

連続マイクロフロー型光化学反応と その創薬・医薬品開発/製造への応用



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Continuous microflow photochemistry and its application in pharmaceutical drug discovery, development and production

Microflow photochemistry has recently emerged as a new methodology that successfully combines flow operation, micro-space and activation by light ('lab & light on a chip'). Numerous micro-photoreactors for micro-scale synthesis to technical-scale production have been already developed. The main advantages of microflow photoreactors are their beneficial light transmissions, controlled exposure times, accurate temperature control and removal of photoproducts from the irradiated area. These operation features commonly result in higher yields, enhanced selectivity, improved energy efficiencies and reductions of solvent volumes and subsequently waste. This review highlights selected examples of microflow photochemical reactors and transformations of pharmaceutical interest. It unambiguously shows the potential of this enabling technology over conventional (batch) processes.

マイクロフロー型光化学反応は、流体操作・マイクロ空間・光活性化を効果的に組み合わせて利用した新し い方法論('lab & light on a chip')で、近年に出現した研究分野である。マイクロスケールの合成用途から製 造技術スケールの生産用途まで、これまで既に多数の光マイクロリアクターが開発されている。本方法論の主 な特長は、光透過率が高いことから照射効率が高いこと、照射時間の制御による露光量制御や反応温度の精密 制御が可能になること、光反応場と別の位置の流路により生成物が回収できることが挙げられる。これらの特 長により、光マイクロリアクターを用いた反応は一般的に高収率で選択性も高く、エネルギー効率に優れ、溶 媒使用量や廃棄物を低減できる。本稿ではマイクロフロー型光化学リアクターを用いた医薬品・医薬中間体の 合成に焦点を当て、いくつかの実例を挙げてレビューする。本方法論による新規プロセスの実現技術は、従来 のプロセス技術(バッチ技術)を凌駕する可能性を有することが明らかになった。

Introduction

Light is considered a 'clean and green reagent' that allows for the easy construction of high energy molecules with a 'flick of a switch'.¹ Photoinduced reactions are thus often used as key-steps in the synthesis of natural products.² Over the last decades, numerous additional examples of highly efficient, chemo-, regio-, diastereoand enantioselective transformations have been described.³ Visible light photoredox (orano) catalysis has furthermore extended the synthesis versatility of photochemistry.⁴ In light of these advantages, it is surprising that photochemical reactions are not widespread 連続マイクロフロー型光化学反応とその創薬・医薬品開発/製造への応用



Figure 1. (a) Immersion well batch reactor (UV-LRS-1; with courtesy of UV-Consulting Peschl), (b) KeyChem-Lumino system (with courtesy of YMC), (c) automated microphotoreaction system (with courtesy of mikroglas chemtech) and (d) multi-microcapillary flow reactor (MµCFR).

in industry and limited to selected commodity chemicals.⁵ Recently, microflow chemistry has been developed as a new continuous production tool that has been rapidly integrated in the pharmaceutical industry.^{6.7} Microflow conditions have been subsequently utilized for photochemical reactions and this has enabled a renaissance of this neglected technology. Consequently, microflow photochemistry ('lab & light on a chip') has emerged as a promising new photochemical synthesis tool.⁸

