

ResearchOnline@JCU

This is the **Accepted Version** of a paper published in the
journal *Theriogenology*:

Paris, D.B.B.P., and Stout, T.A.E. (2010) *Equine embryos
and embryonic stem cells: defining reliable markers of
pluripotency*. *Theriogenology*, 74 (4). pp. 516-524.

<http://dx.doi.org/10.1016/j.theriogenology.2009.11.020>

1 **Equine embryos and embryonic stem cells: Defining reliable markers**
2 **of pluripotency**

3
4 **Damien B. B. P. Paris* and Tom A. E. Stout**

5
6 Department of Equine Sciences, Faculty of Veterinary Medicine, University of Utrecht, Yalelaan
7 114, 3584 CM, Utrecht, The Netherlands.

8
9 * Corresponding author: Tel: +31 30 253 9713

10 Fax: +31 30 253 7970

11
12 Email addresses: DBBPP: D.Paris@uu.nl

13 TAES: T.A.E.Stout@uu.nl

14

15 **Abstract**

16

17 Cartilage and tendon injuries are a significant source of animal wastage and financial loss
18 within the horse racing industry. Moreover, both cartilage and tendon have limited intrinsic capacity
19 for self-repair, and the functionally inferior tissue produced within a lesion may reduce performance
20 and increase the risk of re-injury. Stem cells offer tremendous potential for accelerating and
21 improving tissue healing, and adult mesenchymal stem cells (MSC) are already used to treat
22 cartilage and tendon injuries in horses. However, MSCs are scarce in the bone marrow isolates
23 used, have limited potential for proliferation and differentiation *in vitro* and do not appear to
24 noticeably improve long-term functional repair. Embryonic stem cells (ESC) or induced pluripotent
25 stem (iPS) cells could overcome many of the limitations and be used to generate tissues of value for
26 equine regenerative medicine. To date, six lines of putative ESCs have been described in the horse.
27 All expressed stem cell-associated markers and exhibited longevity and pluripotency *in vitro*, but
28 none have been proven to exhibit pluripotency *in vivo*. Moreover, it is becoming clear that the
29 markers used to characterise the putative ESCs were inadequate, primarily because studies in
30 domestic species have revealed that they are not specific to ESCs or the pluripotent inner cell mass,
31 but also because the function of most in the maintenance of pluripotency is not known. Future
32 derivation and validation of equine embryonic or other pluripotent stem cells would benefit greatly
33 from a reliable panel of molecular markers specific to pluripotent cells of the developing horse
34 embryo.

35

36 **Keywords**

37 stem cell; pluripotency; cell differentiation; embryo; inner cell mass; horse

38

39 **1. Potential for stem cell therapy in the horse**

40

41 Injury-induced failure of horses to compete in the races for which they were bred and
42 trained costs the racehorse industry an estimated US\$6.5 billion per year [1]. Moreover, many of the
43 more common equine athletic injuries affect musculoskeletal tissues with a limited capacity for
44 complete functional repair (e.g. cartilage and tendon). In this respect, osteoarthritis is a frequent
45 cause of equine lameness [2] with joint problems accounting for 15 to 25% of horses lost from
46 training [3,4], while injuries to the superficial digital flexor tendon account for up to 46% of limb
47 injuries, which are in turn responsible for 76% of all injuries sustained during Thoroughbred horse
48 races [5]. The limited intrinsic capacity for self-repair, particularly in adults, makes treatment of
49 cartilage defects challenging [6], and while tendons will eventually heal given careful management,
50 the repaired tissue is functionally inferior and predisposes to reduced performance and high re-
51 injury rates [7]. The economic and welfare costs of these performance-related injuries in horses
52 have stimulated interest in stem cell-based regenerative medical techniques to accelerate and
53 improve healing. Indeed, mesenchymal stem cells (MSC) are already used clinically in horses in an
54 attempt to improve both cartilage and tendon repair following injury [8,9]. In addition, because of
55 the similarities in size, load and types of injury suffered to joints in horses and humans, a recent
56 FDA report concluded that the horse was the most appropriate model animal for testing and
57 monitoring clinical effects of new therapies for certain types of joint injury in man [10]. This paper
58 briefly reviews the current state of stem cell therapies in the horse, and the potential role for and
59 limitations associated with the isolation and characterization of equine embryonic stem cells.

60

61 ***2. Current equine stem cell therapies***

62

63 Mesenchymal stem cells (MSC) are the local, multipotent precursors of connective tissues
64 such as muscle, fat, tendon, ligament, bone and cartilage [11]. Their ability to self-renew and to
65 differentiate into the respective tissues of interest means that they offer tremendous potential for
66 equine regenerative medicine. Bone marrow-derived MSCs are currently the cell of choice for

67 treating cartilage or tendon injuries in horses [12]. In general, MSCs are recovered from bone
68 marrow aspirates and part-purified by density gradient centrifugation and selective adhesion onto
69 tissue culture plastic, and then expanded to confluent monolayers *in vitro* [12]. As conditions
70 required for *in vitro* tenogenesis are poorly described, undifferentiated MSCs are currently injected
71 directly into a tendon deficit [8,13]. For the treatment of cartilage injuries, MSCs can either be
72 transplanted directly or differentiated into chondrocytes by pellet culture in chondrogenic medium
73 containing serum, a mixture of growth factors (including TGF β 1, TGF β 3, BMP2, BMP4, BMP6,
74 BMP7 or FGF2) and dexamethasone [4,9,14,15].

