# Evidence-based Conservation

### LESSONS FROM THE LOWER MEKONG



THE EARTHSCAN FOREST LIBRARY

EDITED BY TERRY C.H. SUNDERLAND, JEFFREY SAYER AND MINH-HA HOANG



### **Evidence-based Conservation**

There is a considerable gap between the science of conservation biology and the design and execution of biodiversity conservation projects in the field. Science is often failing to inform the practice of conservation, which remains largely experience-based. The main reason is the poor accessibility of evidence on the effectiveness of different interventions. This is the basis for this book adopting an 'evidence-based approach', modelled on the systematic reviews used in health sciences and now being applied to many policy arenas.

*Evidence-based Conservation* brings together a series of case studies, written by field practitioners, that provide the evidence base for evaluating how effective conservation and poverty alleviation strategies can be better implemented. A series of systematic reviews use experiences and data from fifteen integrated conservation and development projects conducted in the Lower Mekong region, specifically in Vietnam, Laos and Cambodia. They provide wide-ranging overviews of the effectiveness of protected areas and how innovative tools and methods for monitoring and evaluation can be utilized for more effective outcomes. Results are in the form of management and policy recommendations, based on the quality of evidence and the cost utility of the intervention. By bridging the gap between field practice and conservation, the analysis should lead to more effective integrated conservation and development interventions. The book represents one of the first attempts to apply the evidence-based approach to conservation and development.

**Terry C.H. Sunderland** is a Principal Scientist in the Forests and Livelihoods Programme at the Centre for International Forestry Research (CIFOR), Indonesia.

Jeffrey Sayer is Professor of Development Practice at James Cook University, Cairns, Australia.

Minh-Ha Hoang is a Senior Scientist with the World Agroforestry Centre, based in Hanoi, Vietnam.

#### **Recent titles in the Earthscan Forest Library**

Series Editor: Professor Jeffrey Sayer

Director, Development Practice Programme, School of Earth and Environmental Sciences, James Cook University, Australia and Member: Independent Science and Partnership Council, CGIAR (Consultative Group on International Agricultural Research)

Global Environmental Forest Policies: An International Comparison Constance McDermott, Benjamin Cashore and Peter Kanowski

Monitoring Forest Biodiversity: Improving Conservation through Ecologically-Responsible Management Toby Gardner, with a foreword by David Lindenmayer

Governing Africa's Forests in a Globalised World Edited by Laura A. German, Alain Karsenty and Anne-Marie Tiani

Collaborative Governance of Tropical Landscapes Edited by Carol J. Pierce Colfer and Jean-Laurent Pfund

Ecosystem Goods and Services from Plantation Forests Edited by Jürgen Bauhus, Peter van der Meer and Markku Kanninen

**Degraded Forests in Eastern Africa: Management and Restoration** Edited by Frans Bongers and Timm Tennigkeit

Forecasting Forest Futures: A Hybrid Modelling Approach to the Assessment of Sustainability of Forest Ecosystems and their Values Hamish Kimins, Juan A. Blanco, Brad Seely, Clive Welham and Kim Scoullar

The Dry Forests and Woodlands of Africa: Managing for Products and Services

Edited by Emmanuel N. Chidumayo and Davison J. Gumbo

Forests for People: Community Rights and Forest Tenure Reform Edited by Anne M. Larson, Deborah Barry, Ganga Ram Dahal and Carol J. Pierce Colfer

Logjam: Deforestation and the Crisis of Global Governance David Humphreys, with a foreword by Jeffrey Sayer

The Decentralization of Forest Governance: Politics, Economics and the Fight for Control of Forests in Indonesian Borneo Edited by Moira Moeliono, Eva Wollenberg and Godwin Limberg

## Evidence-based Conservation

Lessons from the Lower Mekong

Edited by Terry C.H. Sunderland, Jeffrey Sayer and Minh-Ha Hoang







First published 2013 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

Simultaneously published in the USA and Canada by Routledge

711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2013 Centre for International Forestry Research

