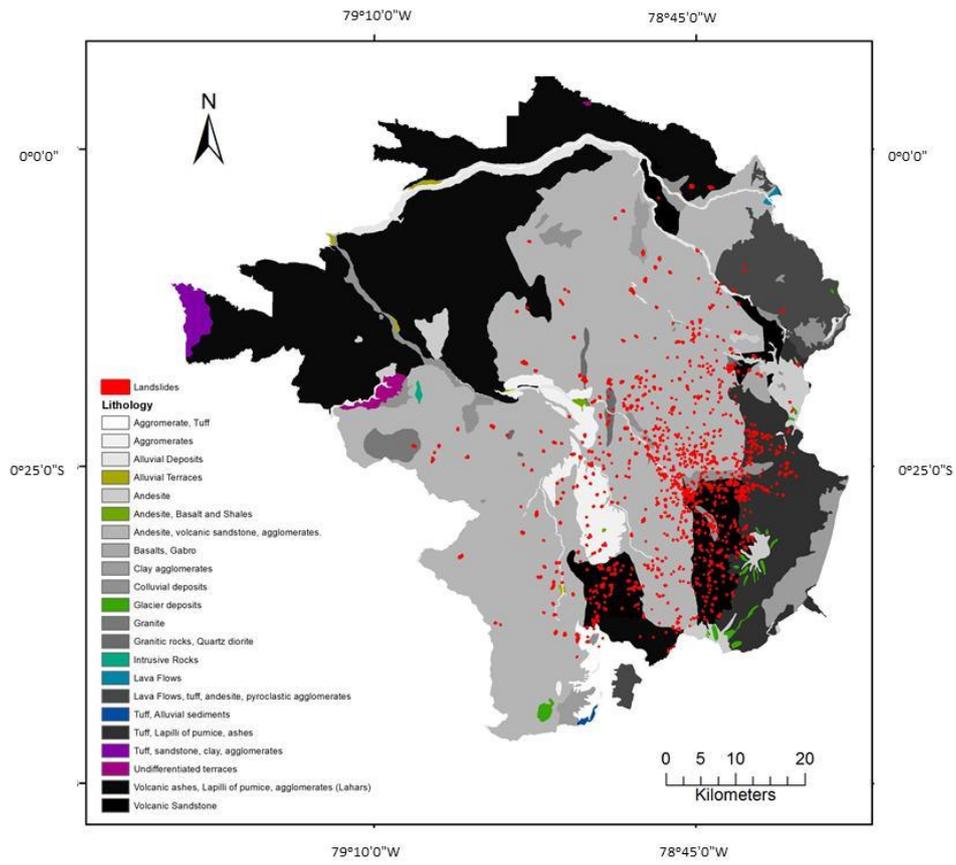
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477 By comparison, the association between landslides and different types of lithology is variable.
 478 Landslides present complete disassociation ($YC=-1$) with eight (8) lithology classes: 1) Glacier deposits,
 479 2) Alluvial terraces, 3) Undifferentiated terraces, 4) Intrusive rocks, 5) Andesite, basalt and shales, 6)
 480 Lava flows, 7) Tuff, sandstone, clay agglomerates and 8) Tuff and alluvial sediments. These account for
 481 only 2% of the study area, as shown in Fig. 13.

482 Furthermore, there are five classes that strong negative association with landslides: 1) Lava
 483 flows, tuff, andesite and pyroclastic agglomerates ($YC=-0.491$), 2) Volcanic ashes, lapilli of pumice
 484 agglomerates (lahars) ($YC=0.447$), 3) Andesite ($YC=-0.400$), 4) Agglomerates, tuff ($YC=-0.291$) and 5)
 485 Clay agglomerate ($YC=-0.189$).

486 As far as positive association goes, there are four classes that could be linked to the presence
 487 of landslides: 1) granitic rocks, 2) Volcanic sandstone, 3) Andesite, and volcanic sandstone
 488 agglomerates and 4) Agglomerates, which have the following YC values: 0.519, 0.299, 0.144, and 0.129
 489 respectively.