75 Preliminary studies in laboratory animals using MSCs for the treatment of tendon injuries
76 have shown an improvement in collagen-fibre regeneration, histological appearance and mechanical
77 function of tendon-like tissue [reviewed in 16]. By contrast, controlled scientific studies in horses,
78 although limited in number, have shown no noticeable improvement in tendon structure or function
79 compared to controls [8,13]. Studies using MSCs to treat articular cartilage injuries in rabbits, dogs
80 and goats also report improvements in the quality of cartilage repair [reviewed in 9,12]. However,
81 while treatment with autologous MSCs also appeared to improve cartilage repair in osteoarthritic
82 knees of human patients, it did not yield significant clinical improvement [17]. Similarly in the
83 horse, while MSCs improved the early healing response in articular cartilage lesions, it did not
84 enhance long-term tissue repair over controls [9]. The latter authors concluded that the
85 disappointing long-term results may be because MSCs are lost from the lesion over time, and they
86 recommend that future efforts should be directed towards inducing differentiation into chondrocytes
87 before implantation [9].

88 There are also technical limitations to the use of MSCs for the repair of cartilage and tendon.
89 MSCs are scarce in bone marrow aspirates, require considerable time (~32 d) for expansion
90 between isolation and implantation, and have a more limited potential for *in vitro* proliferation and
91 targeted differentiation than cultured chondrocytes. In addition, bone marrow-derived adult MSCs
92 are more difficult to isolate with increasing donor age and show reduced plasticity and growth as a

93 factor of both increasing donor age and number of *in vitro* passages [18-20]. On the other hand, the
94 harvesting and auto-transplantation of expanded chondrocytes or tenocytes is not a viable option
95 because two rounds of surgery would be required, and isolation of the cells required for expansion
96 in culture may damage existing functional tissue.

97 Embryonic stem cells (ESC) may offer a solution to the drawbacks encountered when using
98 MSCs for tissue repair, since they offer virtually unlimited proliferation potential and longevity
99 [21]. Isolated from the inner cell mass of blastocyst stage embryos, ESCs are truly pluripotent and,
100 thus, able to give rise to all three germ layers of a developing embryo (endoderm, ectoderm and
101 mesoderm) [22,23]. In addition, ESCs are amenable to genetic modification and differentiation into
102 specific cell types or incorporation into chimeric animals, and thereby offer the potential for
103 generating reporter cell lines or gain/loss of function disease models [24,25]. Such models would be
104 invaluable to studies of the more than 189 genetic diseases described in the horse, of which
105 approximately 95 have high homology with human genetic defects [1]; or to elucidate the
106 underlying genetic causes of early embryonic death which is a source of considerable economic loss
107 to the equine breeding industry [26]. Unlike MSCs, however, undifferentiated ESCs can not be used
108 for direct transplantation because of the risk of teratoma formation [23]. Nevertheless, culture
109 conditions have been described for the directed differentiation of human and mouse ESCs into both
110 cartilage and, recently, tendon [27,28].

111

112 **3. Progress in equine ESC isolation**

113

114 To date, the isolation and partial characterization of six putative equine ESC lines has been
115 reported [29-31], although attempts to derive equine ESCs from *in vitro* produced embryos, or using
116 interspecies somatic cell nuclear transfer, have so far been unsuccessful [32,33].

117 Saito *et al.* [29] microsurgically dissected the ICM from Day 6 to 7 *in vivo* blastocysts and
118 then cultured the resulting cells for 3 to 5 d on bovine umbilical cord fibroblasts in α MEM

119 supplemented with fetal calf serum and human LIF. ES-like cells were selected and passaged on
120 fresh feeder layers every 6 to 7 d. Two out of the three ICMs (67%) cultured yielded ES-like cell
121 lines which had a stable karyotype and maintained *in vitro* proliferation potential for more than 56
122 passages (392 cell divisions; Table 1). The cells could be maintained without LIF and were positive
123 for several stem-cell associated markers including octamer binding protein 4 (*OCT4*; also known as
124 *POU5F1*), signal transducer and activator of transcription 3 (*STAT3*), alkaline phosphatase (*AP*),
125 and stage-specific embryonic antigen 1 (*SSEA1*). These ES-like cells could also be induced to
126 differentiate into neural precursors by culture in the presence of basic fibroblast growth factor
127 (bFGF), epidermal growth factor (EGF) and platelet-derived growth factor (PDGF); and into
128 haematopoietic and endothelial precursors using bFGF, stem cell factor (SCF) and oncostatin M
129 (OSM).

130 In the study by Li *et al.* [30], the ICMs of Day 8 *in vivo* expanded blastocysts were dissected
131 immunosurgically and then cultured for 7 d on mouse embryonic fibroblasts in DMEM/nut mix F12
132 supplemented with fetal calf serum and human LIF. ES-like cells were then mechanically passaged
133 on fresh mouse embryonic fibroblasts or horse fetal fibroblasts every 4 to 7 d. Approximately 33%
134 of ICMs cultured in the presence of feeders and LIF yielded ES-like cell lines (n = 3) and a fourth
135 cell line was derived in a follow-up study [31] (Table 1). In contrast to the ES-like cells produced
136 by Saito *et al.* [29], however, both feeder cells and LIF were required to maintain cells in an
137 undifferentiated state. Karyotype stability was not reported in this study but, after more than 26
138 passages, cells were still positive for *OCT4*, *STAT3*, *AP*, *SSEA1*, *SSEA4*, Trafalgar-1-60 (*TRA-1-60*)
139 and *TRA-1-81*. Removal of LIF and feeder layers from the *in vitro* culture medium resulted in the
140 differentiation of the ES-like cells into neural ectoderm, visceral endoderm and cardiac and
141 haematopoietic mesoderm. In contrast to human ESC controls, however, the four lines of equine
142 ES-like cells did not form teratomas when injected into the testes of immunocompromised mice.

