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Stable isotopes in leaf water of terrestrial plants

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55 **ABSTRACT**

56 Leaf water contains naturally occurring stable isotopes of oxygen and hydrogen in
57 abundances that vary spatially and temporally. When sufficiently understood, these can be
58 harnessed for a wide range of applications. Here, we review the current state of knowledge
59 of stable isotope enrichment of leaf water, and its relevance for isotopic signals incorporated
60 into plant organic matter and atmospheric gases. Models describing evaporative enrichment
61 of leaf water have become increasingly complex over time, reflecting enhanced spatial and
62 temporal resolution. We recommend that practitioners choose a model with a level of
63 complexity suited to their application, and provide guidance. At the same time, there exists
64 some lingering uncertainty about the biophysical processes relevant to patterns of isotopic
65 enrichment in leaf water. An important goal for future research is to link observed variations
66 in isotopic composition to specific anatomical and physiological features of leaves that reflect
67 differences in hydraulic design. New measurement techniques are developing rapidly,
68 enabling determinations of both transpired and leaf water $\delta^{18}\text{O}$ and $\delta^2\text{H}$ to be made more
69 easily and at higher temporal resolution than previously possible. We expect these
70 technological advances to spur new developments in our understanding of patterns of stable
71 isotope fractionation in leaf water.

72

73

74 INTRODUCTION

75 In this review, we focus on how stable isotope ratios of oxygen and hydrogen vary in
 76 leaf water. The stable isotope composition of leaf water significantly influences isotopic
 77 signatures of a number of important biological and atmospheric processes. For example, the
 78 oxygen isotope composition of leaf water partly controls the oxygen isotope compositions of
 79 atmospheric CO₂ (Farquhar *et al.* 1993; Cuntz *et al.* 2003; Welp *et al.* 2011) and atmospheric
 80 O₂ (Dole *et al.* 1954; Hoffmann *et al.* 2004; Luz & Barkan 2011). Sugars and other
 81 metabolites formed in leaves incorporate the leaf water isotopic signal, which is then retained
 82 in structural organic compounds, such as cellulose (Saurer, Aellen & Siegwolf 1997; Roden,
 83 Lin & Ehleringer 2000; Barbour 2007; Gessler *et al.* 2014). The leaf water signal is also
 84 preserved in leaf waxes (Smith & Freeman 2006; Sachse *et al.* 2010; Kahmen *et al.* 2013a;
 85 Kahmen, Schefuss & Sachse 2013b), components of which can persist in the environment for
 86 millions of years (Eglinton & Eglinton 2008). Thus, leaf water derived isotopic signals can
 87 be useful for constraining models of the global carbon cycle, reconstructing past climates,
 88 retrospectively analysing plant physiological responses to the environment, and for assigning
 89 geographic origins to plant materials and plant-derived products (Dawson *et al.* 2002; West *et*
 90 *al.* 2006b). All of these various applications rely on a firm understanding of the mechanisms
 91 that control leaf water isotopic enrichment.

92 For plant water, isotopic abundances are generally expressed relative to the
 93 international standard VSMOW (Vienna Standard Mean Ocean Water) (Coplen 2011). This
 94 is accomplished using δ notation:

$$95 \quad \delta_p = \frac{R_p - R_{Std}}{R_{Std}}, \quad (1)$$

96 where R_p is the isotope ratio (*e.g.*, ¹⁸O/¹⁶O or ²H/¹H) of a plant water sample and R_{Std} is that
 97 of the standard. The resulting δ values are typically multiplied by 1000, so that the relative
 98 deviation of the isotope ratio of the sample from that of the standard is expressed as per mil
 99 (‰).

100 The stable isotope composition of plant water is influenced firstly by the plant's
 101 source water; this is mainly water taken up by roots from the soil. Soil water for terrestrial
 102 plants generally derives from local precipitation. The stable isotope composition of
 103 precipitation can vary both geographically and temporally. The $\delta^{18}\text{O}$ and $\delta^2\text{H}$ of precipitation
 104 have been shown to vary in conjunction with temperature, altitude, latitude, distance from the
 105 coast, and with the amount of precipitation falling in a given event (Rozanski, Araguas-
 106 Araguas & Gonfiantini 1993; Araguas-Araguas, Froehlich & Rozanski 2000; Bowen 2010;

107 Munksgaard *et al.* 2012). A representation of geographic variation in the $\delta^{18}\text{O}$ and $\delta^2\text{H}$ of
108 mean annual precipitation across the global land surface is shown in Figures 1A and 1B.

109 Any given precipitation event will mix into an existing soil water pool. Thus,
110 although there can exist relatively large variation in $\delta^{18}\text{O}$ and $\delta^2\text{H}$ from one precipitation
111 event to the next (Munksgaard *et al.* 2012; Munksgaard *et al.* 2015), the soil water pool being
112 accessed by plants will likely be buffered to some extent against these short term variations.
113 The extent to which the isotopic composition of the soil water pool can be linked to
114 individual precipitation events is an area of current interest (Tang & Feng 2001; Brooks *et al.*
115 2010; Thomas *et al.* 2013; Gessler *et al.* 2014). It is particularly relevant, for example, to the
116 detection of long-term records of tropical cyclone activity in tree rings (Miller *et al.* 2006),
117 because tropical cyclones are predominantly associated with isotopically light precipitation
118 (Gedzelman & Arnold 1994; Lawrence & Gedzelman 1996; Munksgaard *et al.* 2015). The
119 isotopic composition of soil water can also be affected by evaporation. This causes the soil
120 water near the evaporating front to become enriched in ^{18}O and ^2H compared to the soil water
121 at depth (Allison, Barnes & Hughes 1983; Barnes & Allison 1983).

122 For the most part, the isotopic composition of water in non-transpiring plant organs
123 (*i.e.*, roots, stems, etc.) has been shown to match that of the water available to the plant in the
124 soil, indicating that there is little to no stable isotope fractionation associated with absorption
125 of water by roots and transport in xylem (White 1989; Ehleringer & Dawson 1992; Dawson
126 1993). The exception to this rule is that $\delta^2\text{H}$ has been observed to shift with water uptake
127 and/or transport in salt tolerant coastal plants (Lin & Sternberg 1993) and phreatophytic
128 desert shrubs (Ellsworth & Williams 2007). No simultaneous $\delta^{18}\text{O}$ fractionation was
129 observed, indicating that the cause of the isotope effect was specific only to hydrogen
130 isotopes in water and not oxygen isotopes, or that the isotope effect for oxygen was too small
131 to be detected.

132 Transpiration results in isotopic enrichment at the sites of evaporation within leaves.
133 The isotopically enriched water can then diffuse away from the evaporative sites into other
134 parts of the leaf. The resulting bulk leaf water enrichment generally shows a diurnal pattern,
135 with a daily maximum in the early afternoon associated with the minimum daily relative
136 humidity, and a daily minimum in the early morning reflecting a progressive relaxation of the
137 enrichment through the night (Figure 2). Enriched leaf water can also be transported in the
138 phloem to developing sink organs such as seeds (Figure 2). In the following sections, we

139 examine in detail the environmental and physiological controls over the stable isotope
140 enrichment of the evaporative sites and the bulk leaf water.

141

142 **LEAF WATER**

143 **Evaporative sites**

144 Isotopic enrichment of leaf water as a result of the evaporative process of
145 transpiration was first observed by Gonfiantini *et al.* (1965). In the same year, a model for
146 predicting the isotopic enrichment that should take place at the surface of an evaporating
147 body of water was published by Craig and Gordon (1965). This model can be applied to the
148 isotopic composition of water at the evaporative sites within leaves (δ_e). Here, it is
149 convenient to express the isotopic composition of the evaporative sites as enrichment in the
150 heavier isotopes compared to source water, to account for the influence of different source-
151 water isotopic signatures among plants. The enrichment of any plant water sample above
152 source water (Δ_p) can be expressed as

$$153 \quad \Delta_p = \frac{\delta_p - \delta_s}{1 + \delta_s}, \quad (2)$$

154 where δ_p is the δ value of the plant water sample and δ_s is that of source water. Here again,
155 Δ_p , δ_p , and δ_s are often expressed as per mil. If this is the case, the δ_s in the denominator on
156 the right side of the equation must be divided by 1000. A list of the main symbols and
157 abbreviations used throughout the text is given in Table 1.

158 The Craig-Gordon model, as modified for application to leaves by subsequent authors
159 (Dongmann *et al.* 1974; Flanagan, Comstock & Ehleringer 1991; Farquhar & Lloyd 1993),
160 can be approximated by

$$161 \quad \Delta_e \approx \varepsilon^+ + \varepsilon_k + (\Delta_v - \varepsilon_k) \frac{w_a}{w_i}, \quad (3)$$

162 where Δ_e is the enrichment of evaporative site water above source water, ε^+ is the equilibrium
163 fractionation between liquid water and vapour, ε_k is the kinetic fractionation for combined
164 diffusion through the stomata and the boundary layer, Δ_v is the isotopic enrichment of
165 atmospheric vapour compared to source water, and w_a/w_i is the ratio of the water vapour mole
166 fraction in the air relative to that in the intercellular air spaces. Thus, w_a/w_i is the relative
167 humidity, but with the saturation water vapour mole fraction in the denominator calculated
168 for leaf temperature rather than air temperature. If leaf temperature and air temperature are
169 equal, w_a/w_i is exactly equal to the relative humidity of the air. The Δ_v is calculated with
170 respect to source water as shown in Eqn 2, and it typically has a negative value due to the

171 equilibrium isotope effect between liquid and vapour. Equation 3 is a convenient
 172 approximation for the precise form of the model, as given by (Farquhar, Cernusak & Barnes
 173 2007)

$$174 \quad \Delta_e = (1 + \varepsilon^+) \left[(1 + \varepsilon_k) \left(1 - \frac{w_a}{w_i} \right) + \frac{w_a}{w_i} (1 + \Delta_v) \right] - 1 . \quad (4)$$

175 Note that in Eqn 3, the calculation can be readily performed with all isotopic terms expressed
 176 as per mil, whereas for Eqn 4, it is more straightforward to make the calculation with the
 177 isotopic terms not expressed as per mil, and then to multiply the result by 1000 afterward to
 178 return to per mil notation. In the supplementary material, we provide a Microsoft Excel
 179 spreadsheet with a combined data set of leaf water observations, which also contains a
 180 worked example of how to perform the calculation shown in Eqn 4. The difference between
 181 Δ_e calculated with Eqn 3 and that calculated with Eqn 4 is small for oxygen, on the order of
 182 0.1‰. For hydrogen, it is larger, on the order of 1 to 2‰.