All rights reserved. No part of this book may be reprinted or reproduced or utilized in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data Evidence-based conservation: lessons from the lower Mekong/edited by Terry C.H. Sunderland, Jeffrey Sayer and Minh-Ha Hoang. p. cm. - (Earthscan forest library) "Simultaneously published in the USA and Canada"-T.p. verso. Includes bibliographical references and index. 1. Biodiversity conservation - Mekong River Region, 2. Biodiversity conservation - Mekong River Region - Methodology. 3. Forest conservation - Mekong River Region. 4. Wetland conservation - Mekong River Region. 5. Wildlife conservation - Mekong River Region. 6. Environmental protection - Mekong River Region. 7. Mekong River Region -Environmental conditions. I. Sunderland, Terry C. H. II. Sayer, Jeffrey. III. Hoang, Minh-Ha. QH77.M57E95 2012 333.95'16095978-dc23 2012004637

ISBN 978-1-84971-394-8 (hbk) ISBN 978-0-203-12846-6 (ebk)

Typeset in Times New Roman by Florence Production Ltd, Stoodleigh, Devon, UK



Printed and bound in Great Britain by TJ International Ltd, Padstow, Cornwall

## Contents

	List of illustrations	ix
	Notes on contributors	xiii
	Foreword WILLIAM F. LAURANCE	xvii
	Acknowledgements	xix
	List of acronyms and abbreviations	xxi
PA	RT 1	
Int	roduction	1
1	Introduction: evidence-based conservation from the	
	Lower Mekong	3
	TERRY C.H. SUNDERLAND, JEFFREY A. SAYER	
	AND MINH-HA HOANG	
PA	RT 2.1	
Ex	periences from the field: lessons learned in the	
de	velopment projects: Vietnam	15
2	Cat Tien National Park	17
	NGUYEN HUYNH THUAT AND YEN HOANG MAI	
3	Song Thanh Nature Reserve	29
	TU VAN KHANH AND YEN HOANG MAI	
4	Bach Ma National Park	39
	LÊ QUÝ MINH	
5	Tam Dao National Park	50
	DUONG VAN HUNG	

1		
0	Hoang Lien – Van Ban Nature Reserve Hoang van Lam and yen Hoang mai	6
PA	RT 2.2	
Ex	periences from the field: lessons learned in the	
im	plementation of integrated conservation and	
de	velopment projects: Laos	7
7	Nam Et-Phou Louey National Protected Area ARLYNE JOHNSON	7
8	Nam Kading National Protected Area CHRIS HALLAM AND MICHAEL HEDEMARK	9
9	Nakai-Nam Theun National Protected Area WILLIAM ROBICHAUD	11
10	The Xe Pian-Dong Hua Sao-Dong Ampham Biodiversity Conservation Corridor ROBERT MCWILLIAM AND GABRIELLA ROSCHER	12
Ex	periences from the field: lessons learned in the	
de	velopment projects: Cambodia	14
un dev 11	plementation of integrated conservation and velopment projects: Cambodia Creating options for long-term resource use and conservation in the eastern plains dry forest landscape of Cambodia CRAIG BRUCE	<b>14</b> :
111 12	Plementation of integrated conservation and velopment projects: Cambodia Creating options for long-term resource use and conservation in the eastern plains dry forest landscape of Cambodia CRAIG BRUCE Seima Protection Forest TOM D. EVANS, HANNAH J. O'KELLY, MEN SORIYUN, NUT MENG HOR, PET PHAKTRA, SORN PHEAKDEY AND EDWARD H.B. POLLARD	<b>14</b> 14
11 12 13	Plementation of integrated conservation and velopment projects: Cambodia Creating options for long-term resource use and conservation in the eastern plains dry forest landscape of Cambodia CRAIG BRUCE Seima Protection Forest TOM D. EVANS, HANNAH J. O'KELLY, MEN SORIYUN, NUT MENG HOR, PET PHAKTRA, SORN PHEAKDEY AND EDWARD H.B. POLLARD Central Cardamom Conservation Program OUK KIMSAN AND CHAY CHETHA	14: 14: 15'
111 112 113 114	Plementation of integrated conservation and velopment projects: Cambodia Creating options for long-term resource use and conservation in the eastern plains dry forest landscape of Cambodia CRAIG BRUCE Seima Protection Forest TOM D. EVANS, HANNAH J. O'KELLY, MEN SORIYUN, NUT MENG HOR, PET PHAKTRA, SORN PHEAKDEY AND EDWARD H.B. POLLARD Central Cardamom Conservation Program OUK KIMSAN AND CHAY CHETHA Phnom Samkos Wildlife Sanctuary KHOU EANG HOURT	14: 14: 15 <sup>-</sup> 18 <sup>-</sup> 20:

PA	RT 3	
An	alysis of conservation and development initiatives	
in	the Lower Mekong: possibilities, prospects and policy	225
16	Assessing design of integrated conservation and development projects: a case study using ICDPs in the Lower Mekong	227
	BEIGT THAT AND BREED IN CHARGEDE	
17	Organizational strategies for reconciling forest conservation	10.00
	and livelihood goals in interventions	252
	LUKE D. PREECE, BARBARA HERRERO-CANGAS,	
	RAMADHANI ACHDIAWAN, MANUEL RUIZ-PÉREZ,	
	BRUCE M. CAMPBELL AND NATASHA STACEY	
18	A review of conservation area governance in Cambodia.	
1/2/2	Laos and Vietnam	273
	YEN HOANG MAI, LUKE D. PREECE, NGUYEN NGHIA LAN	
	AND CAROL J. PIERCE COLFER	
10	An analysis of approximation and development to de offe	
19	An analysis of conservation and development trade-ons	200
	at the Cat Tien National Park, vietnam	309
	ZACHARY R. ANDERSON, PAUL D. HIRSCH AND	
	THOMAS O. MCSHANE	
20	Forest degradation in the Lower Mekong and an assessment	
	of protected area effectiveness c.1990-c.2009: a satellite	
	perspective	332
	DAN SLAYBACK AND TERRY C.H. SUNDERLAND	
21	Ouantifying threats to forests in the Lower Mekong and	
	assessing responses	351
	LUKE D. PREECE, BARBARA HERRERO-CANGAS,	
	RAMADHANI ACHDIAWAN AND NATASHA STACEY	
22	Local perspectives on payments for environmental services	360
44	LISA DETHEDAM AND BRIDE M. CAMPDELL	509
	LISA FETRERAM AND BROCE M. CAMPBELL	
23	Policy framework required for pro-poor payments for	
	environmental services and REDD: the case of Vietnam	386
	PHAM THU THUY	
24	Getting REDD to work in the Lower Mekong, lossons loarned	
44	from integrated conservation and development projects (ICDPs)	401
	BENJAMIN BLOM, TERRY C.H. SUNDERLAND AND	101
	DANIEL MURDIYARSO	

viii	Contents	
PA Co	RT 4 onclusions and recommendations	419
25	Lessons learned from conservation and development interventions in the Lower Mekong TERRY C.H. SUNDERLAND, JEFFREY A. SAYER AND	421

Index

430

## Illustrations

### Figures

1.1	Protected area landscapes that partnered this project	9
7.1	Map of the Nam Et-Phou Louey National Protected Area	74
7.2	Management structure of the Nam Et-Phou Louey National	
	Protected Area	83
7.3	Enforcement staff are based at substations (triangles) in the	
	Nam Et-Phou Louey National Protected Area core zone	84
8.1	Core and buffer zones of the NKNPA	92
8.2	Conceptual model for the management of the NKNPA	102
8.3	Predicted extent of flooding post NT1 construction	104
10.1	Land use and forest cover of BCI Attapeu, 2007	126
10.2	Biodiversity corridors in Laos PDR	127
10.3	The ten pilot villages in the corridor between Dong Hua Sao	
	NPA and Xe Pian NPA	129
10.4	Income contribution of livelihood activities by economic status	
	of the household	130
10.5	The project management and coordination lines between the	
	implementation unit at the provincial level and the project	
	management office at the central level	132
12.1	Project location in relation to Cambodian protected area	
	system	159
12.2	The goal of the SBCA, four specific targets and a conceptual	
	model of how to reach them	168
12.3	Extent and intensity of law enforcement coverage in a typical	
	recent year	172
12.4	Distribution of snares found in and around the SPF	178
13.1	Location of the Central Cardamom Protected Forest	189
16.1	Overall trends in the use of the best practice project design	
	variables in projects evaluated in the Lower Mekong	244
17.1	Boxplots of the resource allocation to eleven activities by	
	three categories of interventions: those of management bodies.	
	non-partner organizations and partner organizations	261