From Conventional to Microphotoreactor Technology

Laboratory-scale reactions (typically ≤ 1 L) are commonly performed in batch using immersion well type reactors (Fig. 1 a). These reactors comprise of an outer reaction vessel, an inner immersion well and a mercury pressure lamp at its core. Open chamber reactors with an outer ring of fluorescent tubes have likewise been developed and are commonly used in research laboratories for small scale synthesis (typically ≤100mL). Merrygo-round accessories with multiple irradiation tubes are available for both reactor types and enable limited parallel synthesis. Conventional batch systems have a number of disadvantages that have limited their applications, especially on large industrial scales. Due to the rapid absorption of light by the chromophoric reagent within the reaction mixture (as expressed in the Beer-Lambert law), photochemical reactions require high dilutions and narrow reaction vessels. The batch operation also causes further degradation of light-sensitive photoproducts. Polymeric and iron deposits from cooling water on glass parts around the light source are thus commonly observed and demand constant cleaning and maintenance. The intense heat generation of immersion well lamps furthermore necessitates excessive cooling. Due to the micro-dimensions (by definition $\leq 1 \text{ mm}$) of their reaction channels and the flow-through operation, microreactors avoid most of these drawbacks. Although custom-build devices are most commonly utilized in microflow photochemical synthesis, complete microreactor systems have been developed and are commercially available. The KeyChem-Lumino reactor (Fig.1b), for example, utilizes an array of UV-LEDs as miniaturized light sources (shown as insert). The reaction mixture is pushed through the microchannel with a syringe pump attachment. For temperature control, the reactor is mounted onto a compact cooling unit. An example of an automated microreactor unit designed by mikroglas chemtech is shown in Fig.1c. The plant has separate inlets for reagents and inert gas, which are mixed in a premixer and pumped into the reaction loop (dwell device with 308 nm excimer cube). The progress of the reaction is monitored continuously by an in-line IR-sensor. At maximum conversion, the product mixture is eluted automatically from the reaction loop and collected at the outlet. An external cooling circuit offers optional temperature control. In contrast to these embedded microreactors with fixed dimensions and volumes, microcapillary reactors allow for a more flexible design.9 This trend has lead to the development of a multi-microcapillary flow reactor $(M\mu CFR)$ for parallel microflow photochemistry operations.¹⁰ The reactor has been applied successfully to typical R&D scenarios, i.e. process optimization, process validation, scale-up and paral-



Scheme 1. Cascade photo/thermal synthesis of vitamin D_3 (3) from provitamin D_3 (1).

lel library synthesis.

Microflow Photochemical Reactions of Pharmaceutical Interest

Summarizing review articles on photochemical transformations under microflow conditions have been published independently by Oelgemöller and Matsushita.⁸ The following chapter highlights recent examples of interest to the pharmaceutical industry. It should be noted that some reactor systems from the chosen examples have inner dimensions of >1mm and are thus not strictly microreactors.

Photorearrangements and Photoisomerizations

The synthesis of vitamins represents an important industrial application of organic photochemistry.5 Consequently, Takahashi and coworkers have studied the conversion of provitamin D_3 (1) to vitamin D_3 (3) in custom-made quartz microreactors.^{11a} Initial photochemical ring opening to previtamin D_3 (3), followed by a subsequent thermal hydrogen shift yields the desired product 3 (Scheme 1). Highest conversions and selectivity were obtained in 1,4-dioxane as the solvent. Using 20-30mM solutions of 1, the cascade reaction was performed in series using two microreactors. Irradiations were realized in the first photo-microreactor using a high-pressure mercury lamp (400W) equipped with a Vycor filter ($\lambda = 313-578$ nm). The second reactor was irradiated with the same light source through a glass UV filter ($\lambda = 360$ nm) and was placed in an oil bath at 100°C. The combination of photochemical and thermal conditions for the second reactor proved beneficial in terms of selectivity since it enabled the photoisomerization of tachysterol (4) to previtamin D_3 (2) and reduced photocyclization to lumisterol (5). Consequently, a total residence time of 30 min for the tandem reactor furnished vitamin D_3 (3) in an improved yield of 60% (by HPLC) or 32% (after isolation), significantly higher than the <20% known from the industrial batch process. In an extension of this work, Fuse et al. realized the two-step synthesis of activated vitamin D₃ and its analogues utilizing the same reactor setup.^{11b} In all cases, the continuous microflow process avoided intermediate isolation and high dilution conditions.

A similar process for the production of doxercalciferol $(1\alpha$ -hydroxyvitamin D₂; **9**) has been reported by Anderson and coworkers.¹² Starting from ergocalciferol (**6**), the bis-tert-butyldimethylsilyl (bis-TBS) ether **7**





Scheme 2. Synthesis of doxercalciferol (9) from ergocalciferol (6).