183 The equations shown above for predicting Δ_e assume isotopic steady state. Isotopic
 184 steady state means that the isotopic composition of the the transpired water vapour is equal to
 185 that of the source water supplying the leaf (Craig & Gordon 1965; Harwood *et al.* 1998;
 186 Farquhar & Cernusak 2005). The condition of non-steady state, when the transpired water
 187 has an isotopic composition differing from that of source water, will be discussed below. In
 188 general, it has been observed that leaf water enrichment tends to be near to steady state
 189 during the day in leaves that have relatively open stomata and do not show a high degree of
 190 succulence (Cernusak *et al.* 2008).

191 The equilibrium fractionation varies as a function of temperature (Bottinga & Craig
 192 1969; Majoube 1971; Horita & Wesolowski 1994). It can be calculated according to the
 193 following equations (Majoube 1971), with that for ^{18}O shown first, followed by that for ^2H :

$$194 \quad \varepsilon_O^+ (\text{‰}) = \left[e^{\left(\frac{1.137}{(273+T)^2} \times 10^3 - \frac{0.4156}{273+T} - 2.0667 \times 10^{-3} \right)} - 1 \right] \times 1000 , \quad (5)$$

$$195 \quad \varepsilon_H^+ (\text{‰}) = \left[e^{\left(\frac{24.844}{(273+T)^2} \times 10^3 - \frac{76.248}{273+T} + 52.612 \times 10^{-3} \right)} - 1 \right] \times 1000 . \quad (6)$$

196 The right sides of the equations have been multiplied by 1000, so that ε^+ is here expressed as
 197 per mil. The symbol ε_O^+ (‰) in Eqn 5 refers to the isotope fractionation for ^{18}O , and ε_H^+ (‰) in
 198 Eqn 6 refers to that for ^2H . The T in these equations refers to the leaf temperature in degrees
 199 Celsius. The ε_k in Eqns 3 and 4 can be calculated as (Farquhar *et al.* 1989)

$$200 \quad \varepsilon_k^O (\text{‰}) = \frac{28r_s + 19r_b}{r_s + r_b} , \quad (7)$$

$$201 \quad \varepsilon_k^H (\text{‰}) = \frac{25r_s + 17r_b}{r_s + r_b} . \quad (8)$$

202 The ε_k^O (‰) is ε_k for ^{18}O expressed as per mil, and ε_k^H (‰) is the same for ^2H . The r_s and r_b
 203 in Eqns 7 and 8 are the stomatal and boundary layer resistances, respectively ($\text{m}^2 \text{s mol}^{-1}$);
 204 they are the inverses of the stomatal and boundary layer conductances. The 28 and 19 in Eqn
 205 7 are fractionation factors for diffusion of water molecules containing ^{18}O through the
 206 stomata and boundary layer, expressed as per mil. The values 25 and 17 in Eqn 8 are those
 207 same fractionation factors for ^2H (Merlivat 1978). It has been suggested that these values
 208 should be revised (Cappa *et al.* 2003). However, subsequent measurements indicated that the
 209 fractionation factors originally assigned are the more correct values (Luz *et al.* 2009).

210 If the water vapour in the air is in isotopic equilibrium with source water, then Δ_v will
 211 approximately equal $-\varepsilon^+$. In that case, Eqn 3 will condense to

$$212 \quad \Delta_e \approx (\varepsilon^+ + \varepsilon_k) \left(1 - \frac{w_a}{w_i}\right). \quad (9)$$

213 Equation 9 demonstrates the strong role that the relative humidity term w_a/w_i plays in
 214 determining the isotopic enrichment of leaf water at the sites of evaporation.

215 Figure 3 shows the relationships between observed daytime bulk leaf water isotopic
 216 enrichment and the air relative humidity and Craig-Gordon predictions for a large dataset
 217 collected under natural field conditions across a sub-continental rainfall gradient in northern
 218 Australia (Kahmen *et al.* 2013a). The analysis shows both the importance of the relative
 219 humidity term in driving daytime leaf water stable isotope enrichment (Figures 3A and 3C),
 220 and that the Craig-Gordon equation captures much of the observed variation across a large-
 221 scale environmental gradient (Figures 3B and 3D).

222 This analysis also highlights an important difference between ^{18}O and ^2H . For ^{18}O ,
 223 the air relative humidity predicts nearly as much variation in the observed leaf water
 224 enrichment as does the full Craig-Gordon model, with R^2 of 0.78 for the former versus 0.86
 225 for the latter (Figures 3A and 3B). For ^2H , on the other hand, the air relative humidity
 226 predicts only a little more than half the variation predicted by the full Craig-Gordon model,
 227 with R^2 of 0.52 for the former versus 0.92 for the latter (Figures 3C and 3D). This
 228 demonstrates the importance of the isotopic disequilibrium between air vapour and source
 229 water for predicting Δ_e for ^2H in comparison to ^{18}O . This disequilibrium can be expressed as
 230 $\varepsilon^+ + \Delta_v$. The contrast between ^2H and ^{18}O in the sensitivity of Δ_e to $\varepsilon^+ + \Delta_v$ comes about
 231 because, for ^{18}O , ε^+ , Δ_v , and the difference between them are typically small in absolute
 232 value compared to ε_k , whereas the opposite is true for ^2H . For ^2H , the disequilibrium term
 233 $\varepsilon^+ + \Delta_v$ can easily be larger than ε_k in absolute value, with either positive or negative values
 234 possible. Thus, the predicted Δ_e for ^{18}O is dominated by the kinetic fractionation, ε_k ; whereas

235 for ^2H , the predicted Δ_e is dominated by the equilibrium fractionation, ϵ^+ , and by the air
236 vapour disequilibrium term, $\epsilon^+ + \Delta_v$.

237 The role of the atmospheric vapour isotopic composition in controlling Δ_e can be
238 further appreciated by examining the limiting case where relative humidity is saturated, such
239 that $w_a/w_i=1$. In this case, Eqn 3 reduces to $\epsilon^+ + \Delta_v$; and, the isotopic disequilibrium between
240 air vapour and source water then controls Δ_e . While this limiting scenario usually only
241 occurs at night, it emphasises the importance of atmospheric vapour in influencing leaf water
242 enrichment (Farquhar & Cernusak 2005; Helliker & Griffiths 2007), as well as the general
243 importance of having a reasonably accurate estimate of Δ_v for predicting Δ_e , especially with
244 respect to ^2H . In humid-zone epiphytes that use Crassulacean acid metabolism, this
245 phenomenon creates an opportunity to reconstruct the isotope ratio of atmospheric water
246 vapour from the epiphyte's organic matter (Helliker 2014).

247

248 **Bulk leaf water**

249 The term 'bulk leaf water' generally refers to a water sample obtained by extraction
250 from a whole leaf. A bulk leaf water sample may or may not contain the water of the major
251 veins, depending on the sampling protocol of the individual researcher. Leaf water excluding
252 the major veins has also been referred to as 'lamina leaf water'. It is important to note that in
253 the vast majority of plants, such a sample will also contain water associated with minor veins.
254 Here we use δ_L to refer to the isotopic composition of bulk leaf water, and Δ_L to refer to its
255 enrichment above source water.

256 Early measurements indicated that the Craig-Gordon model tended to overestimate Δ_L
257 (Allison, Gat & Leaney 1985; Leaney *et al.* 1985; Bariac *et al.* 1989; Walker *et al.* 1989;
258 Yakir, DeNiro & Gat 1990; Flanagan *et al.* 1991; Walker & Lance 1991). To illustrate this
259 phenomenon, we compiled leaf water isotopic data from a number of published datasets,
260 along with the Craig-Gordon prediction of leaf water enrichment corresponding to each
261 observation (Supplementary material). The dataset contains 118 species, sampled across a
262 range of tropical and temperate sites from both northern and southern hemispheres. It is
263 limited to daytime observations of C_3 plants under natural field conditions. Figure 4 presents
264 the results for the proportional difference between the predicted Craig-Gordon enrichment
265 and the observed bulk leaf water enrichment ($1 - \Delta_L/\Delta_e$). The analysis confirms that observed
266 $1 - \Delta_L/\Delta_e$ is larger than zero for both ^{18}O ($P < 0.001$; $n = 722$) and ^2H ($P < 0.001$; $n = 362$), with
267 average proportional differences 0.12 for ^{18}O and 0.24 for ^2H .

268 The explanation for the generally lower observed value of Δ_L compared to Δ_e has
 269 attracted considerable research effort, because it is important to determine which leaf water
 270 signal is most relevant to the various applications that depend upon it. Two models have
 271 been proposed to explain this pattern when steady state conditions can reasonably be
 272 expected: a two-pools model, based on two discrete pools of water within the leaf, with one
 273 of them being unenriched xylem water (Leaney *et al.* 1985; Yakir, Deniro & Rundel 1989;
 274 Yakir *et al.* 1990; Roden & Ehleringer 1999; Song *et al.* 2015a); and an advection-diffusion,
 275 or Péclet, model (Farquhar & Lloyd 1993; Farquhar & Gan 2003; Barnes, Farquhar & Gan
 276 2004).

277 If the two-pools model is assumed to comprise unenriched source water and enriched
 278 evaporative site water, it can be written as (Leaney *et al.* 1985; Song *et al.* 2015a)

$$279 \quad \Delta_L = (1 - \phi)\Delta_e, \quad (10)$$

280 where ϕ is the proportion of leaf water that is unenriched xylem water, presumably residing
 281 mainly in the major veins and ground tissue associated with them. In this model, the
 282 overestimation of Δ_L by the Craig-Gordon model is due to the contribution from the
 283 unenriched pool.