#### x Illustrations

17.2	Principal component analysis of eleven implementation activities	5.00
10 1	of all forty-three interventions	202
10.1	Correspondence analysis based on presence/absence of	
	Conservation regulations in fifteen conservation areas of Laos,	205
10 2	Cambodia and vietnam	285
18.2	Boxplot: percentage of resources spent on education and	
	awareness activities by conservation and development	200
10.0	Interventions operating in protected areas 2003–2007	288
18.5	Boxplot: participation of local people and collaboration between	
	organizations by conservation interventions of Laos, Cambodia	201
10.4	and vietnam	291
18.4	Boxplot: percentage of resources spent on development	
	activities (including school education, training, income	
	generation, health support and infrastructure) by conservation	
	and development interventions operating in protected areas	202
10.6		293
18.5	Principal components analysis (PCA) of three variables	004
10 4	representing enforcement of lifteen conservation areas	294
18.6	Boxplot: budget density of the core zone of fifteen protected	200
	areas, by country	298
19.1	Integrative framework for examining trade-offs and embracing	
10.0	complexity	314
19.2	Map of Cat Tien National Park	316
20.1	Regional overview showing the Lower Mekong protected areas	224
20.0	and 10 km buffer zones	334
20.2	Forest degradation results, in area detected as degraded per	240
20.2	epoch, per protected area	346
20.3	Forest degradation results, expressed as a percentage of the area	
	degraded, per year	346
20.4	Change in degradation pressure from epoch A (c.1990–c.2000)	
	to epoch B ( $c.2000-c.2009$ ) for each site's core and buffer	2.17
21.1	zones	347
21.1	Sum of ten threats by site	351
21.2	Principal components analysis of socio-economic factors	2.00
21.2	and threats of fire, infrastructure and agriculture encroachment	358
21.5	Typology of threats by country, based on similar causes	359
21.4	Conservation management interventions in 2007 across the	
	inteen forest conservation areas, grouped into ten main	2.00
21.5	categories	360
21.5	Principal component analysis of the relationships between	9.61
22.1	conservation intervention activities (Act) and threats (1)	361
22.1	Main themes and in a free mark	3/4
22.2	Main memes arising from analysis of data	311
22.3	Auributes of the core zone village of CTNP, arranged under	0.70
	six capital assets, in a Sustainable Livelihoods Framework	3/8

22.4	Factors influencing willingness to participate and preferences	
	for conditions in PES - based on community perceptions for	
	a CZ village	380

#### Tables

1.1	Research sites and managing authorities	10
5.1	Current forest resource and land-use situation in TDNP	54
7.1	Globally threatened or data deficient species recorded by	
1.1.1.1	camera trap surveys or during sign surveys in the Nam Et-Phou	
	Louey NPA, Lao PDR between 2003 and 2008	76
72	Globally threatened bird species in the Nam Et-Phou Louey	
1.00	NPA, Lao PDR	77
81	Forest types in the NKNPA	94
82	Mammals occurring in the NKNPA	96
8.3	Key bird species of the NKNPA	98
9.1	Totals of NNT NPA's global conservation concern reptiles,	
	birds and mammals, by IUCN Red List threat category	115
9.2	Larger mammals recently described from the Annamites and	
particular second	their occurrence in NNT NPA	115
12.1	Number of vertebrate species of global conservation concern	
100013	present in SPF	160
12.2	Selected results from investigation of hunting and wildlife	
and a state of the state of the	trade cases	173
12.3	Selected results from investigation of logging and timber	
Decoloristic	transport cases	173
12.4	Changes in extent of each major vegetation type, 2001-2007	175
13.1	Forest cover change between 2002 and 2006 in the CCPF	191
13.2	Summary of known faunal species in the CCPF and their	
	threat status	192
14.1	Non-government organizations and project implementation	212
15.1	Twenty-one target villages of VNP supported by community-	
	based site support groups	222
16.1	Variables and scoring system used for analysis	230
17.1	Variables used in the analysis to describe intervention activities,	
	strategies and progress	257
17.2	Ordinal regression of activities against perceived progress	
	towards biodiversity conservation, livelihood improvement and	
	institutional development for "other organizations"	263
18.1	Fifteen study sites in the Lower Mekong	276
19.1	Possibilities and pitfalls of trade-off approaches	313
19.2	List of salient perspectives at multiple scales	318
19.3	Key values and problems identified from different perspectives	320
19.4	Cat Tien context as interpreted through the IF	326
20.1	Protected areas analysed in this study, and corresponding areas	335