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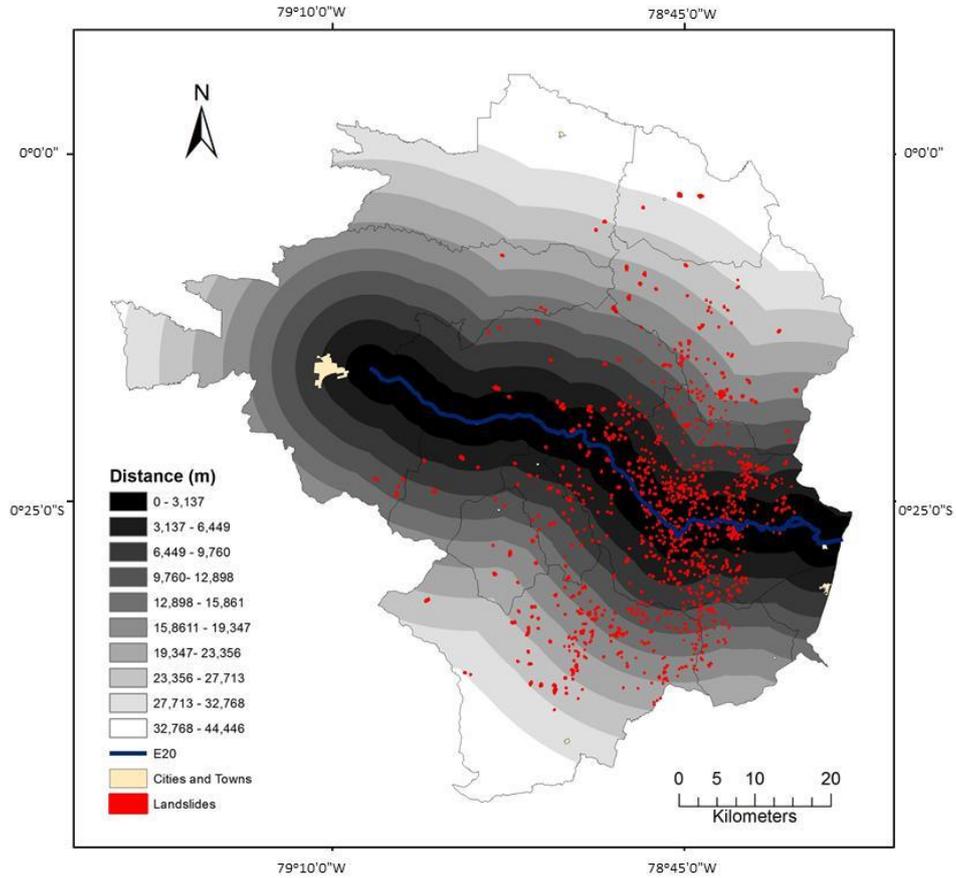
Fig. 13: Landslide distribution and Lithology.

Table 5: Yule Coefficient for all Spatial Factors, arranged from least to most association.

SPATIAL FACTOR	CLASS	YC	SPATIAL FACTOR	CLASS	YC	SPATIAL FACTOR	CLASS	YC
ASPECT	Flat	-0,508	LITHOLOGY	Glacier deposits	-1,000	LAND USE	Forestry	-1,000
	S	-0,065		Alluvial Terraces	-1,000		Unproductive land	-1,000
	SW	-0,053		Undifferentiated terraces	-1,000		Cities & Towns	-1,000
	W	-0,021		Intrusive Rocks	-1,000		Water	-1,000
	SE	0,026		Andesite, Basalt and Shales	-1,000		Agriculture & Livestock	-0,328
	NW	0,028		Lava Flows	-1,000		Agriculture	-0,194
	N	0,033		Tuff, sandstone, clay, agglomerates	-1,000		Livestock	-0,118
	E	0,039		Tuff, Alluvial sediments	-1,000		Agriculture & Conservation	-0,027
	NE	0,083		Lava Flows, tuff, andesite, pyroclastic agglomerates	-0,491		Conservation	0,080
	N	0,084		Volcanic ashes, Lapilli of pumice, agglomerates (Lahars)	-0,447		Livestock & Conseration	0,104
SLOPE	0 - 2	-0,520	Andesite	-0,400	Agriculture & Forestry	0,264		
	2 - 7	-0,410	Agglomerate, Tuff	-0,291	RAINFALL	4000-4500	-1,000	
	7 - 13	-0,226	Clay agglomerates	-0,189		5000-5500	-1,000	
	13 - 19	-0,054	Tuff, Lapilli of pumice, ashes	-0,045		4500-5000	-0,594	
	19 - 27	0,032	Colluvial deposits	0,009		3500-4000	-0,412	
	27 - 34	0,065	Granite	0,012		2500-3000	-0,185	
	34 - 42	0,100	Basalts, Gabro	0,052		750-1000	-0,164	
	42 - 52	0,118	Alluvial Deposits	0,069		1000-1250	-0,156	
	66 - 263	0,127	Agglomerates	0,129		500-750	-0,058	
	52 - 66	0,138	Andesite, volcanic sandstone, agglomerates.	0,144		3000-3500	0,037	
CURVATURE	-0.4 - -0.05	-0,149	Volcanic Sandstone	0,299		2000-2500	0,088	
	-0.05 - 0.3	-0,054	Granitic rocks, Quartz diorite	0,519	1750-2000	0,134		
	EROSION	-0.8 - -0.4	-0,048	Potential	-1,000	1250-1500	0,186	
		0.3 - 0.7	-0,038	Very Active (past and present)	-1,000	1500-1750	0,270	
		-1.5 - -0.8	-0,006	Active and Potential	1,000			
		0.7 - 1.4	0,076					
		1.4 - 9.1	0,085					
		-8.5 - -1.5	0,134					