Scheme 3. The Barton reaction as a key-step in the synthesis of myriceric acid A (12).

was synthesized and its photoisomerization to the doxercalciferol precursor 8 was subsequently investigated using 9-acettylanthracene (9-AA) as a sensitizer (Scheme 2). The continuous flow reactor consisted of fluorinated ethylene propylene (FEP) tubing wrapped tightly around an immersion well equipped with a Pyrex sleeve and a 450 W mercury pressure lamp. A previously degassed solution of 7 and 9-AA in heptane was pumped through the reactor using a metering pump. The sensitizer was removed conveniently by in-line filtration over a short carbon/Celite (1:2 w/w) column. Careful modification of flow-rate, temperature, 9-AA load and starting concentration of 7 gave high conversions to 8 of up to 96% (by HPLC). Ethanol and ethyl acetate could likewise be used as alternative solvents. Subsequent deprotection furnished the desired doxercalciferol 9 in a yield of about 50% (from 6) and in high purity. Overall, 9 was obtained in about 10% from ergocalciferol (6) without

the need for chromatographic purification.

The Barton reaction has been used as a key-step in the synthesis of the steroid myriceric acid (12), a potent endothelin A receptor antagonist. Ryu and coworkers have intensively investigated the conversion of the intermediary nitrite 10 to the corresponding oxime 11 using various microreactor setups (Scheme 3).¹³ A glass-covered, stainless steel microreactor (Type I) equipped with a 300 W high-pressure mercury lamp was initially utilized for a detailed reaction optimization study. Using an acetone solution of 10 and catalytic amounts of pyridine, a soda lime cover at a distance of 7.5 cm resulted in the highest selectivity. Its cut-off wavelength at >320nm avoided secondary photodecomposition and the desired oxime 11 was obtained in a yield of 59%. Higher yields were realized with a 15W black light (UVA) lamp or a UV-LED panel $(48 \times 35 \text{ mW})$. Choosing a Pyrex glass top and a residence time of 12min, 11 was formed in



Scheme 4. Intramolecular Wolff-rearrangement of β -ketoamide 13 to the diastereoisomeric β -lactams 14 and 15.



Scheme 5. The photorearrangement of N-oxides 16 to 17 in the production of the anti-cancer compounds irinotecan (18) and topotecan (19).

high yields of 71% and 70% (by HPLC), respectively. A scale-up was subsequently investigated in DMF using two in-series stainless steel reactors (Type II) and an array of 8×20 W black light lamps. With a set residence time of 32min, continuous operation for 20h gave 3.1g of pure **11** (60% yield). The fully automated synthesis of 11 was furthermore achieved in a single multi-lane stainless steel reactor (Type II) equipped with a Pyrex top and six evenly positioned 15W black light bulbs. With a fixed residence time of 20min and after constant operation for 40h, 5.3g of **11** (61% yield) were isolated. An interesting example of a photoinduced, intramolecular Wolff rearrangement for the construction of β -lactams has been reported by Mimieux Vaske et al. (Scheme 4).¹⁴ Two different capillary reactors were constructed for this study and were operated in circulation mode until complete conversion was achieved. Initially, a capillary reactor equipped with a standard UV lamp as a central light source was employed and the reactor was cooled in an ice/NaCl bath (Type I). A constantly degassed solution of the β -ketoamide 13 was pumped through the photoreactor in a loop for 3.5h and the stereoisomeric β -lactame **14** and **15** were isolated in a combined yield of 81% and a ratio of approximately 3:1. The batch process on a slightly smaller scale gave a somewhat higher yield of 90% but required prolonged irradiation of 7h and furnished a lower selectivity of \sim 2.5:1 (14:15). To allow irradiations at ambient temperature, a less heat-intensive 100W fluorescent bulb was alternatively investigated (Type II). Under continuous flow conditions on a 1.0g (13) scale, the photorearrangement gave a combined yield of 91% and a selectivity of $\sim 3.3:1$ in favour of 14 after 48h. In contrast, the reaction in batch mode on a much smaller scale of 0.1g (13) required 18h but showed a marginally improved yield and selectivity of 95% and \sim 3.8:1 (14:15), respectively.