143 Compared to most other large domestic species [34], isolation of ES-like cells from *in vivo*
144 horse blastocysts therefore appears to be relatively straightforward. All six cell lines described

145 above exhibited ESC-like characteristics such as longevity, expression of stem cell-associated
146 markers and *in vitro* pluripotency (Table 1). On the other hand, the absence of any data verifying *in*
147 *vivo* pluripotency of the cells (e.g. teratoma or chimera formation) means that the cells cannot yet be
148 definitively classified as ESCs. Li *et al.* [30] suggested that the failure of their equine ES-like cells
149 to generate teratomas in an immunoprivileged site may be a peculiarity of equine ESCs. Certainly,
150 the failure of teratoma formation is difficult to explain given that murine and primate ESCs, porcine
151 ES-like cells, and bovine and porcine pluripotent ICM all yield teratomas under similar conditions
152 [22,23,35-37]. Equine ICM transplantation experiments may help to determine whether this
153 anomaly really is due to a peculiarity of equine pluripotent cells, or because the ES-like cells tested
154 were not true ESCs. In this latter respect, it is possible that sub-optimal culture conditions for the
155 equine ES-like cells led to a loss of pluripotent potential over time. Indeed, murine ESCs have been
156 reported to lose their ability to generate pure ESC-derived offspring, or contribute to the germ line
157 in chimeras, with increasing passage number [reviewed in 38]. The equine cells used in Li *et al.*'s
158 teratoma trials were between passage 14 and 26 [30], by which time culture-induced changes could
159 have reduced their *in vivo* pluripotent potential. It has also been reported that even recently isolated
160 murine epiblast SCs, which closely resemble human ESCs, are unable to form chimeras, or do so
161 with poor efficiency, just like ES-like cells from large domestic species [39-41]. In short, it appears
162 that ES-like cells derived from the epiblast of a range of domestic species, which may include the
163 horse, have more restricted pluripotent potential than true ESCs, and the current putative equine
164 ESCs could quite conceivably actually be epiblast SCs.

165 Sub-optimal culture conditions can also inadvertently select and enrich an alternative SC
166 line. In ungulates, trophectoderm and primitive endoderm can outgrow cultured ICM even after
167 careful dissection or immunosurgery, while these cells can still form embryoid-like bodies
168 reminiscent of those formed by ESCs [34]. In addition, epithelial cells grown on fibroblast feeder-
169 layers can both look ES-like and be *AP* positive [34], while even multipotent (murine) adult
170 progenitor cells have been shown to be able to differentiate into endoderm, ectoderm and mesoderm

171 [42]. Currently, our understanding of the processes controlling pluripotency in equine cells is both
172 rudimentary, and based on the assumption that they will be comparable to those described for mice
173 or man. Reliable markers or cell behaviour tests for the pluripotent state are clearly required both to
174 definitively characterise existing lines of equine ESCs and to advance our understanding of how to
175 manipulate cellular differentiation in equine cells. Moreover, without these markers, attempts to
176 derive new cell lines or improve culture conditions will be impaired because any culture-induced
177 changes that alter their pluripotent potential will go undetected.

178

179 ***4. Problems with existing pluripotency markers in domestic species***

180

181 A golden rule in the characterisation of ESCs is that their behaviour should recapitulate what
182 occurs naturally *in vivo* [21]. Likewise, markers for pluripotency in ESCs should only be expressed
183 in cells destined to form the embryonic ICM. SSEA1, SSEA3 and SSEA4 are all cell surface
184 antigens associated with pluripotent stem cells, but their precise functions in the maintenance of
185 pluripotency is not known. Furthermore, none of these markers can be used in isolation as a cross-
186 species definitive indicator of pluripotency because there are considerable between species
187 differences in their expression in both ESCs and embryos. For example, *SSEA1* is expressed in
188 mouse but not human ESCs [22,23], *SSEA3* is expressed in human ESCs and horse embryos but not
189 horse ES-like cells [23,31], and the expression of *SSEA4* differs between horse ES-like cell lines
190 [29,31] (Table 1). Moreover, SSEA1 and SSEA4 proteins are not confined to the ICM of caprine
191 embryos but are also expressed in the trophectoderm [43]. TRA-1-60 and -81 are also cell surface
192 antigens that recognize different epitopes of a common glycoprotein of which the exact function is
193 not yet known [44]. Furthermore, while AP staining is also widely used to characterise ESCs it is
194 neither ESC nor ICM-specific, since it also labels other stem cell types and is expressed at different
195 times by either the epiblast or trophectoderm [34,45,46].

196 Even genes for which a function in the maintenance of pluripotency has been validated
197 using knockout mouse models often have different expression patterns in other species. In the
198 mouse, *Oct4* is known to specify the ICM while *Nanog* specifies the pluripotent epiblast; both are
199 therefore restricted to the ICM in expanded murine blastocysts [47-49]. By contrast, OCT4 protein
200 is strongly expressed in both the ICM and trophoctoderm of human, primate, bovine, caprine and
201 porcine blastocysts [43,48,50-52], but also primitive endoderm cell lines [34]. Moreover, both
202 *NANOG* mRNA and protein appear to be completely absent during the period of ICM and epiblast
203 formation in the pig [51], while in primate blastocysts, *NANOG* rather than *OCT4* has been
204 proposed to specify the ICM [52].

205 The different expression of *OCT4* and *NANOG* in species other than the mouse, suggests
206 that there are species-specific differences in genes regulating pluripotency and early lineage
207 segregation which may reflect differences in embryonic development. In the pig and cow, for
208 example, prolonged *OCT4* expression in the trophoctoderm has been proposed to be necessary for
209 rapid proliferation of the trophoctoderm during embryonic elongation on Days 11 to 12 after
210 fertilization [48,51]. Differences in the form of, and mechanisms underlying embryogenesis and
211 placental development may also partly explain why the derivation of embryonic stem cells has
212 proven so difficult in many large domestic species [34]. In short, accepted murine ESC markers
213 may not be appropriate to other species, and a species-specific approach is likely to be necessary to
214 validate adequate markers of pluripotency.