#### xii Illustrations

I and set imagany used for the analysis	227
Landsat imagery used for the analysis	221
Forest degradation for all sites and surrounding 10-km wide	
buffer zones	342
Site characteristics by country, with standard error	356
Summary data on two village research sites in CTNP	375
Impacts on the three criteria for REDD of a failure of each of	
these criteria	404
	Landsat imagery used for the analysis Forest degradation for all sites and surrounding 10-km wide buffer zones Site characteristics by country, with standard error Summary data on two village research sites in CTNP Impacts on the three criteria for REDD of a failure of each of these criteria

#### Contributors

- Ramadhani Achdiawan, Center for International Forestry Research (CIFOR), Situgede, Sindang Barang, Bogor, Indonesia. Email: rachdiawan@cgiar.org
- Zachary R. Anderson, University of Georgia, Athens, Georgia, USA. Email: zandrsn@gmail.com or z.anderson@utoronto.ca
- Benjamin Blom, Dominguez-Escalante National Conservation Area, Grand Junction, Colorado, USA. Email: benjamin.blom@yale.edu
- Craig Bruce, Technical Advisor, WWF, Kuala Lumpur, Malaysia. Email: craigwbruce@gmail.com
- Bruce M. Campbell, Program Director, Challenge Program of Climate Change, Agriculture and Food Security (CCAFS), Bülowsvej 17, DK-1870 Frederiksberg C, Copenhagen, Denmark. Email: bcampbell@cgiar.org
- Chay Chetha, Ministry of Agriculture, Forestry and Fisheries, Cambodia or Royal University of Agriculture, Dongkor District, Cambodia. Email: chethachay@hotmail.com
- Carol J. Pierce Colfer, Senior Associate, Center for International Forestry Research (CIFOR), Bogor, Indonesia or Visiting Fellow, CIIFAD, Cornell University, Ithaca, NY 14853, USA. Email: ccolfer@cgiar.org
- Khem Rong Den, Warden Community Development, Virachey National Park, Cambodia. Email: khemrongden007@yahoo.com
- Tom Evans, Seima Biodiversity Conservation Area, Cambodia, Technical Advisor, WCS, Cambodia or Country Program Deputy Director, WCS, #21 Street 21, Sangkat Tonle Bassac, Khan Chamkarmorn, Phnom Penh, Kingdom of Cambodia. Email: tevans@wcs.org
- Chris Hallam, Nam Kading, Advisor for the NPA, WCS, Lao PDR. Email: challam@wcs.org
- Michael Hedemark, Wildlife Conservation Society-Lao PDR Program, Box 6712, Vientiane, Lao PDR. Email: mhedemark@wcs.org
- Barbara Herrero-Cangas, Center for International Forestry Research (CIFOR), Bogor, Indonesia. Email: baro la@yahoo.com