284 Rather than two discrete pools, the Péclet model describes gradients of enrichment
 285 within the leaf water. In the Péclet model, advection of less enriched water by the
 286 transpiration stream opposes the back-diffusion of isotopically enriched water from the
 287 evaporative sites (Farquhar & Lloyd 1993). When advection overwhelms diffusion, the bulk
 288 leaf water enrichment will be less than that predicted by the Craig-Gordon equation.
 289 Accordingly, the proportional difference, $1 - \Delta_L/\Delta_e$, is predicted to increase with increasing
 290 transpiration rate. This particular feature is an important distinction between the Péclet
 291 model and the two-pools model: the Péclet model predicts that the deviation of the bulk leaf
 292 water from the Craig-Gordon predicted enrichment should record information about the
 293 transpiration rate. In contrast, the two-pools model does not predict such an effect.

294 The Péclet number, which is dimensionless, represents the extent to which diffusion is
 295 overwhelmed by advective counter-flow (Ikeda 1983). It was originally developed to
 296 describe the ratio between convective and conductive heat transfer by Jean Claude Eugene
 297 Péclet, and has since been applied more generally to describe advection-diffusion effects on
 298 mass transport processes in permeable media. For leaves, the Péclet number, ϕ , can be
 299 defined as v/D , where v is the velocity of water movement (m s^{-1}), l is the distance (m) from
 300 the evaporative sites over which the Péclet effect is occurring, and D is the diffusivity of the

301 heavy isotopologue in water ($\text{m}^2 \text{s}^{-1}$). The D is temperature dependent, and can be modelled
 302 as a function of leaf temperature as (Cuntz *et al.* 2007),

$$303 \quad D_O = 97.5 \times 10^{-9} e^{\left(\frac{-577}{T-145}\right)}, \quad (11)$$

$$304 \quad D_H = 98.7 \times 10^{-9} e^{\left(\frac{-577}{T-145}\right)}, \quad (12)$$

305 where D_O is the diffusivity for H_2^{18}O , D_H is that for H_2^{16}O , and T is leaf temperature in $^\circ\text{C}$.

306 The velocity of advection can further be described as kE/C , where E is the transpiration rate
 307 ($\text{mol m}^{-2} \text{s}^{-1}$), C is the molar concentration of water ($5.55 \times 10^4 \text{ mol m}^{-3}$), and k is a scaling
 308 factor to account for the tortuosity of the water path. The term E/C gives the velocity as if
 309 water were moving as a slab perpendicular to the leaf surface. The true velocity must be
 310 faster than the slab velocity, because water moves in a tortuous path through the leaf. The
 311 scaling factor k represents the ratio of the true velocity to the slab velocity. Combining the
 312 above terms gives the following definition for the Péclet number:

$$313 \quad \wp = \frac{kLE}{CD}. \quad (13)$$

314 It is convenient to combine k and l into a single term, which has been called the effective path
 315 length, L (Farquhar & Lloyd 1993):

$$316 \quad \wp = \frac{LE}{CD}. \quad (14)$$

317 Ignoring the water in veins for the moment, the Péclet model applied to the average leaf
 318 lamina then predicts the following relationship with the evaporative site water enrichment
 319 (Farquhar & Lloyd 1993):

$$320 \quad \Delta_L = \Delta_e \left(\frac{1 - e^{-\wp}}{\wp} \right). \quad (15)$$

321 Equation 15 indicates that the smaller the Péclet number, the more similar Δ_L will be to Δ_e . It
 322 also predicts a continuous isotopic gradient from the sites of evaporation to the source water,
 323 modelled as an exponential decay along a cylindrical flow path.

324 Because \wp includes terms for both the effective path length and the transpiration rate,
 325 a change in either one is predicted to alter the relationship between Δ_L and Δ_e . This is shown
 326 schematically in Figure 5. In practice, values for the effective path length L have been
 327 difficult to determine directly. Thus, they have generally been fitted using Eqns 14 and 15,
 328 which therefore involves comparing observed bulk leaf water enrichment with the predicted
 329 Craig-Gordon enrichment. One consideration that could lead to biased estimates of L when
 330 fitted in this way is that unenriched vein water could also contribute to the difference between
 331 Δ_L and Δ_e , as described by the two pool model. If this were the case, L would be
 332 overestimated if unenriched vein water were not accounted for prior to fitting Eqn 15.

333 Farquhar and Gan (2003) improved upon the one dimensional Péclet model described
 334 above by separating Péclet effects in the leaf xylem and lamina. In practical terms, this
 335 provides a means of combining the two-pool concept of dilution of leaf water enrichment by
 336 relatively unenriched vein water with the lamina Péclet model. Furthermore, it allows the
 337 advection-diffusion behaviour to be expressed in vein water as well as in mesophyll water.
 338 Such a consideration is important because some observations suggest that vein water can
 339 become enriched in ^{18}O (Gan *et al.* 2002; Gan *et al.* 2003). Farquhar and Gan (2003)
 340 suggested that most vein water should be found in the major veins and associated ground
 341 tissue, with the proportion of leaf water in higher order minor veins being relatively small. If
 342 the proportion of leaf water in minor veins were considered negligible, the bulk leaf water
 343 enrichment could then be described as (Farquhar & Gan 2003),

$$344 \quad \Delta_L = \Delta_e \left[\phi_x e^{-\wp_r} + (1 - \phi_x) \frac{1 - e^{-\wp}}{\wp} \right], \quad (16)$$

345 where ϕ_x is the proportion of leaf water in major veins, and \wp_r is the total radial Péclet
 346 number, equal to the sum of the lamina radial Péclet number, \wp , and the veinlet Péclet
 347 number, \wp_{rv} . Equation 16 allows for part of the difference between Δ_L and Δ_e to be
 348 accounted for by the relatively unenriched vein water. Fitting mesophyll effective path
 349 lengths with Eqn 16 should therefore provide more realistic estimates than with Eqn 15, but
 350 has the added complexity that values need to be assigned for ϕ_x and \wp_r (e.g. Ripullone *et al.*
 351 2008), or these need to be fitted simultaneously (e.g. Gan *et al.* 2003).

352 A convenient way to probe observed leaf water isotopic composition for evidence of
 353 Péclet effects is to plot the proportional difference between Δ_e and Δ_L as a function of
 354 transpiration rate. Such plots have yielded variable results, with some authors finding a
 355 positive relationship, as predicted by the Péclet model (Barbour *et al.* 2000b; Ripullone *et al.*
 356 2008; Loucos *et al.* 2015), and others, either no detectable relationship or a negative
 357 relationship (Roden & Ehleringer 1999; Cernusak, Wong & Farquhar 2003; Song *et al.* 2013;
 358 Roden *et al.* 2015; Song *et al.* 2015a). For our combined dataset given in the supplementary
 359 material and shown in Figure 4, we find no relationship between $1 - \Delta_L/\Delta_e$ and transpiration
 360 rate for either $\Delta^{18}\text{O}$ or $\Delta^2\text{H}$.

361 Given the conceptual realism in the Péclet model, it has been difficult to explain why
 362 in some cases there is no observable relationship between $1 - \Delta_L/\Delta_e$ and E . One explanation
 363 might be changes in the effective path length as transpiration rate varies (Kahmen *et al.* 2008;
 364 Song *et al.* 2013; Loucos *et al.* 2015). Water supply to the mesophyll is predominantly via
 365 the minor veins (Sack & Holbrook 2006). Once in the mesophyll, water movement to the

366 sites of evaporation can proceed through three parallel pathways: symplastic movement
367 through plasmodesmata, transcellular movement across cell membranes through aquaporins,
368 and apoplastic flow in cell walls that are not suberised (Steudle, Murrmann & Peterson 1993).
369 Although it can reasonably be expected that most flow will occur through the apoplast
370 (Brodribb, Feild & Jordan 2007), each of these pathways is nonetheless likely to be
371 associated with its own effective path length (Barbour & Farquhar 2004), and the possibility
372 exists that the relative activity of these pathways may change with transpiration rate. In
373 addition, water may not always evaporate in the vicinity of the stomatal pore (Rockwell,
374 Holbrook & Stroock 2014; Buckley 2015), as is generally assumed. These considerations
375 have potential to obscure the positive relationship between $1-\Delta_L/\Delta_e$ and E that is predicted by
376 the Péclet model, because changes to L could compensate for changes in E , thereby
377 decoupling φ from E (Cernusak & Kahmen 2013; Song *et al.* 2013).

378 The idea that xylem can be variably coupled to the mesophyll to give distinct pools of
379 water of different volume and function within the leaf has been suggested in relation to leaf
380 hydraulics (Zwieniecki, Brodribb & Holbrook 2007; Canny *et al.* 2012), and would support
381 the idea of isotopic compartmentalisation of leaf water (Yakir *et al.* 1989; Yakir 1992; Yakir
382 *et al.* 1994). Looking at the rehydration kinetics of leaves of different species, Zwienecki
383 *et al.* (2007) considered three observed patterns of hydraulic design: 1) where the vein is
384 hydraulically separated from the rest of the leaf; 2) where the epidermis is hydraulically
385 linked to the veins through the bundle sheath extension, but the mesophyll remains separated,
386 and 3) where all tissues are equally well coupled (Figure 6). Such compartmentalisation
387 could be created by both the internal organisation of leaf tissues, leading to variable degrees
388 of physical contact between different structures, and by the number, activity, and resistance
389 of the different pathways for water movement. A reasonable hypothesis, based on these
390 observations, is that different residence times will occur for different pools of water within
391 the leaf, introducing further variation into observed relationships between $1-\Delta_L/\Delta_e$ and E ,
392 because some pools of water would carry a memory of previous leaf water enrichment
393 conditions, whereas others would not.

394 Conifer needles fit within Design 1 of Figure 6, consisting of a singular vascular
395 bundle surrounded by transfusion tissue and a thick-walled endodermis, which likely
396 provides high radial resistance and physical separation between xylem and mesophyll.
397 Consistent with this concept, it was recently observed that a two-pool model was sufficient to
398 explain the difference between the Craig-Gordon prediction and the observed bulk leaf water

399 enrichment in two pine species (Roden *et al.* 2015). Water pools may also exist within the
 400 mesophyll. In *Eucalyptus pauciflora* (snowgum) mesophyll cells shrank equally during
 401 transpiration (Canny & Huang 2006), whereas in *Gossypium hirsutum* (cotton), cavity and
 402 spongy mesophyll cells shrank more than matrix cells (Canny *et al.* 2012), suggesting that
 403 different pools of water differentially supported evaporative demand. Leaf shrinkage of
 404 tissues has also been linked more generally to the decline in extra-xylary hydraulic
 405 conductance (Scoffoni *et al.* 2014), which could further contribute to hydraulic
 406 compartmentalisation under conditions of water stress.