215

216 ***5. Pluripotency markers in equine embryos***

217

218 Since early equine embryogenesis differs significantly to that of the mouse, pig, cow and
219 human [34,53], it is reasonable to anticipate different temporal and spatial expression patterns for
220 putative pluripotency genes in the horse. The genome of the early equine embryo is thought to
221 activate at the 4 to 8 cell stage, i.e. during the prolonged 6 d migration through the oviduct [54].

222 When the embryo enters the uterus on Day 6, it is at the compacted morula stage, but within a few
223 hours has developed into an early blastocyst with the first clearly visible signs of trophoctoderm and
224 ICM differentiation [53,55]. At around the same time, a unique glycoprotein tertiary embryonic coat
225 (the ‘blastocyst capsule’) forms between the trophoctoderm and zona pellucida; it remains in place
226 until around Day 21 and is essential for the continuation of pregnancy [56]. After segregation of the
227 ICM into epiblast and hypoblast on around Day 8 primitive endoderm forms as separate colonies
228 distributed sporadically over the interior of the trophoblast, which then expand and coalesce [57].
229 The next microscopically visible evidence of a major cell differentiation event, gastrulation,
230 commences on Day 11 to 12 with the appearance of the primitive streak and the first discernable
231 mesoderm cells [57,58]. Unlike porcine and bovine conceptuses, the pre-implantation equine
232 conceptus remains spherical (i.e. it does not elongate) and highly mobile, migrating continuously
233 throughout the uterus until approximately Day 16, a process that is essential to maternal recognition
234 of pregnancy [59]. The trophoctoderm first makes direct physical contact with the endometrium as
235 late as Day 22 to 23, following dissolution of the blastocyst capsule and, at around Day 35, a
236 specialized band of trophoctoderm cells invades the endometrium to establish the temporary, eCG-
237 secreting endocrine organs, the endometrial cups. True placental formation (i.e. trophoctoderm-
238 endometrium interdigitation) does not start until as late as Day 40 of gestation, and is then of the
239 non-invasive epitheliochorial type [60].

240 It is quite conceivable that the various idiosyncrasies of early equine conceptus development
241 profoundly affect the pattern of gene expression within the various cell lineages of the conceptus.
242 However, the genes regulating pluripotency and early lineage segregation in the horse have yet to
243 be fully described. To date, just three studies have reported the (changes in) expression or ICM-
244 specificity of putative pluripotency markers in early horse embryos [31,33,61] (Table 2). Hinrichs *et*
245 *al.* [33] reported that OCT4 protein was expressed in the cytoplasm and nucleus of immature
246 oocytes and that the level of expression decreased gradually during the first 4 d of *in vitro* culture in
247 embryos produced by ICSI, before increasing again in the cell nuclei during Days 5 to 7,

248 presumably as a result of embryonic genome activation. Interestingly, OCT4 protein was found in
249 both the ICM and trophectoderm of Day 7 to 10 blastocysts and lost from the trophectoderm of *in*
250 *vitro* embryos larger than 1 000 µm in diameter only if they had been exposed to the uterine
251 environment for 2 to 3 d by embryo transfer [33]. For *in vivo* Day 7 to 10 blastocysts, OCT4 protein
252 expression was strong in the ICM and weak in the trophectoderm, and completely confined to the
253 ICM of embryos exceeding 600 µm in diameter. AP staining was similarly stronger in the ICM than
254 in the trophectoderm [31,33]. Our own studies suggest that *OCT4* mRNA expression decreases
255 successively during the morula to early blastocyst and the early to expanded blastocyst transitions, a
256 pattern consistent with a role in ICM specification. We also recorded down-regulation of *NANOG*
257 mRNA, but only at the early to expanded blastocyst transition, a pattern that fits a role in
258 specification of the epiblast [61]. These preliminary findings need however to be confirmed at the
259 level of protein expression and distribution. Similar to the situation for OCT4, proteins of the
260 pluripotency-associated cell surface markers SSEA1, SSEA3, SSEA4, TRA-1-60 and TRA-1-81,
261 were found to be expressed throughout both the ICM and trophectoderm of Day 7 blastocysts [31]
262 (Table 2), raising similar questions as to their suitability as pluripotency markers.

263 During morula to expanded blastocyst development in the horse, there is a rapid increase in
264 cell number from 160 to over 2 900 that occurs predominantly in the trophectoderm [62]. As in the
265 pig and cow, the dramatic proliferation of trophectoderm cells may explain the prolonged
266 expression of *OCT4* in this tissue. The even more prolonged expression of *OCT4* by trophectoderm
267 of *in vitro* horse embryos may reflect the significant retardation of development that appears to
268 occur under these conditions [63], and may partially explain why deriving ESCs from this source
269 has proven difficult [33]. It is possible that a similar delayed down-regulation in trophectoderm
270 cells until the horse embryo exceeds a threshold size or developmental stage also applies to other
271 pluripotency markers, although this remains to be tested. Certainly, it appears that the panel of
272 markers used to characterise pluripotency and ESCs in the horse to date are inadequate.

273

274 **6. Where to from here?**

275

276 Identification and validation of embryonic stem cells and indeed understanding of the
277 molecular conditions required for pluripotency in domestic species such as the horse requires a
278 reliable set of molecular markers proven to be both specific and exclusive to the pluripotent cells of
279 the developing embryo. Since the details of embryogenesis differ markedly between species, the
280 exact determinants of pluripotency may also vary; such studies should therefore be carried out on a
281 per species basis. Nevertheless, recent work to define the interaction networks controlling
282 pluripotency in mouse and human ESCs has provided a useful list of candidate genes to evaluate in
283 other species [64,65]. We have been using just this type of information to examine the expression of
284 potential pluripotency-associated genes in equine embryos and have found that factors such as
285 growth and differentiation factor 3 (*GDF3*), developmental pluripotency-associated 4 (*DPPA4*) and
286 telomerase reverse transcriptase (*TERT*) are down-regulated during the morula to early blastocyst
287 transition [61]. The time-course of mRNA expression for these genes therefore suggest that they
288 may be useful markers for pluripotency, and whole mount immunofluorescent studies are ongoing
289 to examine the ICM-specificity of these, and other candidate, genes at the protein level. In addition
290 to this candidate-gene ‘bottom-up’ approach, newly emerging molecular tools such as equine-
291 specific microarrays will enable a ‘top-down’ approach to examine comparative global gene
292 expression profiles in equine embryos and putative ESCs and thereby identify a wide array of genes
293 with potential roles in sustaining or terminating pluripotency.