The first industrial-scale production process under microflow photochemical conditions has been realized by Heraeus Noblelight (**Scheme 5**).¹⁵ The photorear-

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Figure 2. Photochemical production plant (with courtesy of Heraeus Noblelight) for the synthesis of the anti-cancer compounds irinotecan (18) and topotecan (19).

rangement of the N-oxides 16 to the corresponding 10-hydroxycamptothecins 17 represents a key-transformation in the synthesis of anti-cancer drugs irinotecan (18) and topotecan (19). Their annual demand (<1) $t \cdot a^{-1}$) is achieved using a microflow photochemistry plant with 12 individual units (Figure 2). The entire plant enables the production of 2kg of 10-hydroxycamptothecin (17) per day. Each microreactor unit consists of two quartz plates which are separated by a thin gasket. The reaction mixture of 16 in DMF or DMF/1,4-dioxane is pumped into the reactor block from the bottom. A thin liquid film $(40-100 \,\mu\text{m})$ is formed, which is irradiated from both sides using 2×250 W high pressure mercury lamps equipped with spectral filters (350-400nm). With an initial concentration of 0.6 weight-% of 16a, a conversion to 17a of 95% was achieved. The isolated yield of 17a was likewise high with 90%. In contrast, the analogue reaction under batch conditions produced a much lower conversion of 85% and required a dilution to 0.1 weight-% of 16a. The isolated yield of 17a was significantly lower with just 50% due to subsequent photodecomposition.

Photocycloadditions

Vasudevan and co-workers from Abbott Laboratories have recently designed a <u>flo</u>w-based <u>photo</u>chemical <u>reac-</u> tor (LOPHTOR) and have applied it to intramolecular



Scheme 6. Intramolecular [2+2]-cycloaddition of coumarin derivative 20 and additional target molecules 22-25 as studied by Abbott Laboratories.

[2+2]-cycloadditions of coumarin derivatives like 20 (Scheme 6).¹⁶ The cyclobutane photoproduct **21** served as novel building blocks in their lead development program. The reactor contained a stainless steel reaction channel plate that was sealed off with a pressurized FEP membrane. Irradiation was achieved through a quartz window using a 450W medium pressure mercury lamp. An auto-sampler system connected to the inlet of the LOPHTOR device enabled rapid optimization of the reaction conditions. With a 0.085M solution of 20 in benzene, the optimal residence time of 2h was determined and the corresponding cyclobutane 21 was isolated in an excellent yield of 98%. Under the same reaction conditions, a 10-times scale-up was achieved with no drop in yield. When the transformation was performed under batch conditions, the desired compound 21 was isolated in a much reduced yield of 30% despite prolonged irradiation for 24h. Scale-up potential was also demonstrated through a concentration study. Choosing a standard residence time of 2h, the conversion rate remained high with >95% across a broad concentration range of 20 of 0.085-0.425 M. The batch vs. microreactor comparison was furthermore extended to other coumarin derivatives. In all cases, the LOPHTOR reactor achieved higher yields and conversions rates for the corresponding cyclobutanes 22-25 after much shorter irradiation times. Regio- and diastereoselectivity, how-



Scheme 7. Intramolecular [2+2]-cycloadditions of cyclopentenone derivative 26 as studied by Hoffmann-LaRoche.

ever, were comparable in both setups.

In a related study, Nettekoven and coworkers from Hoffmann-LaRoche examined the multi-gram synthesis of the novel cyclobutane building block 27 in a commercially available microreactor (Scheme 7).¹⁷ The selected Ehrfeld Photoreactor XL is fabricated from stainless steel and has a Quartz window separated by a narrow dartek[®] gasket. When an acetone solution of the cyclopenenone derivative 26 was pumped into the reactor room from the bottom, a thin liquid film of $20-90 \,\mu m$ was created. Irradiations were performed using a unit of 4×8 W UVC fluorescent tubes ($\lambda = 254$ nm). The transformation of 26 was systematically optimized in terms of flow rate, layer-thickness and reagent concentration. Using an optimal flow rate of 3 mL/min (film: $90 \mu \text{m}$) and an initial concentration of 26 of 0.5% in acetone, the reactor system delivered 6.4g (48%) of the desired tricyclic product 27 after 17h of continuous operation. A further scale-up was realized successfully and 48g (48%) of 27 were obtained after 111h.