407

408 **Progressive enrichment**

409 Sampling leaf tissue at a sub-leaf scale has revealed spatial patterns of isotopic
 410 enrichment within leaves (Figure 7). Here, the isotopic composition tends to become
 411 progressively enriched towards the tip of the leaf and out from the mid-vein (Bariac *et al.*
 412 1994; Wang & Yakir 1995; Helliker & Ehleringer 2000; Gan *et al.* 2002; Santrucek *et al.*
 413 2007).

414 This spatial pattern was initially explained using a string of lakes model, which
 415 assumed a string of inter-connected pools of water within the leaf with differing isotope
 416 compositions (Gat & Bowser 1991; Helliker & Ehleringer 2000; Helliker & Ehleringer
 417 2002). Farquhar and Gan (2003) improved upon this model by including Péclet effects in
 418 both mesophyll and veins (Figure 8). This enabled predictions of progressive enrichment of
 419 xylem water in monocot leaves with distance from the base of the leaf. The predictions
 420 matched relatively well the observed pattern in maize (Farquhar & Gan 2003; Gan *et al.*
 421 2003). Ogée *et al.* (2007) then further improved upon this model by incorporating non-steady
 422 state effects.

423 The progressive enrichment observed in both monocot and dicot leaves suggests that
 424 back-diffusion occurs from the mesophyll back into the vein, allowing some evaporative
 425 enrichment to be passed via the xylem from central and basal portions of the leaf to
 426 downstream leaf sections. Such spatial variation can be described by three Péclet numbers
 427 (Figure 8): 1) a radial Péclet number at the interface between xylem and mesophyll (\wp_{rv})
 428 which allows for the leaf veinlet xylem water to become enriched above petiole water; 2) a
 429 radial Péclet number associated with the mesophyll tissue (\wp) which is likely to be small;
 430 and 3) a longitudinal Péclet number (\wp_l), allowing progressive enrichment of the xylem in
 431 major veins in the direction of water movement, which is large, meaning mass transfer of

432 enrichment is mainly driven by advection. A derivation of this two dimensional Péclet model
 433 with component Péclet numbers is given by Farquhar & Gan (2003).

434 In a general sense, observations of progressive enrichment provide strong support for
 435 the concept of Péclet effects in leaf water, because increasing enrichment of vein water with
 436 increasing distance from the midrib and the leaf base would not occur if some enriched water
 437 did not back diffuse from the evaporative sites into the veins, against the advective flow of
 438 the vein water.

439

440 **Transpired water**

441 Water vapour leaving a leaf during transpiration originates directly from water at the
 442 evaporative sites. Thus, it makes intuitive sense that the isotopic composition of transpired
 443 water vapour (δ_E) should be related to that of evaporative site water (δ_e). When the Craig-
 444 Gordon model is written in a form that does not assume isotopic steady state, it predicts the
 445 following relationship between δ_e and δ_E :

$$446 \quad \delta_e \approx \delta_E + \varepsilon^+ + \varepsilon_k + (\delta_v - \delta_E - \varepsilon_k) \frac{w_a}{w_i}. \quad (17)$$

447 From Eqn 17, it can be seen that δ_E is a necessary component for predicting δ_e under non-
 448 steady state conditions. When steady state is assumed, δ_E is set equal to δ_s . Making this
 449 substitution then leads to the widely used formulation shown in Eqn 3. If δ_E is measured
 450 experimentally, Eqn 17 provides a useful means of estimating the isotopic composition of the
 451 evaporative sites under non-steady state conditions (Harwood *et al.* 1998).

452 The steady-state assumption of δ_E being equal to δ_s results from mass balance
 453 constraints on leaf water dynamics, as shown in the following equation (Dongmann 1974;
 454 Farquhar & Cernusak 2005):

$$455 \quad \frac{d(W\delta_L)}{dt} = E(\delta_s - \delta_E). \quad (18)$$

456 Here W is the leaf water concentration and δ_L is the isotope composition of leaf water. The
 457 product of the two is termed isostorage (Farquhar & Cernusak 2005). The term $E(\delta_s - \delta_E)$
 458 describes the difference between the isotopic flux of water molecules into ($E\delta_s$) and out of
 459 ($E\delta_E$) the leaf, and thus can be viewed as the net isoflux. Equation 18 states that the rate of
 460 change of leaf water isostorage is equal to the net isoflux of water into or out of the leaf.

461 With the leaf at isotopic steady state, leaf water isostorage would be constant (*i.e.*, $\frac{d(W\delta_L)}{dt} =$
 462 0). Accordingly the net isoflux would be zero, such that δ_E must be equal to δ_s .

463 Motivated by the need to address the conditions under which isotopic steady state
464 occurs (*i.e.*, $\delta_E = \delta_s$), several authors have used isotope ratio laser spectrometry coupled to a
465 gas exchange system to explore the variability of δ_E in response to environmental conditions
466 (Wang *et al.* 2012; Simonin *et al.* 2013; Dubbert *et al.* 2014; Song *et al.* 2015b). In a
467 laboratory study conducted on tobacco and citrus leaves, Simonin *et al.* (2013) observed that
468 δ_E was variable and deviated from δ_s as long as instability was present in any of the
469 environmental and/or physiological variables (*e.g.*, relative humidity, δ_v , stomatal
470 conductance). This suggests that environmental and physiological stability is a prerequisite
471 for isotopic steady state to occur. In this context, it should be noted that even when
472 environmental and physiological parameters are stable, the condition of isotopic steady state
473 will not be achieved immediately (*e.g.* Simonin *et al.* 2013). Rather, δ_E will move toward δ_s
474 in an exponential manner with a time constant that depends on the leaf water concentration,
475 stomatal conductance, and the water vapour mole fraction inside the leaf (Dongmann *et al.*
476 1974; Farquhar & Cernusak 2005). Song *et al.* (2015b) recently conducted a laboratory
477 experiment to monitor this type of exponential trajectory of δ_E in cotton leaves exposed to a
478 gas-exchange cuvette environment. They demonstrated that the time constant for the
479 approach of δ_E to δ_s agreed well with the prediction from the non-steady state isotope theory
480 adapted to cuvette conditions.

481 Under field conditions, time constants for leaf water turnover can often be longer than
482 the frequencies at which natural variations in temperature, humidity, and stomatal
483 conductance occur. As a result, it has been argued that the isotopic composition of transpired
484 water, δ_E , should rarely be precisely at steady state (Wang & Yakir 1995; Harwood *et al.*
485 1998; Simonin *et al.* 2013). A recent field study tracked diurnal variations in δ_E for an oak
486 tree during distinct Mediterranean seasons and found that δ_E significantly deviated from δ_s
487 most of the time (Dubbert *et al.* 2014). Such an observation, resulting from direct
488 measurements of δ_E , provides support for the “steady state being rare” argument, thereby
489 suggesting that the steady-state assumption should be used with caution in field conditions
490 when applied to δ_E . However, this raises an interesting contrast with δ_L , the isotopic
491 composition of leaf water, which often appears to be near to steady state, at least for many C_3
492 plants, during the day (*e.g.*, Figure 3). This highlights the difference between the isoflux
493 ($E\delta_E$) and isostorage ($W\delta_L$) terms, with the latter being relatively buffered against high
494 frequency variations.

495

496 **Non-steady state effects on leaf water enrichment**

497 Non-steady state effects on leaf water isotopic enrichment, the isostorage term, are
 498 expected to become important when stomatal conductance is low and/or when leaf water
 499 concentrations are high. Most species probably show significant non-steady state behaviour
 500 in Δ_L at night, due to low stomatal conductance (Cernusak, Pate & Farquhar 2002; Cernusak,
 501 Farquhar & Pate 2005; Seibt *et al.* 2006; Barnard *et al.* 2007; Cuntz *et al.* 2007). In addition,
 502 non-steady state behaviour has been observed during the day in plant species with succulent
 503 leaves (Sternberg, Deniro & Johnson 1986; Cernusak *et al.* 2008), and in some needle-leaved
 504 species, when exposed to high vapour pressure deficits or low soil water availability, such
 505 that stomatal conductance was relatively low (Pendall, Williams & Leavitt 2005; Seibt *et al.*
 506 2006; Snyder *et al.* 2010).

507 Variation in leaf water isotopic enrichment under non-steady state conditions (Δ_{Ln})
 508 can be predicted as follows (Farquhar & Cernusak 2005):

$$509 \quad \Delta_{Ln} = \Delta_L - \frac{\alpha^+ \alpha_k}{gw_i} \cdot \frac{1 - e^{-\phi}}{\phi} \cdot \frac{d(W\Delta_{Ln})}{dt}, \quad (19)$$

510 where Δ_L is the steady-state prediction of leaf water isotopic enrichment, α^+ is defined as
 511 $1 + \epsilon^+$, α_k is defined as $1 + \epsilon_k$, W is the lamina leaf water concentration (mol m^{-2}), t is time (s),
 512 and g is the total conductance to water vapour of stomata plus boundary layer ($\text{mol m}^{-2} \text{s}^{-1}$).
 513 Note that ϵ^+ and ϵ_k , if they are expressed in per mil, should be divided by 1000 to calculate α^+
 514 and α_k . Equation 19 has the term Δ_{Ln} on both the left and right sides of the equation, and so
 515 needs to be solved iteratively. One way to do this is with the Solver function in Microsoft
 516 Excel (Farquhar & Cernusak 2005). Alternatively, Kahmen *et al.* (2008) suggested a simpler
 517 method for solving the equation by introducing the assumption that, over sufficiently small
 518 time steps, $\frac{d(W\Delta_{Ln})}{dt} \approx \frac{W\Delta_{Ln} - (W\Delta_{Ln})_{t-1}}{\Delta_t}$, where the subscript $t-1$ refers to the value at the
 519 previous time step and Δ_t is the time elapsed since the previous time step. This definition can
 520 be substituted into Eqn 19, which can then be solved for Δ_{Ln} , such that it only occurs on the
 521 left side of the equation. The value for Δ_{Ln} can then be calculated without need for iteration
 522 (Kahmen *et al.* 2008). Another alternative is to assume a step change in parameters from one
 523 time step to the next, so that the leaf water enrichment moves toward the new steady state in
 524 an exponential fashion with a time constant, τ , approximated by W/gw_i . This also results in
 525 equations that can be calculated without need for iteration (Dongmann *et al.* 1974; Farquhar
 526 & Cernusak 2005; Cuntz *et al.* 2007).