497 Turning to the DDA, Fig. 14 shows that landslides are present both, north and south of the E-
498 20 in roughly the same proportion; furthermore, 54% of the landslides occur within approximately ten
499 kilometres (10 Km) of the E-20, as seen on Table 6. This may be related to the land use of the area,
500 where agriculture, forestry and livestock uses are closest to the main road, thereby contributing to
501 land clearing and de-stabilization of the slopes.

502



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Fig. 14: Landslide distribution and distance from the E-20.

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Table 6: Distribution of Landslides from the E-20.

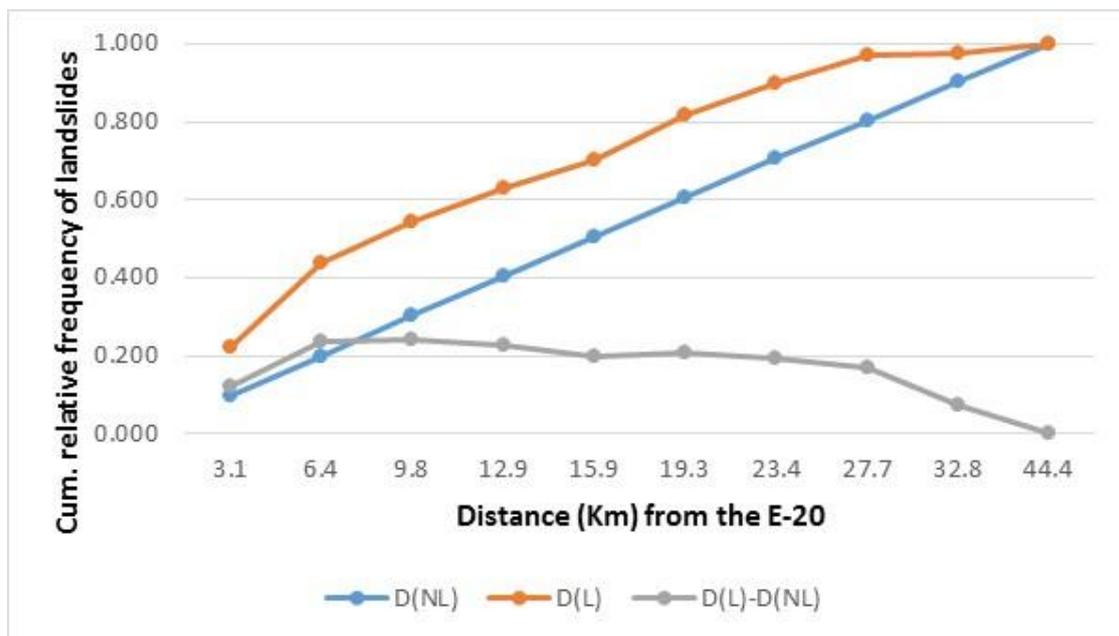
DISTANCE FROM E-20 (KM)	% OF LANDSLIDES	CUMULATIVE % OF LANDSLIDES
3,14	21,9	21,9
6,45	21,7	43,6
9,76	10,7	54,3
12,90	8,6	62,9
15,86	7,4	70,4
19,53	11,1	81,5
23,36	8,3	89,8
27,71	7,4	97,2
32,77	0,4	97,6
44,45	2,5	100,0

508

509

510 The DDA, the results show that, at the scale of this analysis, the E-20 does not influence the
 511 frequency of landslide occurrence. Fig. 15 shows the cumulative relative frequency of distances of
 512 landslides to the E-20, represented as $D(L)$, compared to the probability density distribution of
 513 landslides with respect to the highway (Ghosh and Carranza 2010).