Photoadditions and Photocleavages

An interesting example of a photoredox reaction has been investigated by Andrews and co-workers (Scheme 8).¹⁸ Under batch conditions, the addition of glucosyl bromide 28a to acrolein (29) depended critically on the size of the reaction vessel. When using an NMR tube with a diameter of 5mm, a 73% conversion to 30a was reached within 1h, thus corresponding to a turnover frequency (TOF) of 70h⁻¹. In a 25mL Schlenk flask, however, the same transformation required 24h to achieve a conversion of 85%, therefore resulting in a significantly reduced TOF of just 3.5h⁻¹. This finding was attributed to the strong absorption of the photoredox catalyst [Ru $(dmb)_{3}]^{2+}$ ($\varepsilon = 17,000 \text{ m}^{-1} \text{ cm}^{-1}$; dmb = 4,4'-dimethyl-2,2'bipyridine) which resulted in poor light transmission and complete absorption of light within a narrow laver of the reaction mixture. The transformation was thus transferred to continuous flow conditions using FEP tubing, which was wrapped around a standard Liebig condenser. Inside the condenser were placed three stripes of 1W blue LEDs that were cooled by passing water through the condenser jacket. Up to 3 of these reactor modules were connected in series to achieve multi-gram scale synthesis of the C-glycoside intermediate 30a, which subsequently served as a precursor to a series of C-linked glycoconujugates. The effects of tubing diameter and catalyst loading on the TOF were furthermore studied and values between 17-120h⁻¹ were determined. Multi-gram scale preparations of 30a were achieved with two reactor modules using a 121 mM solution of 28a, 4 equivalents of 29 and continuous operation at a flow rate of 0.1 mL/min for 24h. Following this approach, 5.46g (77%) of **30a** could be isolated. The pivaloatederived starting material 28b required extended irradiation times and near complete conversion of >97% was



Scheme 8. Photoredox additions of glucosyl bromides 28 to acrolein (*i*Bu-HEH=diisobutyl 2,6-dimethyl-1,4-dihydropyridine-3,5-dicarboxylate).





quartz coils, Al reflector

Scheme 9. Synthesis of 2'-deoxynucleoside 33 via photosensitized deoxygenation of 31.



Scheme 10. Continuous microflow synthesis of the anti-HIV drug didanosin 35 from 34 via tandem photodeoxygenation/deprotection.

reached after 24h with three modules. From this experiment, 4.62g (46%) of the corresponding aldehyde **30b** were isolated. The results unambiguously confirm that microscopic dimensions can successfully compensate for unfavorable absorption properties.

Photosensitized deoxygenation reactions to prepare 2'-deoxy- and 2',3'-dideoxynucleosides have been described by Shen and co-workers (Scheme 9).¹⁹ Under batch conditions, the transformation requires prolonged irradiation times of 2h and frequently results in overdeoxygenation and thus reduced yields. The authors designed and applied a specialized photochemical microflow reactor using customized quartz tubing in combination with 450W medium pressure mercury lamp in an quartz immersion well, a Pyrex filter and an aluminium mirror. This photoreactor was submerged in a cooling water bath to maintain temperatures of 0-50°C. The flow operation mode led to a considerable decrease in irradiation time (<20 min), coupled with an increase in selectivity and isolated yield. Stepwise process optimization identified 10mol% of 3,6-dimethoxy-9-ethylcarbazole **32** as the most effective photosensitizer and 45° C as the best reaction temperature. Under these conditions and using a degassed, 0.01 M solution of **31** in aqueous (10 vol%) isopropanol, the transformation of the m-CF₃-benzoate 31 in the presence of 32 furnished the desired thymidine 33 in an isolated yield of 84% after only 10min of irradiation. The flow reaction conditions were subsequently applied to other ribonucleosides, which all produced high yields of 61-85% after short residence times of <10 min. Double deoxygenations to 2',3'-dideoxynucleosides were furthermore demonstrated successfully but required a higher photosensitizer loading of 20 mol% and extended irradiation times of 20min.