294 Once defined, a suitable panel of pluripotency markers could be used to further characterise
295 existing equine ES-like cells [29-31], and to assist in the establishment and validation of newly
296 derived pluripotent cell lines. Moreover, understanding the molecular mechanisms regulating
297 pluripotency in the horse will permit more detailed classification of the diverse range of stem cells
298 currently used in equine regenerative medicine; an analogous process was recently initiated for
299 human regenerative medicine [65].

300 For some domestic species, optimizing specific culture conditions that maintain
301 pluripotency is likely to be critical to successfully establishing ESCs. In the pig and cow, for
302 example, primary epiblast cells can only be cultured on STO feeder cells, are highly sensitive to
303 lysis and rapidly differentiate within 48 to 96 h [38]. By contrast, the isolation of ES-like cells from
304 cultured ICM appears to be more robust and efficient in the horse [29,30]. There is, however, still a
305 need to optimize culture conditions for equine ES-like cells (by determining optimal media, feeder
306 cells and cytokine requirements) to avoid culture-induced changes that may compromise indices of
307 cellular pluripotency, such as teratoma forming ability. In this respect, reliable markers would
308 permit the monitoring of pluripotency state during culture. Although beyond the scope of this
309 review, alternative cell types, such as embryonic germ cells (EGCs) may overcome problems of
310 limited *in vivo* pluripotency in equine ES-like cells, as has been demonstrated for porcine and
311 bovine EGCs [reviewed in 41].

312 A potential advantage of ESCs is ‘off the shelf’ use for allogenic transplantation. However,
313 if they are to be used in this way, it is important to examine the likely immunogenicity of these cells
314 and their derivatives. Transplantation rejection is governed primarily by MHC antigens and there
315 are indications that some ESC derivatives do not express some MHC antigens and/or have other
316 characteristics that help them avoid rejection [66,67]. If equine ESCs do not show reduced MHC
317 expression, alternative technologies such as somatic cell nuclear transfer-derived ESCs and induced
318 pluripotent stem (iPS) cells may offer ways of generating ‘disease-specific’ or ‘patient-specific’
319 pluripotent cells. Indeed, patient-specific genetic defects have already been treated by a
320 combination of iPS, cell and gene therapy technologies in the mouse [68], while the recent report of
321 iPS generation from porcine cells [69] suggests that derivation of similar pluripotent cells may soon
322 be possible for the horse.

323

324 **7. Conclusion**

325

326 Due to their unlimited potential for proliferation and ability to differentiate into all three
327 germ layers, embryonic stem cells offer tremendous potential for regenerative therapy of tissues
328 with a limited capacity for self-repair. However, it is clear that (i) existing equine ES-like cells are
329 not yet fully characterised, and (ii) current markers used to characterise pluripotency in equine cells
330 are inadequate. Establishing a panel of validated pluripotency markers specific to the horse should
331 simplify future attempts to identify, isolate, characterise, and classify equine pluripotent stem cells
332 derived either from embryos or from other tissues, e.g. by iPS technology.

333

334 ***8. Author contributions***

335

336 DP was the primary author. TS read and critically revised the manuscript. Both authors
337 approved the final manuscript.

338

339 ***9. Acknowledgements***

340

341 We thank Bernard Roelen and Ewart Kuijk for their insights and useful discussions during
342 preparation of the manuscript.

343

344 **References**

345

346 [1] Tecirlioglu RT, Trounson AO. Embryonic stem cells in companion animals (horses, dogs and
347 cats): present status and future prospects. *Reprod Fert Dev* 2007;19:740-7.

348

349 [2] Riggs CM. Osteochondral injury and joint disease in the athletic horse. *Equine Vet Educ*
350 2006;18:100-12.

351

352 [3] Wilsher S, Allen WR, Wood JLN. Factors associated with failure of Thoroughbred horses to
353 train and race. *Equine Vet J* 2006;38:113-8.

354

355 [4] Stewart AA, Byron CR, Pondenis H, Stewart MC. Effect of fibroblast growth factor-2 on equine
356 mesenchymal stem cell monolayer expansion and chondrogenesis. *Am J Vet Res* 2007;68:941-5.

357

358 [5] Williams RB, Harkins LS, Hammond CJ, Wood JLN. Racehorse injuries, clinical problems and
359 fatalities recorded on British racecourses from flat racing and National Hunt racing during 1996,
360 1997 and 1998. *Equine Vet J* 2001;33:478-86.

361

362 [6] Hunziker EB. Articular cartilage repair: basic science and clinical progress. A review of the
363 current status and prospects. *Osteoarthritis Cartilage* 2002;10:432-63.

364

365 [7] Dowling BA, Dart AJ, Hodgson DR, Smith RKW. Superficial digital flexor tendonitis in the
366 horse. *Equine Vet J* 2000;32:369-78.