514



515

516

Fig. 15: Distance Distribution Analysis of landslides away from the E-20.

517

518 As Fig. 15 and Table 3 illustrate, the difference between $D(L)$ and $D(NL)$ is close to zero,
 519 with the highest difference being 0.241 at 9.8 Km, and the lowest being 0.000 at 44.4 Km. This
 520 suggests that, at regional scale, landslides occur independently from the highway.

521

522 Now, the statistical significance of the study was tested by using Chi-squared and
 523 estimating the YC error by using eq. 16; the results are summarized in Table 7, which shows that

524 all factor classes, except for the distance from the road, have a higher Chi-squared value higher
525 than the critical value.

526

527

Table 7: Model Evaluation results.

FACTOR CLASS	CHI SQUARE (X ²)	DEGREES OF FREEDOM	CRITICAL VALUE (0,999)	1/VN
Aspect	74,273	9	27,877	0,00042
Slope	383,918	9	27,877	0,00042
Curvature	181,637	9	27,877	0,00042
Land Use	1.564,408	10	29,588	0,00042
Erosion	851,542	2	13,816	0,00048
Rainfall	496,069	12	32,910	0,00042
Lithology	1.210,958	21	46,797	0,00042
Distance	6,182	2	13,816	0,00042

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530 Table 6 shows consistency with the results stated above, in the sense that it demonstrates
531 that the occurrence of landslides in each factor class is not due to chance or randomness but there
532 is certain degree of influence (i.e. association) between both. In contrast, the Distance from the
533 highway shows a value lower than the critical value, meaning that there is 99.9% of confidence
534 that in this case, landslides are not associated to highway E-20. Furthermore, the values for the
535 error approximation, following Chedzoy (2004), are very low, thereby supporting all the previous
536 work.

537 Considering that: *i)* the sample size (i.e. study area) is well over five million pixels, *ii)*
538 landslides sum 11,163 pixels and *iii)* the results shown in Table 6, this model could be treated as
539 valid. Knowing that the model is valid, the last step in the LSA is the creation of a Landslide Hazard
540 Map for the study area (see Fig. 16). This was done by reclassifying each of the previous maps into
541 ten (10) categories based the values of the YC. Since the distance from the road was not associated
542 to the landslides, it was not included in this step. The maps were added with the 'Raster Calculator'
543 tool in ArcGIS, and the results were classified into six (6) categories