527

528 **Which leaf water model to use?**

529 As seen above, models describing leaf water evaporative enrichment have become
530 increasingly complex over time, from the simplest version of the Craig-Gordon equation, to
531 non-steady state models (Dongmann *et al.* 1974; Farquhar & Cernusak 2005), to the most
532 complex spatially-explicit models describing gradients of enrichment under non-steady state
533 conditions (Cuntz *et al.* 2007; Ogée *et al.* 2007). Given the range of options available, it is
534 not always straight forward to decide which leaf water model to use for a particular research
535 question. For some applications at larger temporal and spatial scales, the steady state Craig-
536 Gordon model (Eqn 3) will be adequate and including non-steady state effects and Péclet
537 effects will likely add complexity that does not significantly improve model outcomes.
538 Conversely, if water is sampled within a leaf and at high temporal resolution, a spatially-
539 explicit and non-steady state model may be required (Ogée *et al.* 2007). Some studies have
540 tested the suitability of different models in specific applications. For example, Cernusak *et*
541 *al.* (2005) demonstrated that both the non-steady state and whole-leaf Péclet models were
542 required to predict accurately diel variability in leaf water enrichment in *Eucalyptus globulus*.
543 Ogée *et al.* (2009) found that the oxygen isotope composition of tree ring cellulose was not
544 sensitive to the value assigned to the Péclet effective length, implying that a simpler two-pool
545 model would have been adequate. At larger spatial but smaller temporal scale, the
546 requirement for a non-steady state model has been confirmed when interpreting variation in
547 ecosystem-scale isofluxes (Xiao *et al.* 2012; Santos *et al.* 2014).

548 Here, we describe a general framework for deciding when to apply different leaf
549 water models. Questions relating to the $\delta^{18}\text{O}$ of oxygen evolution, such as studies of the
550 Earth's Dole effect (Bender, Sowers & Labeyrie 1994; Hoffmann *et al.* 2004), should for the
551 most part be well served by the steady state Craig-Gordon prediction of Δ_e . This is because
552 oxygen evolution takes place during the day when leaf water is generally near isotopic steady
553 state, and because chloroplasts are mostly located near to the evaporative sites. The same
554 argument can be applied for questions relating to effects of photosynthesis on $\delta^{18}\text{O}$ of
555 atmospheric CO_2 (Farquhar *et al.* 1993; Cuntz *et al.* 2003). However, in this case the impact
556 of exchange of atmospheric CO_2 with leaf water also continues at night. In order to account
557 for the influence of dark respiration on $\delta^{18}\text{O}$ of atmospheric CO_2 , a non-steady state model of
558 evaporative site water is needed (Cernusak *et al.* 2004; Seibt *et al.* 2006; Cuntz *et al.* 2007;
559 Santos *et al.* 2014).

560 For the most part, the influence of leaf water isotopic enrichment on organic material
561 is mediated by photosynthesis. Again, because photosynthesis takes place during the day,
562 research questions relating to $\delta^{18}\text{O}$ and $\delta^2\text{H}$ of organic material should be served reasonably
563 well by steady state models. Here, there have been mixed results as to whether Péclet effects
564 need to be considered. For isotopic signals closely related to leaf water, such as $\delta^{18}\text{O}$ of
565 phloem sugars, a Péclet effect was required (Barbour *et al.* 2000b; Cernusak *et al.* 2003).
566 However, in applications that consider processes further downstream from leaf water, such as
567 tree-ring formation, the relatively small Péclet effect becomes further damped, to the point
568 that there may be little advantage in including it (Ogée *et al.* 2009; Gessler *et al.* 2014; Song,
569 Clark & Helliker 2014).

570 For plant breeding applications aimed at disentangling effects of stomatal
571 conductance from those of photosynthetic capacity on water-use efficiency, it will likely be
572 advantageous to consider Péclet effects (Farquhar, Condon & Masle 1994; Barbour *et al.*
573 2000a; Barbour 2007). In addition, Péclet effects will likely be particularly important in
574 studies aimed at linking leaf water stable isotope composition with leaf hydraulic pathways
575 (Barbour & Farquhar 2004; Ferrio *et al.* 2012; Song *et al.* 2013). On the other hand, for
576 applications aimed at using the $\delta^2\text{H}$ of leaf waxes to reconstruct hydrological features of
577 ancient ecosystems, the simplest form of the steady state Craig-Gordon equation will likely
578 suffice (McInerney, Helliker & Freeman 2011; Sachse *et al.* 2012; Kahmen *et al.* 2013a).

579

580 **SAMPLING CONSIDERATIONS AND METHODOLOGICAL ADVANCES**

581 The isotopic analysis of plant waters presents a number of analytical challenges.
582 These include difficulties of extraction, the necessity to work with small quantities of water,
583 protecting the original composition of the water sample, and avoiding undesirable influences
584 of dissolved compounds. Preventing post-sampling evaporative enrichment of leaf water
585 requires careful consideration of sample handling and storage. For example, even the time
586 taken to separate primary veins from leaf lamina can result in detectable isotopic enrichment
587 of the leaf lamina (Cernusak *et al.* 2003).

588 There are three main ways of analysing the isotopic composition of plant water:
589 equilibration, prior extraction, and simultaneous extraction. With equilibration methods, a
590 gas is equilibrated directly with the plant water while it is still in the sample, and the gas is
591 then analysed for its isotopic composition. With prior extraction, the water is taken out of the

592 plant tissue before isotopic analysis, whereas with simultaneous extraction the water is
593 removed from the plant as part of the analysis.

594 In equilibration methods, a gas is introduced into a sealed vessel with the sample,
595 such as a detached portion of stem, and the system maintained until the gas has effectively
596 equilibrated with the water that the sample contains (Scrimgeour 1995). Typically, for
597 oxygen isotopic analysis, pure CO₂ or a CO₂/gas mixture is stored over the sample at a
598 controlled temperature. The CO₂ then exchanges oxygen with the water by the carbonic
599 acid/bicarbonate reaction, with a temperature dependent fractionation. Direct equilibration of
600 CO₂ with twig and stem water showed good agreement, to within 0.5‰, with assessments of
601 the δ¹⁸O of paired samples based on prior extraction (Scrimgeour 1995). This direct
602 equilibration method may also be useful for analysis of the δ¹⁸O of leaf water. However, a
603 limitation may be imposed by the very low rate of diffusion of CO₂ in water, and of water in
604 water, so that the gas may primarily equilibrate with the more exposed portion of the leaf
605 water. Thus, the δ¹⁸O of the equilibrated CO₂ may be more representative of the evaporative
606 site water, as opposed to the bulk leaf water; experiments are needed to test this.

607 Extraction methods aim for complete removal of the water from the sample, because
608 the removal of water by evaporation is typically associated with a fractionation. Thus, in the
609 event of a partial extraction, the water removed will have a different isotopic composition
610 from that which remains. The most widely used prior extraction method is cryogenic vacuum
611 extraction (Ehleringer, Roden & Dawson 2000). Here, the water is freed from the sample
612 using heat and vacuum, and then frozen onto a collecting surface. For plant tissues,
613 cryogenic vacuum extraction is a tested and reliable method, and it typically serves as the
614 benchmark against which new methods are evaluated. However, it is relatively labour and
615 time-intensive. Several authors have proposed modifications aimed at reducing these
616 restrictions (West, Patrickson & Ehleringer 2006a; Vendramini & Sternberg 2007; Koeniger
617 *et al.* 2011; Ignatev *et al.* 2013; Orłowski *et al.* 2013). For laser-based analysis of water
618 isotopes, cryogenic extraction also presents the challenge of transferring organic
619 contaminants that can mix with the water sample and cause optical interference (West *et al.*
620 2010).

621 Recent years have seen the advent of laser-based, optical analysers with the capacity
622 to measure the stable isotope composition of water vapour (e.g. Gupta *et al.* 2009; Sturm &
623 Knohl 2010; Aemisegger *et al.* 2012; Griffis 2013). Using this type of analyser, new
624 methods have been developed for simultaneous water extraction and analysis. Here, the leaf

625 is placed in the extraction device and the resulting water vapour is analysed as it is driven off
626 by heating. One example of such a system is an induction module cavity ring down
627 spectroscopy system (IM-CRDS) (Berkelhammer *et al.* 2013). The laser isotope analyser
628 relies on the absorption of an infrared laser pulse by water vapour as it reflects inside a
629 chamber. For a typical liquid water injection, about 1 μ L of water is vaporised when it is
630 injected into a chamber hotter than boiling point. The vapour is then carried into the analyser
631 in a non-interfering gas. The IM-CRDS system is similar, except that the leaf sample is
632 heated inductively, and the vapour produced is then carried into the laser analyser. A second
633 example of a simultaneous water extraction and analysis system uses a microwave oven to
634 heat the leaf sample (Munksgaard *et al.* 2014). This was termed ME-IRIS, for microwave
635 extraction isotope ratio infrared spectroscopy. The ME-IRIS system includes a microwave
636 and a condenser to moderate the water vapour concentration of air passing to the laser
637 analyser, so that it remains within the optimal measuring range. Advantages of ME-IRIS are
638 that it can handle larger samples (*e.g.*, whole leaves), and that it uses relatively low cost
639 components, such as a domestic microwave oven.

640 A complication in these simultaneous extraction methods is that some organic
641 compounds, for example alcohols, which can be present in leaf water, interfere significantly
642 with absorption peaks for the target isotopologues in the laser analyser. Two solutions have
643 been developed: a small furnace in-line which breaks down the interfering compounds, and
644 post-processing software that detects and flags analyses that potentially contain spectral
645 interference. The combination of the two tools together appears sufficient to identify and/or
646 reduce the analytical errors associated with organic contaminants to acceptable levels (West
647 *et al.* 2011; Munksgaard *et al.* 2014; Martín-Gómez *et al.* 2015).