#### xiv Contributors

- Paul D. Hirsch, Assistant Professor of Environmental Policy, State University of New York College of Environmental Science and Forestry, SUNY-ESF, 1 Forestry Drive, Syracuse, NY 13210, USA. Email: pahirsch@esf.edu
- Minh-Ha Hoang, ICRAF Vietnam Coordinator, Hanoi, Vietnam. m.h.hoang @cgiar.org
- Yen Hoang Mai, Center for International Forestry Research (CIFOR), Situgede, Sindang Barang, Bogor, Indonesia. Email: mhoangyen@cgiar.org
- Nut Meng Hor, Forestry Administration, 40 Norodom Boulevard, Phnom Penh, Cambodia. Email: nmenghor@wcs.org
- Khou Eang Hourt, Phnom Samkos Wildlife Sanctuary, Cambodia, Community Development Coordinator, WWF, Cambodia. Email: khou\_eanghourt @yahoo.com
- Duong Van Hung, Tam Dao National Park, Vietnam, Livelihood improvement programme manager, TDNP and BZ management project, Vietnam. Email: hungvanduong@gmail.com
- Arlyne Johnson, NEPL, Country Director, WCS, Lao PDR. Email: ajohnson @wcs.org
- Tu Van Khanh, Song Thanh Nature Reserve, Vietnam, FPD rep, WWF Quang Nam, Vietnam. Email: tuvankhanh@gmail.com
- Ouk Kimsan, Forestry Administration together with Conservation International, Cambodia. Email: oukkimsan@yahoo.com
- Hoang Van Lam, Van Ban National Park, Vietnam, Project coordinator, FFI, Vietnam. Email: lam.van.hoang@ffi.org.vn or ha.bich.nguyen@ffi.org.vn
- Nguyen Nghia Lan, Southern Swedish Forest Research Centre, SLU. Email: nguyennghialan@gmail.com
- Thomas O. McShane, Senior Conservation Advisor, WWF, Gland, Switzerland or Research Associate, Global Institute of Sustainability, Arizona State University, Tempe, AZ, USA. Email: mcshane@bluewin.ch
- Robert McWilliam, WWF, Lao PDR. Email: Rob.McWilliam@wwfgreater mekong.org
- Lê Quý Minh, Bach Ma National Park, Vietnam, Ecotourism Administration Officer and Guide, Bach Ma National Park Administration, Vietnam. Email: lequyminh@gmail.com
- Daniel Murdiyarso, Center for International Forestry Research (CIFOR), Situgede, Sindang Barang, Bogor, Indonesia. Email: dmurdiyarso@cgiar.org
- Hannah J. O'Kelly, Forestry Administration, 40 Norodom Boulevard, Phnom Penh, Cambodia. Email: hokelly@wcs.org

- Lisa Petheram, Australia National University, Canberra, Australia. Email: lipetheram@yahoo.com
- Pet Phaktra, Forestry Administration, 40 Norodom Boulevard, Phnom Penh, Cambodia. Email: pphaktra@wcs.org
- Sorn Pheakdey, Forestry Administration, 40 Norodom Boulevard, Phnom Penh, Cambodia. Email: spheakdey@wcs.org
- Edward H.B. Pollard, Forestry Administration, 40 Norodom Boulevard, Phnom Penh, Cambodia. Email: Epollard@wcs.org
- Luke D. Preece, Charles Darwin University, Australia and Center for International Forestry Research (CIFOR), Bogor, Indonesia. Email: lukepreece@gmail.com
- William (Bill) Robichaud, NNT, Landscape Advisor, WMPA, Lao PDR. Email: williamrobichaud@yahoo.com
- Gabriella Roscher, Coordinator (Regional), WWF, Lao PDR. Email: Bella. Roscher@wwf.ch
- Manuel Ruiz-Pérez, Universidad Autonoma de Madrid, 28049-Madrid, Spain. Email: manuel.ruiz@uam.es
- Jeffrey Sayer, School of Earth and Environmental Sciences, James Cook University, P.O. Box 6811, Cairns, N. Queensland 4870, Australia. jeffrey. sayer@jcu.edu.au
- Dan Slayback, Research Scientist, Science Systems and Applications, Inc., Biospheric Sciences Branch, Code 614.4, NASA Goddard Space Flight Center, Greenbelt, MD 20771, USA. Email: dan.slayback@nasa.gov or daniel\_slayback@ssaihq.com
- Chou Sophark, Virachey National Park Director, Virachey National Park, Cambodia. Email: sophark@yahoo.com
- Men Soriyun, Forestry Administration, 40 Norodom Boulevard, Phnom Penh, Cambodia. Email: msoriyun@wcs.org
- Natasha Stacey, Senior Research Fellow, Charles Darwin University, Northern Territory, Australia. Email: natasha.stacey@cdu.edu.au
- Terry C.H. Sunderland, Center for International Forestry Research (CIFOR), Situgede, Sindang Barang, Bogor, Indonesia. Email: tsunderland@cgiar.org
- Nguyen Huynh Thuat, Cat Tien National Park, Vietnam, Environmental Education Officer, CTNPMB, Vietnam. Email: nghthuat@yahoo.com
- Pham Thu Thuy, ICRAF, Hanoi, Vietnam. Email: brissiesugar@gmail.com
- Betsy Yaap, School of Marine and Tropical Biology, James Cook University, Cairns, Queensland 4870, Australia. betsy.yaap@gmail.com

## Foreword Critiquing conservation

Among the manifold ways that humans are changing the planet, few are more alarming than the rapid loss and degradation of tropical ecosystems. Many tropical regions have now lost much of their natural forest cover, sometimes in just the last few decades. The Brazilian Atlantic forests, West Africa, Madagascar, Sumatra and the Philippines are just a few examples of regions that have been devastated biologically – places where native forests persist as mere vestiges of their former magnificence.