544

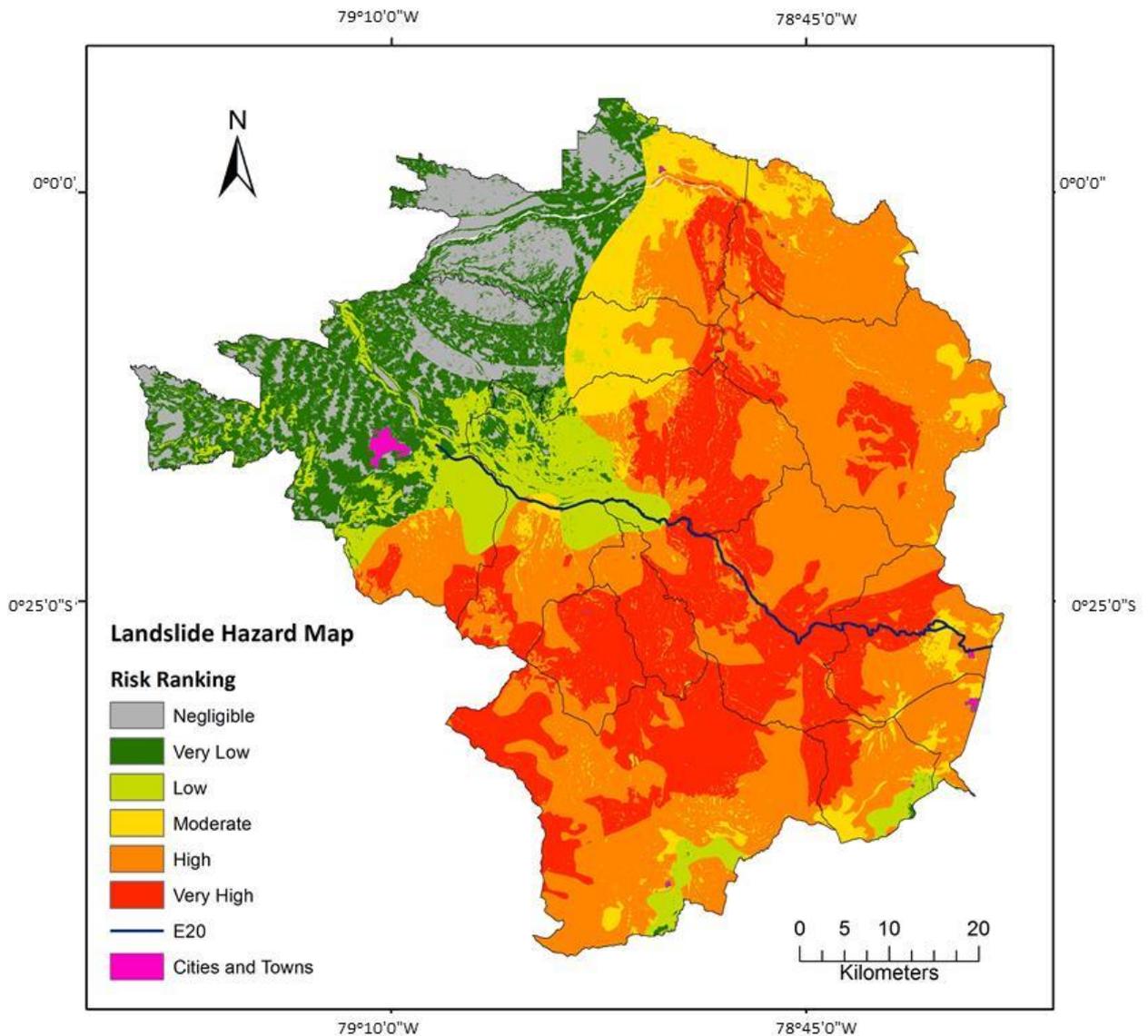


Fig. 16: Landslide susceptibility map for the study area.

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5. Discussion

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Spatial Factors

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Results show that, there is a statistical relationship between the presence of landslides and different spatial factors in the study area. Factor classes that have shown the most relationship with landslides are: *i*) Active and potential for erosion (YC=1), *ii*) Granitic rocks and quartz diorite (YC=0.519), *iii*) Volcanic sandstones (YC=0.299), *iv*) Mean annual rainfall between 1 500 and 1 750 mm (YC=0.270) and *v*) Land use devoted to agriculture and forestry (YC=0.264). In contrast, there are 16 factor classes, 7 of them related to lithology and 4 to land use, which have positive disassociation with landslides. On the one hand, this may be due to their low proportion of the study area, which is (at best) 5.6% of the total. On the other hand, the lack of mapped landslides in those areas, due to high cloud cover or low resolution of the images, may have led to a bias in the these results.

562 The data suggests that landslides occur independently of the slope aspect, this means that
563 there is little influence of this factor on the distribution of mass movements, except for flat areas
564 which have a strong negative association with them. Elsewhere, studies have evidence that this
565 spatial factor, sometimes, but not always, influences the occurrence of landslides (Ghosh et al.
566 2011; Kamp et al. 2008; van Westen et al. 2013). In general, slope presents a weak positive
567 association with landslides as the strongest association between the two is $YC=0.138$, yet it has
568 strong disassociation in areas with less than 7% inclination. Nevertheless, this findings are
569 consistent with those of Vivanco Quizhpe (2011), (Tibaldi et al. 1995)and Terrambiente (2006) in
570 Ecuador, and by Brenning et al. (2014) and Das et al. (2010) elsewhere, which suggest that the
571 landslides tend to be associated to high terrain inclinations.

572 Erosion is the only spatial factor that presents both, complete association and
573 disassociation to landslides; almost 100% of mass movements occur in the region classified as *very*
574 *active*, demonstrating consistency with the information provided by the Ministry of Agriculture
575 and (Tambo 2011). Rainfall is another factor that is associated to landslides, especially in the 1 250
576 to 1 750mm range. In this study the annual mean rainfall was used, whereas others (Brenning et
577 al. 2014; Komac and Zorn 2009) have shown that there is a big influence from both, the amount
578 and the intensity of precipitation. Despite this, studies by INECO (2012) and Cajas Alban and
579 Fernandez (2012) found that the combination of susceptibility to erosion and high rainfall plays a
580 significant role in the cyclic occurrence of landslides close to Santo Domingo. Furthermore, in a
581 national scale, water is the main controlling factor for landslides, especially during the wet seasons
582 and during the El Nino events for landslide occurrence (Cajas Alban and Fernandez 2012).