648 Both IM-CRDS and ME-IRIS also suffer from memory effects, often requiring two to
649 three sample analyses to overcome the influence of a previous sample if its isotopic
650 composition was substantially different (Berkelhammer *et al.* 2013; Munksgaard *et al.* 2014).
651 The impact of the memory effect can be minimized by arranging the analytical sequence in
652 such a way as to avoid large jumps in isotopic composition between adjacent samples. This
653 also highlights a further disadvantage of simultaneous extraction methods; once analysed, the
654 same sample is not available for re-analysis. Thus, wherever possible, samples should be
655 collected in sufficient replication to overcome memory effects and as back-up in the event
656 that a re-analysis is deemed necessary. The main advantage gained by simultaneous
657 extraction is the capacity to analyse samples in the field at the study site or in a temporary

658 field laboratory, and to thereby have analytical results in near real time so that they can
659 inform the proceeding sampling strategy and experimental design.

660 An interesting variant of an equilibration method and simultaneous analysis of water
661 vapour stable isotopes by a laser analyser has been applied to soil cores (Wassenaar *et al.*
662 2008). In this system, a soil core was placed inside a sealed, inflatable plastic bag. The
663 sealed bag was then left to equilibrate the water vapour in the headspace with the liquid water
664 in the soil sample. Following the appropriate equilibration time, the plastic bag was
665 punctured with a needle connected to a piece of tubing feeding directly into a laser analyser.
666 The $\delta^{18}\text{O}$ and $\delta^2\text{H}$ of the liquid water in the soil sample could then be inferred from the
667 temperature dependent equilibrium fractionation between liquid and vapour, ϵ^+ . Such a
668 system may also be suitable for plant materials. Advantages would be the simplicity of the
669 equilibration compared to liquid water extraction, and that isotope ratios of both oxygen and
670 hydrogen could be determined simultaneously. As with the direct equilibration of CO_2 , a
671 question that would need to be addressed for leaves is whether the water vapour in the
672 headspace primarily equilibrates with the evaporative site, or whether it equilibrates with the
673 bulk leaf water.

674

675 **CONCLUSIONS**

676 Steady state leaf water isotopic enrichment is closely related to relative humidity in
677 natural environments, with the observed enrichment decreasing with increasing relative
678 humidity. Isotopic disequilibrium between source water and atmospheric vapour can also
679 have a relatively strong effect on steady state leaf water isotopic composition. Observations
680 over a large scale environmental gradient in Australia indicated that this effect is likely to be
681 stronger for ^2H than for ^{18}O . This difference in behaviour between the two isotopes reflects
682 the relative magnitudes of the equilibrium and kinetic fractionations in the Craig-Gordon
683 model of evaporative site enrichment. Equilibrium effects dominate for ^2H , whereas kinetic
684 effects dominate for ^{18}O .

685 In a combined dataset including 118 species, we found that observed bulk leaf water
686 was less enriched than the Craig-Gordon predictions for both ^{18}O and ^2H , as has been shown
687 previously. Across the full dataset, the proportional difference between Craig-Gordon
688 predicted and observed bulk leaf water enrichment showed no relationship with transpiration
689 rate. Explaining why Péclet effects are detectable in some situations, but not in others,
690 remains a challenge. Linking observed patterns of leaf water isotopic enrichment with

691 specific hydraulic characteristics could provide a tractable way forward, especially with
 692 respect to pathways for water movement from veins to evaporative sites.

693 The development of new technologies for quantifying stable isotope ratios of
 694 transpired water and water extracted from plant tissues offers an opportunity to further our
 695 understanding of the finer scale controls over leaf water stable isotope enrichment. For
 696 example, measuring the isotopic composition of transpired water vapour provides a means of
 697 detecting nuances of steady versus non-steady state behaviour, and it also has potential to
 698 provide insight into whether slow turnover pools exist within the leaf water, indicative of
 699 hydraulic compartmentalisation. Improving our understanding of the environmental and
 700 physiological controls over leaf water stable isotopic enrichment will benefit the many
 701 applications to which models of this process can be applied, and may additionally lead to
 702 novel insights into hydraulic design and functioning in leaves of terrestrial plants.

703

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710

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Table 1. Symbols and abbreviations used in the text.

Δ_e	Isotopic enrichment of evaporative site water compared to source water
Δ_L	Isotopic enrichment of bulk leaf water compared to source water
Δ_{Ln}	Predicted non-steady state isotopic enrichment of bulk leaf water
Δ_v	Isotopic enrichment of vapour compared to source water (typically negative)
δ_E	$\delta^{18}\text{O}$ or $\delta^2\text{H}$ of transpired water vapour
δ_L	$\delta^{18}\text{O}$ or $\delta^2\text{H}$ of bulk leaf water
δ_e	$\delta^{18}\text{O}$ or $\delta^2\text{H}$ of water at the evaporative sites within leaves
δ_s	$\delta^{18}\text{O}$ or $\delta^2\text{H}$ of source water
δ_v	$\delta^{18}\text{O}$ or $\delta^2\text{H}$ of atmospheric vapour
$\delta^{18}\text{O}$	$^{18}\text{O}/^{16}\text{O}$ relative to the value of a standard (VSMOW for plant waters)
$\delta^2\text{H}$	$^2\text{H}/^1\text{H}$ relative to the value of a standard (VSMOW for plant waters)
ϵ^+	Equilibrium isotope fractionation between liquid water and vapour
ϵ_k	Kinetic isotope fractionation caused by diffusion of water vapour in air
ϕ	The Péclet number (representing the ratio between advection and diffusion)
E	Transpiration rate
L	Effective path length for water movement through the mesophyll
W	Leaf water concentration
g	Stomatal conductance to water vapour
w_a	Water vapour mole fraction in the atmosphere
w_i	Water vapour mole fraction in the intercellular air spaces inside leaves

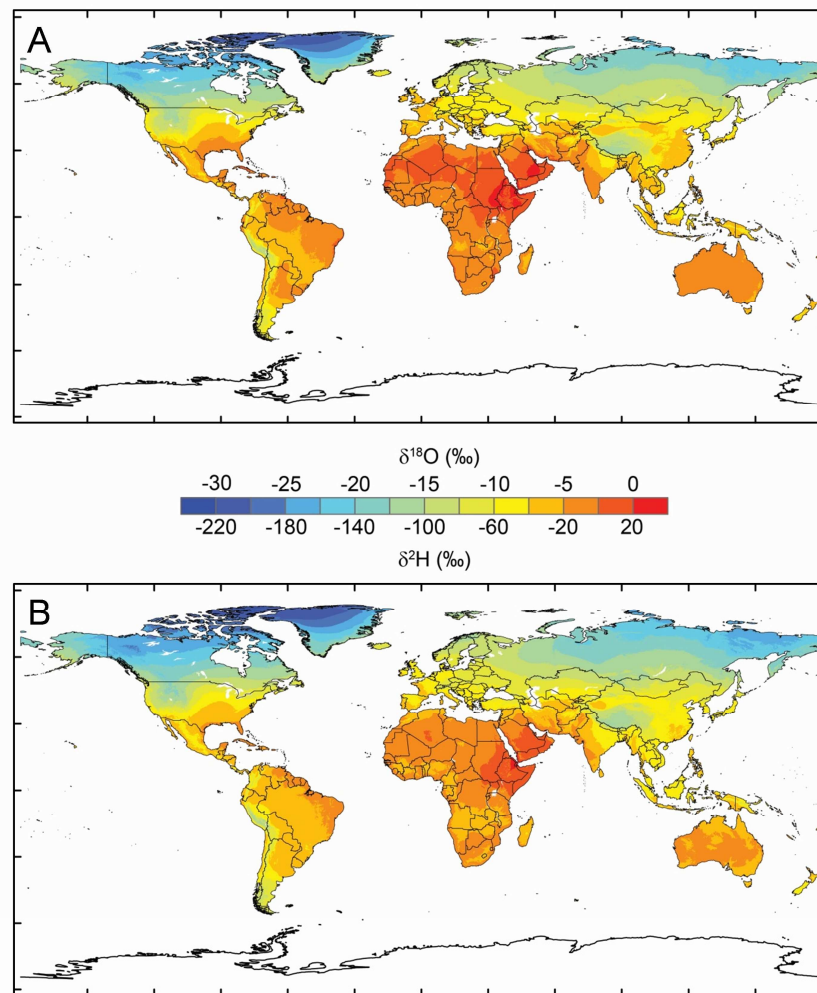


Figure 1. Spatial distribution of hydrogen and oxygen isotope ratios of precipitation over land. These precipitation isoscapes were derived from a long-term, global network of observations (Welker 2000; IAEA/WMO 2011) and a geostatistical and regression-based model developed with the online workspace IsoMAP (Isoscapes Modeling, Analysis and Prediction v 1.0; <http://isomap.org>). Model structure, statistical results, and isoscapes may be accessed or downloaded from IsoMAP by referencing job keys: 48170, 48171, 48236, and 48560 (West, 2015).