367

368 [8] Smith RKW, Korda M, Blunn GW, Goodship AE. Isolation and implantation of autologous
369 equine mesenchymal stem cells from bone marrow into the superficial digital flexor tendon as a
370 potential novel treatment. *Equine Vet J* 2003;35:99-102.
371

372 [9] Wilke MM, Nydam DV, Nixon AJ. Enhanced early chondrogenesis in articular defects
373 following arthroscopic mesenchymal stem cell implantation in an equine model. *J Orthop Res*
374 2007;25:913-25.
375

376 [10] Cellular, Tissue and Gene Therapies Advisory Committee. Cellular products for joint surface
377 repair: FDA Center for Biologics Evaluation and Research, 2005.
378

379 [11] Pittenger MF, Mackay AM, Beck SC, Jaiswal RK, Douglas R, Mosca JD, Moorman MA,
380 Simonetti DW, Craig S, Marshak DR. Multilineage potential of adult human mesenchymal stem
381 cells. *Science* 1999;284:143-47.
382

383 [12] Taylor SE, Smith RKW, Clegg PD. Mesenchymal stem cell therapy in equine musculoskeletal
384 disease: scientific fact or clinical fiction? *Equine Vet J* 2007;39:172-80.
385

386 [13] Guest DJ, Smith MRW, Allen WR. Monitoring the fate of autologous and allogeneic
387 mesenchymal progenitor cells injected into the superficial digital flexor tendon of horses:
388 Preliminary study. *Equine Vet J* 2008;40:178-81.
389

390 [14] Hegewald AA, Ringe J, Bartel J, Krüger I, Notter M, Barnewitz D, Kaps C, Sittinger M.
391 Hyaluronic acid and autologous synovial fluid induce chondrogenic differentiation of equine
392 mesenchymal stem cells: a preliminary study. *Tissue Cell* 2004;36:431-8.
393

394 [15] Vidal MA, Robinson SO, Lopez MJ, Paulsen DB, Borkhsenius O, Johnson JR, Moore RM,
395 Gimble JM. Comparison of chondrogenic potential in equine mesenchymal stromal cells derived
396 from adipose tissue and bone marrow. *Vet Surg* 2008;37:713-24.
397

398 [16] Richardson LE, Dudhia J, Clegg PD, Smith R. Stem cells in veterinary medicine - attempts at
399 regenerating equine tendon after injury. *Trends Biotech* 2007;25:409-16.
400

401 [17] Wakitani S, Imoto K, Yamamoto T, Saito M, Murata N, Yoneda M. Human autologous culture
402 expanded bone marrow mesenchymal cell transplantation for repair of cartilage defects in
403 osteoarthritic knees. *Osteoarthritis Cartilage* 2002;10:199-206.
404

405 [18] Majors AK, Boehm CA, Nitto H, Midura RJ, Muschler GF. Characterization of human bone
406 marrow stromal cells with respect to osteoblastic differentiation. *J Orthop Res* 1997;15:546-57.
407

408 [19] DiGirolamo CM, Stokes D, Colter D, Phinney DG, Class R, Prockop DJ. Propagation and
409 senescence of human marrow stromal cells in culture: a simple colony-forming assay identifies
410 samples with the greatest potential to propagate and differentiate. *Br J Haematol* 1999;107:275-81.
411

412 [20] Guillot PV, Gotherstrom C, Chan J, Kurata H, Fisk NM. Human first-trimester fetal MSC
413 express pluripotency markers and grow faster and have longer telomeres than adult MSC. *Stem*
414 *Cells* 2007;25:646-54.
415

416 [21] Keller G. Embryonic stem cell differentiation: emergence of a new era in biology and
417 medicine. *Genes Dev* 2005;19:1129-55.
418

- 419 [22] Evans MJ, Kaufman MH. Establishment in culture of pluripotential cells from mouse embryos.
420 Nature 1981;292:154-6.
421
- 422 [23] Thomson JA, Itskovitz-Eldor J, Shapiro SS, Waknitz MA, Swiergiel JJ, Marshall VS, Jones
423 JM. Embryonic stem cell lines derived from human blastocysts. Science 1998;282:1145-7.
424
- 425 [24] Beglopoulos V, Shen J. Gene-targeting technologies for the study of neurological disorders.
426 NeuroMol Med 2004;6:13-30.
427
- 428 [25] Giudice A, Trounson A. Genetic modification of human embryonic stem cells for derivation of
429 target cells. Cell Stem Cell 2008;2:422-33.
430
- 431 [26] Ball BA. Embryonic loss in mares. Incidence, possible causes, and diagnostic considerations.
432 Vet Clin N Am: Equine Pract 1988;4:263-90.
433
- 434 [27] Chen X, Song X-H, Yin Z, Zou X-H, Wang L-L, Hu H, Cao T, Zheng M, Ouyang HW.
435 Stepwise differentiation of human embryonic stem cells promotes tendon regeneration by secreting
436 fetal tendon matrix and differentiation factors. Stem Cells 2009;27:1276-87.
437
- 438 [28] Hoben GM, Willard VP, Athanasiou KA. Fibrochondrogenesis of hESCs: growth factor
439 combinations and cocultures. Stem Cells Dev 2009;18:283-92.
440
- 441 [29] Saito S, Ugai H, Sawai K, Yamamoto Y, Minamihashi A, Kurosaka K, Kobayashi Y, Murata
442 T, Obata Y, Yokoyama K. Isolation of embryonic stem-like cells from equine blastocysts and their
443 differentiation *in vitro*. FEBS Lett 2002;531:389-96.
444