583 The YC shows that mixed land uses tend to be more associated to landslides, such is the
584 case of 'Livestock & Conservation' and 'Agriculture & Forestry', which are located in areas where
585 erosive processes are very active. This is consistent with the findings for slope (see above) as these
586 land uses taking place mostly in the western lowlands of the study area where there are soft
587 inclinations (up to 7%) and thus curvature values are close to flat.

588 Lithology and land use were found to be somewhat independent from landslide
589 frequency, in accordance with Tibaldi et al. (1995) and Brenning et al. (2014). Despite some classes
590 being disassociated, the results also suggest that the ones that *are* associated (i.e. granitic rocks,
591 volcanic sandstone, and agglomerates) are similar to those found by Tambo (2011) and Brenning
592 et al. (2014), who state that in the southern Andes, areas with metamorphic and granite bedrock
593 have a higher tendency to initiate landslides. Furthermore, the study area is bordered by three
594 volcanoes, which have been known to cause great rock fragmentation and increase landslide
595 susceptibility (CAN 2009; Tibaldi et al. 1995). Brenning et al. (2014) also acknowledge that the
596 results associated to this spatial factor may be subject to dilution given the variety of subunits that
597 comprise the main geological units, which is also important for this study considering conditions
598 of the study area.

599 The results of the DDA show no association between the location of landslides and their
600 proximity to the E-20, whereas Brenning et al. (2014) state that there is a considerable increase in
601 landslide susceptibility when in close proximity of paved highways in the southern Ecuadorian
602 Andes; they also indicate that within 25 m of the edge of the road, there is up to one order of
603 magnitude difference in the frequency of landslide occurrence from those further than 150 m.
604 This disagreement between their findings and those from this study could be due to the
605 differences in the scales and extent of the analysis, that is, local vs regional scale and 88 vs 5 093
606 km^2 .

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610 Statistical Analysis

611 A statistical analysis (Wang et al. 2005) using the YC and DDA was performed to answer
612 the research questions. On the one hand, DDA showed no association between landslides and
613 their distance to the highway. On the other hand, the YC presents the following results: out of 77
614 factor classes,

- 615 • 16 (21%) show complete disassociation (YC=-1),
- 616 • 9 (12%) display negative association (-0.6 < YC < -0.3),
- 617 • 50 (65%) are weakly associated or independent (-0.3 < YC < 0.3),
- 618 • 1 (1%) is positively associated (0.3 > YC > 1), and
- 619 • 1 (1%) shows complete association to landslides.

620 The statistical significance of the model was tested in two different ways: using Chi square
621 and the phi error. The former showed consistency with all other results, and the latter
622 demonstrated that the phi error can be considered insignificant. In other words, the hypotheses
623 presented in section 1 of this study have been tested and are considered as valid, that is, each
624 spatial factor *has* some sort of influence on the occurrence of landslides, and landslides do not
625 occur in all factor classes.

626

627 Limitations

628 The main shortcoming of this study is related to the lack of information for the western
629 part of the study area, where no landslides could be mapped due to several issues: *i)* considerable
630 cloud cover of the area in the images of Google Earth Pro, *ii)* no aerial photographs for that region,
631 and *iii)* low resolution (i.e. 30 x 30 m pixel size) of the available information did not allow for
632 identification and mapping of landslide where the first two limitations were overcome. This, in
633 turn, may have led to bias in the distribution of landslides in the study area, potentially altering
634 the results for the YC.

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637 6. Conclusion

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639 Under the assumption that future landslides will occur under similar conditions as those
640 that caused them in the past (Guzzetti et al. 2006), an attempt of measuring the association
641 between different spatial factors and landslide distribution has been presented and validated
642 here. The findings support the idea that landslides are not randomly distributed, but are
643 associated (positively or negatively) to the different conditions of the study area (Das et al. 2010);
644 in this case, landslides have shown positive association with areas of active erosive processes,
645 granitic rocks, volcanic sandstone and rainfall ranging from 1 500 to 1 750 mm. Future courses of
646 action include: *i)* field validation of the landslide inventory, *ii)* a low scale (i.e. 1:5000) study on the
647 relationship of landslides with highway E-20 in order to test the findings by Brenning et al. (2014),
648 *iii)* include the location, frequency and magnitude of earthquakes in the LSA for the study area,
649 and *iv)* present Landslide Susceptibility Map to each local government in order to aid in the
650 decision-making process for future infrastructure developments and land use planning.

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