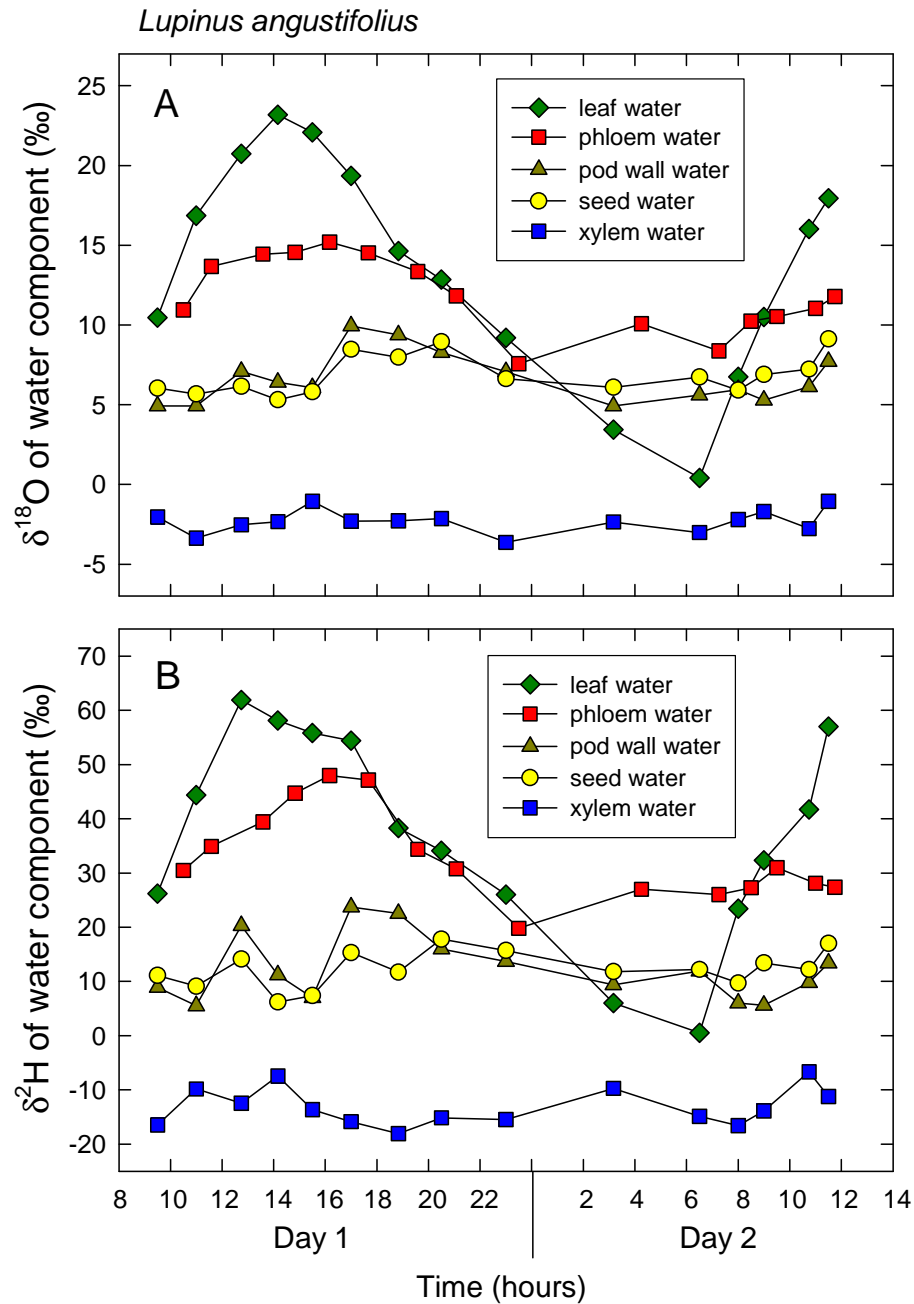


Figure 2. Diel variation in leaf, phloem, pod wall, seed, and xylem water $\delta^{18}\text{O}$ (A) and $\delta^2\text{H}$ (B). Samples were collected in Western Australia from *Lupinus angustifolius* grown as part of an agricultural trial. Phloem sap was sampled from pod tips, using a phloem bleeding technique. Redrawn from Cernusak et al. (2002).

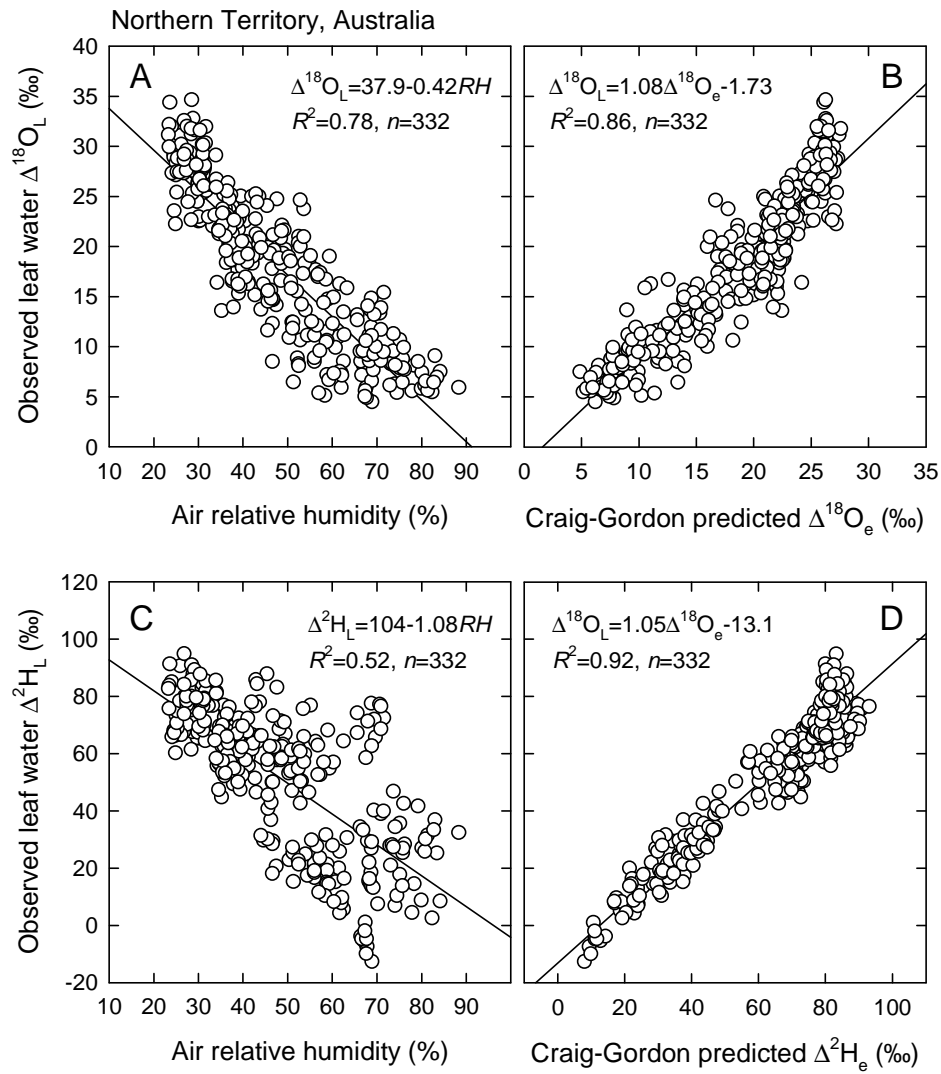


Figure 3. Relationships between observed leaf water stable isotope enrichment for oxygen ($\Delta^{18}\text{O}_L$) and hydrogen ($\Delta^2\text{H}_L$) and the relative humidity of the air recorded at the time of sampling (A and C) and the Craig-Gordon predicted enrichments (B and D). Craig-Gordon predicted enrichments were calculated with Eqn 4 of the main text. Samples were collected during daytime from various *Eucalyptus* and *Acacia* species distributed over a sub-continental rainfall gradient in northern Australia (Kahmen *et al.* 2013a). The full dataset is provided in the Supplementary Material. Panel D is redrawn from Kahmen *et al.* (2013a).

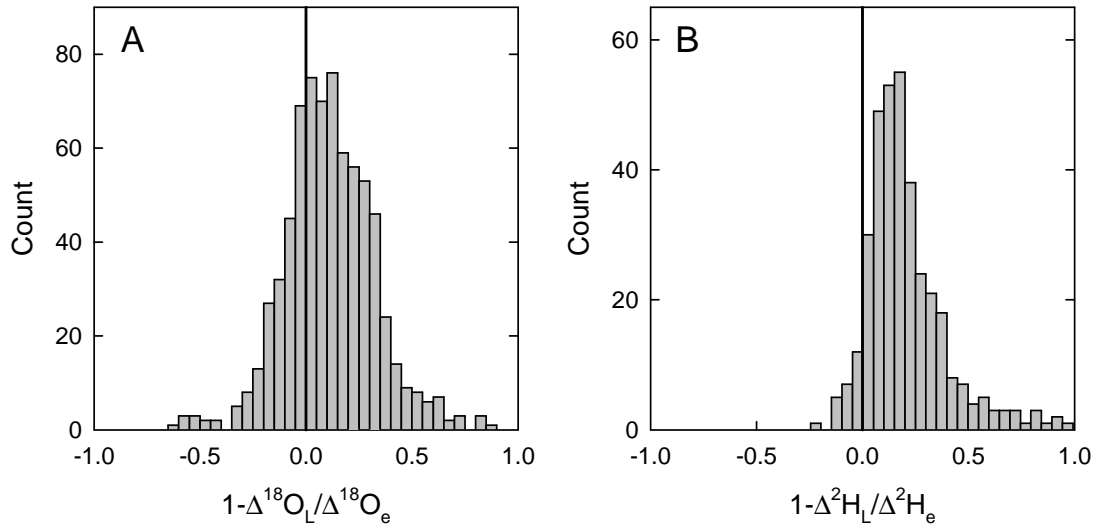


Figure 4. Histograms showing the proportional difference between Craig-Gordon predicted leaf water stable isotope enrichment ($\Delta^{18}\text{O}_e$ and $\Delta^2\text{H}_e$) and observed bulk leaf water enrichment ($\Delta^{18}\text{O}_L$ and $\Delta^2\text{H}_L$) for $\Delta^{18}\text{O}$ (A) and $\Delta^2\text{H}$ (B). Craig-Gordon predicted enrichments were calculated with Eqn 4 of the main text. The dataset combines observations from several publications (Wang, Yakir & Avishai 1998; Cernusak *et al.* 2002; Cernusak *et al.* 2005; Kahmen *et al.* 2008; Kahmen *et al.* 2011; Kahmen *et al.* 2013a; Song *et al.* 2013; Song *et al.* 2014). The full dataset is provided in the Supplementary Material.