The photodeoxygenation protocol was furthermore coupled with deprotection to realize an integrated continuous one-flow, two-step process for the synthesis of unprotected deoxynucleosides. An aqueous solution of NaOH was injected via a T-mixer into the effluent stream of the photoreaction and the reaction mixture was pumped through a perfluoroalkoxy (PFA) tubing at 50°C. Complete deprotection proceeded smoothly within 10min and furnished the corresponding fully unprotected 2'-deoxynucleosides in high combined yields of up to 85%. The total reaction time for the tandem process was kept below 20min. An elegant application of this microre-



Scheme 11. Continuous synthesis of the anti-malaria drug artemisinin (39) from 37 via a tandem photochemical/thermal process under microflow conditions.

action coupling was the synthesis of the anti-HIV drug didanosine **35** from **34** in a yield of 73% (Scheme 10).

Photooxygenations

The synthesis of the important anti-malaria compound artemisinin (39) via a combined photochemical-thermal microflow process has been recently established by Lévesque and Seeberger (Scheme 11).²⁰ The starting material, dihydroartemisinic acid (37) is readily available in large quantities from artemisinic acid (36) via reduction. Into a 0.2 M solution of 37 in dichloromethane containing a small amount of tetraphenylporphyrin (TPP; 1 mM) as a photosensitizer is carefully injected a slow stream of oxygen. A slug flow pattern is created and this mixture is introduced into a FEP capillary reactor where it is irradiated with UV light from a 450W medium pressure mercury lamp. Photooxygenation generates the hydroperoxide intermediate 38, which is mixed with trifluoroacetic acid (TFA) at the outlet of the photochemistry module. This mixture enters a second, thermal $(60^{\circ}C)$ reactor module made of polytetrafluoroethylene (PTFE) tubing, in which 38 is converted into artemisinin (39) via a multi-step reaction cascade. The residence times were estimated to 2 min for the photochemical and 2.5 min for the thermal reactor, respectively. The entire process operates very efficiently without the need of purification or isolation of intermediates. Following this approach, artemisinin (39) is isolated from 37 (5) mmol scale) in a yield of 39% over two steps. The simple tandem reactor system had a capability of producing 200g of **39** per day. Thus, the authors estimated that about 1,500 reactor systems would satisfy the annual artemisinin (39) demand of >200 million doses.

Conclusion

The examples presented in this article unambiguously demonstrate the superiority of microflow photochemistry over conventional batch processes. By reducing the dimensions of the reactor and operation in flow mode, efficiencies (conversions/yields/energy usage) and selectivity can be drastically improved. It is hoped that this novel synthesis tool will find widespread applications in pharmaceutical R&D and production processes. The operating Heraeus Noblelight process¹⁵ and the examples by Abbott Laboratories¹⁶ and Hoffmann-La Roche¹⁷ clearly support this evaluation. Microflow photochemistry of the future'.²¹

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Profile —

Michael Oelgemöller:

Michael Oelgemöller received his Diploma from the University of Münster in 1995 and his PhD from the University of Cologne in 1999. He was a researcher at the Inoue Photochirogenesis project in Osaka (1999-2001) and at Bayer Crop-Science Japan in Yuki (2001-2004). From 2004-2008 he held a position as a lecturer in Organic and Medicinal Chemistry at Dublin City University, Ireland. In February 2009 he joined James Cook University in Australia as an Associate Professor in Organic Chemistry. His research activities include synthetic organic photochemistry, solar photochemistry, the development of new photochemical synthesis tools and photochemical water treatment. He received the Kurt-Alder award of the University of Cologne in 2000 and has been a visiting professor at Osaka University (2006), the University of Pau (2009 and 2012), Osaka Prefecture University (2011) and the Nara Institute of Science and Technology (2012). He is a leading expert in green (solar) photochemistry and microflow photochemistry.

Akiko Murata:

From 1997–2009 Akiko Murata worked in the Research and Development division of Dainippon Screen Mfg. Co., Ltd. As an assistant manager within the process technology division, she was in charge of material development and the development of microreactors. During 2004-2006 she was furthermore a research student at Osaka Prefecture University. In 2009, she worked for Nano cube Japan Co., Ltd. In 2010, she became a research assistant and PhD course student in Functional Molecular Chemistry at the Graduate School of Engineering of Kobe University.