- 445 [30] Li X, Zhou SG, Imreh MP, Ahrlund-Richter L, Allen WR. Horse embryonic stem cell lines
446 from the proliferation of inner cell mass cells. *Stem Cells Dev* 2006;15:523-31.
447
- 448 [31] Guest DJ, Allen WR. Expression of cell-surface antigens and embryonic stem cell pluripotency
449 genes in equine blastocysts. *Stem Cells Dev* 2007;16:789-96.
450
- 451 [32] Tecirlioglu R, Guo J, Trounson A. Interspecies somatic cell nuclear transfer and preliminary
452 data for horse-cow/mouse iSCNT. *Stem Cell Rev* 2006;2:277-87.
453
- 454 [33] Hinrichs K, Choi HD, Harding HD, Obermiller AD, Hartman DL. Oct-4 expression in *in vivo*
455 and *in vitro*-produced equine blastocysts. *7th Int Symp Equine Embryo Transfer, Cambridge,*
456 *2008;46.*
457
- 458 [34] Keefer CL, Pant D, Blomberg L, Talbot NC. Challenges and prospects for the establishment of
459 embryonic stem cell lines of domesticated ungulates. *Anim Reprod Sci* 2007;98:147-68.
460
- 461 [35] Hochereau-de Reviers M, Perreau C. *In vitro* culture of embryonic disc cells from porcine
462 blastocysts. *Reprod Nutr Dev* 1993;33:475-83.
463
- 464 [36] Thomson JA, Kalishman J, Golos TG, Durning M, Harris CP, Becker RA, Hearn JP. Isolation
465 of a primate embryonic stem cell line. *Proc Nat Acad Sci USA* 1995;92:7844-48.
466
- 467 [37] Anderson GB, BonDurant RH, Goff L, Groff J, Moyer AL. Development of bovine and
468 porcine embryonic teratomas in athymic mice. *Anim Reprod Sci* 1996;45: 231-40.
469

470 [38] Talbot N, Blomberg LA. The pursuit of ES cell lines of domesticated ungulates. *Stem Cell Rev*
471 2008;4: 235-54.

472

473 [39] Brons IGM, Smithers LE, Trotter MWB, Rugg-Gunn P, Sun B, Chuva de Sousa Lopes SM,
474 Howlett SK, Clarkson A, Ahrlund-Richter L, Pedersen RA, Vallier L. Derivation of pluripotent
475 epiblast stem cells from mammalian embryos. *Nature* 2007;448: 191-5.

476

477 [40] Tesar PJ, Chenoweth JG, Brook FA, Davies TJ, Evans EP, Mack DL, Gardner RL, McKay
478 RDG. New cell lines from mouse epiblast share defining features with human embryonic stem cells.
479 *Nature* 2007;448: 196-9.

480

481 [41] Brevini TAL, Antonini S, Pennarossa G, Gandolfi F. Recent progress in embryonic stem cell
482 research and its application in domestic species. *Reprod Dom Anim* 2008;43: 193-9.

483

484 [42] Jiang Y, Jahagirdar BN, Reinhardt RL, Schwartz RE, Keene CD, Ortiz-Gonzalez XR, Reyes
485 M, Lenvik T, Lund T, Blackstad M, Du J, Aldrich S, Lisberg A, Low WC, Largaespada DA,
486 Verfaillie CM. Pluripotency of mesenchymal stem cells derived from adult marrow. *Nature*
487 2002;418:41-9.

488

489 [43] He S, Pant D, Schiffmacher A, Bischoff S, Melican D, Gavin W, Keefer C. Developmental
490 expression of pluripotency determining factors in caprine embryos: Novel pattern of NANOG
491 protein localization in the nucleolus. *Mol Reprod Dev* 2006;73:1512-22.

492

493 [44] Badcock G, Pigott C, Goepel J, Andrews PW. The human embryonal carcinoma marker
494 antigen TRA-1-60 is a sialylated keratan sulfate proteoglycan. *Cancer Res* 1999;59:4715-19.

495

496 [45] Van Stekelenburg-Hamers AEP, Van Achterberg TAE, Rebel HG, Fléchon JE, Campbell
497 KHS, Weima SM, Mummery CL. Isolation and characterization of permanent cell lines from inner
498 cell mass cells of bovine blastocysts. *Mol Reprod Dev* 1995;40:444-54.
499

500 [46] Curran S, Urven L, Ginther O. Distribution of putative primordial germ cells in equine
501 embryos. *Equine Vet J Suppl* 1997;25:72-6.
502

503 [47] Nichols J, Zevnik B, Anastassiadis K, Niwa H, Klewe-Nebenius D, Chambers I, Schöler H,
504 Smith A. Formation of pluripotent stem cells in the mammalian embryo depends on the POU
505 Transcription Factor *Oct4*. *Cell* 1998;95:379-91.
506

507 [48] Kirchhof N, Carnwath JW, Lemme E, Anastassiadis K, Scholer H, Niemann H. Expression
508 pattern of Oct-4 in preimplantation embryos of different species. *Biol Reprod* 2000;63:1698-1705.
509

510 [49] Mitsui K, Tokuzawa Y, Itoh H, Segawa K, Murakami M, Takahashi K, Maruyama M, Maeda
511 M, Yamanaka S. The homeoprotein Nanog is required for maintenance of pluripotency in mouse
512 epiblast and ES cells. *Cell* 2003;113:631-42.
513

514 [50] Cauffman G, Van de Velde H, Liebaers I, Van Steirteghem A. Oct-4 mRNA and protein
515 expression during human preimplantation development. *Mol Hum Reprod* 2005;11:173-81.
516

517 [51] Kuijk EW, du Puy L, van Tol HTA, Oei CHY, Haagsman HP, Colenbrander B, Roelen BAJ.
518 Differences in early lineage segregation between mammals. *Dev Dyn* 2008;237:918-27.
519

520 [52] Harvey AJ, Armant DR, Bavister BD, Brenner CA. Inner cell mass localization of NANOG
521 precedes OCT3/4 in rhesus monkey blastocysts. *Stem Cells Dev:in press*
522 doi:10.1089/scd.2009.0122.
523

524 [53] Betteridge KJ. Equine embryology: An inventory of unanswered questions. *Theriogenology*
525 2007;68:S9-21.
526