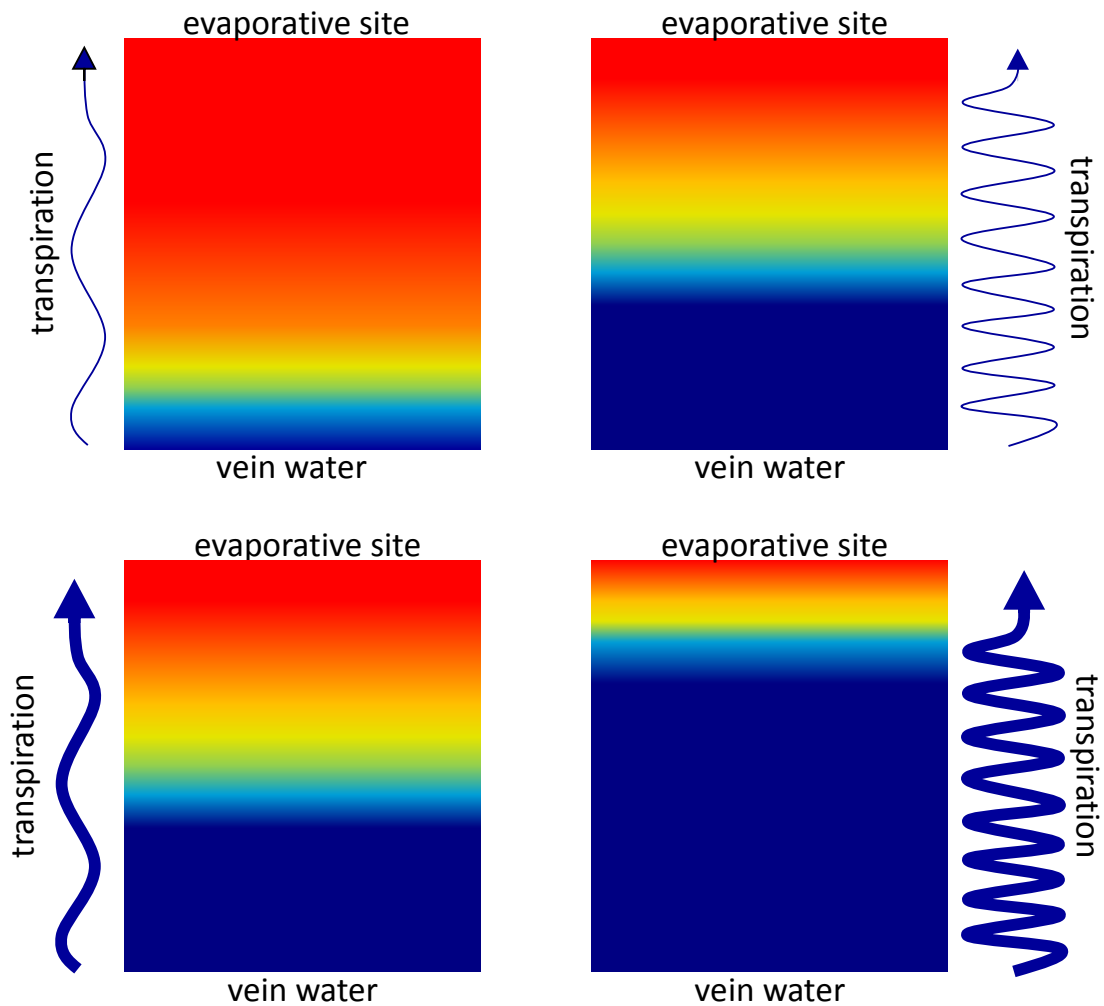


Figure 5. A schematic representation of the Péclet model of leaf water stable isotopic enrichment (Farquhar & Lloyd 1993). The model describes the average lamina leaf water ^{18}O and ^2H enrichment relative to that at the evaporative sites as a function of the interplay between diffusion of isotopically enriched water away from the evaporative sites and advection of unenriched vein water toward the evaporative sites. The vein water is transported along a path, the length of which varies as a function of its tortuosity. The average lamina leaf water ^{18}O and ^2H enrichment decreases if the transpiration rate is high, or when the scaled effective path length is long. Either of these conditions will impede the diffusion of isotopically enriched water away from the evaporative sites. In the figure, red represents the highest ^{18}O and ^2H enrichment, yellow intermediate, and blue the lowest. The thickness of arrows indicates transpiration rates and the sinuosity of arrows indicates scaled effective path lengths. Redrawn from Cernusak and Kahmen (2013).

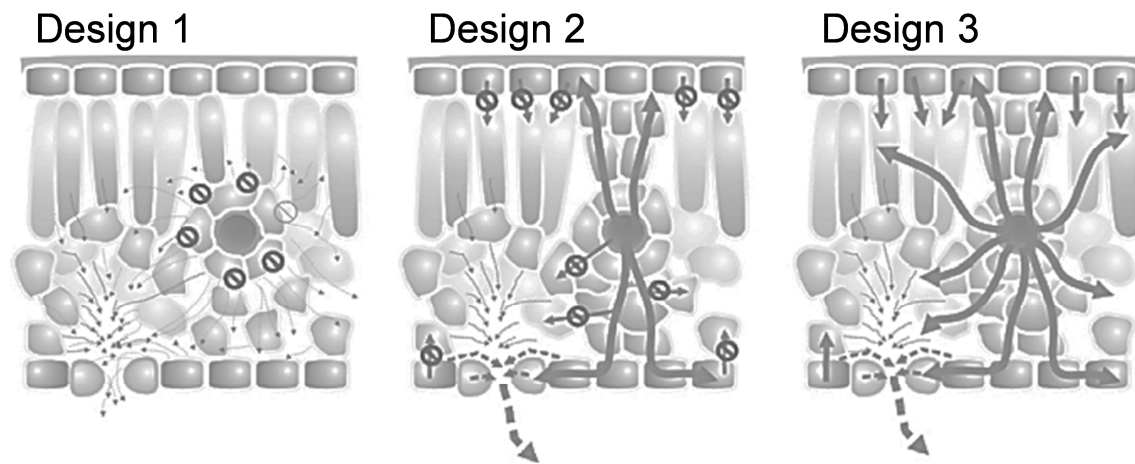


Figure 6. Schematic of three scenarios for leaf hydraulic design describing the hydraulic linkages between different tissues. The dark grey circle in the middle is a water-filled vein, solid lines depict water flow, with the thicker lines corresponding to higher flow, dashed lines describe diffusion of water vapour, and \emptyset denotes high resistance between tissue types. In Design 1, the vein is relatively isolated hydraulically from the rest of the leaf; in Design 2, the epidermal tissues are hydraulically linked to the vein by the bundle sheath extensions, but the mesophyll remains relatively isolated; and in Design 3, all tissues are equally well linked hydraulically. Reprinted from Zwieniecki et al. (2007).

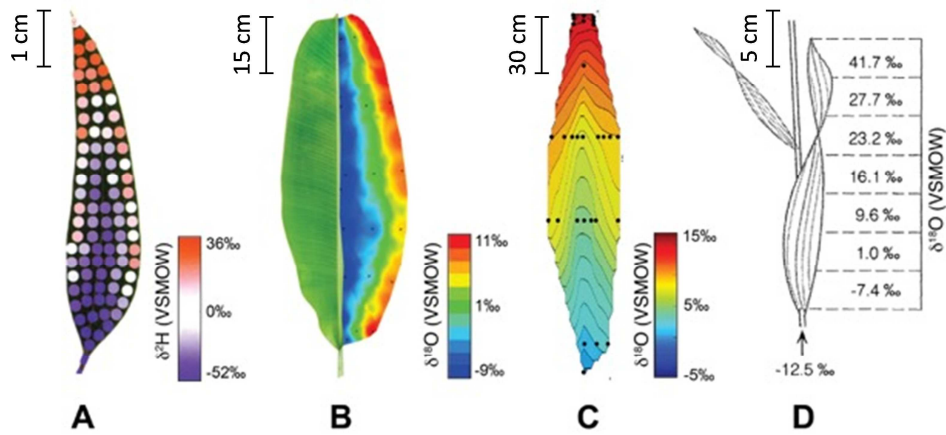


Figure 7. Spatial variation in leaf water isotopic composition in a tree leaf, *Eucalyptus pauciflora* (A), a banana leaf, *Musa* sp. (B), a cactus stem, *Carnegiea gigantea* (C), and a grass blade, *Miscanthus sinensis* (D). Progressive isotopic enrichment from the base to the apex of the leaf/stem and from the middle toward the edges of the leaf/stem is a common feature. Note that in the banana leaf, the progressive enrichment shows in the perpendicular direction to the midrib, rather than along its length. The left side of the banana leaf shows the vein patterning. Scale bars are approximate. Figures are modified from Santrucek *et al.* (2007), Stuart-Williams (unpublished), English *et al.* (2007), and Helliker and Ehleringer (2000), respectively.

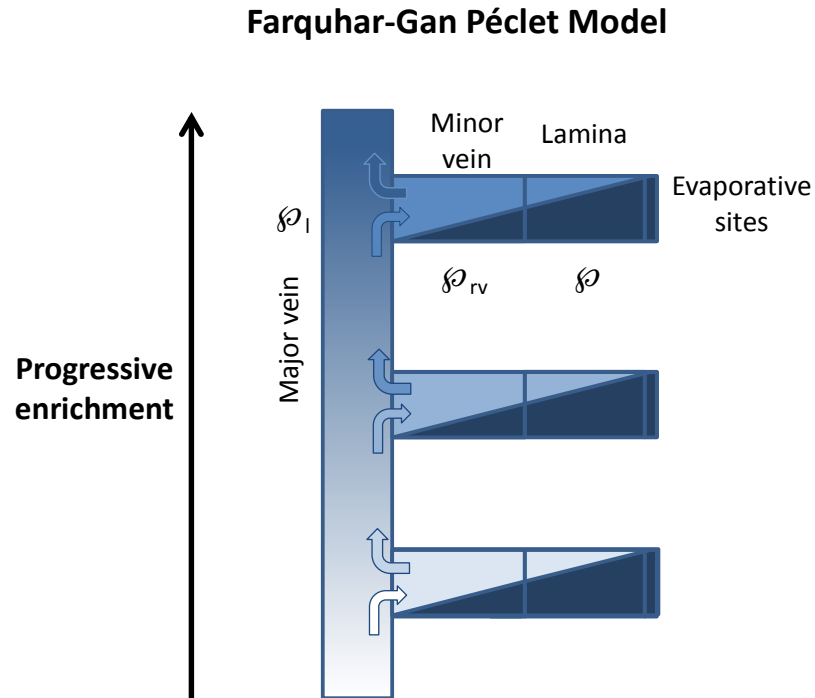


Figure 8. A schematic representation of the Farquhar and Gan (2003) Péclet model that predicts progressive enrichment of leaf water stable isotopes along a leaf. Darker blue indicates a higher level of stable isotope enrichment. In the model, isotopically lighter water is preferentially transpired leaving heavier water to diffuse back into the xylem and be carried further along the leaf. For this pattern to be pronounced, the ratio of advection to diffusion (Péclet number) has to be large in the longitudinal direction, and small in the radial direction. In the figure, φ_1 is the longitudinal Péclet number, φ_{rv} is the radial Péclet number associated with veinlets, and φ is the radial Péclet number associated with the mesophyll in the leaf lamina.