The dramatic environmental changes overrunning much of the tropics are increasingly being felt in Indochina – the environmental backbone of which is the Mekong River, one of the world's great waterways. The Lower Mekong Region, which includes large expanses of Vietnam, Laos and Cambodia, faces pressures that are particularly acute.

By virtually any measure – cultural, economic, biological, political – the Lower Mekong is a region of great importance. It supports a dense and rapidly expanding human populace, growing economies, and a patchwork of indigenous cultures. The great river and its tributaries are vital as fisheries, as transportation corridors, and as the lifeblood of the region's agriculture.

The biodiversity of the Lower Mekong is simply stunning. A key component of the Indo-Burma Biodiversity Hotspot, its biota is a blending of two great natural realms – the humid tropics of Indomalaya and the towering spires of the Himalayas. Scattered across the region are pockets of extremely high endemism, where many species occur uniquely. In such places many biological mysteries remain; for instance, in recent years more species of large mammals have been discovered in the Mekong region than anywhere else on Earth.

From an environmental perspective, darkening clouds are gathering on the Mekong's horizon. A pulse of foreign investment and lending is promoting an avalanche of new roads and transportation projects. Many of these are penetrating into once-remote parts of the region, threatening in some cases to open a Pandora's Box of new environmental pressures. The Lower Mekong already has 77 active dam projects, and scores more are planned. Commercial agriculture and forestry are expanding apace, often at the expense of native forests and wetlands.

Local communities in the Lower Mekong have long relied on small-scale farming, hunting and harvests of myriad natural products. But in a region

#### xviii Foreword

experiencing intense population growth, the ecological impacts of such activities are biting harder. Protected areas and forest sanctuaries are increasingly suffering from human invasions, illegal logging and unchecked poaching. The costs to nature are often high, as evidenced by the recent demise of the region's last Javan rhino.

In the context of such dramatic changes, the Lower Mekong has increasingly been the focus of aid and conservation projects seeking to stem its myriad environmental challenges. Many of these are ICDPs – integrated conservation and development projects – that attempt to promote the environmental sustainability of local communities, especially those living in and around protected areas. Many such projects also strive to improve environmental law enforcement, and thereby reduce threats such as illegal logging, land-grabbing, and wildlife-trading. Other projects seek to use payments for ecosystem services to provide incentives for nature conservation.

But are these projects – which collectively have cost hundreds of millions of dollars – succeeding? That is a vital question, and one that international donors and lenders are very eager to know. The chapters herein, with support from the MacArthur Foundation, represent an important effort to critically assess these projects.

In brief, the results seem mixed. Some projects have achieved notable successes whereas others have seemingly had little real impact. Assessing such projects, however, is far from straightforward. It is a natural temptation for those undertaking such projects to overstate their successes and downplay their failures, and many projects lack clear milestones or performance indicators.

In broad-brush terms, I believe it can be argued that many applied conservation projects in the Lower Mekong Region are at least 'holding the line'. Even if their advances are less than spectacular, they are striving to progress in a political and social milieu that is extremely challenging. In critically assessing these projects, one can discern many important lessons for the future. The editors of this volume, Terry Sunderland, Jeffrey Sayer and Minh-Ha Hoang, deserve a great deal of credit for bringing together such a broad and critical collection of conservation assessments.

> William F. Laurance Distinguished Research Professor and Australian Laureate Prince Bernhard Chair in International Nature Conservation,

> > James Cook University, Cairns, Queensland, Australia

## Acknowledgements

This book is based on a project initially devised by Bruce Campbell, Jeff Sayer and Karah Wertz and funded by the MacArthur Foundation from 2006 to 2010: *Losing less and winning more: building capacity to go beyond the trade-offs between conservation and development*. David Hulse, in particular, then Asia Programme Office for MacArthur, played a key facilitation role in its early stages by linking CIFOR researchers with practitioners on the ground, most of whom were also recipients of MacArthur Foundation funding.