527 [54] Grondahl C, Hyttel P. Nucleogenesis and ribonucleic acid synthesis in preimplantation
528 equine embryos. *Biol Reprod* 1996;55:769-74.
529

530 [55] Battut I, Colchen S, Fieni F, Tainturier D, Bruyas J. Success rates when attempting to
531 nonsurgically collect equine embryos at 144, 156 or 168 hours after ovulation. *Equine Vet J*
532 1997;25:60-2.
533

534 [56] Stout TAE, Meadows S, Allen WR. Stage-specific formation of the equine blastocyst capsule
535 is instrumental to hatching and to embryonic survival *in vivo*. *Anim Reprod Sci* 2005;87:269-81.
536

537 [57] Enders A, Schlafke S, Lantz K, Liu K. Endoderm cells of the equine yolk sac from Day 7 until
538 formation of the definitive yolk sac placenta. *Equine Vet J Suppl* 1993;15:3-9.
539

540 [58] Rambags B. Embryonic quality and survival in the horse: maternal and intrinsic aspects,
541 Department of Equine Science. Utrecht: Utrecht University, 2007;144.
542

543 [59] McDowell KJ, Sharp DC, Grubaugh W, Thatcher WW, Wilcox CJ. Restricted conceptus
544 mobility results in failure of pregnancy maintenance in mares. *Biol Reprod* 1988;39:340-8.
545

546 [60] Allen WR, Stewart F. Equine placentation. *Reprod Fert Dev* 2001;13:623-34.

547

548 [61] Paris DBBP, Kuijk EW, Roelen BAJ, Stout TAE. Developmental changes in expression of
549 pluripotent genes in early equine embryos. *Reprod Fert Dev* 2008;21:239.

550

551 [62] Rambags BPB, Krijtenburg PJ, Van Drie HF, Lazzari G, Galli C, Pearson PL, Colenbrander B,
552 Stout TAE. Numerical chromosomal abnormalities in equine embryos produced *in vivo* and *in vitro*.
553 *Mol Reprod Dev* 2005;72:77-87.

554

555 [63] Tremoleda JL, Stout TAE, Lagutina I, Lazzari G, Bevers MM, Colenbrander B, Galli C.
556 Effects of *in vitro* production on horse embryo morphology, cytoskeletal characteristics, and
557 blastocyst capsule formation. *Biol Reprod* 2003;69:1895-1906.

558

559 [64] Kim J, Chu J, Shen X, Wang J, Orkin SH. An extended transcriptional network for
560 pluripotency of embryonic stem cells. *Cell* 2008;132:1049-61.

561

562 [65] Muller F-J, Laurent LC, Kostka D, Ulitsky I, Williams R, Lu C, Park I-H, Rao MS, Shamir R,
563 Schwartz PH, Schmidt NO, Loring JF. Regulatory networks define phenotypic classes of human
564 stem cell lines. *Nature* 2008;455:401-5.

565

566 [66] Bonde S, Chan K-M, Zavazava N. ES-cell derived hematopoietic cells induce transplantation
567 tolerance. *PLoS ONE* 2008;3:e3212.

568

569 [67] Yen BL, Chang CJ, Liu K-J, Chen YC, Hu H-I, Bai C-H, Yen M-L. Brief report - Human
570 embryonic stem cell-derived mesenchymal progenitors possess strong immunosuppressive effects
571 toward natural killer cells as well as T lymphocytes. *Stem Cells* 2009;27:451-6.

572

573 [68] Hanna J, Wernig M, Markoulaki S, Sun C-W, Meissner A, Cassady JP, Beard C, Brambrink T,
574 Wu L-C, Townes TM, Jaenisch R. Treatment of sickle cell anemia mouse model with iPS cells
575 generated from autologous skin. *Science* 2007;318:1920-3.

576

577 [69] Wu Z, Chen J, Ren J, Bao L, Liao J, Cui C, Rao L, Li H, Gu Y, Dai H, Zhu H, Teng X, Cheng
578 L, Xiao L. Generation of pig-induced pluripotent stem cells with a drug-inducible system. *J Mol*
579 *Cell Biol* 2009:mjp003.

580 *Tables*

581 **Table 1: Characteristics of the six reported equine embryonic stem cell lines.**

	Saito <i>et al.</i> [29]	Li <i>et al.</i> [30]; Guest and Allen [31]
origin	Day 6 to 7 <i>in vivo</i> blastocysts	Day 8 <i>in vivo</i> expanded blastocysts
number of cell lines	2	4
feeder layer	bovine umbilical cord fibroblasts	mouse embryonic or horse fetal fibroblasts
maintenance	feeders only	feeders + LIF
karyotype	normal (at passage 20)	not reported
longevity	>56 passages (392 cell divisions)	>26 passages
<i>OCT4</i>	+	+
<i>STAT3</i>	+	+
<i>AP</i>	+	+
<i>SSEA1</i>	+	+
<i>SSEA3</i>	-	-
<i>SSEA4</i>	-	+
<i>TRA-1-60</i>	not reported	+
<i>TRA-1-81</i>	not reported	+
<i>in vitro</i> pluripotency	ectoderm, mesoderm	ectoderm, endoderm and mesoderm
<i>in vivo</i> pluripotency	not reported	-

582

583

584 **Table 2: Localized protein expression for pluripotency-associated markers in Day 7 to 10**

585 **equine blastocysts [31,33].**

	inner cell mass	trophectoderm
<i>OCT4</i>	++	+/-
<i>STAT3</i>	not reported	not reported
<i>AP</i>	+++	+
<i>SSEA1</i>	++	++
<i>SSEA3</i>	++	++
<i>SSEA4</i>	++	++
<i>TRA-1-60</i>	+++	+
<i>TRA-1-81</i>	+++	+

+++ , ++ , + and - : strong, intermediate, weak and no expression respectively

586