Fieldwork in each country was reliant on the support of key people. In Vietnam the ICRAF office, headed by Minh-Ha Hoang, ably supported by Pham Tham Thuy, provided extensive logistical and other support, as did Jean-Christophe Castella and his team in Laos. In Cambodia, the Wildlife Conservation Society provided an institutional home and we are grateful to Tom Evans and Joe Walston for their support.

Fundamental gratitude is extended to the numerous government and project staff who collaborated with this research initiative. They attended numerous workshops, tolerated our presence at their respective field sites and guided the research from the outset. Although too numerous to name here, many of them are authors on the narrative summaries presented in this book. Their contributions are highly appreciated.

Luke Preece of Charles Darwin University, as primary researcher on this project, was responsible for collecting the field data in each country, assisted in Laos by Barbara Herrero-Cangas, Yin Sombo in Cambodia and Nguyen Nghia Lan in Vietnam. Luke also provided considerable support to other researchers affiliated with this project, often beyond the call of duty. Barbara Herrero-Cangas shepherded the first draft of the narratives from each of the field sites and provided early editorial support. Yen Hoang Mai translated two of the Vietnam narratives. Manuel Ruiz-Pérez, of the University of Madrid, along with Ramadhani Achdiawan of CIFOR, provided considerable help and advice related to the development and analysis of the extensive variable-based dataset collected from each site. Manuel and his family also provided generous hospitality during two data analysis workshops in Madrid.

At CIFOR, Titin Suhartini provided invaluable editorial support, formatting chapters, chasing references and tidying up the manuscript in its final stages.

#### xx Acknowledgements

Mohammed Agus Salim assisted with maps and provided GIS support. Tim Hardwick and his team at Earthscan are thanked for their patience and professionalism during the preparation of this book for publication.

The editors would like to thank the following reviewers who helped improve an early manuscript of this book through a review of one or more of the chapters herein: Manuel Boissiere, Jeremy Bourgoin, Jean-Christophe Castella, Carol Colfer, Nick Cox, Sonya Dewi, Tom Evans, Yen Hoang Mai, Vijay Kolinjivadi, Robert Obendorf, John Pilgrim, Luke Preece, Atie Puntudewo, Dan Slayback, Chris Sandbrook, Thomas Sikor, Natasha Stacey and Kimberly Marion Siuseeya.

However, we accept that any shortcomings in this manuscript are ours alone.

## Acronyms and abbreviations

5MHRP	Five Million Hectares Reforestation Programme
AAF	Animal Asia Foundation
ABE	Association of Buddhists for the Environment
ACSC	Advancing Conservation in a Social Context
ADB	Asian Development Bank
ADRA	Adventist Development and Relief Agency
AFAP	Australian Foundation for the Peoples of Asia and the Pacific
	Limited
AK	Anakut Komar
ARBCP	Asia Regional Biodiversity Conservation Program
ARD	Associates for Rural Development
ASEAN	Association of South-East Asian Nations
BCCI	Biodiversity Conservation Corridor Initiative
BCI	Biodiversity Corridors Initiative (specifically refers to the
	corridor between Dong Hoa Sao and Xe Pian National
	Protected Areas), Laos
BMNP	Bach Ma National Park
BMZ	Bundesministerium Für Wirtschaftliche Zusammenarbeit
BNR	Bokeo Nature Reserve
BPAMP	Biodiversity and Protected Areas Management Project
BZ	buffer zone
CALM	Conservation Areas for Landscape Management
CARERE	Cambodia Area Rehabilitation and Regeneration Project
CBD	Convention on Biological Diversity
CBNRM	community-based natural resource management
CCAFS	Climate Change, Agriculture and Food Security
CCBA	Climate, Community and Biodiversity Alliance
CCPF	Central Cardamom Protected Forest
CDM	Clean Development Mechanism
CECG	Cambodian Elephant Conservation Group
CEDAC	Cambodian Center for Study and Development in Agriculture
CEEE	Centre of Ecotourism and Environmental Education
CET	Community Extension Team